

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CoC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (07.03.2013)	L	C	C	C		
1.2.	Res. 10/09	Compliance Questionnaire	20.02.2013	C	C	C	C		
2. Management Standards									
2.1.	Res. 01/02	Documents listed in this resolution on board ²	07.03.2013	N/C	N/C	C	C	Under administrative arrangements till the regulation is approved.	
		Marking of vessels ²		N/C	N/C	C	C	Vessel marking already in place in the national legislation (1980), and according to FAO standard from 2006.	
		Marking of gears ²		N/C	N/C	C	P/C	Voluntary implementation by the industry till new regulation approved.	
		Marking of FADs ²		N/A	N/A	N/A	N/A	No purse seine fleet.	
		Logbook on board ²		C	C	C	C	Logbook program started in 2012.	
2.2.	Res12/12	Ban on large-scale driftnets ²	07.03.2013	N/C	N/C	C	C	Condition of license until the high seas fishing operation licence Regulation is approved.	
2.3.	Res. 12/13	Legal and administrative measures to implement the area Closure	For LL 18.12.2012	N/A	N/A	L	C		
2.4.			For PS 17.09.2012	N/A	N/A	N/A	N/A	No purse seine fleet.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	N/C	N/C	C	P/C	Some mandatory information missing: IRCS.	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	P/C	P/C	C	C		
3.3.	Res. 12/11	List of vessels ³ for Tropical Tuna during 2006	31.12.2009	L	P/C	L	C	All vessels are targeting tropical tunas.	
		List of vessels ³ for SWO and ALB during 2007		L	P/C	N/A	N/A	All vessels are targeting tropical tunas.	
3.4.	Res. 07/02	List of Authorized vessels	Since	C	P/C	C	C		

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ

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3.5.		24 metres in length overall or more	01.07.2003							
		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	P/C	C	C			
3.6.	Res. 12/07	List of foreign vessels licensed in EEZ.	15.02	N/A	N/A	N/A	N/A	Foreign vessels are not licensed.		
3.7.		List of foreign vessels denied a licence.	15.02	N/A	N/A					
3.8.		Access Agreement information	07.03.2013			N/A	N/A			
4. Vessel Monitoring System										
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	N/C	N/C	L	P/C	VMS provider identified. FMC under construction. VMS regulation is being drafted.		
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	C	C	L	C			
4.3.	Res. 12/13	Summary of VMS record ²	07.03.2013	N/C	N/C	C	C	Position reporting is being implemented through SSB until VMS is implemented.		
5. Mandatory statistical requirement										
5.1.	Res. 10/02	Nominal Catch								
		• Coastal fisheries	30.06	L	P/C	C	C	Catch for gillnet and longline reported combined.		
		• Surface fisheries	30.06	L	P/C	C	P/C			
		• LL	Provisional	30.06	L	P/C	C			P/C
Final			30.12	L	P/C	C	P/C			
Catch & Effort										
5.2.		• Coastal fisheries	30.06	N/C	N/C	C	C	Catch for gillnet and longline reported combined; data not by IOTC grid		
		• Surface fisheries	30.06	N/C	N/C	C	P/C			
		• LL	Provisional	30.06	N/C	N/C	C			P/C
			Final	30.12	N/C	N/C	C			P/C
Size Frequency										
5.3.	• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	Mandatory information not provided.	Catch for gillnet and longline reported combined; data not by IOTC grid		
	• Surface fisheries	30.06	L	P/C	C	P/C				
	• LL	Provisional	30.06	L	P/C	C	P/C			
		Final	30.12	L	P/C	C	P/C			
Fish Aggregating Devices (FAD)										
5.4.	Supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.			
	Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A				

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		FADs set by type	30.06	N/A	N/A	N/A	N/A		
6. Implementation of mitigation measures and bycatch of non-IOTC species									
6.1.	Res. 05/05	Submission of data regarding Sharks	30.06	L	P/C	C	P/C	Catch for gillnet and longline reported combined; data not by IOTC grid; no size data available.	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	N/C	N/C	C	C	Prohibition of Catching Thresher Shark Regulation in force in July 2012.	
6.3.	Res. 12/04	Sea turtles report ²	07.03.2013	N/C	N/C	C	C	Protected under Fishing Operations Regulation 1996.	
6.4.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	N/A	N/A	C	C	Vessel operators instructed to carry line cutters and de-hookers.	
6.5.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No purse seine fleet.	
6.6.	Res. 10/06	Seabirds report ²	07.03.2013	N/A	N/A	N/A	N/A	Sea bird catches are not reported due to the nature of the fishery and the gear used.	
6.7.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (8.02.2012)	N/C	N/C	N/A	N/A	No vessel or national identified in IUU fishing activities.	
7.2.	Res. 07/01	Compliance by nationals	07.03.2013	N/C	N/C	N/A	N/A		
8. Transshipments									
8.1.	Res. 12/05	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in ROP.	
8.2.		Transshipments in port report ²	07.03.2013	N/C	N/C	N/C	N/C	Mandatory information not provided.	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in ROP.	
8.4.		Report on results of investigations on possible infractions	01.02.2013			N/A	N/A		
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	07.03.2013	N/C	N/C	N/C	N/C	Has reported that on board observer programme is impractical due to the small size of the vessels.	
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/C	N/C	N/C	N/C		
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	N/C	N/C		

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9.4.		<ul style="list-style-type: none"> 5 % Phasing in Artisanal landings² 	07.03.2013	C	C	C	C		
9.5.		Observer reports	150 days after trip	N/C	N/C	N/C	N/C	No observer scheme.	
10. Statistical document programme									
10.1.	Res. 01/06	1 st Semester report	01.04	N/A	N/A	N/A	N/A	Does not import Bigey tuna.	
10.2.		2 nd Semester report	01.10	N/A	N/A	N/A	N/A		
10.3.		Annual report ²	07.03.2013	N/C	N/C	C	P/C	Report to be submitted before 31st March 2013.	
10.4.		Information on authorised institutions and personnel	01.07.2002	C	C	C	C		
11. Port inspection									
11.1.	Res. 05/03	Port inspection programme	01.07	N/C	N/C	C	C		
11.2.	Res. 10/11	List of designated ports	By 31.12.10	C	C	C	C		
11.3.		Designated competent Authority		N/C	N/C	C	C		
11.4.		Prior notification periods		C	C	C	C		
11.5.		Inspection report		3 days after inspection	N/C	N/C	N/C		N/C
11.6.		Denial of entry in port	Since 01/03/2011	N/A	N/A	N/A	N/A	No foreign vessel denied entry.	

Feedback to Sri Lanka on the level of implementation of IOTC Conservation and Management Measures identified by the CoC09 in 2012.

Feedback: With regards to the level of compliance by Sri Lanka to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Sri Lanka by the Chair of the Commission in a letter dated 26 April 2012.

The CoC ACKNOWLEDGED the important work that Sri Lanka had undertaken in addressing the concerns raised by CoC08 in 2011, as well as the difficulties that Sri Lanka continues to face in fully implementing a number of the CMMs adopted by the Commission, including the following:
<ul style="list-style-type: none">• Resolution 06/03 (VMS): The CoC noted that Sri Lanka has not implemented a VMS as required by this resolution, while noting the progress made by Sri Lanka to set up its national VMS despite the financial and operational difficulties being experienced in deploying such a system. The CoC ENCOURAGED Sri Lanka to make further improvements in the implementation of its national VMS and report progress during the intersessional period.
<ul style="list-style-type: none">• Resolution 09/02 (Fleet development plan). Noting that Sri Lanka has not reported origin of vessels in its FDP as required by the Resolution (para 6), the CoC URGED Sri Lanka to complete its FDP and to provide the requested information as soon as possible.
<ul style="list-style-type: none">• Resolution 10/02 (Minimum reporting requirements): The CoC noted that Sri Lanka is partially compliant with the IOTC data reporting requirements for coastal fisheries and surface fisheries (gillnet) with regards to nominal catch, and size frequency, and non-compliant with regards to catch and effort. The CoC ENCOURAGED Sri Lanka to make further improvements in data collection and reporting during the intersessional period.
<ul style="list-style-type: none">• Resolution 10/12 (Thresher sharks): Noting that Sri Lanka has not implemented requirements of this Resolution into domestic legislation as a binding requirement, the CoC URGED Sri Lanka to move towards full implementation during the intersessional period.
<ul style="list-style-type: none">• Resolution 11/04 (Regional observer program): Noting that Sri Lanka has not implemented an observer program as required by this Resolution, and that Sri Lanka expressed its commitments to fully implement the program by the end of 2013, the CoC ENCOURAGED Sri Lanka to continue towards full implementation during the intersessional period.

Response: The response to the letter of the Chair of the Commission was provided by Sri Lanka on 15/06/2012. The response includes a Road Map and a plan of actions. They were circulated on 22/06/2012 through the *Circular 2012-55: Communication from Sri Lanka concerning ongoing effort towards the fulfilment of its obligations to the Indian Ocean Tuna Commission (IOTC)*.

Not all the issues were covered in the response to the letter of feedback.

Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC10 in 2013.

Having reviewed the 2013 Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<ul style="list-style-type: none">• Has not fully implemented a VMS programme, as required by Resolution 06/03.
<ul style="list-style-type: none">• Has not reported size frequency data for coastal fisheries, as required by Resolution 10/02.
<ul style="list-style-type: none">• Has not reported nominal catch, catch & effort data, and size frequency data at the standard

required by Resolution 10/02, for the coastal fisheries, surface fisheries and longline fishery.
• Has not reported data on sharks at the standard required by Res. 05/05 - Catch for gillnet and longline reported combined; data not by IOTC grid; no size data available.
• Has not implemented an observer programme, as required by Res. 11/04.
• Has not provided the mandatory report on transshipments in port, as required by Res. 12/05.