
**ON AN IOTC TROPICAL TUNAS – YELLOWFIN, BIGEYE AND SKIPJACK
- CATCH DOCUMENTATION PROGRAMME**

SUBMITTED BY EUROPEAN UNION

Background

This resolution is intended to help support the implementation of conservation and management measures, improve control and data gathering as well as scientific research, for yellowfin, bigeye and skipjack tunas, considering the pressure on these stocks in the Indian Ocean, the impact which market factors have on these resources and fisheries, and the need to control trade flows in order to combat illegal fishing.

This resolution also intends to simplify the current catch documentation obligations, notably for the exporting CPCs. On one hand, the resolution 01/06 concerning the IOTC Bigeye Tuna Statistical document programme will be superseded by the adoption of this resolution and, on the other hand, the new catch documentation required can be used in the framework of the new European Union IUU Regulation. As a result of the adoption of this resolution, it will no longer be necessary to provide documentation related to the above referred legislations (IOTC Bigeye Tuna Statistical document and EU Catch documentation).

IOTC has adopted some conservation and management measures for the main targeted species falling under its competence, aiming the conservation and a responsible exploitation of its stocks, notably in order to freeze the fishing capacity of the fleets targeting these stocks as well as to minimize the impact of illegal, unregulated and unreported (IUU) fishing in the IOTC area.

Flag States have the duty to ensure that their vessels conduct their fishing activities in a responsible manner, fully respecting IOTC conservation and management measures. In addition, there is a need for improvement and strict control on all the components involved in yellowfin, bigeye and skipjack tunas fisheries. Port States remain responsible to promote the effectiveness of management measures adopted by regional fisheries management organizations.

Moreover, there is a complementary role of importing States that also have the control of the catches caught in the IOTC area of competence to ensure compliance with IOTC conservation and management resolutions. An effective control of the movements of these catches, tracking of the product from the point of capture throughout the whole operation to its final market, has to be established.

In conformity with international law, notably as regards the World Trade Organization (WTO), and to ensure that all IOTC catches entering markets of CPCs is caught in the Agreement establishing the IOTC area in a manner that does not diminish the effectiveness of IOTC conservation and management resolutions.

In order to be consistent, notably with the management measures taken in other RFMO, and to improve the results of the IOTC measures aiming conservation and management of the tropical tuna stocks, it is recommended to implement a new catch documentation contributing to a better management of these stocks.

The Indian Ocean Tuna Commission (IOTC):

RECOGNIZING the impact that market factors have on the fishery;

CONCERNED by the impact that illegal, unregulated and unreported (IUU) fishing has in the IOTC area of competence;

REITERATING the responsibilities of flag States to ensure that their vessels conduct their fishing activities in a responsible manner, fully respecting IOTC conservation and management measures;

NOTING the need for improved and strict control on all the components involved in the yellowfin, bigeye and skipjack tunas fisheries;

MINDFUL of the rights and obligations of port States to promote the effectiveness of management measures adopted by regional fisheries management organizations;

UNDERLINING the complementary role that importing States also have in the control of the catches of yellowfin, bigeye and skipjack tunas to ensure compliance with IOTC conservation and management measures;

RECOGNIZING that in order to have effective control of the movements of yellowfin, bigeye and skipjack tunas, strict tracking of the product from the point of capture throughout the whole operation to its final market has to be established;

COMMITTED to taking steps that conform with international law, notably as regards the World Trade Organization (WTO), and to ensure that yellowfin, bigeye and skipjack tunas entering markets of Commission Members, Associate members of IOTC and non-members of IOTC is caught in the IOTC area of competence in a manner that does not diminish the effectiveness of IOTC conservation and management measures;

UNDERLINING that the adoption of this measure is intended to help support the implementation of conservation and management measures as well as scientific research for yellowfin, bigeye and skipjack tunas stocks;

ADOPTS, in accordance with the provisions of Article IX, paragraph 1, of the Agreement establishing the IOTC, the following:

PART I

GENERAL PROVISIONS

1. Each Contracting party and Cooperating Non-Contracting parties (hereafter referred to as CPCs) shall take the necessary steps to implement an IOTC yellowfin, bigeye and skipjack tunas Catch Documentation Programme for the purpose of identifying the origin of yellowfin, bigeye and skipjack tunas to which conservation and management measures apply.

2. For the purpose of this Programme:

a) "Export" means:

Any movement of yellowfin, bigeye and skipjack tunas caught in the IOTC area of competence by a fishing vessel flying the flag of a CPC to the territory of another CPC or non-Member to the IOTC, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Member to the IOTC.

b) "Import" means:

Any introduction, including for transshipment purposes, of yellowfin, bigeye and skipjack tunas in their caught or processed forms into the territory of a CPC, which is not the CPC where the fishing vessel is flagged.

c) "Re-export" means:

Any movement of yellowfin, bigeye and skipjack tunas in their caught or processed forms from the territory of a CPC where it had been previously imported.

d) "Tropical tunas" means:

This resolution concerns exclusively the following tropical tuna species: yellowfin, bigeye and skipjack.

PART II

YELLOWFIN, BIGEYE AND SKIPJACK TUNAS CATCH DOCUMENTS

3. Each consignment of yellowfin, bigeye and skipjack tunas imported into or exported or re-exported from the territory of a CPC shall be accompanied by a validated yellowfin, bigeye and skipjack tunas catch document (TTCD) and, as applicable, a validated yellowfin, bigeye and skipjack tunas re-export certificate (TTRC). Any such import, export or re-export of yellowfin, bigeye and skipjack tunas without a completed and validated TTCD or TTRC shall be prohibited.
4. Each CPC shall provide TTCD forms only to fishing vessels authorized to catch yellowfin, bigeye and skipjack tunas in the IOTC area of competence. Such forms are not transferable to another fishing vessel. Each TTCD form shall have a unique document identification number. Document numbers shall be specific to the flag CPC and assigned to the fishing vessel.
5. Copies of TTCD shall follow each part of split consignments or processed product, using the unique document number of the original TTCD in order to track them.
6. CPCs shall keep copies of documents issued or received for at least two years.
7. Export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Resolution.
8. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CPC shall complete the TTCD, if possible electronically, by providing the required information in appropriate sections and request its validation in accordance with paragraph 10, on each occasion that they export yellowfin, bigeye and skipjack tuna products.
9. A validated TTCD shall include the information identified in **Annex 1** forms attached. In cases where a section of the TTCD model does not provide enough room to completely track movement of yellowfin, bigeye and skipjack tunas from catch to trade, the needed information section may be expanded as necessary and attached as

annex. The competent authority of the CPC shall validate the annex as soon as possible, but not later than the next movement of yellowfin, bigeye and skipjack tunas.

10. a) The TTCD must be validated by an authorized government official, or other authorized individual or institution, of the flag CPC of the fishing vessel.

b) The flag CPC shall validate the TTCD for yellowfin, bigeye and skipjack tuna products only when all the information contained in the TTCD has been established to be accurate as a result of the verification of the consignment, and only when those products comply with all relevant provisions of the conservation and management measures.
11. Where the yellowfin, bigeye and skipjack tunas quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary TTCD, pending the validation of the TTCD within seven days and prior to export.

PART III

TROPICAL TUNAS - YELLOWFIN, BIGEYE AND SKIPJACK - RE-EXPORT CERTIFICATES

12. Each CPC shall ensure that each yellowfin, bigeye and skipjack tunas consignment which is re-exported from its territory be accompanied by a validated TTRC.
13. The operator who is responsible for the re-export shall complete the TTRC by providing the required information in its appropriate sections and request its validation for the yellowfin, bigeye and skipjack tunas consignment to be re-exported. The completed TTRC shall be accompanied by a copy of the validated TTCD relating to the yellowfin, bigeye and skipjack tuna products previously imported.
14. The TTRC shall be validated by an authorized government official or authority.
15. The CPC shall validate the TTRC for yellowfin, bigeye and skipjack tunas product only when:
 - a) all the information contained in the TTRC has been established to be accurate,
 - b) the validated TTCD(s) submitted in support to the TTRC had been accepted for the importation of the products declared on the TTRC,
 - c) the products to be re-exported are wholly or partly the same products on the validated TTCD(s) and
 - d) a copy of the TTCD(s) shall be attached to the validated TTRC.
16. The validated TTRC shall include the information identified in **Annex 2** forms attached.

PART IV

COMMUNICATION AND VERIFICATION

17. Each CPC shall communicate, if possible electronically, a copy of all validated TTCDs or TTRCs within fifteen working days following the date of validation, or without delay where the expected duration of the transportation should not take more than fifteen working days, to the following:

a) the competent authorities of the CPC where the yellowfin, bigeye and skipjack tunas will be imported, and

b) the IOTC Secretariat.

18. The IOTC Secretariat shall extract from the validated TTCDs or communicated under paragraph 17 above the information marked with an asterisk in **Annex 1** or **Annex 2** forms and enter this information in a database on a password protected section of its website, as soon as practicable.

At its request, the Scientific Committee shall have access to the catch information contained in the database, except the vessel names.

19. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of yellowfin, bigeye and skipjack tunas imported into or exported or re-exported from its territory and request and examine the validated TTCD(s) and related documentation of each consignment of yellowfin, bigeye and skipjack tunas.

These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the TTCD and in related documents and, where necessary, shall carry out verifications at with the operators concerned.

20. If, as a result of examinations or verifications carried out pursuant to paragraph 19, a doubt arises regarding the information contained in a TTCD, the final importing CPC and the CPC whose competent authorities validated the TTCD(s) or TTRC(s) shall cooperate to resolve such doubts.
21. If a CPC involved in trade of yellowfin, bigeye and skipjack tunas identifies a consignment with no TTCD or no valid TTCD, it shall notify the findings to the exporting CPC and, where known, the flag CPC.
22. Pending the examinations or verifications under paragraph 19 to confirm compliance of the yellowfin, bigeye and skipjack tunas consignment with the requirements in the present Resolution and any other relevant measures adopted by IOTC, the CPC shall not grant its release for import or export.

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23. Where a CPC, as a result of examination or verifications under paragraph 19 and in cooperation with the validating authorities concerned, determines that a TTCD or TTRC is invalid, the import, export or re-export of the yellowfin, bigeye and skipjack tuna products concerned shall be prohibited.
 24. The Commission shall request the non-CPCs that are involved in import, export or re-export of yellowfin, bigeye and skipjack tunas to cooperate with the implementation of the Programme and to provide to the Commission data obtained from such implementation.

PART V

COMMUNICATION OF DATA

25. CPCs that validate TTCDs in respect of their flag fishing vessels and/or TTRCs, shall notify to the IOTC Secretariat:
 - (a) the name and full address of their authorities responsible for validating and verifying TTCDs or TTRCs, and
 - (b) the name, title, signature and sample impression of stamp or seal of the validating officials who are individually empowered.

This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the yellowfin, bigeye and skipjack tunas catch documentation program shall be communicated with the initial notification. Updated details on validating authorities, officials and national provisions shall be communicated to the IOTC Secretariat in a timely fashion.

26. The information on validating authorities and officials transmitted by notifications to the IOTC Secretariat shall be placed on a password protected page of the database on validation held by the IOTC Secretariat. The list of the CPCs having notified their validating authorities, officials and the dates of entry into force of the entitlement shall be placed on a publicly accessible website held by the IOTC Secretariat.

CPCs are encouraged to access this information to help verify the validation of TTCDs and TTRCs.

27. Each CPC shall notify to the IOTC Secretariat the points of contact (name and full address of the authorities) that should be informed when there are questions related to TTCDs or TTRCs.
28. Notification pursuant to paragraphs 25, 26 and 27 shall be sent by CPCs to the IOTC Secretariat, by electronic means, whenever possible.
29. CPCs shall provide to the IOTC Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 3**.

The IOTC Secretariat shall post these reports on a password protected section of the IOTC website, as soon as practicable. At its request, the Scientific Committee shall have access to the reports received by the IOTC Secretariat.

30. This Resolution will be revised during the IOTC 2011 plenary Session, with the view of expanding the scope of application of the IOTC Catch Documentation Programme to all tuna and tuna like species falling under the IOTC competence as well as any other elements deemed to be revised.
31. IOTC Resolution 01/06 *Concerning the IOTC Bigeye tuna statistical document programme* is superseded by this Resolution.

Annex 1

IOTC tropical tunas (yellowfin, bigeye and skipjack) catch document

CERTIFICAT DE CAPTURE DE LA CTOI IOTC CATCH CERTIFICATE

Numéro de document* *Document number*

1. Autorité de validation *Validating Authority*

Nom *Name*

Adresse *Address*

Tel.:

e-mail:

Fax:

2. Navire de pêche *Fishing Vessel*

Nom du navire de pêche* *Fishing Vessel Name*

Pavillon*, port d'attache et numéro d'immatriculation *Flag - Home Port and Registration Number*

Indicatif radio *Call Sign*

N° OMI/Lloyd (le cas échéant) *IMO/Lloyd's Number (if issued)*

N° de la licence de pêche
Fishing licence No.

Date de fin de validité
Valid to

N° Inmarsat, n° fax, n° téléphone, adresse de courrier électronique (le cas échéant)
Inmarsat No. Telefax No. Telephone No. E-mail address (if issued)

3. DESCRIPTION DU PRODUIT (voir page suivante) *Description of Product (See next page)*

4. MESURES DE CONSERVATION ET DE GESTION APPLICABLES *Applicable conservation and management measures*

Références des mesures de conservation et de gestion applicables
References of applicable conservation and management measures

5. CAPITAINE DU NAVIRE *Master of fishing vessel*

Nom du capitaine du navire de pêche
Name of master of fishing vessel

Signature
Signature

Cachet
Seal

CERTIFICAT DE CAPTURE DE LA CTOI IOTC CATCH CERTIFICATE

3. DESCRIPTION DU PRODUIT *Description of Product*

Espèce* <i>Species</i>	Code produit <i>Product code</i>	Zone(s) et dates de capture* <i>Catch area(s) and dates</i>	Poids vif estimé (kg)* <i>Estimated live weight (kg)</i>	Poids à débarquer estimé (kg) <i>Estimated weight to be landed (kg)</i>	Poids débarqué vérifié (kg), le cas échéant <i>Verified Weight Landed (kg) where appropriate</i>	Type de transformation autorisé à bord <i>Type of processing authorised on board</i>

CERTIFICAT DE CAPTURE DE LA CTOI IOTC CATCH CERTIFICATE

6. DECLARATION DE TRANSBORDEMENT EN MER *Declaration of Transshipment at Sea*

Nom du capitaine du navire de pêche <i>Name of Master of Fishing vessel</i>		Signature <i>Signature</i>	Date <i>Date</i>
Date du transbordement <i>Transshipment Date</i>	Zone du transbordement <i>Transshipment Area</i>	Position du transbordement <i>Transshipment Position</i>	Poids estimé (kg) <i>Estimated weight (kg)</i>
Capitaine du navire receveur <i>Master of Receiving Vessel</i>		Signature <i>Signature</i>	
Nom du navire <i>Vessel Name</i>		Indicatif radio <i>Call Sign</i>	N° OMI/Lloyds (le cas échéant) <i>IMO/Lloyds Number</i> (if issued)

7. AUTORISATION DE TRANSBORDEMENT DANS UNE ZONE PORTUAIRE *Transshipment authorisation within a port area*

Nom <i>Name</i>	Autorité <i>Authority</i>	Signature <i>Signature</i>
Adresse <i>Address</i>	Tél.	
Port de débarquement <i>Port of Landing</i>	Date de débarquement <i>Date of Landing</i>	Cachet <i>Seal</i>

8. EXPORTATEUR *Exporter*

Nom et adresse de l'exportateur <i>Name and address of Exporter</i>		
Signature <i>Signature</i>	Date <i>Date</i>	Cachet <i>Seal</i>

9. VALIDATION PAR L'AUTORITE DE L'ETAT DE PAVILLON *Flag State Authority Validation*

Nom / Titre <i>Name/Title</i>		
Signature <i>Signature</i>	Date <i>Date</i>	Cachet <i>Seal</i>

10. INFORMATION RELATIVE AU TRANSPORT (voir annexe) *Transport details : See Appendix I*

CERTIFICAT DE CAPTURE DE LA CTOI IOTC CATCH CERTIFICATE

ANNEXE I. INFORMATION RELATIVE AU TRANSPORT *APPENDIX I. TRANSPORT DETAILS*

1. Pays d'exportation* <i>Exporting country</i> Port / aéroport / autre lieu de départ <i>Country of exportation</i> <i>Port/airport/other place of departure</i>		2. Signature de l'exportateur <i>Exporter Signature</i>	
Nom <i>Name</i>	Adresse <i>Address</i>	Signature <i>Signature</i>	
Nom et pavillon du navire <i>Vessel name and flag</i>		Numéro(s) du ou des conteneurs <i>Container number(s)</i>	
Numéro de vol, numéro de lettre de transport aérien <i>Flight number/airway bill number</i>			
Nationalité et numéro d'immatriculation du camion <i>Truck nationality and registration number</i>			
Numéro de lettre de voiture ferroviaire <i>Railway bill number</i>			
Autres documents de transport <i>Other transport document</i>			

Annex 2

IOTC tropical tunas (yellowfin, bigeye and skipjack) re-export certificate

Certificat de réexportation de la CTOI pour les thons tropicaux (albacore, thon obèse et listao) <i>IOTC tropical tunas (yellowfin, bigeye and skipjack) re-export certificate</i>					
Numéro du document* Document Number:					
SECTION RÉEXPORTATION RE-EXPORT SECTION					
1. PAYS DE REEXPORTATION RE-EXPORTING COUNTRY:					
2. LIEU DE RÉEXPORTATION* POINT OF RE-EXPORT					
3. DESCRIPTION DES THONS TROPICAUX IMPORTÉS DESCRIPTION OF IMPORTED TROPICAL TUNAS					
Type de produit <i>Product Type</i>		Poids net (kg)* <i>Net weight (kg)</i>	CPC de pavillon <i>Flag CPC</i>	Date importation* <i>Date of import</i>	TTCD No*
F/FR	RD/GG/DR/FL/OT				
4. DESCRIPTION DES THONS TROPICAUX DESTINÉS À LA RÉEXPORTATION <i>DESCRIPTION OF TROPICAL TUNAS FOR RE-EXPORT</i>					
Type de produit* <i>Product Type</i>		Poids net (kg)* <i>Net weight (kg)</i>	Numéro TTCD correspondant à la section 3. <i>Corresponding TTCD number from section 3.</i>		
F/FR	RD/GG/DR/FL/OT				
F= Frais <i>Fresh</i> , FR= Surgelé <i>Frozen</i> , RD= Poids vif <i>Round</i> , GG=Eviscéré & sans branchie <i>Gilled & Gutted</i> , DR= Poids manipulé <i>Dressed</i> , FL=Filet, OT=Autres <i>Others</i> (Décrire le type de produit <i>Describe the type of the product</i>): ÉTAT DE DESTINATION* STATE OF DESTINATION:					
5. CERTIFICAT DU RÉEXPORTATEUR RE-EXPORTER STATEMENT: Je certifie que l'information ci-dessus est, à mon vu et su, complète, véridique et correcte. <i>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</i>					
Nom Name		Adresse Address		Signature	Date
6. VALIDATION DU GOUVERNEMENT GOVERNMENT VALIDATION Je déclare valide l'information ci-dessus, qui est, à mon vu et su, complète, véridique et correcte. <i>I validate that the above information is complete, true and correct to the best of my knowledge and belief.</i>					
Nom & poste Name & Title		Signature	Date	Cachet du Gouvernement Government Seal	
SECTION IMPORTATION IMPORT SECTION					
7. CERTIFICAT DE L'IMPORTATEUR IMPORTER STATEMENT: Je certifie que l'information ci-dessus est, à mon vu et su, complète, véridique et correcte. <i>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</i>					
Certificat de l'importateur <i>Importer Certification</i>					
Nom Name		Adresse Address		Signature	Date
Point final d'importation* final point of import: Ville City			État/Province State/Province	CPC	

NOTE : Si ce document est rempli dans une autre langue que l'anglais, veuillez y joindre la traduction en anglais
NOTE : Le document de transport valide et les copies des TTCD devront être joints.
NOTE: if a language other than English is used in completing this form, please attach the English translation to this form.
NOTE: Valid transport documents and copies of TTCD shall be attached.

ANNEXE I. DECLARATION DE L'USINE DE TRANSFORMATION *APPENDIX I. STATEMENT BY THE PROCESSING PLANT.*

Je confirme que les produits de la pêche transformés: ... (description des produits et code de la nomenclature combinée) sont issus de captures importées au titre du ou des certificat(s) de capture suivant(s)

I confirm that the processed fishery products: (product description and Combined Nomenclature code) have been obtained from catches imported under the following catch certificate(s):

Numéro du certificat de capture <i>Catch certificate number</i>	Nom(s) et pavillon(s) du (des) navire(s) <i>Vessel name(s) and flag(s)</i>	Date(s) de validation <i>Validation date(s)</i>	Description de la capture <i>Catch description</i>	Poids débarqué total (kg) <i>Total landed weight (kg)</i>	Capture transformée (kg) <i>Catch processed (kg)</i>	Produits de la pêche transformés (kg) <i>Processed fishery product (kg)</i>

Nom et adresse de l'usine de transformation

Name and address of the processing plant

.....

Nom et adresse de l'exportateur (s'ils diffèrent de ceux de l'usine de transformation):

Name and address of the exporter (if different from the processing plant)

.....

Numéro d'agrément de l'usine de transformation:

Approval number of the processing plant

.....

Numéro et date du certificat sanitaire:

Health certificate number and date:

.....

Responsable de l'usine de transformation <i>Responsible person of the processing plant :</i>	Signature:	Date:	Lieu: <i>Place:</i>

Approbation par l'autorité compétente

Endorsement by the competent authority:

.....

Agent <i>Official</i>	Signature et cachet <i>Signature and seal</i>	Date:	Lieu <i>Place</i>

Annex 3

Report on the Implementation of the IOTC Tropical tunas – Yellowfin, bigeye and skipjack - Catch Documentation Programme

Reporting CPC:

Period of reference: July 1 [2XXX] to June 30 [2XXX]

1. Information extracted from TTCDs

- number of TTCDs validated;
- number of validated TTCDs received;
- total amount of tropical tunas (yellowfin, bigeye and skipjack) products imported, exported, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears;
- number of verifications of TTCDs requested to other CPCs and summary results;
- number of requests for verifications of TTCDs received from other CPCs and summary results;
- total amount of tropical tunas consignments subject to a prohibition decision with breakdown by products, nature of operation (import, export, re-export), reasons for prohibition and CPCs and/or non-Members of origin or destination.

2. Information on cases under Part IV paragraph 19

- number of cases
- total amount of tropical tunas with breakdown by products, nature of operation (import, export, re-export), CPCs or other countries referred to in Part IV paragraph 19 above.