

15 May 2013 / 15 mai 2013

## IOTC CIRCULAR 2013–48 / CIRCULAIRE CTOI 2013–48

Dear Sir/Madam,

### **SUBJECT: REGIONAL WORKSHOP TO SUPPORT THE IMPLEMENTATION OF THE RESOLUTIONS OF THE INDIAN OCEAN TUNA COMMISSION**

As indicated during the recent meetings of the Indian Ocean Tuna Commission (10th Session of the Compliance Committee, 10th Session of the Standing Committee on Administration and Finance and 17th Session of the Commission), the Secretariat has contacted several international organizations, donor agencies and nongovernmental organizations to seek voluntary financial support to conduct capacity building exercises aimed at assisting CPCs to implement Conservation and Management Measures already adopted by the Indian Ocean Tuna Commission (IOTC), as provided for by IOTC Resolution 12/10.

In line with the above, I am happy to inform you that the ACP Fish II Programme, which is funded under the 9<sup>th</sup> European Development Fund, has agreed to finance a **Regional workshop to support the implementation of the Resolutions of the Indian Ocean Tuna Commission (IOTC)**. Since the expertise required for this workshop lies within the IOTC Secretariat, the administrative requirement of the ACP Fish II Programme dictates that a Memorandum of Understanding be signed between the two parties to realise the funding of this workshop.

In this regard, I would, therefore, like to submit for your consideration the attached MoU and I would also like to seek the approval of the Members of the Commission to sign the above-mentioned MoU, in my capacity as the Chair of the Commission. Bearing in mind that the workshop is foreseen to take place in the latter part of August 2013, and the short period of time available to the organisers of the workshop, I would like to proceed with the signing of the MoU by the 27th May, 2013, unless I receive strong objections from any Member of the Commission.

I take this opportunity to thank all Members of the Commission for the support expressed towards the Secretariat's effort to assist developing CPCs in the implementation of the decisions of the Commission.

Madame, Monsieur,

### **OBJET : ATELIER RÉGIONAL D'APPUI À LA MISE EN ŒUVRE DES RÉOLUTIONS DE LA COMMISSION DES THONS DE L'OCÉAN INDIEN**

Comme indiqué lors des récentes réunions de la Commission des thons de l'océan Indien (10<sup>e</sup> session du Comité d'application, 10<sup>e</sup> session du Comité permanent d'administration et des finances, 17<sup>e</sup> session de la Commission), le Secrétariat a contacté plusieurs organisations internationales, bailleurs de fonds et organisations non-gouvernementales pour rechercher des financements pour réaliser des exercices de développement des capacités

#### Distribution / Destinataires

**IOTC Members / Membres de la CTOI:** Australia, Belize, China, Comoros, Eritrea, European Union, France (Territories), Guinea, India, Indonesia, Iran (Islamic Rep of), Japan, Kenya, Rep. of Korea, Madagascar, Malaysia, Maldives, Mauritius, Mozambique, Oman, Pakistan, Philippines, Seychelles, Sierra Leone, Sri Lanka, Sudan, United Rep. of Tanzania, Thailand, United Kingdom (OT), Vanuatu, Yemen.

**Cooperating non-Contracting Parties / Parties coopérantes non-contractantes:** Senegal, South Africa.

**Chairperson IOTC / Président de la CTOI**

**Copy to / Copie à:** FAO Headquarters, FAO Representatives to CPCs

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destinés à aider les CPC à mettre en œuvre les mesures de conservation et de gestion adoptées par la Commission des thons de l'océan Indien (CTOI), comme prévu par la Résolution 12/10 de la CTOI.

À ce sujet, j'ai le plaisir de vous informer que le Programme ACP Fish II, financé dans le cadre du 9<sup>e</sup> Fonds européen de développement, a accepté de financer un **Atelier régional d'appui à la mise en œuvre des résolutions de la Commission des thons de l'Océan indien (CTOI)**. Dans la mesure où l'expertise technique requise par cet atelier est disponible au Secrétariat de la CTOI, les dispositions administratives du Programme ACP Fish II exigent qu'un protocole d'accord soit signé entre les deux parties pour concrétiser le financement de cet atelier.

Je souhaiterais donc soumettre à votre examen la proposition de protocole d'accord ci-jointe et je voudrais demander l'aval des membres de ma Commission quant à la signature dudit protocole d'accord, en ma qualité de président de la Commission. Dans la mesure où cet atelier devrait avoir lieu fin août 2013 et que le temps disponible pour l'organiser est très court, je souhaiterais signer le protocole d'accord le 27 mai 2013, à moins que des membres de la Commission n'y fassent objection.

Je saisis cette opportunité pour remercier les membres de la Commission de leur soutien aux efforts du Secrétariat pour aider les CPC en développement à mettre en œuvre les décisions de la Commission.

Yours sincerely / Cordialement



Mr. Mauree Daroomalingum  
*Chair of the Commission*  
*Président de la Commission*

**Attachments / Pièces jointes:**

- IOTC – ACP Fish II MoU



**Programme ACP Fish II – Strengthening fisheries management in ACP States 9 ACP RPR 128  
Accounting No. RPR/006/07**

**Memorandum of Understanding (MoU)**

**between**

**The ACP Fish II Programme, hereinafter called “ACP Fish II”**

**and**

**The Indian Ocean Tuna Commission, hereinafter called “IOTC”**

**for**

**Project SA-2.1-B10**

**Regional workshop to support the implementation of the Resolutions of the Indian Ocean Tuna Commission (IOTC).**

**25<sup>th</sup> March 2013**

**1. Interested parties:**

- ACP Fish II Programme (the Programme); and
- Indian Ocean Tuna Commission (the IOTC)

The attached Project Profile (Attachment 1) and Budget (Attachment 2) form an integral part of this MoU and should be considered as one document.

**2. Background and Objective of the MoU**

The ACP Fish II Programme, is funded by the European Union under the 9<sup>th</sup> European Development Fund (EDF) and aims to contribute to the sustainable and equitable management of fisheries in ACP regions thus leading to poverty alleviation and improving food security in ACP countries. Specifically it focuses on strengthening fisheries policy develop and implementation, thus ensuring sustainable and equitable management of fisheries. The programme works with both national and regional stakeholders in the identification of support assignments and with a range of partners in their implementation. Projects are identified through a transparent, participatory process of regional and national consultations and are included in agreed Regional Action Plans.

The objective of this MoU is to provide the framework and set out the process and responsibilities for the implementation of a “Regional workshop to support the implementation of the Resolutions of the Indian Ocean Tuna Commission (IOTC)” (the Project) which is described in Attachment 1. The project will be implemented by the IOTC Secretariat and the ACP Fish II Programme Coordination Unit (CU).

The purpose of this project is to build the capacity of concerned countries (IOTC Members) to implement the decisions (Resolutions) of the Commission. Project activities and outputs are detailed in the attached profile (Attachment 1) and budget (Attachment 2).

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Prior to signing by the Interested Parties, the MoU, with attachments and budget, will be submitted to DEVCO with the request for permission for the CU to organise, enter into a commitment for travel services and execute the expenditures as set out in the budget.

### **3. Responsibilities of the co-operating parties**

#### 3.1 ACP Fish II Programme Co-ordination Unit

The ACP fish II Programme will:

- a. Review and approve the training outline, materials and other documentation prepared by the IOTC for the Workshop;
- b. make all logistical arrangements for the Workshop (including accommodation for the participants, local transport for the participants).
- c. Organise itinerary and purchase air-tickets for all agreed participants with the support of the IOTC staff appointed for this event and make per diem payments directly to the participants at the moment of the workshop;
- d. Make payment direct to the venue for refreshments and provision of services and facilities;
- e. Ensure ACP Fish II is suitably represented at the Workshop both for technical and administrative purposes;
- f. Provide formal approval of the FTR produced by the IOTC.

The costs for this workshop will be borne by the ACP Fish II Programme according to the budget lines foreseen in the budget breakdown hereby attached.

#### 3.2 IOTC Secretariat:

The IOTC Secretariat will:

- a. Use its own Technical staff (From Compliance Section) or other party supported directly by the IOTC to ensure the training will meet the required objectives. The officers will make technical preparations and submit a Training Outline, Training Modules (with session objectives), materials, handouts and supporting documents, detailed schedule and provisional list of participants and their contact details;
- b. Appoint an IOTC staff to liaise with ACP Fish II Coordination Unit for the workshop organization and facilitation. The main duties will be:
  - prepare the list of participants to be invited and support in contacting them.
  - sending out the invitation letters for participants duly signed by IOTC at least 2 months ahead the event;
- c. Prepare the training manual and hand-outs for all participants which may include:
  - i. The IOTC Agreement
  - ii. The Rules and Procedures of the IOTC
  - iii. The Roles and Responsibilities of the IOTC Secretariat
  - iv. Decisions of the IOTC (IOTC Resolutions and Recommendations)
  - v. The Roles and Responsibilities of IOTC Members and Cooperating non-Contracting Parties
  - vi. Results of Assessment of compliance by member States
- d. Will prepare the Final Technical Report in line with agreed ACP Fish II formats.

### **4. Estimated date of the event**

The workshop should take place between **26/08/2013 and 31/08/2013** on a date agreed by both parties. Once confirmed and travel and hotel arrangements for participants are made, the date should not be subject to any changes.

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<p>For ACP Fish II</p>  <p>Gustavo Miranda Programme Coordinator (Date and Signature)</p>	<p>For IOTC</p>  <p>Daroomalingum Maaree Chairman of the IOTC (Date and Signature)</p>
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**ACP Fish II – Strengthening fisheries management in ACP countries**

**9 ACP RPR 128 Accounting No. RPR/006/07 – EDF IX**

**PE2: Activity 2.1**

**Project No. B7 RAP EA/ B10 RAP SA**

**Project Profile**

**(5/9/2012)**

**1. Project title**

Regional workshop to support the implementation of the Resolutions of the Indian Ocean Tuna Commission (IOTC).

**2. Beneficiary States/Target groups**

Eritrea, Kenya, Mozambique, Sudan, Tanzania, Seychelles, Mauritius and Comoros.

**3. Project purpose**

The purpose of this project is to build the capacity of concerned countries (IOTC Members) to implement the decisions (Resolutions) of the Commission.

**4. Rationale/Background**

The Indian Ocean Tuna Commission was established within the framework of the Food and Agriculture Organization of the United Nations in 1993 and the Agreement establishing the IOTC came into force in 1996. The objective of the IOTC is to promote cooperation among its Members with a view to ensuring, through appropriate management, the conservation and optimum utilisation of stocks covered by the Agreement (tuna and tuna-like species) and encouraging sustainable development of fisheries based on such stocks in the Indian Ocean and adjacent seas.

The main functions of the IOTC are to keep under review the conditions and trends of the stocks and to gather, analyse and disseminate scientific information, catch and effort statistics and other data relevant to the conservation and management of the stocks and to fisheries based on the stocks covered by the Agreement; and to encourage, recommend, and coordinate research and development activities in respect of the stocks and fisheries covered by the Agreement, and such other activities as the Commission may decide appropriate, including activities connected with transfer of technology, training and enhancement, having due regard to the need to ensure the equitable participation of Members of the Commission in the fisheries and the special interests and needs of Members in the region that are developing countries.

The Commission may, by a two-thirds majority of its Members present and voting, adopt conservation and management measures which are binding on Members of the Commission. The Secretary shall notify the Members of the Commission of any conservation and management measures adopted by the Commission. Conservation and management measures adopted by the Commission become binding on Members 120 days from the date specified in the Secretary's notification or on such other date as may be specified by the Commission. Any Member of the Commission may object to a conservation or management measure so long as the objection is made

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within 120 days from the date specified. If an objection is filed, the Member of the Commission making such an objection shall not be bound thereby. A Member of the Commission may also withdraw its objection at any time and become bound by the measure immediately if the measure is already in effect or at such time as it may come into effect under this article.

At the Fourteenth Session of the IOTC, held in March 2010 as well as in previous sessions of the Commission, a general conclusion of the Commission was with regard to the low level of implementation of IOTC Resolutions. In particular it was noted that in some of the Member States and Cooperating non-Contracting Parties (CPCs) the concerned personnel of the fisheries administrations and other stakeholders (e.g., vessels' owners) still have limited awareness of the objectives of the Commission; the IOTC Resolutions and the country obligations. In recent years, 2011 and 2012, the Compliance Committee of the IOTC has moved towards a country based process of evaluating compliance of CPCs, rather than the traditional Resolution based approach. This provides the Commission with a better understanding of how CPCs are performing towards their obligations to give effect to the decisions of the Commission.

## **5. Project objectives**

The main objective of this project is to strengthen the concerned countries understanding of the objectives of the IOTC and increase their ability to implement the Resolutions of the Commission. Specifically, this project seeks to:

- i. increase the awareness of concerned personnel of the administration and other stakeholders (e.g., representative of a Fishermen/Boat owners association) in selected IOTC/ACP Member States on the objectives of the Commission and the decisions (IOTC Resolutions) taken by the Commission towards attaining those objectives, so as to improve the knowledge and understanding of Member States with regards to their obligations;
- ii. improve implementation of, and compliance with, IOTC Resolutions by selected IOTC/ACP Member States in the eastern and southern Africa regions.

## **6. Expected outputs:**

- i. Training outline and materials prepared;
- ii. Regional Workshop completed.

## **7. Organisation and Methodology**

The modality adopted for this intervention will be combined responsibility involving the IOTC Secretariat and the ACP Fish II Co-ordination Unit in Brussels. Responsibilities will be detailed in a Memorandum of Understanding (MoU) to be signed by both parties.

## **8. Main inputs**

- **Needs Assessment and materials development**
  - The IOTC have completed a matrix classifying Members against performance on compliance with individual IOTC Resolutions and this will provide the baseline and knowledge platform for devising training outline and materials. The training materials will include Training objectives for each Module
  - Content of training sessions of each Module
  - Handouts or supporting documents or files to be provided to each participant.
  - Preparation of participants list for the Regional Workshop.
- **Regional Training workshop:**
  - One regional workshop will be organised to train and sensitise stakeholders on the objectives of the IOTC, the responsibilities of Members States and CPCs towards the Commission and particularly in terms of implementing the agreed Resolutions. The workshop will address:

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- the roles and responsibilities of the IOTC Secretariat in facilitating the work of the Commission;
  - roles at the national level of the different government agencies;
  - the roles and responsibilities of the private sector, in the implementation of the decisions of the Commission;
  - Resolutions of the IOTC where national implementation is required and how this may be achieved.

A Final Technical Report of the project will be prepared on completion of the Regional Workshop and will include all documentary outputs.

Participants in the Workshop will be agreed between the IOTC Secretariat and the ACP Fish II.

The IOTC Secretariat will provide human resources in terms of expertise, specialist facilitation and administrative support services during the Workshop organisation. The name of the selected staff of IOTC Secretariat in charge of each activity above-listed should be communicated to the ACP Fish II in order to clearly identify the reference persons.

The ACP Fish II, through the CU in Brussels, will ensure air-tickets are provided to all agreed participants and that per diems to cover accommodation and subsistence costs are paid directly to the participants for the eligible nights spent away from home-base. The CU will organise the logistics and venue of the meeting and that the material is distributed to participants. The ACP Fish II will manage a limited budget for supporting the Workshop in terms of printing/copying packs of the trainees, ensuring visibility of the Workshop through printing of banners, pens and notepads etc, organising interpreting services. All expenditures will be made according to the EDF procedures. Mauritius authorities will provide support to the CU to ensure media coverage of the event is broad and effective.

#### **9. Location, duration and timing**

The indicative number of participants in the Workshop is 26, being 3 key individuals from each of the 8 participating countries and 2 trainers from IOTC. The Workshop will be held in Port Louis, Mauritius. The indicative duration of the workshop will be 4 days.

#### **10. Estimated budget:**

106.000 euro



## Attachment 2 Budget

**DRAFT BUDGET ESTIMATE: SA-2.1-B10 - REGIONAL WORKSHOP SUPPORTING IMPLEMENTATION OF IOTC RESOLUTIONS**

		<b>Units</b>	<b>No of Units</b>	<b>Unit Cost</b>	<b>Total Cost</b>
<b>A</b>	<b>Fees (including overheads)</b>				
A.1	Key Expert 1 IOTC - Lead trainer and facilitator, and materials preparation	Days	12		IOTC Counterpart
A.2	Key Expert - specialist IOTC officer	Days	7		IOTC Counterpart
A.3	Key Expert - specialist IOTC officer	Days	7		IOTC Counterpart
<b>SUBTOTAL A</b>	<b>FEES, FLIGHTS AND PER DIEMS (INCLUDING OVERHEADS)</b>				
<b>B</b>	<b>Per Diems</b>				
B.1	Workshop participants (6 nights, 21 persons – maximum + 2 trainers)	EC perdiem	138	209 €	28,842 €
<b>SUBTOTAL B</b>	<b>PER DIEMs/DSAs</b>				<b>28,842 €</b>
<b>C</b>	<b>Missions/Regional Travel</b>				
C.1	Flights to Mauritius (21 participants - not those based in Mauritius + 2 trainers)	Flights	23	1,750 €	40,250 €
C.2	Mauritius based participants (3) travel to venue (car, bus, train, boat)	Person/Trips	lump sum	1,000 €	1,000 €
<b>SUBTOTAL C</b>	<b>MISSIONS/TRAVEL</b>				<b>41,250 €</b>
<b>D</b>	<b>Other Costs</b>				
D.1	Workshop/training venue costs (conference equipment)	Days	4	1,000 €	4,000 €
D.2	Workshop/training materials and photocopies/CDs	Packs	30	200 €	6,000 €
D.3	Visibility of the project through media (TV, radio, newspapers, Internet)	Lump sum	1	1,500 €	1,500 €
D.4	Translations/interpreting services	Days	4	2,000 €	8,000 €
D.5	Communication tools (banners, brochures, notepads and pens)	Lump sum	1	1,500 €	1,500 €
<b>SUBTOTAL D</b>	<b>OTHER COSTS</b>				<b>21,000 €</b>

<b>E</b>	<b>Contingencies</b>	Lump sum	1	13,408 €	<b>13,408 €</b>
<b>SUBTOTAL E</b>					<b>13,408 €</b>
<b>SUBTOTAL B, C, D,E</b>	<b>INCIDENTAL EXPENDITURE</b>				<b>104,500 €</b>
<b>E</b>	<b>Costs for expenditure verification</b>	<b>Lumpsum (Rounded)</b>	<b>1</b>		<b>1,500 €</b>
	<b>Total</b>				<b>106,000 €</b>