

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
1. Implementation obligations										
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (16.03.2016)	C	C	L	C	Received 02.04.16		
1.2.	Rules Of P.	Compliance Questionnaire	29.02.2016	L	C	L	C	Received 04.03.16		
1.3.	SC	National Scientific Report	09.11.2015	C	C	L	C	Received 20.11.15		
1.4.	Commission	Feedback letter	16.03.2016	C	C	N/C	N/C	No Response to FL received.		
2. Management Standards										
2.1.	Res. 15/04	Documents listed in this resolution on board ²	16.03.2016	N/A	N/A	N/A	N/A	Does not have vessels on the IOTC RECORD OF AUTHORISED VESSELS.		
		Marking of vessels ²		N/A	N/A	N/A	N/A			
		Marking of gears ²		N/A	N/A	N/A	N/A			
		Marking of FADs ²		N/A	N/A	N/A	N/A			
		Logbook on board ²	N/A	N/A	N/A	N/A				
		Official authorisation to fish outside National Jurisdictions	15.02.2016	N/A	N/A	N/A	N/A			
		IMO number for eligible vessels	01.01.2016			N/A	N/A			
2.2.	Res. 15/01	Official fishing logbooks	15.02.2016	N/A	N/A	N/A	N/A			
2.3.	Res. 12/12	Ban on large-scale driftnets ²	16.03.2016	N/A	N/A	N/A	N/A			
2.4.	Res. 15/08	FADs management plan	31.12.2013	N/A	N/A	N/A	N/A			
		Report of progress on implementation of FADs management plan	16.03.2016			N/A	N/A			
2.5.	Res. 15/07	Prohibition artificial lights for FADs	For PS 10.09.2015			N/A	N/A			
3. Reporting on Vessels										
3.1.	Res. 10/08	List of Active vessels	15.02	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.		
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	L	P/C	L	C	Received 16.03.16 & 13.04.16.		
3.3.	Res. 12/11	Reference Capacity								
		List of vessels ³ for Tropical Tuna during 2006	31.12.2009	N/A	N/A	N/A	N/A	No vessels fishing for tropical tunas in 2006..		
		List of vessels ³ for SWO and ALB		C	C	C	C	Received 12.02.14		

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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		during 2007							
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A		
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	C	C	C	C	Received 28.01.16.	
3.7.		List of foreign vessels denied a licence	15.02	L	C	C	C	Received 28.01.16; has licensed 49 FFV in 2015.	
3.8.		Access Agreement information	26.02.2015	C	C	N/A	N/A	Does not have CPC-CPC Agreement.	
3.9.		Official coastal State fishing License	14.01.2014	C	C	C	C	Received 15.04.14	
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	N/A	N/A	C	C	VMS adopted in 2012. Legal Reference: Fisheries (General) Regulations 2012.	
4.2.		VMS report on the progress and implementation	30.06	C	C	N/A	N/A	Does not have vessel on the IOTC RECORD OF AUTHORISED VESSELS.	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.		Nominal Catch							
		• Coastal fisheries	30.06	L	C	C	P/C	Has provided NC but some species aggregated.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No fishing activities since 2011.	
		• LL	Provisional	30.06	N/A	N/A	N/A		N/A
			Final	30.12	N/A	N/A	N/A		N/A
5.2.	Res. 15/02 & Res 15/05	Catch & Effort							
		• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	Mandatory report not submitted.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No fishing activities since 2011.	
		• LL	Provisional	30.06	N/A	N/A	N/A		N/A
			Final	30.12	N/A	N/A	N/A		N/A
5.3.		Size Frequency							
		• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	Mandatory report not submitted.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No fishing activities since 2011.	
		• LL	Provisional	30.06	N/A	N/A	N/A		N/A
			Final	30.12	N/A	N/A	N/A		N/A
5.4.		Fish Aggregating Devices (FAD)							
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.	
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		

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		FADs set by type	30.06	N/A	N/A	N/A	N/A		
6. Mandatory statistical requirement – Coastal State CPCs									
6.1.	Res. 15/01	Catch & Effort							
		• Surface fisheries: PS, BB, GN	30.06	N/C	N/C				
			Provisional	30.06	N/C	N/C			
			Final	30.12	N/C	N/C			
• LL									
7. Implementation of mitigation measures and bycatch of non-IOTC species									
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	L	P/C	C	P/C	Has provided sharks NC but some species aggregated	
		Submission of data regarding Sharks – Catch & effort	30.06	N/C	N/C	N/C	N/C	No information provided.	
		Submission of data regarding Sharks – Size frequency	30.06	N/C	N/C	N/C	N/C		
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	L	C	N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	N/A	N/A	N/A	N/A		
7.4.	Res. 12/04	Sea turtles report ²	16.03.2016	C	C	C	C	Source - IOTC_2015-CoC12-IR13: a National Strategy exists and is implemented by multiple agencies.	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A		
7.7.		Seabirds report ²	16.03.2016	N/A	N/A	N/A	N/A		
7.8.	Res. 12/06	Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		
7.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)			N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
		Instances of Cetaceans encircled ²	For PS 16.03.2016	N/A	N/A	N/A	N/A		
7.10.	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)			N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
		Instances Whale Sharks encircled ²	For PS 16.03.2016	N/A	N/A	N/A	N/A		
8. Illegal, Unreported and Unregulated (IUU) Vessels									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2016)	C	C	C	C	No vessel listed on IOTC IUU list in 2015.	
8.2.	Res. 07/01	Compliance by nationals	16.03.2016	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2015.	

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9. Transshipments									
9.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
9.2.		Transshipments in port report ²	16.03.2016	N/A	N/A	N/A	N/A		
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A		
9.4.		Report on results of investigations on possible infractions	26.02.2016	N/A	N/A	N/A	N/A		
9.5.		ROP fee	17.08.2015	N/A	N/A	N/A	N/A		
10. Observers									
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	16.03.2016	N/A	N/A	N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/A	N/A	N/A	N/A		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A		
10.4.		• 5 % Phasing in Artisanal landings ²	16.03.2016	C	P/C	C	C	Coverage >5 %	
10.5.		Observer reports	150 days after trip	N/A	N/A	N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report (2015)	01.10	N/A	N/A	N/A	N/A	Does not import BET	
11.2.		2 nd Semester report (2014)	01.04	N/A	N/A	N/A	N/A		
11.3.		Annual report ²	16.03.2016	N/A	N/A	N/A	N/A	No vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 15.01.15	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	L	C	N/C	N/C	Mandatory report not provided.	
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated one port.	
12.3.		Designated competent Authority		C	C	C	C	Report received 24.07.13	
12.4.		Prior notification periods		C	C	C	C	Report received 24.07.13	
12.5.		Inspection report	3 days after inspection	L	C	C	C	Source - IOTC-2016-CoC13-CQ13: Call in port: 5 ; Foreign vessel inspected: 5 ; 5 reports provided in 2015.	
12.6.	At least 5% inspection of LAN or TRX	Since 01/03/2011	L	C	N/C	N/C	LAN/TRX monitored: 0.		
12.7.	Denial of entry in port		C	C	C	C	Source – CQ 2015: no foreign vessel was denied entry in port.		
13. Market									

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13.1.	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	16.03.2016	C	C	N/C	N/C	Mandatory report not provided..	

Feedback to Kenya on the level of implementation of IOTC Conservation and Management Measures identified by the CoC12 in 2015.

Feedback: With regards to the level of compliance by Kenya to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Kenya by the Chair of the Commission in a letter dated 1st May, 2015.

• Has not provided a Fleet Development Plan, as requested by Resolution 12/11
• Has not reported Catch & effort for the coastal fisheries, as required by Resolution 10/02.
• Has not reported size frequency for the coastal fisheries, as required by Resolution 10/02.
• Has not reported Catch & effort of foreign purse seiners operating in its EEZ, as required by Resolution 10/02.
• Has not reported Catch & effort of foreign longliners operating in its EEZ, as required by Resolution 10/02.
• Has not reported Nominal catch on sharks to IOTC standard (aggregated by species), as required by Resolution 05/05.
• Has not reported Catch and effort on sharks, as required by Resolution 05/05.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not fully implemented the observer scheme for artisanal landing, as required by Resolution 11/04.

Response: No response to the letter of the Chair of the Commission was provided by Kenya.

Current issues on the level of implementation by Kenya of IOTC Conservation and Management Measures identified for discussion in the CoC13 in 2016.

Having reviewed the 2016 Compliance Report for Kenya, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2015)	Previous Status (2014)
Repeated compliance issues		
• Has not reported Catch & effort and size frequency for the coastal fisheries, as required by Resolution 15/02.	N/C	N/C
• Has not reported Catch & effort and size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
Non-repeated compliance issues		
• Response to the Letter of Feedback not provided.	N/C	C
• Has not provided the mandatory report on port inspection, as required by Resolution 05/03.	N/C	C
• Has not monitored at least 5% of landing or transshipment, as required by Resolution 10/11.	N/C	C
• Has not provided mandatory report on import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10	N/C	C