





REQUEST FOR EXPRESSIONS OF INTEREST

N° COI/SWA2/AO/2017/001

RECRUITMENT OF AN INDIVIDUAL CONSULTANT FOR MONITORING, CONTROLE AND SURVEILLANCE (MCS) IN SUPPORT OF THE COMPLIANCE SECTION OF THE INDIAN OCEAN TUNA COMMISSION (IOTC)

- 1. The Indian Ocean Commission (IOC) has received a grant from the International Development Association (IDA) to finance the implementation of the Second South West African Fisheries Governance and Shared Growth Project (SWIOFish2). Part of the funding is foreseen to cover the cost of an Individual Consultant who will provide Monitoring, Control and Surveillance in support of the Compliance Section at the Indian Ocean Tuna Commission (IOTC) in Seychelles as part of the SWIOFish2 project.
- 2. This notice is being issued to recruit an Individual Consultant to provide "Monitoring, Control and Surveillance in support of the Compliance Section of the Indian Ocean Tuna Commission (IOTC) in Seychelles as part of the Project SWIOFish2 which will take place for 60 months from the start of the mission (contract annually renewable).
- 3. The Indian Ocean Commission invites Individual Consultants with expertise in Monitoring, Control and Surveillance of fisheries activities, in particular relating to the MCS of tuna fisheries, to express their interests by sending to the Indian Ocean Commission (IOC) with a summary of their skills justifying their qualification in relation to the mission.
- 4. A version of the Terms of Reference is available at the Indian Ocean Commission. Interested Consultants may make such a request by sending their request to the Commission's e-mail address mcsexpert@iotc.org, innocent.miada@coi-ioc.org and pascal.rakoto@coi-ioc.org.
- 5. Applications for expressions of interest must be submitted in a sealed envelope to the address of the Commission mentioned below or sent by e-mail to mcsexpert@iotc.org, innocent.miada@coi-ioc.org and pascal.rakoto@coi-ioc.org no later than Monday, March 13, 2017 with the mention "Expression of Interest to ensure the Monitoring, Control and Surveillance of the SWIOFish2 Project".

Indian Ocean Commission, IOC Secretariat general Blue Tower, 3rd floor, Institute Road, Ebène, Mauritius Tel: (230) 402 6100, Fax: (230) 465 6798







South West Indian Ocean Fisheries Governance and Shared Growth Program (SWIOFish Regional)

Second South West Indian Ocean Fisheries Governance and Shared Growth Project (SWIOFish2)

Terms of Reference for Monitoring, Control and Surveillance (MCS) expert in support of the IOTC Compliance Section (Individual)

Assignment title	Monitoring, Control and Surveillance (MCS) expert in support of the IOTC Compliance Section under the SWIOFish 2 Regional Project.
Contract duration	60 months (Yearly contract renewable).
	1st contract to end on 31 December 2017.
Assignment location	IOTC Secretariat, Victoria, Seychelles.
Financed by	IDA

January, 2017

1. <u>Background</u> 1.1.SWIOFISH 2

World Bank has been supporting various fisheries projects in the Africa Region. At the regional level, the most relevant of these is the South West Indian Ocean Fisheries Project (SWIOFP), which closed on March 31, 2013. The SWIOFP, which brought together all countries in the South West Indian Ocean (SWIO), including Kenya, Mozambique, South Africa, Seychelles, Comoros, Madagascar, Mauritius, France (non-beneficiary participant), Somalia (observer) and Tanzania, was successful in building regional capacity for fisheries management, including through establishing a network of fisheries researchers and managers, and developing a regional management framework. Ultimately the Member countries of the South West Indian Ocean Fisheries Commission (SWIOFC) agreed to reform the Commission, promoting it from an advisory body to a Regional Fisheries Management Organization (RFMO) of the Coastal States – enabling it to take binding decisions on fisheries management, and to negotiate in bloc with Distant Water Fishing Nations, among others.

Given the important achievements of SWIOFP, SWIOFC Member Countries have requested a follow-on program namely – the South West Indian Ocean Fisheries Governance and Shared Growth Program (SWIOFish Program).

The proposed program will be Series of Projects (SOP), over a 15-year period, using IDA and blended GEF resources, together with parallel support from other donors and trust funds. It will comprise a regional umbrella and a combined approach targeting both regional and national fisheries priorities. It will support regional integration of fisheries management, while expanding the approach beyond research and sound fisheries management to strengthen sector governance and harness the value of coastal and marine fisheries to national economies. Given the importance of sound fisheries management to livelihoods and economic growth, the SWIO coastal countries have decided to all participate in the SWIOFish Program through a regional platform hosted by the SWIOFC and supported by the Indian Ocean Commission (IOC).

The first Project under the Program, entitled SWIOFish1, is now under preparation, and will implement, within 6 years, regional activities as well as country-level investments in Mozambique, Comoros and Tanzania. Other SWIO countries are expected to join progressively with country-level investments.

2.2.IOTC

The IOTC is an intergovernmental organization established under Article XIV of the FAO Constitution. The IOTC agreement was concluded in 1993 and entered in force in March 1996. The IOTC is mandated to manage tuna and tuna-like species in the Indian Ocean and adjacent seas with primary objective the conservation and optimum utilization of the stocks for long term sustainability.

Since 1998, the members of the IOTC have dedicated most of their effort to the scientific component of fisheries management, to manage the tuna and tuna-like resources. Since the mid 2000's, more emphasis has been placed on the compliance component of this tuna RFMO. A Compliance Section has been created to assist the Compliance Committee in the task of assessing and reviewing all aspects related to the compliance with IOTC conservation and management

measures and to provide support to Contracting and Cooperating non-Contracting Parties (CPCs) in the implementation of Monitoring, Control and Surveillance (MCS) tools adopted by the IOTC Members (e.g. IUU vessel lists, authorized & active vessels lists, documents on board, marking of fishing vessels and gears, Vessel Monitoring System, fishing logbooks, regional observers scheme, transhipments programme, catch certification/trade documentation schemes). In 2010, aware of the powerful and cost effective compliance tool of Port States measures to combat IUU fishing activities in the Indian Ocean, the IOTC adopted a resolution on Port State measures to prevent, deter and eliminate illegal, unreported and unregulated (IUU) fishing (IOTC Resolution 10/11 on PSMR). The resolution, which entered into force on 1 March 2011, is inspired by the 2009 FAO Agreement on Port State Measures but placed in the context of the IOTC mandate. The fisheries administrations of the Coastal CPCs of the IOTC, where foreign fishing vessels offload tuna and tuna like species, are responsible for the implementation of the resolution.

The effective implementation of the IOTC Conservation and Managements Measures (CMMs; including the Port State measures resolution) by developing CPCs requires a variety of aspects to be considered, developed and/or strengthened, to improve the capacity of the concerned CPCs for the implementation of these CMMs.

The objective of the proposed capacity building activities is twofold: 1) to strengthen the implementation of active IOTC CMMs, thus increasing the level of compliance and enhancing contribution the developing States - coastal CPCs of the IOTC to the work of the IOTC; 2) to provide technical support to the developing States - coastal CPCs of the IOTC responsible for the implementation of the Port State Measures and to facilitate and strengthen the implementation of the PSM Resolution, thus ensure the long-term conservation and sustainable use of the tuna resources.

2. SWIOFish Program and Projects Objectives and Components

The Program Development Objective of the proposed SWIOFish Program is "to increase the economic, social, and environmental benefits of SWIO countries from marine fisheries. The program will target growth and poverty reduction and strengthening of institutional and private sector capacities of the fisheries sector.

The SOP Development Objective-level Results Indicators of the Project would be as follows:

- a) Reduce the degradation of the status of fish stocks;
- b) Increase in the fisheries-related GDP in participating countries; and
- c) Increase in local fisheries-related value-addition benefitting to the households.

A phased set of complementary country and regional investments would achieve the program development objective by: (i) strengthening the countries' governance capacity to manage fisheries at the national and regional level, including reducing illicit fishing activities and strengthening comanagement of small-scale fisheries; (ii) investing to increase the profitability and sustainability of fisheries and value chains, and boost the value-added captured by the SWIO countries; (iii) supporting policies that improve the sharing of benefits derived from sustainable use of marine living resources in particular through pro-poor and community fisheries; and (iv) building robust and cost-effective regional cooperation on fisheries.

The proposed SOP would be a multi-phase operation to be implemented over a 15-year period. All SWIOFC member countries are eligible for the SOP, contingent on available funding. The first phase of the SOP (Phase 1) would extend, consolidate and build on the accomplishments of SWIOFP¹ and other Bank and GEF-funded activities in the region. It would consolidate and strengthen regional cooperation on fisheries and living marine resource management through improved management of fisheries, strengthen regional fisheries institutions, and promote knowledge exchange and capacity development. It would also establish the core human and institutional competencies and frameworks for sustainable fisheries management and prepare for major public and public/private capital investments required. It would also replicate and expand community fisheries co-management and business programs. As such, Phase 1 would have a major focus on human and institutional capacity development, design and implementation of fisheries management plans, and support to community livelihoods with a view to reducing the pressure on the resource.

A potential future phase (Phase 2), while building on the structure and achievements of Phase 1, would be a deepening and expansion phase which would further strengthen the policy reforms and empower stakeholders and users to have a greater role and incentives to secure the long-term health of the resources. It would support major investments prepared and shown to be viable in Phase 1, including in infrastructure, in co-financing of aquaculture development, fleet adjustment and fisheries reform. It would deepen regional collaboration with a focus on ensuring sustainability of that collaboration and regional management efforts. It would further harness benefits along domestic and international value chains. Phase 2 investments could start in any country when Phase 1 is completed, a sound basis for sustainable fisheries management is in place at the national and local levels, and infrastructure investments in the sector are prepared on the technical, environmental, financial and economic aspects.

The Project Development and Global Environmental Objectives of the first six-year phase of the Program (the SWIOFish1 Project) would be to improve the management effectiveness of selected priority fisheries at regional, national and community level, by strengthening the institutional capacity of regional organizations, governments and selected coastal communities to effectively manage selected priority fisheries. SWIOFish1 would establish the core human and institutional competencies and frameworks that are necessary for fisheries management and prepare for any major public and public/private capital investments required. Moreover, at the regional level the Project would consolidate and strengthen regional cooperation on fisheries and marine resource management, through improved management of transboundary fisheries, strengthen regional fisheries institutions, and promote knowledge exchange and capacity development.

The project will be implemented through four components:

- − Component 1 − *Enhanced regional collaboration*;
- Component 2 *Improved governance of priority fisheries*;
- Component 3 *Increased economic benefits from priority Fisheries*;
- Component 4 *Program management and coordination*.

3. Context of the assignment

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¹ More specifically, the proposed project will use the SWIOFC, strengthened under the SWIOFP, and will pursue the successful approach of using the SWIOFC as a steering committee. It will also continue to support the implementation of Ecosystem Approach to Fisheries management plans, developed under SWIOFP.

The primary objective of this assignment is to carry out IOTC activities as set in the Financing Agreement for the Second South West Indian Ocean Fisheries Governance and Shared Growth Project managed by IOC (SWIOFish2 Regional) for which a specific component is dedicated and implemented by the IOTC.

4. Scope of work

The Monitoring, Control and Surveillance (MCS) expert is recruited to the project on a full time basis and for a duration of 60 months (from September/October 2016 to 2023), with a possibility of contract renewal thereafter on the basis of the need, respect of procurement rules, performance evaluation and after World Bank approval and no objection.

Without limitation he should be available to coordinate the programme activities implemented by the IOTC Secretariat in the focus countries in accordance with the implementation programme set forth in the grant agreement, including the supervision monitoring and reporting obligation as set.

The MCS expert shall be based at the Indian Ocean Tuna Commission (IOTC) Secretariat in Victoria, Seychelles, and shall report to the Secretary General of the IOC and the IOTC Executive Secretary and exercise its obligation as per the Project Agreement between the World Bank and the IOC related to IOTC activities. The technical supervisor of the MCS expert will be the IOTC Compliance Coordinator of Indian Ocean Tuna Commission.

Administratively and contractually, the MCS expert shall be under the responsibility of IOC and technically under the responsibility and supervision of the IOTC Secretariat.

5. Duties of the MCS expert

The MCS expert will undertake the following tasks:

- Assist the IOTC Compliance Section to implement the IOTC activities of the project as indicated in the grant agreement and the project agreement, and in accordance with the activities in support of IOTC, after World Bank no objection; The IOTC activities under the project are:
 - o I Implementation of IOTC Resolutions management of the tuna fishery:
 - Support to intersessional ad hoc Working Groups/Working Parties of IOTC,
 - Support to Studies at the Commission's request,
 - Internship at the IOTC Secretariat Compliance,
 - Compliance Support Mission; IOTC CMM training package; CMMs compendium,
 - Guide for IOTC data and information reporting; Regional CMMs workshop,
 - Legal assistance to CPC to transpose IOTC Resolutions into national legislation;
 - o II Strengthen the management of the Indian Ocean tuna fishery through improving flag State performance
 - o III Implementation of port State measures to fight against IUU fishing

- Administrative and operational support to the implementation of port State measures
- Legal assistance for the ratification of the FAO PSMA and establishment of PSM provision in the national legislative framework;
- o III Strengthen MCS capacity
 - Elaboration of a manual on regional best practices for evidence gathering in the context of the IOTC and delivery of training to MCS officers
 - Technical assistance to CPCs for implementation of newly adopted resolutions
- Undertake activities related to the procurement of goods, works and consultants services required for the implementation of the IOTC activities of the project in the focus countries, and ensure compliance with the procurement plan and the World Bank procedures and guidelines;
- Assist the IOTC Compliance section to organise meetings, seminars, training and workshops or any event with programme stakeholders and partners related to the IOTC activities of the project;
- Monitor and report on the progress of activities in support of IOTC expenditures in liaison with the administrative officer of the project based at IOC;
- Undertake other relevant activities as deemed essential for the IOTC activities of the project and for other capacity building activities implemented by the IOTC compliance section.

6. Reporting Obligations

- 1. The MCS expert shall on a quarterly basis compile and submit, not later than the 10th day of the month after the end of the quarter, the following reports;
 - (i) a written quarterly activity report against agreed IOTC work plan of the project, deadlines:
 - (ii) a quarterly expenditure statement related to implementation of IOTC activities of the project.
 - 2. Any other report requested by the IOTC or IOC as may be considered necessary after consultation with the World Bank.

7. Duration

Activity	Timing / Deadline
Start of mission	1 st /2 nd quarter of 2017
End of contract	December 2017 (Yearly Renewable over 5 years)

8. Supervision Responsibility

The consultant will work under the supervision of the IOTC Secretariat (Compliance Section) in collaboration with the IOC but may also receive technical support from the World Bank.

9. Consultant Qualifications

Profile and specific qualifications of the Consultant:

- Master's degree or equivalent in a discipline related to fisheries policy and planning, living natural resources management, social science, project management or related field;
- At least 5 years of demonstrable experience working with project management and implementation in the field of fisheries and/or natural resource management;
- At least 5 years of demonstrable experience in the fields of fisheries Monitoring, Control and Surveillance, and experience in fisheries policy and management will be added advantages;
- Demonstrated experience working with/for RFMOs will be added advantages;
- Strong report writing and analytical skills;
- Extra credit for experience in south western Indian Ocean region; and
- Full proficiency in English and French languages is compulsory, knowledge of Portuguese will be considered as additional advantage.