

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	C	C	Received: 17.03.17	
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	L	C	Received: 02.03.17	
1.3.	SC	National Scientific Report.	16.11.2016	C	C	C	C	Received: 02.11.16	
1.4.	Commission	Feedback letter.	17.03.2017	C	C	C	C	Received: 05.12.16	
2. Management Standards									
2.1.	Res. 15/04	Documents listed in this resolution on board ²	17.03.2017	C	C	C	C	Legal Reference: Australian Fisheries Management Act 1991/Fisheries Management Regulations.	
		Marking of vessels ²		C	C	C	C		
		Marking of gears ²		C	C	C	C		
		Marking of FADs ²		C	C	C	C		
		Logbook on board ²		C	C	C	C		
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	C	C	C	C	Received 12.02.14	
		IMO number for eligible vessels.	Since 01.01.2016	L	C	L	P/C	Has 68 vessels on the RAV and 14 with IMO numbers. Has not reported IMO Numbers for 54 vessels.	As per email exchanges between Australia and the Secretariat from 24 March 2017-18 April 2017, Australia has a process in place for obtaining IMO numbers for current eligible vessels. In accordance with this, Australia currently has 11 vessels that are eligible for IMO numbers and for which IMO

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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									numbers are in the process of being obtained; these will be reported to the IOTC as soon as possible. Australia has a process in place for requiring all new eligible boats to obtain IMO numbers, which will then be reported to the IOTC.
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 31.01.14	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	17.03.2017	C	C	C	C	Banned since 1991, Legal Reference: Australian Fisheries Management Act 1991.	
2.4.	Res. 15/08	FADs management plan.	31.12.2013	C	C	C	C	Received 01.05.14.	
		Report of progress on implementation of FADs management plan.	17.03.2017	N/A	N/A	N/A	N/A	Source: IOTC-2017-CoC14-IR01 – No FAD fishery authorised in 2016 in IOTC fisheries.	
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			N/A	N/A		
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			N/A	N/A	Source: IOTC-2017-CoC14-IR01 – No aircraft of unmanned aerial vehicles deployed in 2016 in IOTC fisheries.	
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			C	C	Received 17.03.17. Legal Reference: Western Tuna and Billfish Fishery Total Allowable Commercial Catch Determination (No. 2) 2014	

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2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Received 17.03.17; Source IOTC-2017- CoC14-IR01.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	P/C	C	C	Received 15.02.17	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed that it will not submit a FDP.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 11.05.07.	
	List of vessels ³ for SWO and ALB during 2007			C	C	C	C	Received 30.06.08.	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	P/C	C	C	Last update 14.02.17;	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	P/C	C	C	Last update 14.02.17;	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Does not license foreign fishing vessel. Source - CQ 2017 and IOTC-2017-CoC14- IR01.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted since 2007.	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 16.03.16 Had 9 active vessels in 2015, has reported 14 vessels fitted with VMS in 2015.	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted since 2007 and coverage 100%.	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal fisheries	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Received 30-06-2016.	
		• LL - Provisional	30.06	C	C	C	C		
		• LL - Final	30.12	C	C	C	C		
5.2.		Catch & Effort							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal fisheries	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Received 30-06-2016.	
		• LL - Provisional	30.06	C	C	C	C		

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5.3.		• LL - Final	30.12	C	C	C	C		
		Size Frequency							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal fisheries	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Received 30-06-2016.	
		• LL - Provisional	30.06	C	P/C	C	P/C	Received 30-06-2016. Not reported to IOTC standard (<i>less than 1 fish per metric ton of catch by species</i>)	Australia submitted size data for its longline fishery on 30 June 2016 and is investigating whether and how any shortfall in meeting the required standard may have occurred.
		• LL - Final	30.12	C	P/C	C	P/C		
5.4.		Fish Aggregating Devices (FAD)							
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No purse seiner and supply vessel active in IOTC fisheries in 2015.	
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		
		FADs set by type	30.06	N/A	N/A	N/A	N/A		
6. Implementation of mitigation measures and bycatch of non-IOTC species									
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	C	Received (30-06-2016). Shark catch reported as Discard	
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	C	Received 30-06-2016.	
		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	L	P/C	Received 27-04-2017.	Sharks are not a target species in Australia's tuna fisheries and Australia has a very low catch of sharks in these fisheries (many species cannot be landed and all live sharks must be released). As such, Australia is

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
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									currently unable to meet the relevant sampling coverage.
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C		
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Banned since 2014; Legal Reference: Australia's <i>Fisheries Management Act 1991</i> .	
6.4.		Sea turtles report ²	17.03.2017	C	C	C	C	Received: 03-11-2016 sources: IOTC-2016-SC19-NR01 and IOTC-2017-CoC14-IR01.	
6.5.	Res. 12/04	Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Has indicated obligation is in place since 2010; Legal Reference: Australia's <i>Fisheries Management Act 1991</i> .	
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No PS operating in IOTC fisheries.	
6.7.		Seabirds report ²	17.03.2017	C	C	C	C	Received 18-07-2016	
6.8.	Res. 12/06	Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Reference: Western Tuna and Billfish Fishery 2016 Management Arrangements	
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	Received: 03-11-2016 sources: IOTC-2016-SC19-NR01.	
		Instances of Cetaceans encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A	No PS operating in IOTC fisheries.	
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Received: 03-11-2016 sources: IOTC-2016-SC19-NR01.	
		Instances Whale Sharks encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A	No PS operating in IOTC fisheries.	
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016.	

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7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2016.	
8. Transhipments									
8.1.	Res. 14/06	At sea transhipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
8.2.		Transhipments in port report ²	17.03.2017	N/A	N/A	N/A	N/A	No transhipments by the flag state's vessels occurred in foreign ports	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
8.4.		Report on results of investigations on possible infractions	15.02.2017	N/A	N/A	N/A	N/A		
8.5.		ROP fee	17.08.2016	N/A	N/A	N/A	N/A		
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	17.03.2017	C	C	C	C	Had only 2 LL vessels active in IOTC fisheries in 2015; coverage for LL 7.1%.	
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	C	C	C	Coverage for LL 7.1%.	
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel < 24 m active in 2014.	
9.4.		• 5% Phasing in Artisanal landings ²	17.03.2017	C	C	N/A	N/A	No artisanal fishery	
9.5.		Observer reports	150 days after trip	C	C	C	C	Electronic monitoring data provided	
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report	01.10	C	C	C	C	Report received: 24.08.16	
10.2		2 nd Semester report	01.04	C	C	C	C	Report received: 12.02.16	
10.3		Annual report ²	17.03.2017	C	C	N/A	N/A	Does not export BET	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update 02.12.16	
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	No landing by FFV in its ports in 2015.	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated 378 ports	

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11.3		Designated competent Authority		C	C	C	C	Report received 30.07.12	
11.4		Prior notification periods		C	C	C	C	Report received 30.07.12	
11.5		Inspection report	3 days after inspection	N/A	N/A	N/A	N/A	No landing by FFV in its ports in 2015.	
11.6		At least 5% inspection of LAN or TRX		N/A	N/A	N/A	N/A		
11.7		Denial of entry in port	Since 01.03.2011	N/A	N/A	N/A	N/A	Source – IOTC-2017- CoC14-CQ01: no FFV was denied entry in port.	
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	17.03.2017	C	C	C	C	Report received 15.02.17	

Feedback to Australia on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.

Feedback: With regards to the level of compliance by Australia to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Australia by the Chair of the Commission in a letter dated 27th May, 2016.

<ul style="list-style-type: none"> Some mandatory information not being reported to IOTC standard, for the Record of Authorised Vessels and Active Vessels List : provides GRT instead of GT.
<ul style="list-style-type: none"> Has not reported size frequency to IOTC Standard for the longline fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 15/02.
<ul style="list-style-type: none"> Has not reported Size frequency on sharks, as required by Resolution 05/05.

Response: The response to the letter of the Chair of the Commission was provided by Australia on 12 December 2016.

Current issues on the level of implementation by Australia of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.

Having reviewed the 2017 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2017)	Previous Status (2016)
Repeated compliance issues		
<ul style="list-style-type: none"> Has not reported size frequency to IOTC Standard for the longline fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 15/02. 	P/C	P/C
<ul style="list-style-type: none"> Has not reported Size frequency on sharks, as required by Resolution 05/05. 	P/C	P/C
Non-repeated compliance issues		
<ul style="list-style-type: none"> Has not reported some IMO Numbers or non-eligibility for some vessels, as required by Resolution 15/04. 	P/C	C