

N°	Source	Information required	Deadline/ Remark	Previous	Status <sup>1</sup>	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	C	C	Received 17.03.17	
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	C	C	Received 01.03.17	
1.3.	SC	National Scientific Report.	16.11.2016	C	C	L	C	Received 28.11.16	
1.4.	Commission	Feedback letter.	17.03.2017	C	C	C	C	Received 13.03.17	
<b>2. Management Standards</b>									
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	17.03.2017	C	C	C	C	Legal Reference: Ministerial Ordinance No.78, Dec 22 2016	
		Marking of vessels <sup>2</sup>		C	C	C	C	Legal Reference: Ministerial Ordinance No.78, Dec 22 2016	
		Marking of gears <sup>2</sup>		C	C	C	C	Has indicated gears are marked: Source IOTC- 2017-CoC14-CQ11. Legal Reference: Notice of Director- General of Resources Management Department of Fisheries Agency of Japan No.850, August 1, 2016	
		Marking of FADs <sup>2</sup>		C	C	C	C	Legal Reference: Notice of Director- General of Resources Management Department of Fisheries Agency of Japan No.850, Aug 1, 2016	
		Logbook on board <sup>2</sup>		C	C	C	C	Legal Reference: Ministerial Ordinance No.78, Dec 22, 2016	

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	C	C	C	C	Received 20.02.17 (updated).	
		IMO number for eligible vessels.	Since 01.01.2016	C	C	C	C	Has 226 vessels in the RAV and 226 with IMO numbers.	
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 01.08.2016	
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	17.03.2017	C	C	C	C	Banned 2009, Legal Reference: Act No.24 April 11, 2016 and Ministerial Ordinance No.39, May 19, 2016.	
2.4.	Res. 15/08	FADs management plan.	31.12.2013	C	C	C	C	Received 10.04.17 (updated).	
		Report of progress on implementation of FADs management plan.	17.03.2017	C	C	C	C	Received 15.03.17	
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			N/A	N/A	Extension of use requested on 21.06.16	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			N/A	N/A		
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			N/A	N/A	Catch reductions does not apply to Japan.	
2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Report/information received 17.03.17, Methods are: timely collection, processing and reporting of data.	
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 14.02.17	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed it will not submit a FDP.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels <sup>3</sup> for Tropical Tuna during 2006.		C	C	C	C	Received 26.03.09	
		List of vessels <sup>3</sup> for SWO and ALB during 2007	31.12.2009	N/A	N/A	N/A	N/A	No vessels fishing for SWO and ALB in 2007.	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last Update 03.03.17	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel < 24m in the IOTC RECORD OF	

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				Timeliness	Content	Timeliness	Content		
								AUTHORISED VESSELS	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Not an IOTC coastal State and does not have CPC-CPC Agreement.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2007. Legal Reference: Notification No.2741 of the Ministry of Agriculture, Forestry and Fisheries of December 17, 2015.	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 27.06.16 Had 56 active vessels in 2015, has reported 235 vessels fitted with VMS in 2015.	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted in 2007 and coverage 100%.	
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30-06- 2016	
		• LL - Provisional	30.06	C	C	C	C	Data received 28-12- 2016	
5.2.	Res. 15/02 & Res 15/05	Catch & Effort							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30-06- 2016	
		• LL - Provisional	30.06	C	C	C	C	Data received 28-12- 2016	
5.3.	Res. 15/02 & Res 15/05	Size Frequency							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	

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				Timeliness	Content	Timeliness	Content		
5.4.	• Surface fisheries: PS, BB, GN • LL - Provisional • LL - Final		30.06	C	C	C	C	Data received 30-06-2016	
			30.06	C	P/C	C	P/C	Less than 1 fish per metric ton measured	
			30.12	C	C	C	P/C		
		Fish Aggregating Devices (FAD)							
		Supply vessels	30.06	C	C	C	C	Data received 30-06-2016	
		Days at sea by supply vessels	30.06	C	C	C	C		
		FADs set by type	30.06	C	C	C	C		
<b>6. Implementation of mitigation measures and bycatch of non-IOTC species</b>									
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	C	Data received 30-06-2016	
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	C		
		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	C	P/C	Less than 1 fish per metric ton measured	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2010. Legal Reference:	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Ministerial Ordinance No.78, Dec 22, 2016.	
6.4.		Sea turtles report <sup>2</sup>	17.03.2017	C	C	C	C	Data reported 01-03-2017. 11 interactions (Source: observer reports Japan)	
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Obligation is in place since 2009; Legal Reference: Notice of Director-General of Resources Management Department of Fisheries Agency of Japan No.850, August 1, 2016.	
6.6.	Res. 12/04	Carry dip nets (Purse seiners)	Since 06.08.2009	C	C	C	C		
6.7.	Res. 12/06	Seabirds report <sup>2</sup>	17.03.2017	C	C	C	C	Data reported 01-03-2017. 15 interactions (Source: observer reports Japan)	

N°	Source	Information required	Deadline/ Remark	Previous	Status <sup>1</sup>	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Obligation is in place since 2010; Legal Reference: Notification No.2575 of the Ministry of Agriculture, forestry and Fisheries of December 28, 2016.	
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	L	C	L	C	Data reported 01-03-2017. 2 interactions (Source: observer reports Japan)	
		Instances of Cetaceans encircled <sup>2</sup>	For PS 17.03.2017	C	C	C	C	Data received 17.03.17. 0 instances reported for 2016.	
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Data reported 01-03-2017. Nil interaction (Source: observer reports Japan)	
		Instances Whale Sharks encircled <sup>2</sup>	For PS 17.03.2017	C	C	C	C	Data received 17.03.17. 0 instance reported for 2016.	
<b>7. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016.	
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessel listed on IOTC IUU list in 2016.	
<b>8. Transhipments</b>									
8.1.		At sea transhipments – CPC report	Before 15.09	C	C	C	C	Received 29.08.16	
8.2.	Res. 14/06	Transhipments in port report <sup>2</sup>	17.03.2017	C	C	C	P/C	Received 24.02.17. Reported two vessels in South Africa (CT). Mauritius reports one vessel in Port Louis.	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Last Update 29.01.16	
8.4.		Report on results of investigations on possible infractions	15.02.2017	C	C	C	C	Possible infractions: 63 Responses received: 63	
8.5.		ROP fee	17.08.2016	C	C	C	C	Received: 16.08.16	
<b>9. Observers</b>									

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				Timeliness	Content	Timeliness	Content		
9.1.		Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	17.03.2017	C	C	C	C	Had 56 vessels active in 2015; No. of vessels monitored: 10; Coverage by gear LL 4.6%.	
9.2.	Res. 11/04	• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	C	C	C	P/C	Coverage by gear LL 4.6%.	
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel of less than 24m, operating in IOTC Area. Previously assessed as C.	
9.4.		• 5% Phasing in Artisanal landings <sup>2</sup>	17.03.2017	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
9.5.		Observer reports	150 days after trip	L	C	L	C	One Observer report provided.	
<b>10. Statistical document programme</b>									
10.1	Res. 01/06	1 <sup>st</sup> Semester report	01.10	C	C	C	C	Report received 27.09.16	
10.2		2 <sup>nd</sup> Semester report	01.04	C	C	C	C	Report received 29.03.16	
10.3		Annual report <sup>2</sup>	17.03.2017	C	C	C	C	Report received 16.03.16 and 17.03.17	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last Update 21.03.17	
<b>11. Port inspection</b>									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	N/A	N/A	N/A	N/A		
11.3		Designated competent Authority		N/A	N/A	N/A	N/A		
11.4		Prior notification periods		N/A	N/A	N/A	N/A		
11.5		Inspection report		3 days after inspection	N/A	N/A	N/A		N/A
11.6		At least 5% inspection of LAN or TRX	Since	N/A	N/A	N/A	N/A		
11.7		Denial of entry in port	01.03.2011	N/A	N/A	N/A	N/A		
<b>12. Market</b>									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	17.03.2017	L	C	C	C	Report received 16.03.17	

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**Feedback to Japan on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.**

**Feedback:** With regards to the level of compliance by Japan to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Japan by the Chair of the Commission in a letter dated 27<sup>th</sup> May, 2016.

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| <ul style="list-style-type: none"><li>• Has not reported Size frequency on sharks to IOTC Standard, as required by Resolution 05/05.</li></ul> |
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**Response:** The response to the letter of the Chair of the Commission was provided by Japan on 13 March 2017.

**Current issues on the level of implementation by Japan of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.**

Having reviewed the 2017 Compliance Report for Japan, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current status (2017)</b>	<b>Previous Status (2016)</b>
<b>Repeated compliance issues</b>		
• Has not reported Size frequency on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
<b>Non-repeated compliance issues</b>		
• Has not reported Size frequency for the longline fishery to IOTC Standard (less than one fish measured per metric ton), as required by Resolution 15/02.	P/C	C
• Has not provided a complete transshipment in port report, as required by Resolution 14/06.	P/C	C
• Has not attained the required level of observer coverage for vessels >24m, as required by Resolution 11/04.	P/C	C