

N°	Source	Information required	Deadline/ Remark	Previous	Status <sup>1</sup>	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	C	C	Received 17.03.17	
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	L	C	C	C	Received 15.03.17	
1.3.	SC	National Scientific Report.	16.11.2016	L	C	C	C	Received 16.11.16	
1.4.	Commission	Feedback letter.	17.03.2017	L	C	L	C	Received 24.04.17	
<b>2. Management Standards</b>									
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	17.03.2017	C	C	C	C	Legal Reference: Article 13 of Distant Water Fisheries Act.	
		Marking of vessels <sup>2</sup>		C	C	C	C		
		Marking of gears <sup>2</sup>		C	C	C	C		
		Marking of FADs <sup>2</sup>		C	C	C	C		
		Logbook on board <sup>2</sup>		C	C	C	C		
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	C	C	C	C	Received 13.02.14	
		IMO number for eligible vessels.	Since 01.01.2016	C	C	C	C	Has 119 eligible vessels in the RAV and 119 with IMO numbers.	
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 16.03.16 (Update).	
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	17.03.2017	C	C	C	C	Banned since 2003. Legal Reference: Article 13 of DWFA	
2.4.	Res. 15/08	FADs management plan.	31.12.2013	C	C	C	C	Received 21.03.17 (Revised)	
		Report of progress on implementation of FADs management plan.	17.03.2017	C	C	L	C	Received 24.04.17	
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			C	C	Legal Reference: Para 1, Article 13 of Distant Water Fisheries Act	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			C	C		
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			C	C	Report/information received 17.03.17. Source: IOTC-2017-CoC14-IR13; Methods are catch limits for each fishing operator for 2017.	

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup>Information is to be provided in the Report of Implementation.

<sup>3</sup>24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Information received 17.03.17. Source: IOTC-2017-CoC14-IR13. Actions are: improved data collection, verification and reporting.	
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 14.02.17	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010(10 years)	N/A	N/A	N/A	N/A	Has confirmed it will not submit a FDP.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels <sup>3</sup> for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 30.12.09	
	List of vessels <sup>3</sup> for SWO and ALB during 2007	N/A		N/A	N/A	N/A	No vessels fishing for SWO and ALB in 2007.		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last update 21.03.17.	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	Not an IOTC coastal State and does not have CPC-CPC Agreement.	
3.6.	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A			
3.7.	List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A			
3.8.	Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A			
3.9.	Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A			
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2008. Legal Reference: Article 13 of DWFA	
4.2.		VMS report on implementation and technical failures	30.06	L	C	L	C	Received 24.04.17.	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted in 2008, coverage > 50 %	
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal state	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.16	
		• LL - Provisional	30.06	C	C	C	C	Data received 30.12.16	
5.2.	Res. 15/02 & Res 15/05	Catch & Effort							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal state	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.16	
		• LL - Provisional	30.06	C	C	C	C	Data received 30.12.16	
5.3.	Res. 15/02 & Res 15/05	Size Frequency							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal state	

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5.4.		• Surface fisheries: PS, BB, GN	30.06	C	P/C	C	P/C	Data received 30.06.16. Less than 1 fish measured per metric ton for some species			
		• LL - Provisional	30.06	C	P/C	C	P/C				
		• LL - Final	30.12	C	P/C	C	P/C				
		Fish Aggregating Devices (FAD)									
		Supply vessels	30.06	N/A	N/A	C	C	Data received 30.06.16			
		Days at sea by supply vessels	30.06	N/A	N/A	C	C				
FADs set by type	30.06	C	C	C	C						
<b>6. Implementation of mitigation measures and bycatch of non-IOTC species</b>											
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	C	Data received 30.06.16			
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	C				
		Submission of data regarding Sharks – Size frequency	30.06	C	C	C	C				
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2012. Legal Reference: Article 13 of DWFA			
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Banned since 2013. Legal Reference: Article 13 of DWFA			
6.4.	Res. 12/04	Sea turtles report <sup>2</sup>	17.03.2017	C	C	C	C	Data received 30.06.16. Discard form. 2 interactions. Implementation of FAO guideline in IOTC-2016-SC19-IR14 and IOTC-2017-CoC14-IR13			
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Obligation is in place since 2009; Legal Reference: Article 13 of DWFA			
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	C	C	C	C				
6.7.	Res. 12/06	Seabirds report <sup>2</sup>	17.03.2017	C	C	C	C	Data received 17.11.16.Source: IOTC-2016-SC19-NR14. 2 interactions.			
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Obligation is in place since 2014; Legal Reference: Article 13 of DWFA			
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	Data received 17.11.16.Source: IOTC-2016-SC19-NR14. 2 interactions.			
		Instances of Cetaceans encircled <sup>2</sup>	For PS 17.03.2017	C	C	C	C	Data received 17.03.17. Total of 2 instances reported in 2016.			
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Data received 17.11.16.Source: IOTC-2016-SC19-NR14. Nil interaction.			
		Instances Whale Sharks encircled <sup>2</sup>	For PS 17.03.2017	C	C	C	C	Data received 17.03.17. Total of 0 instance reported for 2016.			

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<b>7. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016.	
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2016.	
<b>8. Transshipments</b>									
8.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	L	C	C	C	Report received 13.09.16;	
8.2.		Transshipments in port report <sup>2</sup>	17.03.2017	L	C	L	C	Received 24.04.17 Mauritius reported four TRX in PL, not reported by KOR.	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Last Update 06.02.17	
8.4.		Report on results of investigations on possible infractions	15.02.2017	C	C	L	C	Possible infractions: 11 Responses received: 11 All responses received after the deadline.	
8.5.		ROP fee	17.08.2016	C	C	C	C	Received: 11.08.16	
<b>9. Observers</b>									
9.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	17.03.2017	C	C	C	C	Has indicated coverage (in 2015): 4.3 % for LL (4 vessels), 2.5% for PS (1 vessel), source IOTC-2017-CoC14-IR13	
9.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	C	C	C	P/C		
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel of less than 24m, operating in IOTC Area and not an IOTC coastal State.	
9.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	17.03.2017	N/A	N/A	N/A	N/A		
9.5.		Observer reports	150 days after trip	L	C	N/C	N/C	No observer report provided.	
<b>10. Statistical document programme</b>									
10.1	Res. 01/06	1 <sup>st</sup> Semester report	01.10	C	C	C	C	Received 28.09.16	
10.2		2 <sup>nd</sup> Semester report	01.04	C	C	C	C	Received 30.03.16	
10.3		Annual report <sup>2</sup>	17.03.2017	L	C	C	P/C	Report received 07.03.17; Not at IOTC standard.	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last Update 06.02.17	
<b>11. Port inspection</b>									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	N/A	N/A	N/A	N/A		
11.3		Designated competent Authority		N/A	N/A	N/A	N/A		
11.4		Prior notification periods		N/A	N/A	N/A	N/A		
11.5		Inspection report	3 days after inspection	N/A	N/A	N/A	N/A		
11.6		At least 5% inspection of LAN or TRX	Since	N/A	N/A	N/A	N/A		

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11.7		Denial of entry in port	01.03.2011	N/A	N/A	N/A	N/A		
<b>12. Market</b>									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	17.03.2017	C	C	L	C	Received 24.04.17.	

**Feedback to Korea on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.**

**Feedback:** With regards to the level of compliance by Korea to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Korea by the Chair of the Commission in a letter dated 27<sup>th</sup> May, 2016.

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| <ul style="list-style-type: none"> <li>• Has not reported size frequency for surface fishery to IOTC Standard (Less than one fish per metric ton of catch per species), as required by Resolution 15/02.</li> </ul>  |
| <ul style="list-style-type: none"> <li>• Has not reported size frequency for longline fishery to IOTC Standard (Less than one fish per metric ton of catch per species), as required by Resolution 15/02.</li> </ul> |

**Response:** The response to the letter of the Chair of the Commission was provided by Korea on 24 April 2017.

**Current issues on the level of implementation by Korea of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.**

Having reviewed the 2017 Compliance Report for Korea, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current status (2017)</b>	<b>Previous Status (2016)</b>
<b>Repeated compliance issues</b>		
• Has not reported size frequency for surface fishery to IOTC Standard (Less than one fish per metric ton of catch per species), as required by Resolution 15/02.	P/C	P/C
• Has not reported size frequency (Provisional) for longline fishery to IOTC Standard (Less than one fish per metric ton of catch per species), as required by Resolution 15/02.	P/C	P/C
• Has not reported size frequency (Final) for longline fishery to IOTC Standard (Less than one fish per metric ton of catch per species), as required by Resolution 15/02.	P/C	P/C
<b>Non-repeated compliance issues</b>		
• Has not fully implemented the requirement of 5% mandatory at sea for vessels > 24 m, as required by resolution 11/04	P/C	C
• Has not provided observer reports, as required by Resolution 11/04	N/C	C
• Has not provided the BET annual report to IOTC Standard, as required by Resolution 01/06	P/C	C