

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
1. Implementation obligations										
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	L	C	C	C	Received 17.03.17		
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	L	C	Received 17.03.17		
1.3.	SC	National Scientific Report.	16.11.2016	C	C	L	C	Received 17.11.16		
1.4.	Commission	Feedback letter.	17.03.2017	N/C	N/C	N/C	N/C	No response to the feedback letter received.		
2. Management Standards										
2.1.	Res. 15/04	Documents listed in this resolution on board ²	17.03.2017	C	C	C	C	Has indicated all documents on board, source IOTC-2017-CoC14-CQ25 No legal reference provided		
		Marking of vessels ²		C	C	C	C	Has indicated vessels are marked, source IOTC-2017-CoC14-CQ25 No legal reference provided		
		Marking of gears ²		L	C	L	C	Has indicated gears are marked, source IOTC-2017-CoC14-CQ25 Legal reference: Regulation of Marine Living Resources Act 1998.		
		Marking of FADs ²		N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.		
		Logbook on board ²		C	C	C	C	Source: IOTC-2013-CoC10-IR33		
		Official authorisation to fish outside National Jurisdictions.		Since 15.02.2014	N/C	N/C	L	C	Received: 16.03.17	
		IMO number for eligible vessels.		Since 01.01.2016	L	P/C	C	P/C	Has 27 vessels on the Record of Authorised Vessels and 16 with IMO numbers. (2 vessels are not eligible for IMO Numbers) No information provided for 9 vessels without IMO number.	
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 08.08.14 for PL and LL gears.		
2.3.	Res. 12/12	Ban on large-scale driftnets ²	17.03.2017	C	C	C	C	As part of permit conditions.		
2.4.	Res. 15/08	FADs management plan.	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.		
		Report of progress on implementation of FADs management plan.	17.03.2017	N/A	N/A	N/A	N/A			

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			L	P/C	Has indicated ZAF prohibits the use of artificial lights to attract fish, source IOTC-2017-CoC14-IR25. No legal reference provided.	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			N/C	N/C	Has indicated ZAF doesn't prohibit the use of aircrafts as fishing aids, source IOTC-2017-CoC14-IR25.	
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			N/A	N/A	Catch reductions does not apply to ZAF	
2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Information received 17.03.17, source IOTC-2017-CoC14-IR25 Actions are: logbook, observer, VMS	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	L	C	C	C	Received 14.02.17	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	C	C	C	C	Has indicated ZAF yet to provide information on the implementation of the initial FDP and to consult with stakeholders to provide an updated FDP, source IOTC-2016-SC19-NR26.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 08.08.14	
		List of vessels ³ for SWO and ALB during 2007		C	C	C	C		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last Update 28.12.16	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C	Last update 29.11.16	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	L	C	C	C	Received 14.02.17: has licensed 3 LL FFV in 2016	
3.7.		List of foreign vessels denied a licence	15.02	L	C	C	P/C	Received 14.02.17: has indicated FFV were denied license in 2016, no information on vessels and reason of denial.	
3.8.		Access Agreement information	26.02.2015	C	C	C	C	Has terminated all tuna fishing access agreements in 2002 (IOTC-2013-CoC10- IR33).	
3.9.		Official coastal State fishing License	14.01.2014	C	C	C	C	Received 20.03.17 and 10.04.17	
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	Adopted since 1998.	
4.2.		VMS report on implementation and technical failures	30.06	L	C	C	C	Received 08.03.16	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted in 1998, coverage > 50%	

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5. Mandatory statistical requirement – Flag State CPCs										
5.1.	Res. 15/02 & Res 15/05	Nominal Catch								
		• Coastal fisheries	30.06	C	C	L	C	Data received 22-08-2016		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN on the record of authorised vessels in 2015		
		• LL - Provisional	30.06	C	C	L	C	Data received 22-08-2016		
• LL - Final		30.12	C	C	L	C				
5.2.		Catch & Effort								
		• Coastal fisheries	30.06	C	C	L	C	Data received 22-08-2016		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN on the record of authorised vessels in 2015		
		• LL - Provisional	30.06	C	C	L	C	Data received 22-08-2016		
• LL - Final		30.12	C	C	L	C				
5.3.		Size Frequency								
		• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	No data provided		
	• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN on the record of authorised vessels in 2015			
	• LL - Provisional	30.06	N/C	N/C	N/C	N/C	No data provided for the local longline fleet			
• LL - Final	30.12	N/C	N/C	N/C	N/C					
5.4.	Fish Aggregating Devices (FAD)									
	Supply vessels	30.06	N/A	N/A	N/A	N/A	No PS and supply vessel on the record of authorised vessels in 2015.			
	Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A				
	FADs set by type	30.06	N/A	N/A	N/A	N/A				
6. Implementation of mitigation measures and bycatch of non-IOTC species										
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	P/C	L	P/C	Data received 22-08-2016 Has provided NC/CE but aggregated for some species		
		Submission of data regarding Sharks – Catch & effort	30.06	C	P/C	L	P/C			
		Submission of data regarding Sharks – Size frequency	30.06	N/C	N/C	N/C	N/C	No data provided for the local fleet		
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	As part of permit conditions article 6.f).		
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	L	P/C	C	C			
6.4.	Res. 12/04	Sea turtles report ²	17.03.2017	P/C	P/C	P/C	P/C	13 interactions reported in 2015 (IOTC-2016-SC19-NR26); 26 interactions from discard form. No information received on the status of implementation of the FAO guidelines.		
6.5.		Carry line cutters and de-hookers on board	Since	C	C	C	C	As part of permit conditions (IOTC-2013-CoC10-		

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		(Longliners)	06.08.2009					IR33).	
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2016.	
6.7.	Res. 12/06	Seabirds report ²	17.03.2017	C	C	C	C	91 interaction reported in 2015 (IOTC-2016-SC19-NR26), 35 from the discard form.	
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C		
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	1 interaction in 2015 (IOTC-2016-SC19-NR26).	
		Instances of Cetaceans encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2016.	
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	No interaction reported in 2015 (IOTC-2016-SC19-NR26).	
		Instances Whale Sharks encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2016.	
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016.	
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2016.	
8. Transshipments									
8.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	At sea transshipments not permitted.	
8.2.		Transshipments in port report ²	17.03.2017	L	C	C	C	NIL report received 17.03.17	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	No carrier vessel & No participating in ROP.	
8.4.		Report on results of investigations on possible infractions	15.02.2017	N/A	N/A	N/A	N/A	No participating in ROP.	
8.5.		ROP fee	17.08.2016	N/A	N/A	N/A	N/A		
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	17.03.2017	C	C	C	C	Source IOTC-2016-SC19-07[E] Rev_1 ROS update: had 15 LL active in 2015; coverage of 18 %	
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	P/C	C	C		
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel < 24 m active in 2015	
9.4.		• 5 % Phasing in Artisanal landings ²	17.03.2017	N/C	N/C	N/C	N/C	No port sampling in place.	
9.5.		Observer reports	150 days after trip	C	C	C	C	16 observer reports provided.	
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report	01.10	N/A	N/A	N/A	N/A	Does not import Bigeye tuna.	
10.2		2 nd Semester report	01.04	N/A	N/A	N/A	N/A		
10.3		Annual report ²	17.03.2017	N/C	N/C	C	C	Received 07.04.16. Has declared 7,908 kg of BET	

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								exported in 2015. EU imported 944 kg of BET from ZAF in 2015.	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 31.03.2015.	
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	L	C	C	C	Received 07.04.16 & 11.04.17.	
11.2		List of designated ports	Since 31.12.10	C	C	C	C	Has designated 3 ports (Cape Town, Durban, Port Elizabeth).	
11.3		Designated competent Authority		C	C	C	C		
11.4		Prior notification periods		C	C	C	C		
11.5		Inspection report		3 days after inspection	L	C	L		C
11.6	Res. 16/11	At least 5% inspection of LAN or TRX	Since 01.03.2011	L	C	L	P/C	Source - IOTC-2017-CoC14-CQ25: Call in port: 526 ; Foreign vessel inspected: 35 ; LAN/TRX monitored: 35. 2016 inspection reports submitted: 10/email (with monitoring forms) & 33 (without monitoring forms) /e-PSM application. Report on 14/06 from EU indicates 62 counts of offloading in Durban, 1 inspection report submitted in e-PSM.	
11.7		Denial of entry in port		C	C	C	C	Source - IOTC-2017-CoC14-CQ25: no vessel denied entry into port.	
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	17.03.2017	L	C	L	P/C	Has provided information on LAN/TRX on 16.03.17 & 11.04.17. NIL report for import provided on 09.04.17. Report on R.14/06 from EU indicates 62 counts of offloading in Durban, 1 inspection report submitted in e-PSM.	

Feedback to South Africa on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.

Feedback: With regards to the level of compliance by South Africa to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to South Africa by the Chair of the Commission in a letter dated 27th May, 2016.

• Has not provided a response to the letter of feedback, as required by the S17.
• Has not provided all the mandatory information on the Official authorisation to fish outside National Jurisdictions, as required by Resolution 15/04.
• Has not reported Size frequency for the coastal fisheries, as required by Resolution 15/02.
• Has not reported Size frequency for the longline fisheries, as required by Resolution 15/02.
• Has not reported Nominal catch on sharks to IOTC Standard as required by Resolution 05/05.
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not implemented the ban on on oceanic whitetip sharks as required by Resolution 13/06.
• Has not provided the mandatory annual report on BET to IOTC standard, as required by Resolution 01/06.
• Has not provided the information on the IMO number for eligible vessel, as required by Resolution 15/04
• Has not fully implemented the requirement on 5% mandatory at sea (>24m), as required by Resolution 11/04
• Has not implemented the requirement on 5% phasing in artisanal landings, as required by Resolution 11/04
• Has not provided information on the implementation of the FAO guideline to reduce mortality of sea turtles, as required by Resolution 12/04

Response: No response to the letter of the Chair of the Commission was provided by South Africa

Current issues on the level of implementation by South Africa of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.

Having reviewed the 2017 Compliance Report for South Africa , the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2017)	Previous Status (2016)
Repeated compliance issues		
• Has not provided a response to the letter of feedback, as required by the S17.	N/C	N/C
• Has not reported Size frequency for the coastal fisheries, as required by Resolution 15/02.	N/C	N/C
• Has not reported Size frequency for the longline fisheries (provisional), as required by Resolution 15/02.	N/C	N/C
• Has not reported Size frequency for the longline fisheries (final), as required by Resolution 15/02.	N/C	N/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not implemented the requirement on 5% phasing in artisanal landings, as required by Resolution 11/04	N/C	N/C
• Has not reported Nominal catch on sharks to IOTC Standard as required by Resolution 05/05.	P/C	P/C
• Has not provided the information on the IMO number for eligible vessel, as required by Resolution 15/04	P/C	P/C
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not provided information on the implementation of the FAO guideline to reduce mortality of sea turtles, as required by Resolution 12/04	P/C	P/C
Non-repeated compliance issues		
• Has not fully implemented the requirement on foreign vessels denied a license, as required by Resolution 14/05	P/C	C
• Has not fully implemented the requirement on at least 5% inspection of LAN or TRX, as required by Resolution 16/11.	P/C	C
• Has not reported fully import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.	P/C	C
• Has not fully implemented the prohibition of surface or submerged artificial lights to attract fish, as required by Resolution 16/07	P/C	
• Has not implemented the prohibition of aircrafts and unmanned aerial vehicles, as required by Resolution 16/08	N/C	