

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	C	C	Received 17.03.17.	
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	C	C	Received 01.03.17.	
1.3.	SC	National Scientific Report.	16.11.2016	C	C	C	C	Received 01.11.16.	
1.4.	Commission	Feedback letter.	17.03.2017	C	P/C	C	P/C	Received 28.10.16: has not responded for the year of assessment 2015.	
2. Management Standards									
2.1.	Res. 15/04	Documents listed in this resolution on board ²	17.03.2017	C	C	C	C	Has reported documents found to be on board vessels inspected, source IOTC-2017-CoC14-CQ29; legal reference: Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 7.	
		Marking of vessels ²		C	C	C	C	Has reported vessels are marked, source IOTC-2017-CoC14-CQ29; legal reference: Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 7.	
		Marking of gears ²		C	C	C	C	Has reported gears are marked, source IOTC-2017-CoC14-CQ29; Legal reference: Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 7.	
		Marking of FADs ²		N/A	N/A	C	C	Has 1 PS on the record of authorized vessels; Has reported FAD are marked; source IOTC-2017-CoC14-CQ29. Has reported owner of vessel submitted plan to used non-entangling net FADs; Source Annex I comments on Compliance Report.	See Annex I
		Logbook on board ²		C	C	C	C	Has reported logbook on board, source IOTC-2017-CoC14-IR29. Legal reference: Notifications B.E. 2560 (2017).	
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	L	C	L	C	Received 03.02.14. No update provided.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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		IMO number for eligible vessels.	Since 01.01.2016	L	C	L	C	Has 13 vessels on the Record of Authorised Vessels, IMO numbers provided on 15.01.16. Has reported one vessel not eligible.	CENTURY 9 is a wooden vessel which is not eligible for IMO.
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 11.02.14. No update provided.	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	17.03.2017	C	C	C	P/C	Source IOTC-2017-CoC14-CQ29: has reported is not banned. Source IOTC-2017-CoC14-IR29: has indicated THA has no driftnet fishing in the high seas.	
2.4.	Res. 15/08	FADs management plan.	31.12.2013	N/A	N/A	L	P/C	No DFAD management plan submitted: has 1 PS on the record of authorized vessels; Has reported FAD are marked; source IOTC-2017-CoC14-CQ29. Has indicated owner of vessel submitted plan to used non-entangling net FADs and THA will submit a plan, Source Annex I comments on Compliance Report.	
		Report of progress on implementation of FADs management plan.	17.03.2017	N/A	N/A	L	P/C		
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			L	P/C	Has communicated this rule to fishing operators and fishers; source IOTC-2017-CoC14-IR29; No legal reference provided	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			L	P/C	Has communicated this rule to fishing operators and fishers; source IOTC-2017-CoC14-IR29; No legal reference provided.	
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			N/A	N/A	Catch reduction does not apply to THA	
2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Information received 17.03.17; source IOTC-2017-CoC14-IR29	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	L	C	Received 16.02.17	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	C	C	C	C	Submission: 21.04.17 (2 nd update).	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C		

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		List of vessels ³ for SWO and ALB during 2007		N/A	N/A	N/A	N/A	No vessel targeting SWO/ ALB.	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last Update 29.12.16	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel < 24 operating outside EEZ.	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Source - IOTC-2017-CoC14-IR29: According to the Act Governing the Right to Fish in Thai Waters of 1939, foreign fishing vessels are not allowed to fish in Thai waters.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	L	C	L	C	Thailand started and implemented the VMS system on all fishing vessels (> 30 gross ton) in 2015; source IOTC-2016-SC19-NR30 Legal reference: Department of Fisheries Notifications B.E. 2560 (2017); source IOTC-2017-CoC14-IR29.	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	P/C	Received 30.06.16: has reported on the year 2016 instead of 2015.	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	Has reported 100 % coverage; source IOTC-2017-CoC14-CQ29	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	C	C	C	C	Data received 30-06-2016.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN on the record of authorised vessels in 2015.	
		• LL - Provisional	30.06	C	C	C	C	Data received 30-06-2016.	
		• LL - Final	30.12	C	C	C	C		
Catch & Effort									
5.2.		• Coastal fisheries	30.06	C	C	C	C	Data received 30-06-2016.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN on the record of authorised vessels in 2015.	
		• LL - Provisional	30.06	C	C	C	C	Data received 30-06-2016.	
		• LL - Final	30.12	C	C	C	C		

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5.3.		Size Frequency								
		• Coastal fisheries	30.06	L	P/C	C	P/C	Data received 30-06-2016. Has provided SF but not for all gear and less than 1 fish measured per metric ton by species	See Annex I	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN on the record of authorised vessels in 2015.		
		• LL - Provisional	30.06	N/C	N/C	N/C	N/C	No data provided.		
		• LL - Final	30.12	N/C	N/C	N/C	N/C			
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No PS and supply vessel in the record of authorised vessels in 2015.		
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A			
		FADs set by type	30.06	N/A	N/A	N/A	N/A			
6. Implementation of mitigation measures and bycatch of non-IOTC species										
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	P/C	C	P/C	Data received 30-06-2016. Has provided catch for industrial longline only and aggregated for some shark species.		
		Submission of data regarding Sharks – Catch & effort	30.06	C	P/C	C	P/C			
		Submission of data regarding Sharks – Size frequency	30.06	N/C	N/C	N/C	N/C	No data provided.		
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	L	C	L	P/C	Source - IOTC-2013-CoC10-IR28: industry has been informed about the Resolution. Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5, no provision to the ban on thresher sharks found.	See annex II and Annex III	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	L	C	L	P/C	Source IOTC-2014-CoC11-IR28: has reported fishing company was instructed. Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5, no provision to the ban on oceanic whitetip sharks found.		
6.4.	Res. 12/04	Sea turtles report ²	17.03.2017	L	P/C	P/C	P/C	Received 30.06.16: no interactions reported through logbook in 2015. Source - IOTC-2016-SC19-NR30: Royal Ordinance on Fisheries B.E. 2558 (2015) prohibits exploitation of marine turtles.		

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
								No information received on the status of implementation of the FAO guidelines.	
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	L	C	N/A	N/A	No LL operating in the Indian Ocean in 2016.	
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	L	P/C	Has 1 PS on the record of authorised vessels. Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5, no provision to dip nets found.	See Annex I
6.7.	Res. 12/06	Seabirds report ²	17.03.2017	N/C	N/C	C	C	Received 30.06.16: no interactions reported through logbook in 2015.	
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	N/A	N/A	No LL operating in the Indian Ocean in 2016.	
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	Received 30.06.16: no interactions reported through logbook in 2015.	
		Instances of Cetaceans encircled ²	For PS 17.03.2017	N/A	N/A	C	C	No encirclement reported by flag vessels in 2016, source IOTC-2017-CoC14-IR29	
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Received 30.06.16: no interactions reported through logbook in 2015.	
		Instances Whale Sharks encircled ²	For PS 17.03.2017	N/A	N/A	C	C	No encirclement reported by flag vessels in 2016, source IOTC-2017-CoC14-IR29	
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016	
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2016	
8. Transshipments									
8.1.		At sea transshipments – CPC report	Before 15.09	C	C	L	C	Received 07.10.16	
8.2.	Res. 14/06	Transshipments in port report ²	17.03.2017	C	P/C	C	C	Has 1 PS on the record of authorised vessels in 2016. Has indicated first transshipment in March 2017.	The PS vessel authorized since October 2016 & 1 st transshipment was in March. Which will be compiled to the report dealing date is 30.06.17.
8.3.		List of Authorised carrier vessels	Since	C	C	C	C	Last Update 05.03.15	

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			01.07.2008						
8.4.		Report on results of investigations on possible infractions	15.02.2017	C	C	N/A	N/A	Not participating in IOTC ROP in 2016.	
8.5.		ROP fee	17.08.2016	L	C	N/A	N/A	Not participating in IOTC ROP in 2016.	
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	17.03.2017	N/C	N/C	N/C	N/C	Had 6 LL vessels active in 2015. Has reported no vessel monitored in 2015, source IOTC-2017-CoC14-IR29	
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/C	N/C	N/C	N/C		
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	N/A	N/A	No vessel < 24m on the record of authorised vessels or active in 2015	
9.4.		• 5 % Phasing in Artisanal landings ²	17.03.2017	N/C	N/C	C	C	Between 10-20% coverage on landing vessels	
9.5.		Observer reports	150 days after trip	N/C	N/C	N/C	N/C	No observer report provided.	
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report (2016)	01.10	C	C	C	C	Nil report received 16.02.2017	
10.2		2 nd Semester report (2015)	01.04	N/C	N/C	L	C	Received 21.04.17.	
10.3		Annual report ² (2015)	17.03.2017	C	P/C	N/C	N/C	Mandatory report for 2015 not provided: JPN imported 261 Mt & KOR 65 Mt of BET from THA.	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last Update 05.03.15	
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	C	C	L	C	Received 11.07.16	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	L	C	C	C	Received 02.04.16 (revised). Has designated 27 ports.	
11.3		Designated competent Authority		L	C	C	C		
11.4		Prior notification periods		L	C	C	C		
11.5		Inspection report	3 days after inspection	N/C	N/C	L	C	Source IOTC-2017-CoC14-CQ29: calls in port: 121 ; Foreign vessels inspected: 107 ; LAN/TRX monitored: 73 ; Denial of entry: 0, 6 inspection reports provided & 2 through e-PSM App in 2016. No LAN/TRX form attached to inspections reports submitted..	
11.6	At least 5% inspection of LAN or TRX	Since 01.03.2011	N/C	N/C	L	P/C			
11.7	Denial of entry in port		C	C	C	C			
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like	17.03.2017	C	C	C	C	Received 17.03.17	

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		fish products in ports ²							

Feedback to Thailand on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.

Feedback: With regards to the level of compliance by Thailand to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Thailand by the Chair of the Commission in a letter dated 27th May, 2016.

• Has not provided full response to the letter of feedback, as required by the S17.
• Has not reported Size frequency for the Coastal fisheries to IOTC Standard, as required by Resolution 15/02.
• Has not reported Size frequency for the longline fisheries, as required by Resolution 15/02.
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not fully implemented the ban on thresher sharks as required by Resolution 12/09.
• Has not fully implemented the ban on oceanic whitetip sharks as required by Resolution 13/06.
• Has not fully implemented the mandatory requirement on Sea turtles report, as required by Resolution 12/04.
• Has not fully implemented the mandatory requirement for longliners to carry line cutters and de-hookers on board, as required by Resolution 12/04.
• Has not fully implemented the requirement on seabirds report, as required by Resolution 12/06.
• Has not fully implemented the mitigation measures on seabirds south of 25°S, as required by Resolution 12/06.
• Has provided the report of transshipment of flagged vessels in foreign ports which is contradictory with report of one port State CPC for transshipment activities in their ports, as required by Resolution 14/06.
• Has not implemented the observer scheme, no deployment, as required by Resolution 11/04.
• Has not implemented the observer scheme, no observer coverage at sea for vessel > 24m, as required by Resolution 11/04.
• Has not implemented the observer scheme, no observer coverage at sea for vessel < 24m, as required by Resolution 11/04.
• Has not implemented the observer scheme for artisanal landing, as required by Resolution 11/04.
• Has not provided observer report, as required by Resolution 11/04.
• Has not provided the annual report to IOTC Standard, as required by Resolution 01/06.
• Has not submitted inspection reports as required by Resolution 10/11.
• Has not inspected at least 5% inspection of LAN or TRX Inspection report, required by Resolution 10/11.
• Has not provided the 2nd semester report (2014), as required by Resolution 01/06.

Response: The response to the letter of the Chair of the Commission was provided by Thailand on 28 October 2016.

Current issues on the level of implementation by Thailand of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.

Having reviewed the 2017 Compliance Report for Thailand, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2017)	Previous Status (2016)
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Repeated compliance issues		
• Has not reported Size frequency for the longline fisheries (provisional), as required by Resolution 15/02.	N/C	N/C
• Has not reported Size frequency for the longline fisheries (final), as required by Resolution 15/02.	N/C	N/C
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.	N/C	N/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not implemented the observer scheme, no deployment, as required by Resolution 11/04.	N/C	N/C
• Has not implemented the observer scheme, no observer coverage at sea for vessel > 24m, as required by Resolution 11/04.	N/C	N/C
• Has not provided observer report, as required by Resolution 11/04.	N/C	N/C
• Has not provided the annual report, as required by Resolution 01/06.	N/C	P/C
• Has not fully implemented the mandatory requirement on Sea turtles report, as required by Resolution 12/04.	P/C	P/C
• Has partially responded to the letter of feedback, as required by the S17.	P/C	P/C
• Has not reported Size frequency for the Coastal fisheries to IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not inspected at least 5% inspection of LAN or TRX Inspection report, required by Resolution 10/11.	P/C	N/C
Non-repeated compliance issues		
• Has not fully implemented the Ban on large-scale driftnet, as required by Resolution 12/12	P/C	C
• Has not provided the VMS report on implementation and technical failures, as required by Resolution 15/03.	P/C	C
• Has not fully implemented the ban on thresher sharks as required by Resolution 12/09.	P/C	C
• Has not fully implemented the ban on oceanic whitetip sharks as required by Resolution 13/06.	P/C	C
• Has not fully implemented the mandatory requirement for purse seine to carry dip nets on board, as required by Resolution 12/04.	P/C	N/A
• Has no provided the DFAD management plan, as required by Resolution 15/08	P/C	N/A
• Has no provided the report on the progress of implementation of the DFAD management plan, as required by Resolution 15/08	P/C	N/A
• Has not fully implemented the Prohibition of surface or submerged artificial lights to attract fish, as required by Resolution 16/07.	P/C	
• Has not fully implemented the Prohibition of aircrafts and unmanned aerial vehicles, as required by Resolution 16/08.	P/C	