

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
1. Implementation obligations										
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	C	C	Received 13.02.17		
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	C	C	Received 13.02.17		
1.3.	SC	National Scientific Report.	16.11.2016	C	C	C	C	Received 16.11.16		
1.4.	Commission	Feedback letter.	17.03.2017	N/A	N/A	N/A	N/A	No feedback letter was issued.		
2. Management Standards										
2.1.	Res. 15/04	Documents listed in this resolution on board ²	17.03.2017	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.		
		Marking of vessels ²		N/A	N/A	N/A	N/A			
		Marking of gears ²		N/A	N/A	N/A	N/A			
		Marking of FADs ²		N/A	N/A	N/A	N/A			
		Logbook on board ²		N/A	N/A	N/A	N/A			
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	N/A	N/A	N/A	N/A			
		IMO number for eligible vessels.	Since 01.01.2016	N/A	N/A	N/A	N/A			
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	N/A	N/A	N/A	N/A			
2.3.	Res. 12/12	Ban on large-scale driftnets ²	17.03.2017	N/A	N/A	N/A	N/A			
2.4.	Res. 15/08	FADs management plan.	31.12.2013	N/A	N/A	N/A	N/A			
		Report of progress on implementation of FADs management plan.	17.03.2017	N/A	N/A	N/A	N/A			
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			N/A	N/A			
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			N/A	N/A			
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			N/A	N/A			
2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			N/A	N/A			
3. Reporting on Vessels										
3.1.	Res. 10/08	List of Active vessels	15.02	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.		

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed it will not submit a FDP.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
		List of vessels ³ for SWO and ALB during 2007		N/A	N/A	N/A	N/A		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	N/A	N/A	N/A	N/A		
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A		
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Does not license foreign fishing vessel	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
4.2.		VMS report on implementation and technical failures	30.06	N/A	N/A	N/A	N/A		
4.3.		VMS implementation plan	30.04.2016		N/A	N/A			
5. Mandatory statistical requirement – Flag State CPCs									
5.1.		Nominal Catch							
		• Coastal fisheries	30.06	C	C	C	C	Data received 12-05-2016	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
		• LL - Provisional	30.06	N/A	N/A	N/A	N/A		
		• LL - Final	30.12	N/A	N/A	N/A	N/A		
5.2.	Res. 15/02 & Res 15/05	Catch & Effort							
		• Coastal fisheries	30.06	C	C	C	C	Data received 12-05-2016	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
		• LL - Provisional	30.06	N/A	N/A	N/A	N/A		
		• LL - Final	30.12	N/A	N/A	N/A	N/A		
5.3.		Size Frequency							
		• Coastal fisheries	30.06	C	C	C	C	Data received 12-05-2016	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
		• LL - Provisional	30.06	N/A	N/A	N/A	N/A		
		• LL - Final	30.12	N/A	N/A	N/A	N/A		
5.4.		Fish Aggregating Devices (FAD)							

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		Supply vessels	30.06	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		
		FADs set by type	30.06	N/A	N/A	N/A	N/A		
6. Implementation of mitigation measures and bycatch of non-IOTC species									
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	N/A	N/A	N/A	N/A	Ban on catches of sharks from all fisheries (since 1 ST November 2010).	
		Submission of data regarding Sharks – Catch & effort	30.06	N/A	N/A	N/A	N/A		
		Submission of data regarding Sharks – Size frequency	30.06	N/A	N/A	N/A	N/A		
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	N/A	N/A	N/A	N/A		
6.4.	Res. 12/04	Sea turtles report ²	17.03.2017	N/A	N/A	N/A	N/A		
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	N/A	N/A	N/A	N/A		
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A		
6.7.	Res. 12/06	Seabirds report ²	17.03.2017	N/A	N/A	N/A	N/A		
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	N/A	N/A	N/A	N/A		
		Instances of Cetaceans encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A		
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	N/A	N/A	N/A	N/A		
		Instances Whale Sharks encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A		
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016	
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2016	
8. Transshipments									
8.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
8.2.		Transshipments in port report ²	17.03.2017	N/A	N/A	N/A	N/A		
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A		

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				Timeliness	Content	Timeliness	Content		
8.4.		Report on results of investigations on possible infractions	15.02.2017	N/A	N/A	N/A	N/A		
8.5.		ROP fee	17.08.2016	N/A	N/A	N/A	N/A		
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	17.03.2017	N/A	N/A	N/A	N/A	Does not have vessels on the Record of Authorised Vessels.	
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/A	N/A	N/A	N/A		
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A		
9.4.		• 5 % Phasing in Artisanal landings ²	17.03.2017	N/A	N/A	N/A	N/A		
9.5.		Observer reports	150 days after trip	N/A	N/A	N/A	N/A		
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report	01.10	N/A	N/A	N/A	N/A	Does not import or export of Bigeye tuna	
10.2		2 nd Semester report	01.04	N/A	N/A	N/A	N/A		
10.3		Annual report ²	17.03.2017	N/A	N/A	N/A	N/A		
10.4		Information on authorised institutions and personnel	Since 01.07.2002	N/A	N/A	N/A	N/A		
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Does not have a commercial port.	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	N/A	N/A	N/A	N/A		
11.3		Designated competent Authority		N/A	N/A	N/A	N/A		
11.4		Prior notification periods		N/A	N/A	N/A	N/A		
11.5		Inspection report	3 days after inspection	N/A	N/A	N/A	N/A		
11.6		At least 5% inspection of LAN or TRX	Since	N/A	N/A	N/A	N/A		
11.7		Denial of entry in port	01.03.2011	N/A	N/A	N/A	N/A		
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	17.03.2017	N/A	N/A	N/A	N/A	Does not import tuna.	

Current issues on the level of implementation by UK (OT) of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.

Having reviewed the 2017 Compliance Report for UK(OT), the Chair of the Compliance Committee has not identified significant non-compliance issues for discussion.