

---

**UPDATE ON PROGRESS REGARDING RESOLUTION 16/03 – ON THE SECOND PERFORMANCE  
REVIEW FOLLOW-UP**

PREPARED BY: IOTC SECRETARIAT; 8 MAY 2017

---

**PURPOSE**

To provide the Standing Committee on Administration and Finance (SCAF) with an opportunity to update the current status of implementation for each of the recommendations arising from the Report of the 2<sup>nd</sup> IOTC Performance Review Panel, relevant to the SCAF.

**BACKGROUND**

In response to calls from the international community for a review of the performance of Regional Fisheries Management Organisations (RFMOs), the Indian Ocean Tuna Commission (IOTC) agreed in 2007 to implement a process of Performance Review. The IOTC formed a Review Panel, consisting of an independent legal expert, an independent scientific expert, six IOTC Members and a non-governmental organisation observer, which concluded its report to the Commission in January 2009. The Panel's review was based on the criteria developed as a result of a joint meeting of tuna RFMOs, Kobe, Japan, 2007 and concentrated on the following issues:

- Adequacy of the Agreement for the Establishment of the Indian Ocean Tuna Commission (IOTC Agreement) relative to current principles of fisheries management,
- Consistency between scientific advice and conservation and management measures adopted,
- Effectiveness of control measures established by the IOTC; and
- Efficiency and transparency of financial and administrative management.

**DISCUSSION**

At the 20<sup>th</sup> Session of the Commission held in May 2016, Members adopted the recommendations arising from the report of the 2<sup>nd</sup> performance review panel. The Commission agreed that each of the Committees should carry out a comprehensive evaluation of the status and priority of each of the recommendations from the Performance Review, and for a revised document to be provided to the Commission at its next Session. The Recommendations relevant to the SCAF are provided at [Appendix A](#) for consideration.

**RECOMMENDATION/S**

That the Standing Committee on Administration and Finance:

- 1) **NOTE** paper IOTC–2017–SCAF14–06 which details the status of each of the recommendations from the performance review, as agreed to by the Commission at its 20<sup>th</sup> Session, in [Appendix A](#).
- 2) **REVIEW** and further **UPDATE** the status table, including the work plan with proposed timelines and priorities for each recommendation relevant to the work of the SCAF, for the Commission's consideration.

**APPENDICES**

[Appendix A](#): SCAF: Update on progress regarding Resolution 16/03 – On the Second performance review follow-up

## APPENDIX A

**SCAF: UPDATE ON PROGRESS REGARDING RESOLUTION 16/03 – ON THE PERFORMANCE REVIEW FOLLOW-UP**  
**(NOTE: NUMBERING AND RECOMMENDATIONS AS PER APPENDIX I OF RESOLUTION 16/03)**

REFERENCE #	RECOMMENDATION	RESPONSIBILITY	UPDATE/STATUS	TIMELINE	PRIORITY
PRIOTC02.22 ( <a href="#">para. 211</a> )	<p><b><i>Special requirements of developing States</i></b></p> <p>The PRIOTC02 <b>RECOMMENDED</b> that:</p> <p>a) the continuation and optimisation of the IOTC Meeting Participation Fund indefinitely as part of the IOTC Regular Budget, and that the MPF is used to support participation of all eligible Contracting Parties in order to create a more balanced attendance to both science and non-science meetings of the Commission.</p>	<i>Commission</i>	<b>Ongoing</b>	TBD	TBD
	<p>b) the IOTC Secretariat in partnership with development agencies and organisations, should develop a five year regional fisheries capacity development program to ensure coordinated capacity building activities across the region.</p>	<i>Secretariat &amp; Commission</i>	<b>Ongoing:</b> The IOTC Secretariat has been coordinating and cooperating with capacity building opportunities around the region, although a specific plan has not been formulated.	TBD	TBD
PRIOTC02.23 ( <a href="#">para. 228</a> )	<p><b><i>Availability of resources for IOTC activities &amp; Efficiency and cost-effectiveness</i></b></p> <p>The PRIOTC02 <b>RECOMMENDED</b> that:</p> <p>a) the IOTC continue to strengthen its actions towards non-paying Contracting Parties including consideration of diplomatic missions to non-paying Contracting Parties to encourage payment and to explore other mechanisms to recover the outstanding contributions (debt), and collaborate with FAO to identify the difficulties faced in recovering outstanding contributions.</p>	<i>Commission</i>	<b>Ongoing:</b> the issue of non-payment has been raised with FAO Permanent Representatives of the Members with outstanding contributions	TBD	TBD

	<p>b) consistent with best practice governance procedures, that the Commission:</p> <ul style="list-style-type: none"> <li>i. Amend or replace the IOTC Financial Regulations (1999) as a matter of urgency in order to increase Contracting Parties' as well as the Secretariat's control of all the budget elements, including staff costs of the budget, consistent with best practice governance procedures.</li> <li>ii. A system of cost-recovery should be considered as a possible funding mechanism for new activities and/or ongoing activities.</li> <li>iii. An annual external financial audit of the organisation be implemented as soon as possible, and include a focus on whether IOTC is efficiently and effectively managing its human and financial resources, including those of the IOTC Secretariat.</li> <li>iv. Develop guidelines for the acceptance of extra-budgetary funds to undertake elements of the Commission's Program of Work, or those of its subsidiary bodies.</li> <li>v. Explore opportunities to improve efficiency concerning financial contributions, including extra-budgetary funds in support of the Commission's Program of Work, including the possibility of minimising project support costs.</li> <li>vi. Develop and implement staff development, performance and accountability evaluations and procedures, for inclusion within the IOTC Rules of Procedure (2014).</li> </ul>	<p><i>Commission &amp; Standing Committee on Administration and Finance</i></p>	<ul style="list-style-type: none"> <li>(i) <b>Pending</b></li> <li>(ii) <b>Pending</b></li> <li>(iii) <b>Ongoing:</b> The Chair and the ES(a.i.) met with the FAO Office of the Inspector General (OIG) to request that an audit be conducted in 2018. Such an audit would be internal but independent</li> <li>(iv) <b>Pending</b></li> <li>(v) <b>Ongoing</b></li> <li>(vi) <b>Ongoing:</b> The IOTC staff members are covered by the Staff Rules and Regulations, including the system of evaluation know as Performance Evaluation Monitoring System (PEMS)</li> </ul>	<p>TBD</p>	<p>TBD</p>
--	---	---	--	------------	------------