| | ~ | | Deadline/ | Previous | Status ¹ | Current | Status | | | |
|------|----------------------------|--|---------------------------------|------------|---------------------|------------|---------|--|---|--|
| N° | Source | Information required | Remark | Timeliness | Content | Timeliness | Content | Observations | CPC Remarks | |
| 1. | Implementation obligations | | | | | | | | | |
| 1.1. | Art. X Agreement | Report of Implementation. | Session - 60 ds (16.03.2018) | L | С | L | С | Received 19.03.18 | The Report was ready well before the deadline. Hoewever, due to miscommunication among the collegues on who will submit the report, we missed the deadline. We will prevent such accident in the future. | |
| 1.2. | Rules Of P. | Compliance Questionnaire. | 28.02.2018 | С | C | С | C | Received 28.02.18 | | |
| 1.3. | SC | National Scientific Report. | 15.11.2017 | C | C | С | С | Received 13.11.17 | | |
| 1.4. | Commission | Feedback letter. | 16.03.2018 | L | C | L | С | Received 20.03.18 | Same remarks as in 1.1 | |
| 2. | Management 8 | | | | | | | | | |
| | Res. 15/04 | Documents listed in this resolution on board ² | | С | C | С | С | Legal Reference: Regulation on Distant Water Fisheries 2013 | | |
| | | Marking of vessels ² | | С | С | С | С | | | |
| | | Marking of gears ² | 16.03.2018 | С | С | С | С | Legal Reference: Nongbanyu[2013] NO.21 Regulation | | |
| 2.1. | | Marking of FADs ² | | N/A | N/A | N/A | N/A | Does not have PS vessel on the IOTC RAV. | | |
| | | Logbook on board ² | | С | С | С | С | Legal Reference: Nongbanyu[2013] NO.21 Regulation | | |
| | | Official authorisation to fish outside National Jurisdictions. | Since 15.02.2014 | С | С | С | С | Updated: 16.04.17 | | |
| | | IMO number for eligible vessels. | Since 01.01.2016 | С | С | С | С | Has 111 eligible vessels on the RAV and 111 with IMO numbers. | | |
| 2.2. | Res. 15/01 | Official fishing logbooks. | Since 15.02.2016 | С | С | С | С | Legal Reference: Nongbanyu[2013] | | |
| 2.3. | Res. 17/07 | Ban on large-scale driftnets ² | 16.03.2018 | С | С | С | С | NO.21 Regulation | | |
| | | FADs management plan. | 31.12.2013 | N/A | N/A | N/A | N/A | Does not have PS vessel on the | | |
| 2.4. | Res. 17/08 | Report of progress on implementation of FADs management plan. | 16.03.2018 | N/A | N/A | N/A | N/A | IOTC RAV. | | |
| 2.5. | Res. 16/07 | Prohibition of surface or submerged artificial lights to attract fish. | 27.09.2016 | N/A | N/A | N/A | N/A | Does not have PS/SP vessel on the | | |

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation. ³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

| > 70 | a | | Deadline/ | Previous | Status ¹ | Current | Status | | GDG D | | | |
|----------------|----------------|---|-----------------------------|------------|---------------------|------------|---------|---|-------------|--|--|--|
| N° | Source | Information required | Remark | Timeliness | Content | Timeliness | Content | Observations | CPC Remarks | | | |
| 2.6. | Res. 16/08 | Prohibition of aircrafts and unmanned aerial vehicles. | 27.09.2016 | N/A | N/A | N/A | N/A | IOTC RAV. | | | | |
| 2.7. | | Report on methods for achieving the YFT catch reductions. | 16.03.2018 | N/A | N/A | N/A | N/A | Catch reductions does not apply to CPC. | | | | |
| 2.8. | Res. 17/01 | Purse seiners served by supply vessel. | 01.01.2018 | | | N/A | N/A | Does not have PS/SP vessel on the | | | | |
| 2.9. | | Plans for reducing the use of supply vessel. | 31.12.2017 | | | N/A | N/A | IOTC RAV. | | | | |
| 2.10 | Res. 16/06 | Report actions taken to implement reporting obligations & improve data collection of catches. | 16.03.2018 | С | С | С | С | Information received 19.03.18. Source: IOTC-2018-CoC15-IR02 | | | | |
| 3. | Reporting on | | | | | | | | | | | |
| 3.1. | Res. 10/08 | List of Active vessels | 15.02 | C | C | C | C | Received 26.02.18 | | | | |
| 3.2. | Res. 15/11 | Fleet Development Plan (FDP). | By 31.12.2010 (10 years) | C | C | С | С | Updated 10.05.17. | | | | |
| | | Reference Capacity | | | | | | | | | | |
| 3.3. | Res. 15/11 | List of vessels ³ for Tropical Tuna during 2006. | - 31.12.2009 | С | C | С | С | | | | | |
| | | List of vessels ³ for SWO and ALB during 2007 | | С | C | С | С | Received 07.01.10. | | | | |
| 3.4. | | List of Authorized vessels 24 metres in length overall or more | Since 01.07.2003 | С | С | С | С | Last Update 22.02.18 | | | | |
| 3.5. | Res. 15/04 | List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state) | Since 01.07.2006 | N/A | N/A | N/A | N/A | No vessels <24 in the IOTC RAV. | | | | |
| 3.6. | | List of foreign vessels licensed in EEZ | 15.02 | N/A | N/A | N/A | N/A | | | | | |
| 3.7. | Res. 14/05 | List of foreign vessels denied a licence | 15.02 | N/A | N/A | N/A | N/A | Not an IOTC coastal State. | | | | |
| 3.8. | Res. 14/03 | Access Agreement information | 26.02.2015 | N/A | N/A | N/A | N/A | | | | | |
| 3.9. | | Official coastal State fishing License | 14.01.2014 | N/A | N/A | N/A | N/A | | | | | |
| 4. | Vessel Monito | ring System | | | | | | | | | | |
| 4.1. | | Adoption VMS for all vessels > 24 m and < 24 m fishing high seas | Since 01.07.2007 | С | C | С | С | VMS adopted in 2006. Legal Reference: Nongbanyu[2013] NO.21 Regulation | | | | |
| 4.2. | Res. 15/03 | VMS report on implementation and technical failures | 30.06 | С | С | С | С | Received 30.06.17. Had 67 active vessels in 2016, has reported 109 vessels fitted with VMS in 2016. | | | | |
| 4.3. | 3. | VMS implementation plan | 30.04.2016 | N/A | N/A | N/A | N/A | VMS adopted 2006 and coverage 100% | | | | |
| 5. | Mandatory sta | tatistical requirement – Flag State CPCs | | | | | | | | | | |
| | Res. 15/02 | Nominal Catch | | | | | | | | | | |
| 5.1. | & Res 15/05 | Coastal fisheries | 30.06 | N/A | N/A | N/A | N/A | Not an IOTC coastal State and no PS, BB | | | | |

| 3.70 | - G | | Deadline/ | Previous | Status ¹ | Current | Status | | CDC Damarka |
|------|-----------------------------|--|-----------|------------|---------------------|------------|---------|--|---|
| N° | Source | Information required | Remark | Timeliness | Content | Timeliness | Content | Observations | CPC Remarks |
| | | Surface fisheries: PS, BB, GN | 30.06 | N/A | N/A | N/A | N/A | in the RAV | |
| | | Longline fisheries | 30.12 | С | C | C | C | Data received: 29.06.17 | |
| | | Catch & Effort | | | | | | | |
| 5.2. | | Coastal fisheries | 30.06 | N/A | N/A | N/A | N/A | Not an IOTC coastal State and no PS, BB | |
| | | Surface fisheries: PS, BB, GN | 30.06 | N/A | N/A | N/A | N/A | in the RAV | |
| | | Longline fisheries | 30.12 | С | C | C | C | Data received: 29.06.17 | |
| | | Size Frequency | | | | | | | |
| | | Coastal fisheries | 30.06 | N/A | N/A | N/A | N/A | Not an IOTC coastal State and no PS, BB in the RAV. | |
| | | Surface fisheries: PS, BB, GN | 30.06 | N/A | N/A | N/A | N/A | | |
| 5.3. | | Longline fisheries | 30.12 | С | P/C | С | P/C | Data received: 29.06.17. Less than 1 fish per MT measured for some species | According to para5 of Resolution15/02, size data for longline fleets may be provided as part of the Regional Observer Scheme where such fleets have at least 5% observer coverage. As the coverage for China in 2016 was estimated to be 5.01% (please see section 9.2 below), such size data from China could be considered having been submitted and compliant with the obligation. |
| | | Fish Aggregating Devices (FAD) | | 1 | | ' | ı | | |
| 5.4. | | Supply vessels | 30.06 | N/A | N/A | N/A | N/A | | |
| 3.4. | | Days at sea by supply vessels | 30.06 | N/A | N/A | N/A | N/A | No SP in the RAV | |
| | | FADs set by type | 30.06 | N/A | N/A | N/A | N/A | | |
| 6. | Implementati non-IOTC sp | | | | | | | | |
| | | Submission of data regarding Sharks – Nominal catch | 30.06 | C | С | С | C | Data received: 29.06.17 | |
| | | Submission of data regarding Sharks – Catch & effort | 30.06 | С | С | С | С | Data received: 29.06.17 | |
| 6.1. | Res. 17/05 | Submission of data regarding Sharks – Size frequency | 30.06 | С | P/C | С | P/C | Data received: 29.06.17. Less than 1 fish per MT measured | According to para5 of Resolution15/02, size data for longline fleets may be provided as part of the Regional Observer Scheme where such fleets have at least 5% observer coverage. |

| N° | Source | Information required | Deadline/ | Previous | Status ¹ | Status ¹ Current | | Observations | CPC Remarks |
|------|--------------|---|-----------------------|------------|---------------------|-----------------------------|----------|--|---|
| 17 | | Information required | Remark | Timeliness | Content | Timeliness | Content | Observations | CPC Remarks |
| | | | | | | | | | As the coverage for China in |
| | | | | | | | | | 2016 was estimated to be 5.01% |
| | | | | | | | | | (please see section 9.2 below), such size data from China could |
| | | | | | | | | | be considered having been |
| | | | | | | | | | submitted and compliant with |
| | | | | | | | | | the obligation. |
| 6.2 | D 12/00 | Prohibition on thresher sharks of all the | Since | С | С | С | | Banned since 2012; Legal Reference: | g |
| 6.2. | Res. 12/09 | species of the family Alopiidae | 07.07.2010 | C | C | C | С | Nongbanyu[2013] NO.21 Regulation | |
| 6.3. | Res. 13/06 | Prohibition on oceanic whitetip sharks | Since | С | C | L | С | Received 28.04.18. Legal Reference: | |
| 0.5. | 100. 15/00 | Tromotton on occume winterly sharks | 14.08.2013 | Ü | | | | Nongbanyu[2013] NO.21 Regulation. | |
| C 1 | | Report on progress of implementation of | 16.03.2018 | C | C | т | С | Received:19.03.18. Complete | C |
| 6.4. | | the FAO Guidelines and this Resolutiont ² | 10.03.2018 | С | С | L | C | reporting on all sections of FAO guideline and R12/04. | Same comment as in 1.1 |
| | | | | _ | | _ | _ | Data received: 29.06.17. 6 interactions | |
| 6.5. | D 12/04 | Data on interactions with marine turtles | 30.06 | С | C | С | С | in 2016 | |
| | Res. 12/04 | Carry line cutters and de-hookers on board (Longliners) | Since 06.08.2009 | С | С | С | С | Has indicated obligation is in place | |
| 6.6. | | | | | | | | since 2014; Legal Reference: | |
| | | (Zongmois) | | | | | | Nongbanyu[2013] NO.21 Regulation | |
| 6.7. | | Carry dip nets (Purse seiners) | Since 06.08.2009 | N/A | N/A | N/A | N/A | Does not have PS vessel on the IOTC RAV. | |
| | | | | | | | | Data received: 29.06.17. | |
| 6.8. | | Seabirds report ² | 16.03.2018 | С | C | С | С | Nil interaction in 2016 | |
| | Res. 12/06 | 12/06 Implementation of mitigation measures south of 25°S | Since 01.11.2010 | С | С | С | С | Has indicated obligation is in place | |
| 6.9. | | | | | | | | since 2014; Legal Reference: | |
| | | | | | | | | Nongbanyu[2013] NO.21 Regulation | |
| | | Data on interactions with Cetaceans | 30.06 | C | C | С | С | Data received: 29.06.17. 2 interactions in 2016 | |
| 6.10 | Res. 13/04 | | (All gears) For PS | | | | | Does not have PS vessel on the IOTC | |
| | | Instances of Cetaceans encircled ² | 16.03.2018 | N/A | N/A | N/A | N/A | RAV. | |
| | | D-4 : | 30.06 | C | C | C | C | Data received: 29.06.17. | |
| 6.11 | Res 13/05 | Data on interactions with Whale Sharks | (All gears) | С | С | С | С | Nil interaction in 2016 | |
| 0.11 | Kes 13/03 | Instances Whale Sharks encircled ² | For PS | N/A | N/A | N/A | N/A | Does not have PS vessel on the IOTC | |
| _ | *** 1 *** | | 16.03.2018 | 11/11 | 1 1/2 1 | 11/11 | 14/11 | RAV. | |
| | | orted and Unregulated (IUU) Vessels | Session - 70 ds | <u> </u> | | | <u> </u> | No vessel listed on IOTC IUU list in | |
| 7.1. | Res. 17/03 | IUU listing | (06.03.2018) | С | C | С | С | 2017. | |
| 7.3 | Dag 07/01 | Compliance by notionals | (| С | С | С | С | No nationals on board vessesl listed on | |
| 7.2. | Res. 07/01 | Compliance by nationals | 16.03.2018 | <u> </u> | C | | | IOTC IUU list in 2017. | |
| 8. | Transhipment | | D.C. 1500 | C | C | C | | D | |
| 8.1. | Res. 17/06 | At sea transhipments – CPC report | Before 15.09 | С | С | C | С | Reports received 15.09.17 | |

| > TO | a | | Deadline/ | Previous | Status ¹ | Current | Status | | CDC Demonder |
|----------------|-----------------|--|-------------------------|------------|---------------------|------------|---------|--|---|
| N° | Source | Information required | Remark | Timeliness | Content | Timeliness | Content | Observations | CPC Remarks |
| 8.2. | | Transhipments in port report ² | 16.03.2018 | L | С | L | С | Reports received 19.03.18 | Same comment as in 1.1 |
| 8.3. | | List of Authorised carrier vessels | Since 01.07.2008 | С | С | С | С | Last Update 09.02.18 | |
| 8.4. | | Report on results of investigations on possible infractions | 13.02.2018 | С | С | С | С | Possible infractions: 41. Response received: 41. | |
| 8.5. | | ROP fee (call 09.03.18) | 06.04.2018 | С | С | C | С | Received 02.04.18. | |
| 9. | Observers | | | | | | | | |
| 9.1. | | Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type) | 16.03.2018 | С | P/C | С | С | Had 67 vessels active in 2016; 4 vessels monitored. | |
| 9.2. 9.3. | Res. 11/04 | • 5% Mandatory, at sea (All vessels) ² | Since 2013 | С | P/C | С | С | Coverage 5.01% for LL fleet | |
| 9.4. | | • 5 % Artisanal landings ² | Since 2013 | N/A | N/A | N/A | N/A | Not an IOTC coastal State | |
| 9.5. | | Observer reports | 150 days after trip | С | C | С | С | 4 observer reports submitted | |
| 10. | Statistical doc | ument programme | | | | | | | |
| 10.1 | | 1 st Semester report (2017) | 01.10.2017 | С | С | L | С | Report received 30.03.17 | We are sorry for missing the deadline for the 1 st Semester report(2017) until March 30, 2018. |
| 10.2 | Res. 01/06 | 2 nd Semester report (2016) | 01.04.2017 | C | C | C | C | Report received 31.03.17 | |
| 10.3 | | Annual report ² (2016) | 16.03.2018 | L | P/C | С | C | Report received 05.04.17. Difference of 2 Mt reported by Japan and difference of 146 Kg reported by KOR. | |
| 10.4 | | Information on authorised institutions and personnel | Since 01.07.2002 | С | С | С | C | Last Update 25.03.14 | |
| 11. | Port inspection | | | | | | | | |
| 11.1 | | Port inspection programme | 01.07 | N/A | N/A | N/A | N/A | | |
| 11.2 | | List of designated ports | Since | N/A | N/A | N/A | N/A | | |
| 11.3 | | Designated competent Authority | 31.12.10 | N/A | N/A | N/A | N/A | | |
| 11.4 | | Prior notification periods | | N/A | N/A | N/A | N/A | | |
| 11.5 | Res. 16/11 | Inspection report | 3 days after inspection | N/A | N/A | N/A | N/A | Not an IOTC coastal State. | |
| 11.6 | | At least 5% inspection of LAN or TRX | Since | N/A | N/A | N/A | N/A | | |
| 11.7 | | Denial of entry in port | 01.03.2011 | N/A | N/A | N/A | N/A | | |
| 12. | Market | | | | | | | | |
| 12.1 | Res. 10/10 | Report on import, landing and transhipment of tuna and tuna-like fish products in ports ² | 16.03.2018 | L | С | L | С | Report received 19.03.18. | Same comment as in 1.1 |

Feedback to China on the level of implementation of IOTC Conservation and Management Measures identified by the CoC14 in 2017.

Feedback: With regards to the level of compliance by China to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to China by the Chair of the Commission in a letter dated 26th May, 2017.

- Has not reported Size Frequency for longline fishery to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.
- Has not reported Size Frequency on sharks to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.
- Has not fully implemented the observer scheme, as required by Resolution 11/04; coverage <5% for vessel > 24m.
- Has not provided its annual report for the Bigeye Tuna Statistical Document Programme, as required by Resolution 01/06.

Response: The response to the letter of the Chair of the Commission was provided by China on 20 March 2018.

<u>Current issues on the level of implementation by China of IOTC Conservation and Management Measures identified for discussion in the CoC15 in 2018.</u>

Having reviewed the 2018 Compliance Report for China, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

| Compliance issues | Current status (2018) | Previous Status (2017) |
|---|-----------------------------|------------------------------|
| Repeated compliance issues | | |
| • Has not reported Size Frequency in the longline fishery and for sharks to IOTC Standard (less than 1 fish per metric tons.), as required by Resolutions 15/02 and Resolution 05/05. | P/C | P/C |
| Non-repeated compliance issues | | |