

## Develop a methodology for the assessment of implementation by Members and Non-Contracting Cooperating Parties (CPCs), for producing the Country Compliance Reports provided annually to the Compliance Committee and flag States

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### PURPOSE

To assist the participants at the Working Party on the Implementation of Conservation and Management Measures (WPICMM) to develop a methodology for ranking reporting requirements to be applied when producing the Country Compliance Reports, which are provided annually to the Compliance Committee.

### BACKGROUND

Component 4, sub-component 4.1, of the Work Plan of the WPICMM comprise of the following activities:

<b>4</b>	<b>Develop a methodology for the assessment of implementation by CPCs, for producing the Country Compliance Reports provided annually to the Compliance Committee and flag States</b>
<b>4.1</b>	<i>Determine significance of each CMM using a ranking measure</i>
<b>4.1.1</b>	Rank the reporting requirements of CMMs by priority (i.e which are most critical to report upon)
<b>4.1.2</b>	Attribute a co-efficient to determine rank importance
<b>4.1.2</b>	WPICMM consider the report of the Secretariat to provide recommendations to the Compliance Committee

### DISCUSSION

A consultant was recruited to conduct the following activities:

- Determine significance of each CMM using a ranking measure;
- Rank the reporting requirements of CMMs by priority (i.e. which are most critical to report upon),
- Attribute a co-efficient to determine rank importance.

The main outcomes and results related to the above activities can be found from pages 14 to 19 of the consultant's report. The conclusions and recommendations are reproduced below. The report of the consultant is presented in full in *IOTC-2019-WPICMM02-Compliance review*.

#### **Conclusions and recommendations:**

(1) A clear and understandable way for assessing compliance should be preferred to support a fair, consistent and transparent process. Rather than ranking CMMs by severity of non-compliance for the management system, a practical way for strengthening the compliance assessment method is the definition of critical obligations within CMMs (as implemented through 'audit points' in WCPFC). The definition should preferably be undertaken during the drafting of resolutions and the number should be limited to those that are essential for the performance of IOTC.

(2) The presentation of compliance should be both by CPC and by CMM to allow for the identification of CMMs with high levels of non-compliance, capacity needs, implementation problems and/or patterns. This information can help improve the IOTC management system.

(3) High-priority reporting requirements concern the following management areas: catch and effort limits; data reporting requirements for target species; restrictions on FADs; spatial and temporal closures;

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conservation of non-target species and bycatch mitigation measures; fishing capacity-related data; and key MCS measures (VMS, observer coverage, CDS, record of authorized fishing vessels, transshipments, port State measures and IUU vessel list). These reporting requirements are crucial for the functioning of IOTC’s management system. Critical and serious compliance issues in one of these CMMs needs particular attention that weightage of CMMs will not be able to achieve.

(4) Based on the considerations mentioned above, the elements of a strengthened compliance assessment process should be discussed, and a method be developed by all CPCs in a workshop-like setting.

#### **RECOMMENDATIONS**

That the WPICMM02:

- 1) **NOTE** paper IOTC–2019–WPICMM02–07 and the consultant’s report, reference IOTC-2019-WPICMM02-Compliance review,
- 2) **REVIEW AND DISCUSS** the report IOTC-2019-WPICMM02-Compliance review to provide recommendations to the Compliance Committee.