

**RESOLUTION 17/03**

ON ESTABLISHING A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED FISHING IN THE IOTC AREA OF COMPETENCE

APPLICABLE TO

**REPORTING REQUIREMENTS**

There are reporting requirements under this resolution which apply to all States. These requirements apply under the same conditions as indicated above under technical controls, and none of them are binding - in the sense that information is only to be provided in case the information exists.

REQUIREMENTS WITH RESPECT TO THE LISTING OF IUU VESSELS

WHO

CPC ALL STATES

WHAT

Submit to the Executive Secretary a list of vessels presumed to have carried out IUU fishing

Submit additional information on the provisional IUU list circulated by the Secretary (optional)

Submit additional information in case Commission could not decide on listing a vessel (optional)

Notify Secretary on whether the CPC supports a proposed listing or delisting, or not

WHEN

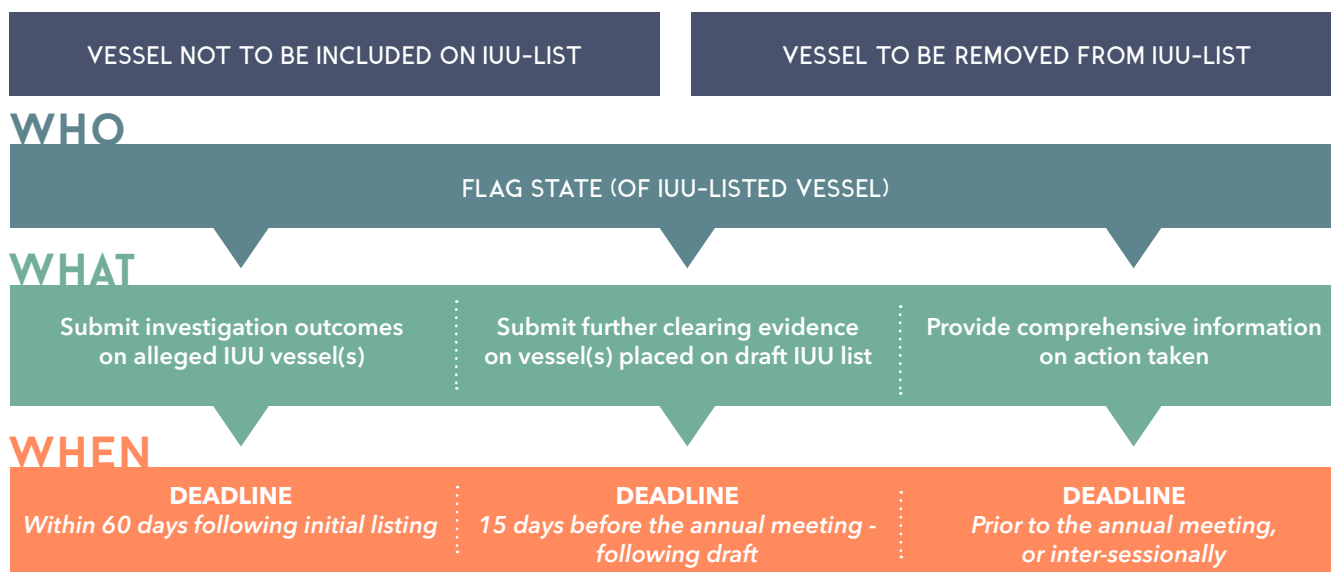
DEADLINE
70 days before annual meeting

DEADLINE
none specified

DEADLINE
within 90 days following meeting

DEADLINE
within 30 days of receiving proposal

For flag States that wish to avoid a listing of one of its vessels, or that wish to undertake action to have one of those vessels listed removed from the IUU vessel list, the following reporting requirements apply:



REPORTING REQUIREMENTS: FORMAT AND CONTENT

A reporting template form has been developed by the Secretariat for CPCs to submit information to the Commission under this resolution. The template is based on the guidance given in the annexes of the resolution. The form template covers the reporting of illegal activities and require details of vessels to be listed to be complete. This template can be downloaded from the IOTC website under this link: <http://www.iotc.org/compliance/reporting-templates>.

IDENTIFYING AND LISTING IUU VESSELS

REPORTING REQUIREMENT 1:

SUBMIT A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT IUU FISHING

IMPLEMENTATION

- **How to report:** All CPCs may submit evidence on vessels they suspect have engaged in IUU fishing. Such evidence may be submitted at any time during the year, in specified format. In order to be considered by the annual Commission meeting, the evidence must be provided 70 days in advance of the meeting.
- **Reporting format:** The template form, which is based on Annex I of the resolution, can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- **Where to send the information:** The report should be mailed to iotc-secretariat@fao.org

REPORTING REQUIREMENT 2:

NOTIFY SECRETARY ON WHETHER THE CPC SUPPORTS A PROPOSED LISTING OR NOT

IMPLEMENTATION

- **How to report:** All CPCs are requested to vote, inter-sessionally, when a decision is not arrived at during the Commission meeting, and when more evidence was submitted, or in case a flag State asks to have a vessel removed from the list inter-sessionally. After receiving more evidence through the Secretariat, the CPC is asked to consider the evidence and to vote on whether to list the vessel or not.
- **Reporting format:** Simple official letter, expressing a clear "yes" or "no" vote on whether to list the vessel.
- **Where to send the information:** The letter should be mailed to iotc-secretariat@fao.org



CLEARING VESSELS FROM THE IUU-VESSEL LIST

REPORTING REQUIREMENT 3:

SUBMIT PROGRESS REPORT ON THE INVESTIGATION AND FINDINGS OF THE VESSEL(S) ALLEGED TO HAVE BEEN INVOLVED IN IUU FISHING

IMPLEMENTATION

- How to report: Flag States whose vessels appear on the list have an opportunity to submit a progress report on the investigation of the alleged offence(s) and its outcomes. Evidence and comments should be submitted in a relevant form that confirms that the alleged offence has either not taken place, or that the offender is being/has been prosecuted/sanctioned. No specific format is provided.
- Reporting format: An official letter containing the comments and narrative with respect to evidence presented, which should be annexed to the letter.
- Where to send the information: The letter should be mailed to iotc-secretariat@fao.org

REPORTING REQUIREMENT 4:

SUBMIT CLEARING EVIDENCE ON VESSEL(S) PLACED ON DRAFT IUU LIST

IMPLEMENTATION

- How to report: To clear a vessel from the list, or for delisting a vessel, flag States are to submit encompassing evidence of action taken with respect to the vessel, which includes: a) measures to ensure vessel conforms with IOTC CMMs; b) measures to subject the vessel to appropriate MCS mechanisms; c) adequate sanctions imposed for the established offences, and; d) change of vessel ownership. While there is no set format to report this information, the four items listed should be adequately covered.
- Reporting format: An official letter covering all relevant items as listed above, complete with relevant evidence, which should be annexed to the letter.
- Where to send the information: The letter should be mailed to iotc-secretariat@fao.org

REPORTING REQUIREMENT 5:

PROVIDE COMPREHENSIVE INFORMATION ON ACTION TAKEN

IMPLEMENTATION

- How to report: To clear a vessel from the list, or for delisting a vessel, flag States are to submit encompassing evidence of action taken with respect to the vessel, which includes: a) measures to ensure vessel conforms with IOTC CMMs; b) measures to subject the vessel to appropriate MCS mechanisms; c) adequate sanctions imposed for the established offences, and; d) change of vessel ownership. While there is no set format to report this information, the four items listed should be adequately covered.
- Reporting format: An official letter covering all relevant items as listed above, complete with relevant evidence, which should be annexed to the letter.
- Where to send the information: The letter should be mailed to iotc-secretariat@fao.org



COOPERATION REQUIREMENTS

There are none in this resolution.

OBLIGATIONS OF THE INDUSTRY

There are none in this resolution.

OBLIGATIONS OF THE SECRETARIAT

The IOTC Secretariat plays a central role under the resolution as a facilitator of information flows between the parties submitting information on IUU activity, the Compliance Committee, and those flag State CPCs and non-Contracting Parties that wish to clear their vessel's names or have their vessel(s) removed from the list. The IOTC Secretariat shall:

- Submit initial information received on alleged IUU fishing to concerned flag States.
- Submit draft IUU vessel list with evidence to flag States whose vessels have been identified.
- Submit provisional IUU vessel list to CPCs and concerned non-Contracting Parties.
- Organize inter-sessional votes on adoption/ rejection of vessel additions to/ deletions from IUU vessel list.

The Executive Secretary shall publish the IUU Vessel List in accordance with confidentiality policies and processes, place the list on the IOTC website (<http://www.iotc.org/vessels>), and transmit the list to other RFMOs for enhanced cooperation between IOTC and these organisations.

OBLIGATIONS OF THE COMMISSION & BODIES

The commission is responsible for the listing or delisting of vessels from the IUU Vessel list.



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