



RESOLUTION 18/03

ON ESTABLISHING A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED FISHING IN THE IOTC AREA OF COMPETENCE

APPLICABLE TO			
CPC FLAG STATE	CPC COASTAL STATE	CPC PORT STATE	CPC MARKET STATE

This Resolution describes a step-by-step system for listing and delisting vessels on the IOTC IUU Vessel List, as well as cross-listing with other RFMOs. Importantly, it also requires CPCs to take certain measures and actions against listed vessels. It applies to vessels, together with their owners, operators and masters that undertake fishing and fishing related activities for IOTC species or species covered by any CMM within the IOTC area.



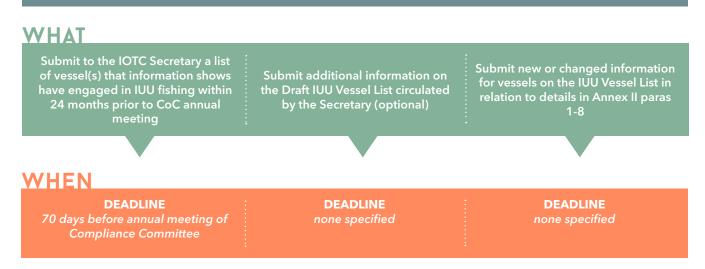
REPORTING REQUIREMENTS

Three reporting requirements under this Resolution apply to all States, and for each requirement the information is only to be provided where it exists.

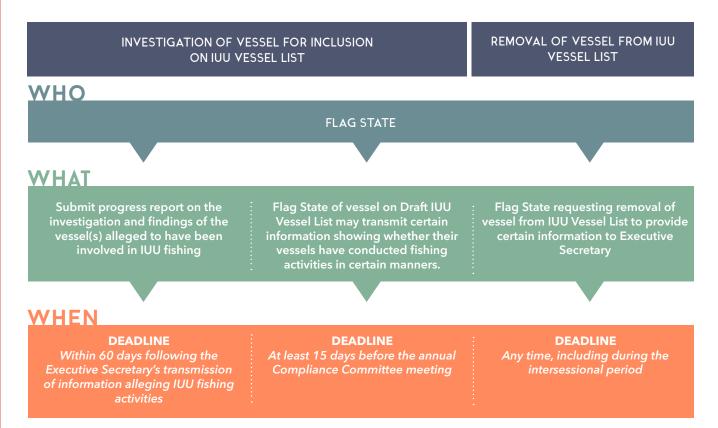
REQUIREMENTS FOR THE LISTING OF IUU VESSELS

WHO

CPC ALL STATES



Three reporting requirements under this Resolution apply to all States, and for each requirement the information is only to be provided where it exists.



REPORTING REQUIREMENTS: FORMAT AND CONTENT

A reporting template form has been developed by the Secretariat for CPCs to submit information to the Commission under this Resolution. The template is based on Annex I of the Resolution. The template form covers the reporting of illegal activities and requires details of vessels to be listed to be complete. This template can be downloaded from the IOTC website under this link: http://www.iotc. org/compliance/reporting-templates.

REPORTING REQUIREMENT 1: CPCS TO SUBMIT A LIST OF VESSEL(S) THAT INFORMATION SHOWS HAVE ENGAGED IN IUU FISHING WITHIN 24 MONTHS PRIOR TO THE COMPLIANCE COMMITTEE ANNUAL MEETING.

(PARA 5)

IMPLEMENTATION

- <u>How to report:</u> All CPCs may submit information on any vessel(s) that has engaged in IUU fishing within the IOTC area. It must be provided 70 days in advance of the annual meeting of the Compliance Committee using the IOTC Reporting Form for Illegal Activity in Annex I of the Resolution.
- <u>Reporting format</u>: The template form can be downloaded at: *http://www.iotc.org/compliance/reporting-templates*
- Where to send the information: The report should be sent to iotc-secretariat@fao.org

REPORTING REQUIREMENT 2:

CPCS MAY SUBMIT ADDITIONAL INFORMATION ON THE DRAFT IUU VESSEL LIST CIRCULATED BY THE EXECUTIVE SECRETARY WHICH MIGHT BE RELEVANT TO ITS ESTABLISHMENT (OPTIONAL). (PARA 12)

IMPLEMENTATION

- <u>How to report</u>: All CPCs may submit additional information on any vessel(s) on the Draft IUU Vessel List which might be relevant to the establishment of the List. There is no deadline but it should be provided prior to circulation of information by the Executive Secretary at least 10 days prior to the annual session as required in paragraph 11. It may be reported using the IOTC Reporting Form for Illegal Activity in Annex I of the Resolution.
- <u>Reporting format</u>: The template form can be downloaded at: *http://www.iotc.org/compliance/reporting-templates*.
- Where to send the information: The report should be sent to iotc-secretariat@fao.org

<u>REPORTING REQUIREMENT 3:</u> CPCS MUST SUBMIT NEW OR CHANGED INFORMATION FOR VESSELS ON THE IUU VESSEL LIST IN RELATION TO DETAILS IN ANNEX II PARAS 1-8. (PARA 30)

IMPLEMENTATION

- <u>How to report</u>: All CPCs may submit additional information on any vessel(s) on the Draft IUU Vessel List which might be relevant to the establishment of the List. There is no deadline but it should be provided prior to circulation of information by the Executive Secretary at least 10 days prior to the annual Session as required in paragraph 11. It may be reported using the IOTC Reporting Form for Illegal Activity in Annex I of the Resolution.
- <u>Reporting format</u>: The template form can be downloaded at: *http://www.iotc.org/compliance/reporting-templates*.
- Where to send the information: The report should be sent to iotc-secretariat@fao.org

<u>REPORTING REQUIREMENT 4:</u> FLAG STATE TO SUBMIT PROGRESS REPORT ON THE INVESTIGATION AND FINDINGS OF THE VESSEL(S) ALLEGED TO HAVE BEEN INVOLVED IN IUU FISHING. (PARA 7)

IMPLEMENTATION

- <u>How to report</u>: Flag States whose vessels appear on the list have an opportunity to submit a progress report on the investigation of the alleged offence(s) and its outcomes. Evidence and comments should be submitted in a relevant form that confirms that the alleged offence has either not taken place, or that the offender is being/has been prosecuted/sanctioned. No specific format is provided.
- <u>Reporting format</u>: An official letter containing the comments and narrative with respect to evidence presented, which should be annexed to the letter.
- Where to send the information: The letter should be sent to iotc-secretariat@fao.org

<u>REPORTING REQUIREMENT 5:</u> FLAG STATE OF VESSEL ON DRAFT IUU VESSEL LIST MAY TRANSMIT CERTAIN INFORMATION SHOWING WHETHER THEIR VESSELS HAVE CONDUCTED FISHING ACTIVITIES IN CERTAIN MANNERS. (PARA 10)

IMPLEMENTATION

- <u>How to report:</u> Transmit comments and information to the Executive Secretary, including information pursuant to paragraphs 9(a) and (b). It should be submitted in a relevant form that shows that the alleged offence has either not taken place, or that the offender is being/has been prosecuted/sanctioned. No specific format is provided.
- <u>Reporting format</u>: An official letter containing the comments and narrative with respect to evidence presented, which should be annexed to the letter.
- Where to send the information: The letter should be sent to iotc-secretariat@fao.org

<u>REPORTING REQUIREMENT 6:</u> FLAG STATE REQUESTING REMOVAL OF VESSEL FROM IUU VESSEL LIST TO PROVIDE CERTAIN INFORMATION TO EXECUTIVE SECRETARY (PARA 22)

IMPLEMENTATION

- <u>How to report:</u> Flag States whose vessels appear on the IUU Vessel List may submit information to demonstrate that certain compliance measures, the vessel has changed ownership under specified conditions, the vessel has been scrapped or any prosecutions/sanctions have been concluded. There is no set format for reporting, but all relevant items should be covered.
- <u>Reporting format</u>: An official letter containing the all relevant items, together with relevant evidence which should be annexed to the letter.
- <u>Where to send the information</u>: The letter should be sent to *iotc-secretariat@fao.org*

COOPERATION REQUIREMENTS

None in this Resolution.

OBLIGATIONS OF THE INDUSTRY

None in this Resolution.

OBLIGATIONS OF THE SECRETARIAT

The IOTC Secretariat plays a central role under the Resolution as a facilitator of information among the CPCs submitting information on IUU activity, those flag State CPCs and non-Contracting Parties with vessels that are included on the Draft, Provisional or final IUU Vessel List, the Compliance Committee and the Commission. The IOTC Secretariat must generally circulate information to CPCs and:

- submit initial information received on alleged IUU fishing to concerned flag States;
- submit a Draft IUU Vessel list with evidence to flag States where information indicates that their vessel(s) has engaged in IUU fishing;
- submit Provisional IUU Vessel List to CPCs and concerned non-Contracting Parties;
- arrange inter-sessional voting on delisting vessels from the IUU Vessel List.

The Executive Secretary must take measures to ensure publicity of the IUU Vessel List in accordance with confidentiality requirements and through electronic means, including placing the List on the IOTC website (http://www.iotc.org/vessels), and transmit the List to FAO other specified RFMOs in order to combat IUU fishing.

OBLIGATIONS OF THE COMMISSION & BODIES

The Commission is responsible for reviewing the recommendations of the Compliance Committee and listing vessels to and delisting them from the IUU Vessel List.



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