



EUROPEAN COMMISSION
DIRECTORATE-GENERAL FOR MARITIME AFFAIRS AND FISHERIES
INTERNATIONAL AFFAIRS AND MARKETS
INTERNATIONAL AFFAIRS, LAW OF THE SEA AND REGIONAL FISHERIES
ORGANISATIONS

Brussels,
MARE /B-1 OF/

Mr Rondolph Payet
Executive Secretary
Indian Ocean Tuna Commission
(IOTC)
Le Chantier Mall (2nd floor)
PO Box 1011
Victoria Mahé
Seychelles

Subject: Compliance questionnaire

Dear Mr Payet,

In accordance with paragraph 4.1 of Resolution 10/09, "Functions of the Compliance Committee", please find enclosed the EU questionnaire for the compliance examination process.

I would like to draw your attention to the following aspects:

1. The EU has submitted a Fleet Development Plan (FDP) accordingly to IOTC legislation, notably Resolution 09/02 (amended by Resolution 12/11) and therefore only the year 2009 is indicated as the year of submission of the reference capacity and FDP. Furthermore, in 2010, 2011 and 2012 we transmitted additional information to the original FDP. The procedure for the presentation of the FDP was respected for all the years covered in the table (2009 – 2013).
2. Control of Domestic vessels. There is an organized scheme of inspections for French vessels in Indian Oceans. However, for the other fleets (long distance fleets) this control is undertaken when vessels visit their port of origin, which happens very sporadically, or when they visit foreign ports in countries having Fisheries Partnership Agreements with the EU in the Indian Ocean, which happens frequently. EU Member States can also undertake occasional control missions to their respective vessels in Indian Ocean.
3. All vessels are marked according to national and EU laws matching IOTC and international requirements. However, these marks vary from flag state to flag state (FAO Standard Specification currently almost in all vessels). According to EU legislation, gears are also marked.
4. All EU vessels operating in the high seas are equipped with VMS, which is a precondition for the vessels to be authorized to operate under EU fishing legislation.
5. A system exists to monitor vessel's compliance. This system is formally organised for Spanish, French and Portuguese fleets. UK fleet apply an ad hoc system. No infringements have been reported so far.

6. EU vessels are not authorised to tranship at sea. Information has been provided to the IOTC secretariat on transhipments in ports.

7. Data on species, by-catch and research has been partially provided, as indicated in the questionnaire. However, this data is almost complete in the majority of cases – per species, gear and Member States. Some data and other relevant scientific information have been provided by the EU scientists (or through the EU scientific report) to the IOTC Scientific Working Parties and Scientific Committee. The EU is continuously improving its data reporting and an important progress has been achieved, notably on by-catch. Piracy has affected the quality of the data provisions of the Purse seine segment but alternative measures have been undertaken – auto-sampling, reinforced coverage in non-affected areas and port sampling - and observer mission resumed.

8. Regional Observer Scheme (ROS). As already indicated, there are some problems to implement this programme in the EU Purse seine segment on account of the piracy issue in the region. However, some self-sampling initiatives have been undertaken by some masters, in close coordination with national authorities, and the existing sampling activities at port remain as in the previous years.

Globally, EU Member States are implementing gradually the ROS respecting coverage requirements. Implementing reports and activities will be reported to the secretariat all over the year, some reports are provided separately or through the Scientific Committee.

9. With regard to the Bigeye Tuna Statistical Document as well as on tropical tunas trade information, the EU has reported the results of the statistical document programme and trade flows to the IOTC secretariat.

11. A system to monitor nationals operating on foreign vessels exists but is not formalised in all Member States.

Yours sincerely,



Seppo NURMI
Head of the EU Delegation to IOTC

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs	2009	2010	2011	2012	2013
Flag State Controls	Authorised Vessels (13/02) A list of authorised vessels exist: Yes	Information for the Authorised Vessels list reported to the IOTC Secretariat: Yes - Complete	Yes - Complete	Yes - Complete	Yes - Complete	Yes - Complete	Yes - Complete
		Template of the official authorisation to fish outside National Jurisdictions reported to the IOTC Secretariat: Yes - Complete					Yes - Complete
	Fleet Development Plan (FDP) and fishing capacity (03/01, 06/05, 07/06, 09/02, 12/11) A FDP exist: Yes A system to monitor fishing capacity exists: Yes	A FDP was submitted to the Commission in:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Verified capacity for fleet actively targeting tropical tunas in 2006 reported in:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		Verified capacity for fleet targeting albacore and swordfish in 2007 reported in:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Active Vessels (10/08)	Active vessels list provided to the IOTC Secretariat for:	Yes - Complete	Yes - Complete	Yes - Complete	Yes - Complete	Yes - Complete
	Control of domestic vessels (13//02) A scheme of inspection of flag vessels exist: Yes	All documents were found to be on board vessels inspected (Licence, permit or authorisation to fish, registration certificate and fishing logbook):	Yes	Yes	Yes	Yes	Yes
		All vessels are marked (FAO Standard Specification for the Marking and	Yes	Yes	Yes	Yes	Yes

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs	2009	2010	2011	2012	2013
		Identification of Fishing vessels):					
		Passive gears are marked:	Yes	Yes	Yes	Yes	Yes
		Fish aggregating devices are marked with the letter(s) and / or number(s) of the vessel:	Yes	Yes	Yes	Yes	Yes
	Vessel Monitoring System (06/03, 12/13) A scheme to monitor fishing vessels through a satellite-based Vessel monitoring System exist: Yes	The level of VMS coverage of the national fleet:	Complete	Complete	Complete	Complete	Complete
		Report on the progress of implementation and technical failures reported to the Secretariat:	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
		A summary of VMS record for the Closed Area has been provided:		Yes	Yes	Yes	Yes
	Transshipment (12/05) A scheme to monitor at-sea transshipments exist: Not Applicable A scheme to monitor transshipments in port exist: Yes	Transshipment at sea is:	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
		Has reported its list of authorised carrier vessels:	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
		Has submitted an assessment of observer reports:	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
		The responses on the possible infractions have been submitted to the IOTC Secretariat:	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
		The report on the details on the transshipments in ports has been submitted to the IOTC	No	No	No	No	Yes

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs		2009	2010	2011	2012	2013
		Secretariat:						
	Compliance of flag vessels (06/01; 11/02, 11/03, 12/09, 12/12, 12/13) A system exist to monitor compliance of flag vessels: Yes	Use of large-scale driftnets on the high seas have been banned since:		☒	☒	☒	☒	☒
		Legal and administrative measures taken to implement Area Closure:			☒	☒	☒	☒
		Catching and retention of thresher sharks has been banned since:			☒	☒	☒	☒
		Number of infractions detected:		0	0	3	0	0
		Number of cases reported to the IOTC Secretariat:		0	0	0	0	0
	Scientific Data (05/05, , 10/02, ,10/06, 13/03, 12/04, 12/09) A system to collect scientific data exist: Yes	Fisheries statistic for IOTC species and main shark species provided to the IOTC Secretariat, includes:	Nominal catch	Complete	Complete	Complete	Complete	Complete
			Catch and effort	Partial	Partial	Partial	Partial	Partial
			Size frequency	Partial	Partial	Partial	Partial	Partial
		Statistics on bycatch has been provided to the Secretariat for:	Sharks	Partial	Partial	Partial	Partial	Partial
			Marine	Partial	Partial	Partial	Complete	Complete

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs	2009	2010	2011	2012	2013
		turtles					
		Seabirds	Partial	Partial	Partial	Complete	Complete
		Information on research work and implementation of measures to mitigate bycatch has been reported to the Secretariat for:	Sharks	Partial	Partial	Partial	Complete
			Marine turtles	Partial	Partial	Partial	Partial
			Seabirds	Partial	Partial	Partial	Partial
		The template of the official logbooks to record data or information on the electronic logbook systems was submitted to the IOTC Secretariat:					Complete
	Fish aggregating devices (FADs) management plan (13/08) Yes	The management plan on FADs has been submitted to the IOTC Secretariat:					Complete
	Regional Observer Scheme (11/04)	A national observer programme exist		Yes	Yes	Yes	Yes
		Observer reports have been submitted to the IOTC Secretariat		Not Applicable	Yes	Yes	Yes
	Bigeye tuna Statistical Document Programme –	Bigeye tuna were exported:	Yes	Yes	Yes	Yes	Yes
		Has submitted its annual report	Yes	Yes	Yes	Yes	Yes

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs	2009	2010	2011	2012	2013
	(01/06; 03/03)	Bigeye tuna were imported:	Yes	Yes	Yes	Yes	Yes
	A system exist to monitor the imports, exports and re-exports of bigeye tuna:	Bigeye tuna were re-exported:	No	No	No	Yes	Yes
	Yes	Information on authorised institution(s) and personnel provided to the Secretariat:	Yes	Yes	Yes	Yes	Yes
Control of activities of foreign vessels by IOTC coastal States	Programme of inspection in port (05/03, 10/11, 11/03)	List of designated ports, competent authority and prior notification period submitted to the IOTC Secretariat:	Yes	Yes	Yes	Yes	Yes
	A system exist to monitor the activities of foreign fishing vessels calling into your port(s): Yes	Number of foreign fishing vessels calling into your port(s):	0	21	38	35	1
		Number of foreign fishing vessels inspected:	0	4	5	2	1
		Number of foreign fishing vessels where offloading was monitored:	0	4	5	2	1
		Number of inspection reports of foreign fishing vessels submitted to the IOTC Secretariat:	0	0	0	0	0
		Number of cases brought against foreign fishing vessels for undermining the coastal CPCs Fisheries Law and/or Fisheries Regulations:	0	0	0	0	0

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs	2009	2010	2011	2012	2013
		Number of cases reported to the IOTC Secretariat:	0	0	0	0	0
		List of foreign fishing vessels which have landed and details of catch composition submitted to the IOTC Secretariat:	No	No	No	No	Yes
	Foreign vessels licensed (13/07)	Foreign vessels are licensed:	No	No	No	No	No
	A system exist to monitor the licensing of foreign vessels to operate in your waters, within the IOTC Area: Not Applicable	CPC-to-CPC agreements exist and information concerning these agreements submitted to the Commission:	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
		Information on licensed foreign fishing vessels have been reported to the IOTC Secretariat:	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
		The template of the official coastal State fishing License with information concerning these licenses was submitted to the IOTC Secretariat					Not Applicable
Market State controls	Market related measures (10/10) A system exist to monitor import of tuna and tuna-like fish products, from the IOTC Area:	Information on imports, landings or transshipment of tuna and tuna-like fish products has been collected and provided to the Commission:			Yes	Yes	Yes

Reporting CPC: European Union

Date of Report: March 2014

Application	Subject (Resolution(s))	Information provided by CPCs	2009	2010	2011	2012	2013
	Not Applicable						
Responsibility of all CPCs	Control of nationals (07/01, 11/03)	Has any national been identified to have engaged in activities that undermine IOTC conservation and management measures?	No	Yes	No	No	No
	A system exist to monitor nationals operating on foreign fishing vessels in the IOTC Area:	Investigations have been conducted on allegations and/or reports of nationals who have engaged in activities that undermine IOTC conservation and management measures:	No	Yes	No	No	No
	Not Applicable	Reports have been submitted to the IOTC Secretariat and other concerned CPCs on actions and measures taken as a result of the investigations:	No	Yes	No	No	No