

## IOTC-2014-S18-05[E]

## ACTIONS ARISING FROM THE 17TH SESSION OF THE COMMISSION

| # | Actions from the Seventeenth Session (6-10 May 2013)  | Adopted        | Responsibility   | Progress   | Due date |
|---|---|----------------|--|--|----------|
| 1 | S17 (para. 16): The Commission <b>NOTED</b> however, that the fishery statistics available for many fleets, in particular for coastal fisheries, are not accurate enough for a comprehensive analysis as has been repeatedly noted in previous WPTT and SC reports. The Commission <b>REQUESTED</b> that the countries engaged in those fisheries take immediate actions to improve fishery statistics reporting to the IOTC Secretariat. | S17 (May 2013) | All CPCs   | Ongoing - Some CPCs are strengthening their data collection and management systems, using their own resources and/or funds from foreign agencies (e.g. OFCF, SmartFish, BOBLME) or the IOTC. The statistics of Comoros, Sri Lanka, and Madagascar are likely to improve in the future. |          |
| 2 | 17 (para. 21): The Commission <b>AGREED</b> to initiate a consultative process among managers, stakeholders and scientists to begin discussions about the implementation of an MSE in the IOTC.   | S17 (May 2013) | Secretariat/ Working Parties   | Ongoing – An operating model for Albacore and Skipjack (through Maldives MSC programme) are under development.  Capacity building activities for the MSE will commence in 2014, following the development of MSE operating model for albacore.   |          |
| 3 | S17 (para. 25): In congratulating the 26 CPCs who provided a report in 2012, the Commission also stressed the importance of the submission of National Reports by all CPCs and <b>REQUESTED</b> those who did not meet their reporting obligations in this regard (Seven: Eritrea, Guinea, Pakistan, Sierra Leone, Tanzania, Vanuatu and Yemen), to provide a National Report to the SC in 2013.  | S17 (May 2013) | Eritrea, Guinea,<br>Pakistan, Sierra<br>Leone, Tanzania,<br>Vanuatu and<br>Yemen | Ongoing – Eritrea, Guinea, Sierra<br>Leone and Yemen has not submitted<br>National Reports   |          |
| 4 | S17 (para. 29): The Commission <b>REQUESTED</b> that the southwest region continue to be analysed as a special resource [swordfish stock], as it appears to be highly depleted compared to the Indian Ocean as a whole.   | S17 (May 2013) | Scientific<br>Committee  | Ongoing - Swordfish in the SWIO will be the subject of stock assessment in 2014, as agreed by the SC in 2012 and 2013.   |          |
| 5 | S17 (para. 31): The Commission <b>NOTED</b> the provision by the SC of the Kobe II strategy matrix for bigeye tuna, skipjack tuna, yellowfin tuna and swordfish (IO and SWIO) and recognized that it is a useful and necessary tool for management. The Commission <b>REQUESTED</b> that such matrices shall be provided for all stock assessments by the species Working Parties, and for  | S17 (May 2013) | Working Party on<br>Tropical Tunas   | Ongoing - Kobe II strategy matrix is being requested.  |          |

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|    | these to be included in the report of the SC in 2013 and all future reports.   |                |                       |  |                        |
| 6  | S17 (para. 34): The Commission <b>NOTED</b> some minor improvements in the quantity of fisheries statistics available to the SC and its Working Parties in 2012 but reiterated its concerns about the lack of fisheries data from some gears and fleets for target and bycatch species. Specifically, many fisheries statistics are missing or incomplete for some industrial and artisanal fisheries. As such, the Commission <b>REQUESTED</b> that all CPCs improve their data collection and reporting to the IOTC, especially taking into account that the Commission has initiated the consultation process on developing criteria for a quota allocation system. | S17 (May 2013) | All CPCs              | Ongoing – ditto 1.   |                        |
| 7  | S17 (para. 49): The Commission <b>AGREED</b> that appropriate legal support be present during future CoC Sessions to aid Members deliberations of alleged IUU cases.   | S17 (May 2013) | Secretariat           | Secretariat has written to the FAO Legal Office (24 July 2013) and a response received on 23 <sup>rd</sup> April 2014, and circulated in IOTC Circular 2014-41.          |                        |
| 8  | S17 (para. 50): The Commission <b>EXPRESSED</b> concern about the absence of several CPCs at the CoC10 meeting and <b>AGREED</b> that the Chairperson should provide questions in writing to each of the CPCs who were not in attendance at the CoC meeting. For those CPCs who attend S17, this would be done during the presentation of the CoC10 Report. For those CPCs who do not attend S17, the 'letter of feedback on compliance issues' would be sent by the IOTC Chair following the Commission meeting and would include an expression of concern given the CPCs absence from the IOTC meetings.   | S17 (May 2013) | Secretariat/<br>Chair | Completed - Feedback letters sent by e-mail to six CPCs (ERI, GIN, PAK, SDN, SLE & YEM) on 14 <sup>th</sup> May 2013.  |                        |
| 9  | S17 (para. 51): The Commission <b>AGREED</b> to the development and distribution of letters of feedback by the IOTC Chair, highlighting areas of non-compliance to relevant CPCs, together with the difficulties and challenges being faced.   | S17 (May 2013) | Secretariat/Chair     | Completed - Feedback letters distributed to all CPCs present at S17 on 10 <sup>th</sup> May 2013 and by email after the Session.   |                        |
| 10 | S17 (para. 52): The Commission <b>AGREED</b> that a deadline of 60 days before the next annual Session of the Commission be established for all CPCs to respond to the 'feedback letters on compliance issues' from the Commission and based on the deliberations of the CoC each year.  | S17 (May 2013) | All CPCs              | Chair CoC to send reminder to CPCs in his circular for CoC11.  | 60 days<br>before \$18 |
| 11 | S17 (para. 53): The Commission <b>RECOMMENDED</b> that the Secretariat continue developing follow-up actions on the issues, including potential capacity building activities to address these matters, particularly for developing coastal States.   | S17 (May 2013) | Secretariat           | Ongoing – Several Compliance<br>Support Missions (Completed for<br>LKA, IDN, MDG, MOZ, TZA,<br>planned MUS & KEN), and one<br>Regional Workshop undertaken<br>since S17. |                        |
| 12 | S17 (para. 54): The Commission <b>AGREED</b> that Sri Lanka continue to provide monthly reports including: i) evidences of the actions it had taken against IUU  | S17 (May 2013) | Sri Lanka             | Ongoing – Reports up to August 2013 received and circulated.   |                        |

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|    | vessels; ii) name of the past and present owner and skipper; and iii) IOTC numbers from the Record of authorised vessels, in a standardised format in the future, irrespective of whether new information had become available, for each of the vessels reported to IOTC for IUU fishing.  |                |   |   |   |
| 13 | S17 (para. 55): The Commission <b>AGREED</b> that Sri Lanka provide regular updates in the implementation of their road map for the vessel monitoring scheme, and regular updates on the passage of new domestic requirements for a high-seas licencing regime, to the Secretariat for circulation to the Commission.  | S17 (May 2013) | Sri Lanka   | Ongoing – Sri Lanka provided an update which was circulated in IOTC Circular 2013-108 dated 19 <sup>th</sup> December 2013.                                     |   |
| 14 | S17 (para. 56): The Commission <b>NOTED</b> that bilateral discussions between the European Union and Mozambique had taken place and the Parties had agreed to resolve the issue at the CoC10. The Agreement between Parties is to be fully executed within 30 days of the signing of the Agreement after which Mozambique shall report to the Commission on the execution of the Agreement. | S17 (May 2013) | EU/Mozambique   | Completed – Agreement circulated on 7 <sup>th</sup> August, through IOTC Circular 65.   | 30 days<br>after the<br>17 <sup>th</sup><br>Session |
| 15 | S17 (para. 63): The Commission <b>AGREED</b> to retain the <i>HSIANG FA 26</i> on the Provisional IOTC IUU Vessels List, as provided under Resolution 11/03 para. 14.  | S17 (May 2013) | Tanzania,<br>Seychelles and all<br>CPCs                 | Completed: See Circular 2013-89   |   |
| 16 | S17 (para. 64): The Commission <b>AGREED</b> to retain the <i>Hwa Kun No. 168</i> on the Provisional IOTC IUU Vessels List, as permitted under Resolution 11/03 para. 14   | S17 (May 2013) | Tanzania, Taiwan,<br>Province of China<br>and all CPCs. | Completed – See Circular 2013- 89   |   |
| 17 | S17 (para. 65): The Commission <b>ADOPTED</b> the IOTC IUU Vessels List as provided in <u>Appendix XIa</u> [see S17 Report] and the Provisional IOTC IUU Vessels list as provided in <u>Appendix XIb</u> [see S17 Report].   | S17 (May 2013) | All CPCs  | Completed - IUU list Circulated in Circular 2013-52.  |   |
| 18 | S17 (para. 67): The Commission <b>REQUESTED</b> that the Secretariat review similar practices [regional high-seas boarding and inspection scheme] by other RFMOs and develop a paper for the next CoC in 2014.   | S17 (May 2013) | Secretariat   | Ongoing —A review paper has been prepared and submitted to CoC 11 in 2014.  |   |
| 19 | S17 (para. 76): The Commission <b>AGREED</b> that applications for CNCP status shall not be considered unless they are present at the Compliance Committee and/or Commission meetings to present their application and respond to questions from Members.  | S17 (May 2013) | Compliance<br>Committee                                 | Completed- Letters have been sent to Djibouti and DPRK on 26 <sup>th</sup> April 2013.  |   |
| 20 | S17 (para. 83): The Commission <b>REQUESTED</b> that all Members with overdue contributions finalise payment of those contributions as soon as possible so as not to hinder the operation of the IOTC. To facilitate this process, the Chair of the Commission, with the assistance of the Executive Secretary, shall write to each of the CPCs with contributions in arrears totalling      | S17 (May 2013) | Secretariat/Chair                                       | Completed - Letters distributed to<br>Sierra Leone, Guinea, Yemen,<br>Sudan, Pakistan, Eritrea and Iran in<br>September 2013.<br>Reminders were sent to all the |   |

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|    | more than the previous two years to seek confirmation of their continued involvement in the IOTC, quoting Article IV, para. 4 of the IOTC Agreement, and to seek payment for overdue contributions. Responses from those CPCs should be circulated by the Secretariat to all CPCs for consideration at the 18 <sup>th</sup> Session of the Commission.   |                |                | countries in February 2014. Response received from Pakistan.  |          |
| 21 | S17 (para. 85): The Commission <b>AGREED</b> that capacity building activities, including workshops on science (stock assessment), compliance with IOTC CMMs, data collection and reporting, and bridging the gap between IOTC science and management advice, be continued in 2013 and financially supported through the IOTC budget and through voluntary contributions from Members and other interested parties.  | S17 (May 2013) | Secretariat    | Ongoing – CMM and PSM missions being undertaken by the Compliance Section (Completed for LKA, IDN, MDG, MOZ, TZA, planned for MUS & KEN).  Workshop on IOTC Science process (stock assessment) undertaken in Thailand in May (20 <sup>th</sup> -24 <sup>th</sup> May, 2013) with support from BOBLME. Member countries Thailand, Indonesia, Maldives, India, Sri Lanka and Malaysia. 2 observer countries were also present, namely Bangladesh and Myanmar. |          |
| 22 | S17 (para. 86): The Commission <b>NOTED</b> that the increased attendance by national scientists from developing CPCs to IOTC Working Parties and the SC in 2012 (46 in 2012; 33 in 2011) was partly due to the IOTC MPF, adopted by the Commission in 2010 (Resolution 10/05 on the establishment of a Meeting Participation Fund for developing IOTC Members and non-Contracting Cooperating Parties), and <b>AGREED</b> that the MPF should be maintained into the future.  | S17 (May 2013) | Secretariat    | Ongoing – The Commission replenished the MPF to US\$200,000 for 2013. However, the Commission is yet to determine a process to maintain the fund into the future. Noting that Resolution 10/05 calls on the Commission to do so in 2012.  |          |
| 23 | S17 (para. 87): The Commission reiterated its previous <b>REQUEST</b> that the MPF be separated from the main budget as a separate project, and for the Executive Secretary to request that the FAO project support costs be waived.   | S17 (May 2013) | Secretariat    | A request has made to FAO for the Meeting Participation Fund to be subjected to a 0% project cost.  |          |
| 24 | S17 (para. 88): The Commission <b>AGREED</b> the rules of procedure for the administration of the IOTC MPF be modified to include funding for Chairs and Vice-Chairs from IOTC developing coastal states, noting that without access to this fund, the ability of developing coastal state scientists to offer their services as Chairs and Vice-Chairs will be very limited. The text recommended by the SCAF10 shall be inserted into the rules of procedure for the administration of the MPF, under the ' <b>Eligibility criteria</b> ' section. | S17 (May 2013) | Secretariat    | Completed. Rules of Procedure for the administration of the MPF updated. http://iotc.org/documents/rules-procedure-administration-iotc-meeting-participation-fund   |          |
| 25 | S17 (para. 90): The Commission <b>AGREED</b> that the MPF (established under Resolution 10/05) be replenished to its initial level of US\$200,000 for the financial (calendar) year 2013, through the allocation of funds from the IOTC accumulated funds.   | S17 (May 2013) | Secretariat    | Completed.  |          |

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| 26 | S17 (para. 92): The Commission <b>AGREED</b> to discuss this matter at SCAF11 in 2014, and <b>REQUESTED</b> that the Secretariat provide a detailed budget for 2014 and 2015 for adoption. This would allow for an early call-for-funds for 2015, at the end of 2014.  | S17 (May 2013) | Secretariat                   | Ongoing  |          |
| 27 | S17 (para. 93): The Commission <b>AGREED</b> that a Fishery Officer (Science), working on science support, be employed at the Secretariat and for this to be incorporated in the Commission's budget on an ongoing basis.  | S17 (May 2013) | Secretariat                   | Post advertised. Recruitment process completed by the Secretariat, awaiting offer from FAO-HR to candidate.  |          |
| 28 | S17 (para. 98): The Commission <b>AGREED</b> to the updated version of the document on progress made regarding the recommendations arising from the report of the Performance Review Panel, provided at Appendix XV [S17 report]. The Commission tasked the Secretariat with ensuring that the revised table is provided to the respective Committees in advance of their next Sessions in accordance with the IOTC Rules of Procedure for further updating.                         | S17 (May 2013) | Secretariat                   | Ongoing – This was done in 2012, 2013 and will be done in 2014.  |          |
| 29 | S17 (para. 101): The Commission <b>AGREED</b> that a second Performance Review of the IOTC be undertaken in 2014, with terms of reference to be developed by interested CPCs and circulated for wider agreement via an IOTC Circular.  | S17 (May 2013) | Secretariat                   | Circular 2013/85 – Working group formed and draft TOR circulated.  |          |
| 30 | S17 (para. 104): The Commission <b>AGREED</b> to consider the proposal to integrate Resolutions 01/02, 01/03, 03/03, 05/03, 07/01 and Recommendation 05/07, into existing Resolutions before then being superseded/ revoked, and <b>TASKED</b> the interested Members in conjunction with the IOTC Secretariat, to undertake to amend the CMMs with existing relevant Resolutions for the consideration of the Commission at the 18 <sup>th</sup> Session of the Commission in 2014. | S17 (May 2013) | Interested CPCs & Secretariat | Ongoing.   |          |
| 31 | S17 (para. 105): The Commission <b>CONSIDERED</b> and <b>ADOPTED</b> a revised structure of a paper-based compendium, with the following structure: reverse chronological order of active CMMs; contents; index of all CMMs (active and superseded); and for the IOTC Secretariat to update all internal references in active CMMs to other active CMMs. It was also agreed that a compendium structure organised by theme of active CMMs could be elaborated in the future.         | S17 (May 2013) | Secretariat                   | Ongoing – New compendium published on 15 September 2013. It will again be revised on 13 November  Next step: Working Group to consider a compendium organised by structure and a legal review. |          |
| 32 | S17 (para. 106): The Commission <b>REQUESTED</b> that the IOTC Secretariat facilitate a legal review of the CMMs specifically to identify any inconsistencies between the remaining CMMs and the Agreement, the revised Rules of Procedure and relevant international laws in advance of the 18 <sup>th</sup> Session of the IOTC. The Commission did not allocate budgetary funds in the IOTC budget for 2013 and 2014 to undertake this task.                                      | S17 (May 2013) | Secretariat                   | Not implemented due to budget constraints.   |          |
| 33 | S17 (para. 110): The Commission <b>NOTED</b> recommendation 4 from the   | S17 (May 2013) | CPCs                          | Ongoing: A CPC will need to take   |          |

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|    | compendium working group, and <b>AGREED</b> to consider the proposal to move the following 5 Resolutions, which are of a procedural or administrative nature, into the IOTC's Rules of Procedure when it is next revised, taking into account any modernisation required:  |                |                | the lead on this agreement. IOTC<br>Circular 2014-12 provided to CPCs<br>calling for comments on the 2013<br>proposal to the Commission. |          |
|    | a) Resolution 98/05 On Cooperation With Non-Contracting Parties  |                |                |  |          |
|    | b) Resolution 02/09 Establishment Of The Standing Committee<br>On Administration And Finance (SCAF)  |                |                |  |          |
|    | c) Resolution 03/02 On Criteria For Attaining The Status Of Co-Operating Non-Contracting Party   |                |                |  |          |
|    | d) Resolution 10/05 On The Establishment Of A Meeting<br>Participation Fund For Developing State Members   |                |                |  |          |
|    | e) Resolution 10/09 Concerning The Functions Of The<br>Compliance Committee  |                |                |  |          |
| 34 | S17 (para. 115): The Commission <b>CONSIDERED</b> and <b>ADOPTED</b> 11 proposals as Conservation and Management Measures as detailed below:   | S17 (May 2013) | All CPCs       | Circular 2013-49 - CMMs adopted<br>by the IOTC at its 17th Session and<br>Circular 2013-87 - Entry into force<br>of CMMs.                |          |
| 35 | S17 (para. 139): The Commission <b>RECALLED</b> that the IOTC Secretariat is the current repository of data for the SIOFA, as it does not yet have its own Secretariat. In this context, it was <b>AGREED</b> that the IOTC shall seek to be represented at the first plenary of the SIOFA, to better support the implementation of this new RFMO. | S17 (May 2013) | Secretariat    | Secretariat participated in the first meeting of the SIOFA held in Melbourne, Australia.   |          |
| 36 | S17 (para. 144): The Commission <b>AGREED</b> that the SCAF11 shall held on the day immediately prior to the Commission meeting, so that a full five (5) days may be allocated to the deliberations of the Commission.   | S17 (May 2013) | Secretariat    |  |          |