

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CoC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (02.04.2014)	C	C	C	C	Received 28.03.14.	
1.2.	Res. 10/09	Compliance Questionnaire	17.03.2014	C	C	C	C	Received 12.03.14.	
1.3.	SC04	National Report	17.11.2013			C	C	Received 08.11.13.	
1.4.	S17	Feedback letter	02.04.2014			C	C	Received 28.03.14.	
2. Management Standards									
2.1.	Res. 13/02	Documents listed in this resolution on board ²	02.04.2014	C	C	C	C	Received 08.11.13 (Source IOTC-2013-SC16-NR01) and 28.03.14 (Source: IOTC-2014-CoC11-IR01).	
		Marking of vessels ²		C	C	C	C		
		Marking of gears ²		C	C	C	C		
		Marking of FADs ²		C	P/C	C	C		
		Logbook on board ²		C	C	C	C		
		Official authorisation to fish outside National Jurisdictions	15.02.2014			C	C	Received 12.02.14.	
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014			C	C	Received 31.01.14.	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	02.04.2014	C	C	C	C	Report of Implementation 2013	
2.4.	Res. 13/08	FADs management plan	31.12.2013			L	C	Received 01.05.14.	
2.5.	Res. 12/13	Legal and administrative measures to implement the area Closure	For LL 18.12.2013	C	C	C	C	Fishing concession conditions (Source IOTC-2013-CoC10-IR01).	
2.6.			For PS 17.09.2013	C	C	C	C		
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	P/C	C	P/C	Received 31.01.14. Some mandatory information not to IOTC standard: provides GRT instead of GT. Previously assessed as C.	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed that it will not submit a FDP.	
3.3.	Res. 12/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006	31.12.2009	C	C	C	C	Received 11.05.07.	
		List of vessels ³ for SWO and ALB during 2007		C	C	C	C	Received 30.06.08.	
3.4.	Res. 13/02	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	P/C	C	P/C	Mandatory information not to IOTC standard: provides GRT instead of GT.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CQ = Compliance Questionnaire; CAP = Compliance Action Plan.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	P/C	C	P/C	Mandatory information not to IOTC standard: provides GRT instead of GT.		
3.6.	Res. 13/07	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	(Source: CQ 2014 and IOTC-2014-CoC11-IR01; received 17.03.14 and 28.03.14, respectively).		
3.7.		List of foreign vessels denied a licence	15.02			N/A	N/A			
3.8.		Access Agreement information	Since 07.03.2013	N/A	N/A	N/A	N/A			
3.9.		Official coastal State fishing License	14.01.2014			N/A	N/A			
4. Vessel Monitoring System										
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	C	C	C	C	VMS adopted since 01.07.07 (Source IOTC-2013-SC16-NR01).		
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	N/C	N/C	L	C	Received 17.04. 14.		
4.3.	Res. 12/13	Summary of VMS record ²	02.04.2014	C	C	C	C	Received 28.03.14.		
5. Mandatory statistical requirement–Flag State CPCs										
5.1.	Res. 10/02	Nominal Catch								
		• Coastal fisheries		30.06	C	C	N/A	N/A	No coastal Fisheries	
		• Surface fisheries		30.06	C	C	C	C		
		• LL	Provisional	30.06	C	C	C	C		
			Final	30.12	C	C	C	C		
5.2.		Catch & Effort								
		• Coastal fisheries		30.06	C	C	N/A	N/A	No coastal Fisheries	
		• Surface fisheries		30.06	C	C	C	C		
		• LL	Provisional	30.06	C	C	C	C		
			Final	30.12	C	C	C	C		
5.3.		Size Frequency								
		• Coastal fisheries		30.06	N/C	N/C	N/A	N/A	No coastal Fisheries	
		• Surface fisheries		30.06	C	P/C	L	C		
		• LL	Provisional	30.06	C	P/C	L	PC		
			Final	30.12	C	P/C	C	P/C		
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels		30.06	N/A	N/A	N/A	N/A	No supply vessel.	
		Days at sea by supply vessels		30.06	N/A	N/A	N/A	N/A		
		FADs set by type		30.06	N/A	N/A	N/A	N/A		
6. Mandatory statistical requirement– Coastal State CPCs										
6.1.	Res. 10/02	Catch & Effort								
		• Surface fisheries		30.06			N/A	N/A	Has not licensed foreign vessels in 2012 (Source IOTC-2013-CoC10-IR01).	
		• LL	Provisional	30.06			N/A	N/A		
			Final	30.12			N/A	N/A		
7. Implementation of mitigation measures and bycatch of non-IOTC species										

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7.1.	Res. 05/05	Submission of data regarding Sharks	30.06	C	P/C	C	P/C	Catch-and-effort and size data not reported.	
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Prohibition through permit conditions since 2011 (Source IOTC-2013-SC16-NR01).	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>)	Since 14.08.2013			C	P/C	IOTC-2014-CoC11-IR01 indicates a ban. Document 75890/1: WTBF BOAT SFR CONDITIONS 2013 SEASON allows Permit Holders to retain up to 20 Oceanic white tip shark.	
7.4.	Res. 12/04	Sea turtles report ²	02.04.2014	C	C	C	C	Protected under Environment Protection and Biodiversity Conservation Act 1999 (Source IOTC-2013-SC16-NR01).	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	All longline vessels to carry at least one line cutter and one de-hooker: (Source IOTC-2013-SC16-NR01).	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/C	N/C	L	C	Received 16.05.14.SCUBA divers handle the release of any incidentally caught turtles.	
7.7.	Res. 10/06	Seabirds report ²	02.04.2014	C	C	C	C	Threat Abatement Plan adopted in 1998 and subsequently updated in 2006. Has reported no interactions Fishing concession conditions - mandatory for Australian longline fishing concession holders to carry and use tori lines and ban on offal discharge during setting and hauling (Source IOTC-2013-SC16-NR01).	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C		C	C		
7.9.	Res. 13/04	Instances of Cetaceans encircled ²	For PS 02.04.2014			C	C	Prohibited through permit conditions: Documents 75890/1:WTBF BOAT SFR CONDITIONS 2013 SEASON	
7.10.	Res. 13/05	Instances Whale Sharks encircled ²	For PS 02.04.2014			C	C	and WST General Conditions – 2013 (Source IOTC-2013-SC16-NR01)	
8. Illegal, Unreported and Unregulated (IUU) Vessels									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (23.03.2014)	C	C	C	C	No vessel or national identified in IUU fishing activities. Previously assessed as N/A.	
8.2.	Res. 07/01	Compliance by nationals	02.04.2014	C	C	C	C		
9. Transshipments									
9.1.	Res. 12/05	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.2.		Transshipments in port report ²	02.04.2014	N/C	N/C	L	C	Received 28.03.14.No transshipments by Australian flagged vessels in foreign ports in 2013.	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	

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9.4.		Report on results of investigations on possible infractions	25.02.2014	N/A	N/A	N/A	N/A		
9.5.		ROP fee	15.08.2013	N/A	N/A	N/A	N/A		
10. Observers									
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	02.04.2014	C	C	C	C	0.845 million hooks set and 11.43% observed – Source: Report of	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	C	C	C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessels of <24m fishing outside of its EEZ.	
10.4.		• 5 % Phasing in Artisanal landings ²	02.04.2014	C	C	C	C		
10.5.		Observer reports	150 days after trip	C	C	P/C	P/C	Trip reports submitted after the deadline	
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report	01.10	N/A	N/A	C	C	Received 11.09.13.	
11.2.		2 nd Semester report	01.04	N/A	N/A	C	C	Received 12.03.14.	
11.3.		Annual report ²	02.04.2014	N/A	N/A	C	C	IOTC-2014-CoC11-IR01	
11.4.		Information on authorised institutions and personnel	01.07.2002	C	C	C	P/C	Mandatory information missing: Signature of Authorised Officer. Last update: 08.11.12	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	No foreign vessels called into its ports (Source – CQ 2014).	
12.2.	Res. 10/11	List of designated ports	By 31.12.10	C	C	C	C	Foreign vessels may access any Australian port.	
12.3.		Designated competent Authority		C	C	C	C		
12.4.		Prior notification periods		C	C	C	C		
12.5.		Inspection report	3 days after inspection	N/A	N/A	N/A	N/A	No foreign vessels requested entry into its ports (Source – CQ 2014).	
12.6.		At least 5% inspection of LAN or TRX Inspection report	Since 01/03/2011	N/A	N/A	N/A	N/A		
12.7.		Denial of entry in port		N/A	N/A	N/A	N/A		
13. Market related measures									
13.1.	Res. 10/10	Report on import, landing, transhipment of tuna and tuna-like fish products in ports ²	02.04.2014			C	C	Received 11.09.13 and 12.03.14.	

Feedback to Australia on the level of implementation of IOTC Conservation and Management Measures identified by the CoC10 in 2013.

Feedback: With regards to the level of compliance by Australia to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Australia by the Chair of the Commission in a letter dated 10th May, 2013.

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| <ul style="list-style-type: none">• Resolution 10/02 (Mandatory statistical requirements): Noting the difficulties faced by Australia in meeting the size frequency data reporting requirements of this Resolution for the coastal fisheries the CoC URGED Australia to make further improvements in the intersessional period. |
| <ul style="list-style-type: none">• Resolution 06/03 (VMS report on the progress and implementation): Noting that Australia has an operational VMS the CoC URGED Australia to provide the mandatory VMS report on the progress and implementation as required by the resolution. |
| <ul style="list-style-type: none">• Response to the letter of feedback: Noting that Australia has not provided a response to the letter of feedback, the CoC ENCOURAGED Australia to provide a response to the letter of feedback of 2012. |

Response: The response to the letter of the Chair of the Commission was provided by Australia on 28/03/2014.

Current issues on the level of implementation by Australia of IOTC Conservation and Management Measures identified for discussion in the CoC11 in 2014.

Having reviewed the 2014 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

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| <ul style="list-style-type: none">• Catch and effort and size data for sharks have not been provided, as required by Res. 05/5. |
| <ul style="list-style-type: none">• Some mandatory information (signature of Authorised Officer) have not been provided, as required by Res. 01/06. |