

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CoC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (02.04.2014)	L	C	C	C	Received 26.03.14.	
1.2.	Res. 10/09	Compliance Questionnaire	17.03.2014	L	C	C	C	Received 17.03.14.	
1.3.	SC04	National Report	17.11.2013			C	C	Received 16.11.13.	
1.4.	S17	Feedback letter	02.04.2014			C	C	Received 25.02.14.	
2. Management Standards									
2.1.	Res. 13/02	Documents listed in this resolution on board ²	02.04.2014	L	C	C	C	Received 26.03.14 (Source IOTC-2014-CoC11-IR03).	
		Marking of vessels ²		L	C	C	C		
		Marking of gears ²		L	C	C	C		
		Marking of FADs ²		N/A	N/A	N/A	N/A		
		Logbook on board ²	L	C	C	C	Received 26.03.14 (Source IOTC-2014-CoC11-IR03).		
Official authorisation to fish outside National Jurisdictions	15.02.2014			C	C				
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014			L	C	Received 04.03.14.	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	02.04.2014	L	C	C	C	Ban has been transposed in national legislation. (Source IOTC-2014-CoC11-IR03).	
2.4.	Res. 13/08	FADs management plan	31.12.2013			N/A	N/A	No purse seiner.	
2.5.	Res. 12/13	Legal and administrative measures to implement the area Closure	For LL 18.12.2013	C	C	L	C	Received 15.01.14.	
2.6.			For PS 17.09.2013	N/A	N/A	N/A	N/A	No purse seiner operating in the IOTC Area.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 12.02.14.	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Received 16.10.09 (Initial)	
3.3.	Res. 12/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006	31.12.2009	C	C	C	C	Received 07.01.10.	
List of vessels ³ for SWO and ALB during 2007	C	C		C	C				
3.4.	Res. 13/02	List of Authorized vessels ²⁴	Since	P/C	P/C	C	P/C	Mandatory information missing: port of	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CQ = Compliance Questionnaire; CAP = Compliance Action Plan.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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		metres in length overall or more	01.07.2003					registration, gear type.		
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel of less than 24m, operating in the IOTC Area.		
3.6.	Res. 13/07	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Not an IOTC coastal State.		
3.7.		List of foreign vessels denied a licence	15.02			N/A	N/A			
3.8.		Access Agreement information	07.03.2013	N/A	N/A	N/A	N/A			
3.9.		Official coastal State fishing License	14.01.2014			N/A	N/A			
4. Vessel Monitoring System										
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	C	C	C	C	Received 16.11.13 (Source: IOTC–2013–SC16–NR03).		
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	C	C	C	C	Received 30.06.13.		
4.3.	Res. 12/13	Summary of VMS record ²	02.04.2014	L	P/C	C	C	Received 26.03.14.		
5. Mandatory statistical requirement – Flag State CPCs										
5.1.		Nominal Catch								
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.		
		• Surface fisheries	30.06	N/A	N/A	N/A	N/A	No purse seiner operating in the IOTC Area.		
		• LL	Provisional	30.06	C	C	C	C		
			Final	31.12	C	C	C	C		
5.2.	Res. 10/02	Catch & Effort								
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.		
		• Surface fisheries	30.06	N/A	N/A	N/A	N/A	No purse seiner operating in the IOTC Area.		
		• LL	Provisional	30.06	C	C	C	C		
			Final	31.12	C	C	C	C		
5.3.		Size Frequency								
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.		
		• Surface fisheries	30.06	N/A	N/A	N/A	N/A	No purse seiner operating in the IOTC Area.		
		• LL	Provisional	30.06	N/C	N/C	C	P/C	Not reporting by IOTC standard (<i>less than 1 fish per metric ton of catch per species</i>)	
			Final	31.12	N/C	N/C	C	P/C		
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No Supply vessel.		
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A			
		FADs set by type	30.06	N/A	N/A	N/A	N/A			
6. Mandatory statistical requirement – Coastal State CPC										

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6.1.	Res. 10/02	Catch & Effort							Not an IOTC coastal State.	
		• Surface fisheries	30.06			N/A	N/A			
		• LL	Provisional	30.06			N/A	N/A		
			Final	30.12			N/A	N/A		
7. Implementation of mitigation measures and bycatch of non-IOTC species										
7.1.	Res. 05/05	Submission of data regarding Sharks	30.06	C	C	C	P/C	No size data		
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	P/C	C	C	Received 29.05.14: ban has been transposed in national legislation		
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>)	Since 14.08.2013			C	P/C	Has informed the industry. Fishermen are encouraged to record and report incidental catches in logbook (Source: IOTC-2014-CoC11-IR03).		
7.4.	Res. 12/04	Sea turtles report ²	02.04.2014	L	C	C	C	Source IOTC-2014-CoC11-IR03 and IOTC-2013-SC16-NR03		
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	L	C	C	C			
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No purse seiner operating in the IOTC Area.		
7.7.	Res. 10/06	Seabirds report ²	02.04.2014	C	C	C	C	Source IOTC-2013-SC16-NR03		
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C			
7.9.	Res. 13/04	Instances of Cetaceans encircled ²	For PS 02.04.2014			N/A	N/A	No purse seiner operating in the IOTC Area.		
7.10.	Res. 13/05	Instances Whale Sharks encircled ²	For PS 02.04.2014			N/A	N/A			
8. Illegal, Unreported and Unregulated (IUU) Vessels										
8.1.	Res. 11/03	IUU listing	Session - 70 ds (23.03.2014)	C	C	C	C	No vessel or national identified in IUU fishing activities. Previously assessed as N/A.		
8.2.	Res. 07/01	Compliance by nationals	02.04.2014	C	C	C	C			
9. Transshipments										
9.1.	Res. 12/05	At sea transshipments – CPC report	Before 15.09	C	C	C	C	Received 12.09.13.		
9.2.		Transshipments in port report ²	02.04.2014	C	C	C	C			
9.3.		List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Last update: 30.08.13		
9.4.		Report on results of investigations on possible infractions	25.02.2014			P/C	C	Possible infractions: 28 Responses received: 28 2 responses received after deadline.		
9.5.		ROP fee	15.08.2013			C	C	Received 08.08.13.		
10. Observers										
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	02.04.2014	C	P/C	C	C	0.2 million hooks set; coverage 2%		

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10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	P/C	C	P/C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel of less than 24m, operating in the IOTC Area.	
10.4.		• 5 % Phasing in Artisanal landings ²	02.04.2014	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
10.5.		Observer reports	150 days after trip	N/C	N/C	C	C		
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report	01.10	C	C	C	C	Received 29.12.13 and 26.03.14.	
11.2.		2 nd Semester report	01.04	C	C	C	C		
11.3.		Annual report ²	02.04.2014	N/C	N/C	C	C	Received 26.03.14.	
11.4.		Information on authorised institutions and personnel	01.07.2002	C	C	C	C	Last update:25.03.14	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	N/A	N/C	N/A	N/A	Not an IOTC coastal State.	
12.2.		List of designated ports	By 31.12.10	N/A	N/A	N/A	N/A		
12.3.		Designated competent Authority		N/A	N/A	N/A	N/A		
12.4.		Prior notification periods		N/A	N/A	N/A	N/A		
12.5.		Res. 10/11		Inspection report	3 days after inspection	N/A	N/A		N/A
12.6.		At least 5% inspection of LAN or TRX	Since 01/03/2011	N/A	N/A	N/A	N/A		
12.7.		Denial of entry in port		N/A	N/A	N/A	N/A		
13. Market									
13.1.	Res. 10/10	Report on import, landing, transhipment of tuna and tuna-like fish products in ports ²	02.04.2014			C	C	Received 26.03.14.	

Feedback to China on the level of implementation of IOTC Conservation and Management Measures identified by the CoC10 in 2013.

Feedback: With regards to the level of compliance by China to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to China by the Chair of the Commission in a letter dated 10th May, 2013.

<ul style="list-style-type: none">• Resolution 10/02 (Minimum reporting requirements): has not provided size frequency data, as required by the resolution, the CoC ACKNOWLEDGE the commitment of China and ENCOURAGED China to provide the size frequency data.
<ul style="list-style-type: none">• Resolution 11/04 (Regional Observer Program): has not provided observer report, as required by the resolution, the CoC ENCOURAGED China to provide the observer report within the 150 days deadline for the observer trips completed.
<ul style="list-style-type: none">• Resolution 01/06: has not provided the mandatory report on comparison of export and import, as required by Res. 01/06, the CoC ENCOURAGED China to provide the report on comparison of export and import data.
<ul style="list-style-type: none">• Resolution 12/09 (Thresher sharks): Noting that China has transposed the provision of the resolution into domestic legislation and has released the new regulation, the CoC ENCOURAGED China to provide information on the regulation as soon as possible.

Response: China provided its response to the letter of the Chair of the Commission on 25th February, 2014.

Current issues on the level of implementation by China of IOTC Conservation and Management Measures identified for discussion in the CoC11 in 2014.

Having reviewed the 2014 Compliance Report for China, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<ul style="list-style-type: none">• Some mandatory information required under Resolution 13/02 is missing: port of registration, gear type and details of operator.
<ul style="list-style-type: none">• Has not provided size frequency data in accordance to IOTC standard, as required by Res. 10/02.