

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CoC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (02.04.2014)	L	C	L	C	Received 11.04.14.	
1.2.	Res. 10/09	Compliance Questionnaire	17.03.2014	L	C	L	C	Received 01.04.14.	
1.3.	SC04	National Report	17.11.2013			L	C	Received 25.11.13.	
1.4.	S17	Feedback letter	02.04.2014			C	C	Received 10.06.13.	
2. Management Standards									
2.1.	Res. 13/02	Documents listed in this resolution on board ²	02.04.2014	C	C	C	C	Source: Council Regulations No. 520/2007; No. 1936/2001 superseded by No. 869/2004.	
		Marking of vessels ²		C	C	C	C		
		Marking of gears ²		C	C	C	C		
		Marking of FADs ²		C	C	C	C		
		Logbook on board ²		C	C	C	C		
		Official authorisation to fish outside National Jurisdictions	15.02.2014			C	C		
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014			P/C	C	Templates for some fleets received after the deadline.	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	02.04.2014	C	C	C	C	Source: Council Regulations No.894/97.	
2.4.	Res. 13/08	FADs management plan	31.12.2013			L	C	Received 15.01.14 and 17.03.14.	
2.5.	Res. 12/13	Legal and administrative measures to implement the area Closure	For LL 18.12.2013	C	C	P/C	C	France issued a notice 17.01.14. Portugal issued a notice 15.01.14. UK issued a notice 17.01.14	
2.6.			For PS 17.09.2013	C	C	C	C	France issued a notice on 30.10.12. Spain issued a notice 11.09.13.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 14.02.14.	
3.2.		Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Received 03.05.11 (2 nd Update).	
3.3.	Res. 12/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006	31.12.2009	C	C	C	C	Received 08.05.07.	
List of vessels ³ for SWO and ALB during 2007	C	C		C	C	Received 20.04.08.			

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CQ = Compliance Questionnaire; CAP: Compliance Action Plan.

² Information is to be provided in the Report of Implementation.

³24 meters overall length and over, and under 24 meters if they fished outside their EEZ

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3.4.	Res. 13/02	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C			
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C			
3.6.	Res. 13/07	List of foreign vessels licensed in EEZ	15.02	N/C	N/C	L	N/A	Did not licence foreign vessels in 2013 (Source IOTC-2014-CoC11-IR06).		
3.7.		List of foreign vessels denied a licence	15.02			L	N/A			
3.8.		Access Agreement information	07.03.2013	C	C	C	C	Received 08.02.13: CPC-CPC agreement with COM, MDG, MOZ, SYC. Received 22.05.14: CPC-CPC agreement with MUS, SYC.		
3.9.		Official coastal State fishing License	14.01.2014			L	C	No foreign vessels licensed in 2013 (Source IOTC-2014-CoC11-IR06).		
4. Vessel Monitoring System										
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	C	C	C	C	Since 2001 (Source IOTC-2013-SC16-NR06).		
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	L	P/C	P/C	C	Received 19.02.13, 19.03.14, 14.05.14, 20.05.14 for Portugal France, Spain and UK, respectively.		
4.3.	Res. 12/13	Summary of VMS record ²	02.04.2014	L	P/C	P/C	C	Received 28.03.14 for UK & Portuguese fleets, and 14.05.14 for French and Spanish fleets.		
5. Mandatory statistical requirement – Flag State CPCs										
5.1.		Nominal Catch								
		• Coastal fisheries	30.06	L	C	L	C			
		• Surface fisheries	30.06	C	C	C	C			
		• LL	Provisional	30.06	P/C	C	P/C	C	2 Flags late submission	
		Final	31.12	C	C	C	C			
5.2.	Res. 10/02	Catch & Effort								
		• Coastal fisheries	30.06	L	P/C	L	C			
		• Surface fisheries	30.06	C	C	C	C			
		• LL	Provisional	30.06	P/C	P/C	P/C	P/C	2 flags late reporting; 1 flag reported only data for target species	
		Final	31.12	C	P/C	P/C	P/C	P/C	1 flag reported only data for target species	
5.3.		Size Frequency								
		• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	N/C	No information provided	

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5.4.		• Surface fisheries	30.06	C	C	C	C				
		• LL	Provisional	30.06	P/C	P/C	P/C	P/C	2 flags Late reporting; 1 flag reported only data for target species		
			Final	31.12	C	P/C	C	P/C	1 flag reported only data for target species		
		Fish Aggregating Devices (FAD)									
		Supply vessels	30.06	C	C	L	C				
Days at sea by supply vessels	30.06	C	C	L	C						
FADs set by type	30.06	P/C	C	L	C	Late submission.					
6. Mandatory statistical requirement – Coastal State CPC											
6.1.	Res. 10/02	Catch & Effort									
		• Surface fisheries	30.06			N/A	N/A	Did not licence foreign vessels in 2012.			
		• LL	Provisional	30.06			N/A		N/A		
			Final	31.12			N/A		N/A		
7. Implementation of mitigation measures and bycatch of non-IOTC species											
7.1.	Res. 05/05	Submission of data regarding Sharks	30.06	P/C	P/C	P/C	P/C	Late submission; partial information			
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Source IOTC-2013-SC16-NR06			
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>)	Since 14.08.2013			C	C				
7.4.	Res. 12/04	Sea turtles report ²	02.04.2014	C	C	C	C				
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C				
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	C	C	C	C				
7.7.	Res. 10/06	Seabirds report ²	02.04.2014	C	C	C	C				
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C				
7.9.	Res. 13/04	Instances of Cetaceans encircled ²	For PS 02.04.2014			L	C	Protected under Habitats Directive 92/43/EEC, Regulation (EC) No 338/97 & Regulation (EC) 348/81.			
7.10.	Res 13/05	Instances Whale Sharks encircled ²	For PS 02.04.2014			L	C	Protected under EUPOA Sharks.			
8. Illegal, Unreported and Unregulated (IUU) Vessels											
8.1.	Res. 11/03	IUU listing	Session - 70 ds (23.03.2014)	C	C	C	C	No vessel or national identified in IUU fishing activities. Previously assessed as			

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8.2.	Res. 07/01	Compliance by nationals	02.04.2014	C	C	C	C	N/A.	
9. Transhipments									
9.1.	Res. 12/05	At sea transhipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.2.		Transhipments in port report ²	02.04.2014	N/C	N/C	P/C	C	Previous assessment as N/A. Information provided for the Spanish fleet on 26.09.13 (for 2012), for French fleet on 21.05.14, for UK & Portuguese fleets on 15.05.14 (for 2013).	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.4.		Report on results of investigations on possible infractions	25.02.2014	N/A	N/A	N/A	N/A		
9.5.		ROP fee	15.08.2013			N/A	N/A		
10. Observers									
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	02.04.2014	C	C	P/C	P/C	8 million hooks set; 2% coverage for longliners; 5479 fishing days 3% coverage for purse seiners. Not reported for all fleets	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	C	P/C	P/C	Not reported for all fleets.	
10.3.		• 5% Phasing in, at sea (< 24m)	2013	C	C	C	C		
10.4.		• 5 % Phasing in Artisanal landings ²	02.04.2014	C	C	C	C		
10.5.		Observer reports	150 days after trip	C	C	C	C		
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report	01.10	C	C	C	C	Reports received 19.03.13; 17.09.13 and 28.03.14.	
11.2.		2 nd Semester report	01.04	C	C	C	C		
11.3.		Annual report ²	02.04.2014	N/C	N/C	C	C	No record of export by the EU fleet in the Stat Doc database	
11.4.		Information on authorised institutions and personnel	01.07.2002	C	C	C	P/C	Mandatory information missing: Address of organization & authorised officer. Last update: 07.03.14.	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	L	C	C	C	Received 14.02.14 for years 2012 / 2013. Previously assessed as N/A.	
12.2.	Res. 10/11	List of designated ports	By 31.12.10	C	C	C	C	Received 14.01.11 and 29.07.11.	
12.3.		Designated competent		C	C	C	C		

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12.4.		Authority	3 days after inspection					No information provided (Reporting on 05/03 indicates 31 port calls in 2013).	
		Prior notification periods		C	C	C	C		
12.5.		Inspection report		N/A	N/A	N/C	N/C		
12.6.		At least 5% inspection of LAN or TRX				N/C	N/C		
12.7.		Denial of entry in port	Since 01/03/2011	N/A	N/A	C	C	Received 19.05.14: NIL report.	
13. Market									
13.1.	Res. 10/10	Report on import, landing, transshipment of tuna and tuna-like fish products in ports ²	02.04.2014			L	C	Received 11.04.14.	

Feedback to European Union on the level of implementation of IOTC Conservation and Management Measures identified by the CoC10 in 2013.

Feedback: With regards to the level of compliance by European Union to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to European Union by the Chair of the Commission in a letter dated 10th May, 2013.

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| <ul style="list-style-type: none">• Resolution 10/02 (Minimum reporting requirements): Noting the difficulties faced by some Members States of the EU to provide data on Catch and effort, and size frequency data, the CoC ENCOURAGED the EU to improve the reporting in the intersessional period. |
| <ul style="list-style-type: none">• Resolution 12/05 (Transshipment programme): Has not provided the mandatory report on transshipments in port, the CoC ENCOURAGED the EU to improve the reporting in the intersessional period. |

Response: The European Union provided its response to the letter of the Chair of the Commission on 10th June, 2013.

Current issues on the level of implementation by the European Union of IOTC Conservation and Management Measures identified for discussion in the CoC11 in 2014.

Having reviewed the 2014 Compliance Report for the European Union, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

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| <ul style="list-style-type: none">• Has not fully reported catch and effort and size frequency data, as required by Resolution 10/02, for some segments of its fleets. |
| <ul style="list-style-type: none">• Has not provided inspection report and information on 5% inspection of LAN or TRX and denial of entry into port, as required by Res. 10/11. |