

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CoC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (02.04.2014)	C	C	C	C	Received 01.04.14	
1.2.	Res. 10/09	Compliance Questionnaire	17.03.2014	C	C	C	C	Received 14.03.14	
1.3.	SC04	National Report	17.11.2013			C	C	Received 15.11.13	
1.4.	S17	Feedback letter	02.04.2014			C	C	Received 28.03.14	
2. Management Standards									
2.1.	Res. 13/02	Documents listed in this resolution on board ²	02.04.2014	C	C	C	C	Under administrative arrangements till the high seas fishing operation licence regulation is approved (IOTC-2014-CoC11-IR25).	
		Marking of vessels ²		C	C	C	C	Vessel marking already in place in the national legislation (1980), and according to FAO standard from 2006.	
		Marking of gears ²		C	P/C	C	P/C	Voluntary implementation by the industry. Gear marking regulation being drafted (IOTC-2014-CoC11-IR25).	
		Marking of FADs ²		N/A	N/A	C	C	Has 8 PS active, do not use FADs (IOTC-2014-CoC11-IR25).	
		Logbook on board ²		C	C	C	C	Logbook program started in 2012 (IOTC-2013-CoC10-IR25).	
		Official authorisation to fish outside National Jurisdictions	15.02.2014			C	C	Received 11.12.13.	
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014			C	C	New log book to be implemented in 07.2014 for vessels > 10m operating high seas (IOTC-2014-CoC11-IR25).	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	02.04.2014	C	C	C	C	Condition of license until the high seas fishing operation licence regulation is approved (IOTC-2014-CoC11-IR25).	
2.4.	Res. 13/08	FADs management plan	31.12.2013			L	P/C	Has indicated a plan will be submitted, 8 PS active (IOTC-2014-CoC11-IR25).	
2.5.	Res. 12/13	Legal and administrative measures to implement the area Closure	For LL 18.12.2013	L	C	C	C	Administrative measure: awareness programme conducted (IOTC-2014-CoC11-IR25).	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.6.			For PS 17.09.2013	N/A	N/A	N/C	N/C	Has 8 PS active. No information provided.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	P/C	C	C	Received 13.02.14.	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Submission: 07.01.14 (3 rd update)	
Reference Capacity									
3.3.	Res. 12/11	List of vessels ³ for Tropical Tuna during 2006	31.12.2009	L	C	C	C	All vessels are targeting tropical tunas.	
		List of vessels ³ for SWO and ALB during 2007		N/A	N/A	N/A	N/A	All vessels are targeting tropical tunas.	
3.4.	Res. 13/02	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C		
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C		
3.6.	Res. 13/07	List of foreign vessels licensed in EEZ.	15.02	N/A	N/A	N/A	N/A	Foreign vessels are not licensed (IOTC-2014-CoC11-IR25).	
3.7.		List of foreign vessels denied a licence.	15.02			N/A	N/A		
3.8.		Access Agreement information	07.03.2013	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014			N/A	N/A		
4. Vessel Monitoring System									
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	L	P/C	L	P/C	10 years contract signed with VMS provider. FMC under construction. VMS regulation is being drafted (IOTC-2014-CoC11-IR25).	
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	L	C	N/C	N/C	Mandatory report not provided.	
4.3.	Res. 12/13	Summary of VMS record ²	02.04.2014	C	C	C	P/C	Position reporting is being implemented through SSB until VMS is implemented (IOTC-2014-CoC11-IR25). Previously assessed as C.	
5. Mandatory statistical requirement									
Nominal Catch									
5.1.	Res. 10/02	• Coastal fisheries	30.06	C	P/C	C	P/C	Catch for coastal, surface and longline fisheries reported aggregated for some fleet components.	
		• Surface fisheries	30.06	C	P/C	C	P/C		
		• LL	Provisional	30.06	C	P/C	C		P/C
			Final	30.12	C	P/C	C		P/C

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5.2.		Catch & Effort							
		• Coastal fisheries	30.06	C	C	C	C	Catch for coastal, surface and longline fisheries reported aggregated for some fleet components.	
		• Surface fisheries	30.06	C	P/C	C	P/C		
		• LL	Provisional	30.06	C	P/C	C		P/C
Final	30.12		C	P/C	C	P/C			
5.3.		Size Frequency							
		• Coastal fisheries	30.06	N/C	N/C	C	P/C	Catch for coastal, surface and longline fisheries reported aggregated for some fleet components.	
		• Surface fisheries	30.06	C	P/C	C	P/C		
		• LL	Provisional	30.06	C	P/C	C		P/C
Final	30.12		C	P/C	C	P/C			
5.4.		Fish Aggregating Devices (FAD)							
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.	
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		
		FADs set by type	30.06	N/A	N/A	N/A	N/A		
6. Mandatory statistical requirement – Coastal State CPC									
6.1.	Res. 10/02	Catch & Effort							
		• Surface fisheries	30.06			N/A	N/A	Foreign vessels are not licensed.	
		• LL	Provisional	30.06			N/A		N/A
Final	30.12				N/A	N/A			
7. Implementation of mitigation measures and bycatch of non-IOTC species									
7.1.	Res. 05/05	Submission of data regarding Sharks	30.06	C	P/C	C	P/C	Catch for coastal, surface and longline fisheries reported aggregated for some fleet components	
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Prohibition of Catching Thresher Shark Regulation in force in July 2012.	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>)	Since 14.08.2013			N/C	N/C	Regulation not drafted (Source: IOTC-2014-CoC11-IR25).	
7.4.	Res. 12/04	Sea turtles report ²	02.04.2014	C	C	C	C	Protected under Fishing Operations Regulation 1996 (IOTC-2013-SC16-NR25). Has reported no interaction.	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	P/C	Vessel operators instructed to carry line cutters and de-hookers. Previously assessed as C.	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/C	N/C	Has 8 PS active. No information provided.	
7.7.	Res. 10/06	Seabirds report ²	02.04.2014	N/A	N/A	N/A	N/A	Sea bird catches are not reported due to the nature of the fishery and the gear used.	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		

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7.9.	Res. 13/04	Instances of Cetaceans encircled ²	For PS 02.04.2014			C	P/C	Condition of license until the high seas fishing operation licence regulation is approved (Source: IOTC-2014-CoC11-IR25). No figure of instance reported.	
7.10.	Res. 13/05	Instances Whale Sharks encircled ²	For PS 02.04.2014			C	P/C		
8. Illegal, Unreported and Unregulated (IUU) Vessels									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (23.03.2014)	C	C	C	C	Previously assessed as N/A. No vessel or national identified in IUU fishing activities.	
8.2.	Res. 07/01	Compliance by nationals	02.04.2014	C	C	C	C		
9. Transshipments									
9.1.	Res. 12/05	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in ROP.	
9.2.		Transshipments in port report ²	02.04.2014	N/C	N/C	C	C	Sri Lanka vessels are not authorized to tranship in ports of other countries (Source: IOTC-2014-CoC11-IR25).	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in ROP.	
9.4.		Report on results of investigations on possible infractions	24.02.2014	N/A	N/A	N/A	N/A		Sri Lanka vessels are not authorized to tranship at sea.
9.5.		ROP fee	15.08.2013			N/A	N/A		
10. Observers									
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	02.04.2014	N/C	N/C	N/C	N/C	Has reported ROS not been implemented in 2013 due to no availability of on-board facilities on vessels ranging from 10-15m. 20 fisheries officers are being trained. Has planned to deploy the first observers on vessels >24m in May 2014 (Source IOTC-2014-CoC11-IR25).	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/C	N/C	N/C	N/C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	N/C	N/C		
10.4.		• 5 % Phasing in Artisanal landings ²	02.04.2014	C	C	C	C		
10.5.		Observer reports	150 days after trip	N/C	N/C	N/C	N/C	No observer scheme.	
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report	01.10	N/A	N/A	N/A	N/A	Does not import Bigeye tuna.	
11.2.		2 nd Semester report	01.04	N/A	N/A	N/A	N/A		
11.3.		Annual report ²	02.04.2014	C	P/C	L	P/C	Mandatory report not provided. Has exported BET to Korea and EU in 2013. Has reported practical difficulty of implementation due to non-declaration of BET separately in consignment, discussions in progress with Customs Department to rectify this issue (Source IOTC-2014-CoC11-IR25).	

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11.4.		Information on authorised institutions and personnel	01.07.2002	C	C	C	C	Last update: 10.04.14	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	C	C	C	P/C	Species and quantities not provided.	
12.2.	Res. 10/11	List of designated ports	By 31.12.10	C	C	C	C	Has designated 2 ports.	
12.3.		Designated competent Authority		C	C	C	C		
12.4.		Prior notification periods		C	C	C	C		
12.5.		Inspection report	3 days after inspection	N/C	N/C	L	C	3 reports provided in 2013. 100% inspection of LAN or TRX (CQ 201`)	
12.6.		At least 5% inspection of LAN or TRX	Since 01.03.2011			C	C		
12.7.	Denial of entry in port			N/A	N/A	C	C	No foreign vessel denied entry.	
13. Market									
13.1.	Res. 10/10	Report on import, landing, transshipment of tuna and tuna-like fish products in ports ²	02.04.2014			N/C	N/C	No information provided	

Feedback to Sri Lanka on the level of implementation of IOTC Conservation and Management Measures identified by the CoC10 in 2013.

Feedback: With regards to the level of compliance by Sri Lanka to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Sri Lanka by the Chair of the Commission in a letter dated 10th May 2013.

<ul style="list-style-type: none">• Resolution 06/03 (VMS): The CoC noted that Sri Lanka has not implemented a VMS as required by this resolution. While NOTING the progress made by Sri Lanka to set up its national VMS despite the financial and operational difficulties being experienced in deploying such a system, the CoC ENCOURAGED Sri Lanka to make further improvements in the implementation of its national VMS and report progress during the intersessional period.
<ul style="list-style-type: none">• Resolution 11/04 (Regional Observer Scheme): Noting that Sri Lanka has not implemented an observer scheme as required by this resolution and that Sri Lanka expressed its commitments to fully implement the program, the CoC ENCOURAGED Sri Lanka to continue towards full implementation during the intersessional period.
<ul style="list-style-type: none">• Resolution 10/02 (Minimum reporting requirements): The CoC NOTED that Sri Lanka has not reported nominal catch, catch & effort data, and size frequency data at the standard required by this resolution, for the coastal fishery, surface fishery and longline fishery. The CoC ENCOURAGED Sri Lanka to make further improvements in data collection and reporting during the intersessional period.
<ul style="list-style-type: none">• Resolution 05/05 (Sharks data): The CoC NOTED that Sri Lanka has not reported data on sharks at the standard required by this resolution - catch for gillnet and longline reported combined; data not by IOTC grid, and no size data available. The CoC ENCOURAGED Sri Lanka to make further improvements in data collection and reporting during the intersessional period.
<ul style="list-style-type: none">• Resolution 12/05 (Regional Observer Programme): Noting that Sri Lanka has not provided the mandatory report on transshipments in port, the CoC URGED Sri Lanka to report the information as soon as possible.

Response: The response to the letter of the Chair of the Commission was provided by Sri Lanka on 28th March 2014.

Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC11 in 2014.

Having reviewed the 2014 Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<ul style="list-style-type: none">• Has not implemented an observer programme as required by Resolution 11/04.
<ul style="list-style-type: none">• Has not implemented the legal and administrative measures to implement the area closure for purse seiner, as required by Resolution 12/13.
<ul style="list-style-type: none">• Has not provided the VMS report on the progress and implementation, as required by Resolution 06/03.
<ul style="list-style-type: none">• Has not provided length frequency data for coastal fisheries, as required by Resolution 10/02.
<ul style="list-style-type: none">• Has not transposed the prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>) into national legislation, as required by Resolution 13/06.
<ul style="list-style-type: none">• Has not transposed the provision for purse seiners to carry dip nets into national legislation, as required by Resolution 12/04.
<ul style="list-style-type: none">• Has not transposed the provision for longliners to carry line cutters and de-hookers into national legislation, as required by Resolution 12/04.
<ul style="list-style-type: none">• Has not provided the report on import, landing, transshipment of tuna and tuna-like fish products in ports as required by Resolution 10/10.
<ul style="list-style-type: none">• Has not provided a FADs management plan as required by Resolution 13/08.