

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CoC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (02.04.2014)	L	C	L	C	Received 10.04.14	
1.2.	Res. 10/09	Compliance Questionnaire	17.03.2014	L	C	L	C	Received 09.04.14	
1.3.	SC04	National Report	17.11.2013			C	C	Received 17.11.13	
1.4.	S17	Feedback letter	02.04.2014			N/C	N/C	No response provided.	
<b>2. Management Standards</b>									
2.1.	Res. 13/02	Documents listed in this resolution on board <sup>2</sup>	02.04.2014	C	C	C	C		
		Marking of vessels <sup>2</sup>		L	C	L	C		
		Marking of gears <sup>2</sup>		N/C	N/C	N/C	N/C	No information provided.	
		Marking of FADs <sup>2</sup>		N/A	N/A	N/A	N/A	Does not have purse seine fleet.	
		Logbook on board <sup>2</sup>		C	C	C	C		
		Official authorisation to fish outside National Jurisdictions	15.02.2014			L	C	Received 03.02.14.	
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014			C	C	Received 11.02.14	
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	02.04.2014	L	C	C	C	Banned since 2010.	
2.4.	Res. 13/08	FADs management plan	31.12.2013			N/A	N/A	Does not have purse seine fleet.	
2.5.	Res. 12/13	Legal and administrative measures to implement the area Closure	For LL 18.12.2013	L	P/C	N/C	N/C	No information provided.	
2.6.			For PS 17.09.2013	N/A	N/A	N/A	N/A	Does not have purse seine fleet.	
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	P/C	Mandatory information missing: vessel type, owner address.	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Submission: 27.05.10 (1 <sup>st</sup> update)	
3.3.	Res. 12/11	Reference Capacity							
		List of vessels <sup>3</sup> for Tropical Tuna during 2006.	31.12.2009	C	C	C	C		
List of vessels <sup>3</sup> for SWO and ALB during 2007	N/A	N/A		N/A	N/A	No vessel targeting SWO/ ALB.			
3.4.	Res. 13/02	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	P/C	C	P/C	Missing mandatory information: operating port.	

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel of less than 24m, operating in the IOTC Area.		
3.6.	Res. 13/07	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	According to the Act Governing the Right to Fish in Thai Waters of 1939, foreign fishing vessels are not allowed to fish in Thai waters (Source IOTC-2013-CoC10-IR28).		
3.7.		List of foreign vessels denied a licence	15.02			N/A	N/A			
3.8.		Access Agreement information	07.03.2013	N/A	N/A	N/A	N/A			
3.9.		Official coastal State fishing License	14.01.2014			N/A	N/A			
<b>4. Vessel Monitoring System</b>										
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	C	C	C	C			
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	N/C	N/C	N/C	N/C	Mandatory report not provided		
4.3.	Res. 12/13	Summary of VMS record <sup>2</sup>	02.04.2014	L	P/C	N/C	N/C	Mandatory report not provided		
<b>5. Mandatory statistical requirement – Flag State CPCs</b>										
5.1.	Res. 10/02	Nominal Catch								
		• Coastal fisheries	30.06	L	C	C	C			
		• Surface fisheries	30.06	N/A	N/A	N/A	N/A	Does not have purse seine fleet.		
		• LL	Provisional	30.06	L	C	C	C		
Final			30.12	C	C	C	C			
Catch & Effort										
5.2.		• Coastal fisheries	30.06	L	C	C	C			
		• Surface fisheries	30.06	N/A	N/A	N/A	N/A	Does not have purse seine fleet.		
		• LL	Provisional	30.06	L	C	C	C		
			Final	30.12	C	C	C	C		
Size Frequency										
5.3.	• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	Mandatory report not submitted.			
	• Surface fisheries	30.06	N/A	N/A	N/A	N/A	Does not have purse seine fleet.			
	• LL	Provisional		N/C	N/C	N/C	N/C	Mandatory report not submitted.		
		Final	30.12	N/C	N/C	N/C	N/C	Mandatory report not submitted.		
Fish Aggregating Devices (FAD)										
5.4.	Supply vessels	30.06	N/A	N/A	N/A	N/A	Does not have purse seine fleet.			
	Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A				
	FADs set by type	30.06	N/A	N/A	N/A	N/A				
<b>6. Mandatory statistical requirement – Coastal State CPC</b>										
6.1.	Res. 10/02	Catch & Effort								
		• Surface fisheries	30.06			N/A	N/A			
		Provisional	30.06			N/A	N/A			

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				Final	30.12	Timeliness	Content		
<b>7. Implementation of mitigation measures and bycatch of non-IOTC species</b>									
7.1.	Res. 05/05	Submission of data regarding Sharks	30.06	L	P/C	L	P/C	Aggregated by species	
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	P/C	C	P/C	Industry has been informed about the Resolution (Source IOTC-2013-CoC10-IR28).	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks ( <i>Carcharhinus longimanus</i> )	Since 14.08.2013			L	P/C	Has reported fishing company was instructed (Source IOTC-2014-CoC11-IR28).	
7.4.	Res. 12/04	Sea turtles report <sup>2</sup>	02.04.2014	L	P/C	C	P/C	Thai Fisheries Act of 1947 prohibits exploitation of marine turtles. No figure on interaction reported.	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	N/C	N/C	N/C	N/C	No information provided.	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	Does not have purse seine fleet.	
7.7.	Res. 10/06	Seabirds report <sup>2</sup>	02.04.2014	L	P/C	N/C	N/C	No figure on interaction reported.	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Two tuna longliners are using bird scaring lines (Source IOTC-2014-CoC11-IR28).	
7.9.	Res. 13/04	Instances of Cetaceans encircled <sup>2</sup>	For PS 02.04.2014			N/A	N/A	Does not have purse seine fleet.	
7.10.	Res. 13/05	Instances Whale Sharks encircled <sup>2</sup>	For PS 02.04.2014			N/A	N/A	Does not have purse seine fleet.	
<b>8. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (23.03.2014)	C	C	C	C	Previously assessed as N/A. No vessel and national have been identified in IUU fishing activities.	
8.2.	Res. 07/01	Compliance by nationals	02.04.2014	C	C	C	C		
<b>9. Transhipments</b>									
9.1.	Res. 12/05	At sea transhipments – CPC report	Before 15.09	N/C	N/C	L	C		
9.2.		Transhipments in port report <sup>2</sup>	02.04.2014	N/C	N/C	N/C	N/C	Mandatory report not provided.	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	C	C	Received 16.03.14.	
9.4.		Report on results of investigations on possible infractions.	25.02.2014	N/C	N/C	L	P/C	Possible infractions: 6. Responses received: 4. (Responses received after the deadline).	
9.5.		ROP fee	15.08.2013			L	C	Received 28.10.13	
<b>10. Observers</b>									

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10.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	02.04.2014	N/C	N/C	N/C	N/C	Has no experience and qualified Thai observers available. Seeks capacity building for its officers (Source IOTC-2013-CoC10-IR28).	
10.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	N/C	N/C	N/C	N/C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	N/C	N/C		
10.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	02.04.2014	N/C	N/C	N/C	N/C		
10.5.		Observer reports	150 days after trip	N/C	N/C	N/C	N/C		
<b>11. Statistical document programme</b>									
11.1.	Res. 01/06	1 <sup>st</sup> Semester report	01.10	N/C	N/C	N/C	N/C	Mandatory report not provided.	
11.2.		2 <sup>nd</sup> Semester report	01.04	C	C	C	C		
11.3.		Annual report <sup>2</sup>	02.04.2014	N/C	N/C	N/C	N/C	Mandatory report not provided.	
11.4.		Information on authorised institutions and personnel	01.07.2002	C	C	C	P/C	Last update: 14.04.2004. Mandatory information missing: organisation sample seal, individual sample signature.	
<b>12. Port inspection</b>									
12.1.	Res. 05/03	Port inspection programme	01.07	C	C	L	C	Received 29.10.2013.	
12.2.	Res. 10/11	List of designated ports	By 31.12.10	N/C	N/C	N/C	N/C	No information provided.	
12.3.		Designated competent Authority		N/C	N/C	N/C	N/C		
12.4.		Prior notification periods		N/C	N/C	N/C	N/C		
12.5.	Res. 10/11	Inspection report	3 days after inspection	N/C	N/C	N/C	N/C	Has indicated 174 calls in ports and 25 foreign vessels inspected in 2012 (CQ 2014).	
12.6.		At least 5% inspection of LAN or TRX	Since 01.03.2011	N/C	N/C	N/C	N/C		
12.7.		Denial of entry in port		N/A	N/A	N/C	N/C		
<b>13. Market</b>									
13.1.	Res. 10/10	Report on import, landing, transhipment of tuna and tuna-like fish products in ports <sup>2</sup>	02.04.2014			N/C	N/C	Mandatory report not provided. Has indicated information is aggregated in total production. Production of Indian Ocean difficult to classify. Information available at Customs Department.	

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**Feedback to Thailand on the level of implementation of IOTC Conservation and Management Measures identified by the CoC10 in 2013.**

**Feedback:** With regards to the level of compliance by Thailand to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Thailand by the Chair of the Commission in a letter dated 10 May 2013.

<ul style="list-style-type: none"><li>• Resolution 10/11 (On port state measures to prevent, deter and eliminate illegal, unreported and unregulated fishing): The CoC noted the difficulties faced by Thailand with regards to the implementation of the resolution, particularly the need for better cooperation between national ports authorities, and noted that Thailand has not provided the list of the designated ports. The CoC <b>URGED</b> Thailand to implement the port States measures and report the information requested under the resolution during the intersessional period.</li></ul>
<ul style="list-style-type: none"><li>• Resolution 11/04 (Regional Observer Scheme): Noting the difficulties faced by Thailand in implementing a regional observer scheme as outlined in this resolution, largely due to national resource limitations, the CoC <b>ENCOURAGED</b> Thailand to make further improvements in the intersessional period.</li></ul>
<ul style="list-style-type: none"><li>• Resolution 10/02 (Minimum reporting requirements): While noting the progress that Thailand has made over the past year in implementing and improving upon data collection and reporting systems, the CoC noted that Thailand has not reported size frequency data for the coastal fishery and longline fishery. The CoC <b>URGED</b> Thailand to make further improvements in data collection and reporting during the intersessional period.</li></ul>
<ul style="list-style-type: none"><li>• Resolution 12/05 (Regional Observer Programme): Noting that Thailand has not provided the mandatory report on transshipments in port and the mandatory report on transshipments carried out at sea, the CoC <b>URGED</b> Thailand to report the information as soon as possible.</li></ul>
<ul style="list-style-type: none"><li>• Resolution 01/06 (Statistical Document programme). Noting that Thailand has not provided the mandatory report on comparison of export and import, the CoC <b>URGED</b> the Thailand to submit the required information as soon as possible.</li></ul>

**Response:** Thailand has not provided its response to the letter of the Chair of the Commission.

**Current issues on the level of implementation by Thailand of IOTC Conservation and Management Measures identified for discussion in the CoC11 in 2014.**

Having reviewed the 2014 Compliance Report for Thailand, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<ul style="list-style-type: none"><li>• Has not provided a response to the letter of feedback, as required by the S17.</li></ul>
<ul style="list-style-type: none"><li>• Has not implemented marking of fishing gear, as required by 13/02.</li></ul>
<ul style="list-style-type: none"><li>• Has not provided information on the implementation of the legal and administrative measures to implement the area closure, as required by Res. 12/13.</li></ul>
<ul style="list-style-type: none"><li>• Has not provided the mandatory VMS report on the progress and implementation, as required by Res. 06/03.</li></ul>
<ul style="list-style-type: none"><li>• Has not provided the mandatory summary of VMS record for longliners, as required by Res. 12/13.</li></ul>
<ul style="list-style-type: none"><li>• Has not transposed the provision for longliner to carry line cutters and de-hookers on board into the national legislation, as required by Res. 12/04.</li></ul>
<ul style="list-style-type: none"><li>• Has not provided the mandatory seabirds report, as required by Res. 10/06.</li></ul>
<ul style="list-style-type: none"><li>• Has not reported size frequency data, as required by Res. 10/02, for coastal fisheries and longline fishery.</li></ul>
<ul style="list-style-type: none"><li>• Has not provided the mandatory report on transshipments in port and its feedback on all observer reports which highlight possible infractions, as required by Res. 12/05.</li></ul>
<ul style="list-style-type: none"><li>• Has not reported a list of designated ports, competent authorities and notification period, and has not submitted inspection reports as required by Res. 10/11.</li></ul>
<ul style="list-style-type: none"><li>• Has not implemented an observer programme, as required by Res. 11/04.</li></ul>
<ul style="list-style-type: none"><li>• Has not provided the mandatory 1st Semester report, 2nd Semester report and the report on comparison of export and import, as required by Res. 01/06.</li></ul>