



## IOTC-2015-CoC12-CR01 Rev2[E]

**IOTC Compliance Report for: Australia** Report produced on: 23/03/2015

NTO.	G.	Information required	Deadline/	Previous	Status <sup>1</sup>	Current Status		Ol second the second	CDC D
N°	Source		Remark	Timeliness	Content	Timeliness	Content	Observations	CPC Remarks
. I	mplementation	obligations	l	1	J.	1	J.		
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (26.02.2015)	С	С	С	С	Received 26.02.15.	
.2.	Res. 10/09	Compliance Questionnaire	09.02.2015	C	С	C	С	Received 09.02.15.	
3.	SC	National Scientific Report	23.11.2014	С	C	C	C	Received 11.11.14.	
4.	Commission	Feedback letter	26.02.2015	C	C	C	C	Received 02.09.14.	
N	Ianagement Sta	ndards			,		,		
		Documents listed in this resolution on board <sup>2</sup>		С	С	С	С		
	Res. 14/04	Marking of vessels <sup>2</sup>	26.02.2015	С	С	С	С	Source - IOTC-2015-CoC12-IR01.	
1.		Marking of gears <sup>2</sup>		С	С	С	С		
	103. 14/04	Marking of FADs <sup>2</sup>		С	С	С	С		
	I	Logbook on board <sup>2</sup>		С	С	С	С		
		Official authorisation to fish outside National Jurisdictions	15.02.2014	С	С	С	С	Received 12.02.14.	
2.	Res. 13/03	Official fishing logbooks	15.02.2014	С	С	С	С	Received 31.01.14.	
3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	26.02.2015	С	С	С	С	Source - IOTC-2014-CoC11-IR01.	
4.	Res. 13/08	FADs management plan	31.12.2013	L	С	C	С	Received 01.05.14.	
F	Reporting on Ve	ssels							
.1.	Res. 10/08	List of Active vessels	15.02	С	P/C	С	P/C	Received 13.02.15. Some mandatory information not to IOTC standard: provides GRT instead of GT.	
.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed that it will not submit a FDP.	
		2	-		Refe	erence Capacity	у		
3.3.	Res. 12/11	List of vessels <sup>3</sup> for Tropical Tuna during 2006	31.12.2009	С	С	С	С	Received 11.05.07.	
		List of vessels <sup>3</sup> for SWO and ALB during 2007	31.12.2009	C	С	С	С	Received 30.06.08.	
4.	Res. 14/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	С	P/C	С	P/C	Mandatory information not to IOTC standard: provides GRT instead of GT.	

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

N°	Source	Information required	Deadline/	Previous	Status <sup>1</sup>	Current Sta	tus	Observations	CDC Damarka	
N°	Source	Information	ı required	Remark	Timeliness	Content	Timeliness	Content	Observations	CPC Remarks
3.5.		than 24m, op outside EEZ	orized vessels (less perating in waters of the flag state)	Since 01.07.2006	С	P/C	С	P/C	Mandatory information not to IOTC standard: provides GRT instead of GT.	
3.6.		List of foreign vessels licensed in EEZ  List of foreign vessels denied a licence		15.02	N/A	N/A	N/A	N/A	Source - CQ 2015 and IOTC-2015- CoC12-IR01; received 09.02.15 and	
3.7.	Res. 14/05			15.02	N/A	N/A	N/A	N/A		
3.8.			ement information	26.02.2015	N/A	N/A	N/A	N/A	26.02.15, respectively.	
3.9.		License	stal State fishing	14.01.2014	N/A	N/A	N/A	N/A		
4. V	essel Monitorin									1
4.1.	Res. 06/03		MS for all vessels 15 metres in length	Since 01.07.2007	С	С	С	С	Source - IOTC-2014-SC17-NR01 VMS adopted since 01.07.07.	
4.2.		implementat		30.06 Vessels > 15 m	L	С	С	С	Received 30.06.14.	
5. N	Iandatory statis	tical requiren	nent – Flag State CPCs	1						
							ominal Catch			
		Coastal fisheries		30.06	N/A	N/A	N/A	N/A	No coastal Fisheries	
5.1.		Surface fi	sheries: PS, BB, GN	30.06	C	C	C	C		
		• LL	Provisional Final	30.06 30.12	C C	C C	C C	C C		
	-		rınaı	30.12	C		atch & Effort	C		
		Coastal fisheries		30.06	N/A	N/A	N/A	N/A	No coastal Fisheries.	
5.2.			sheries: PS, BB, GN	30.06	C	C	C	C	Tio Coupling Tipinories	
0.2.			Provisional Provisional	30.06	C	C	C	C		
		• LL	Final	30.12	C	C	C	C		
	Res. 10/02		1			Siz	ze Frequency			J
İ	11051 10702	Coastal fix	sheries	30.06	N/A	N/A	N/A	N/A	No coastal Fisheries	
5.3.		Surface fi	sheries: PS, BB, GN	30.06	L	С	P/C	P/C	Not reporting to IOTC standard (less than1 fish per metric ton of catch per species)	
			Provisional	30.06	L	PC	P/C	P/C	Not reporting to IOTC standard (less	
		• LL	Final	30.12	С	P/C	P/C	P/C	than1 fish per metric ton of catch per species)	
	1		*	•		Fish Aggre	gating Devices	s (FAD)	· ·	
5.4.		Supply vesse		30.06	N/A	N/A	N/A	N/A		
3.4.			by supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.	
		FADs set by		30.06	N/A	N/A	N/A	N/A		
6. N	landatory statis	tical requiren	nent – Coastal State CI	<b>PCs</b>						

N°	G	T. C		Deadline/	Previous	Status <sup>1</sup>	Current Sta	itus	Observed the second	CPC Remarks
N°	Source	information	Information required		Timeliness	Content	Timeliness	Content	Observations	
						C	atch & Effort			
6.1.	Res. 10/02	<ul> <li>Surface</li> </ul>	fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	Has not licensed foreign vessels in	
	Res. 10/02	• LL	Provisional	30.06	N/A	N/A	N/A	N/A	2014	
		• LL	Final	30.12	N/A	N/A	N/A	N/A	(Source IOTC-2013-CoC10-IR01).	
. I	mplementation		n measures and bycatch o	of non-IOTC speci	ies					
		Sharks – N	n of data regarding Iominal catch	30.06	С	С	С	C		
7 1	Res. 05/05		Submission of data regarding Sharks – Catch & effort		L	С	L	C		
7.1.	Kes. U5/U5	Submission of data regarding Sharks – Size frequency		30.06	L	С	N/C	N/C	No data reported for the 2013 calendar year. Logbook data is also source of size data but none was received for 2013.	
7.2.	Res. 12/09		n on thresher sharks of cies of the family	Since 07.07.2010	С	С	С	С	Source IOTC-2014-SC17-NR01 - Prohibition through permit conditions since 2011.	
7.3.	Res. 13/06	Prohibition sharks	n on oceanic whitetip	Since 14.08.2013	С	P/C	С	С	Source - IOTC-2014-CoC11-IR01: has been implemented through conditions on boat SFRs in the Western Tuna and Billfish Fishery (Attachment A).	
'.4.		Sea turtles	report <sup>2</sup>	26.02.2015	С	С	С	С	Source - IOTC-2013-SC16-NR01 and IOTC-2014-SC17-NR01: Protected under Environment Protection and Biodiversity Conservation Act 1999. No interaction reported.	
'.5.	Res. 12/04		cutters and de-hookers Longliners)	Since 06.08.2009	С	С	С	С	Source - IOTC-2014-SC17-NR01 and IOTC-2015-CoC12-IR01: all longline vessels to carry at least one line cutter and one de-hooker.  No legal reference provided.	
'.6.			nets (Purse seiners)	Since 06.08.2009	L	С	С	С	Received 16.05.14. SCUBA divers handle the release of any incidentally caught turtles.  No legal reference provided.	
7.7.	Res. 12/06	Seabirds re	eport <sup>2</sup>	26.02.2015	C	C	C	C	Source - IOTC-2014-SC17-NR01:	

N°	Source	Information required	Deadline/	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
11	Source		Remark	Timeliness	Content	Timeliness	Content	ODSCI VALIDIIS	Cr C Kelliarks
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	С	С	С	С	Threat Abatement Plan adopted in 1998 and subsequently updated in 2014. Mandatory for Australian longline fishing concession holders to employ an approved line-weighting strategy and a bird-scaring line or another approved method.  No legal reference provided.	
7.9.	Res. 13/04	Instances of Cetaceans encircled <sup>2</sup>	For PS 26.02.2015	С	С	С	С	Source - IOTC-2014-SC17-NR01 and IOTC-2015-CoC12-IR01: prohibited	
	Res 13/05	Instances Whale Sharks encircled <sup>2</sup>	For PS 26.02.2015	С	С	С	С	by Australian Law (EPBC Act). No reported encirclements.	
8. Ill	egal, Unreport	ed and Unregulated (IUU) Vessels			i		1		
8.1.	Res. 11/03	IUU listing	Session - 70 ds (16.02.2015)	С	С	С	С	No vessel or national identificatied in IUU fishing activities.	
8.2.	Res. 07/01	Compliance by nationals	26.02.2015	C	C	C	C	100 fishing activities.	
9. Tr	ranshipments								
9.1.		At sea transhipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.2.	Res. 14/06	Transhipments in port report <sup>2</sup>	26.02.2015	L	C	С	С	Source – IOTC-2015-CoC12-IR01 Rev1: Australia did not authorise any transhipments by Australian flagged fishing vessels authorised to fish in the IOTC Area of Competence in 2014.	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A		
9.4.		Report on results of investigations on possible infractions	19.02.2015	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.5.		ROP fee	16.08.2014	N/A	N/A	N/A	N/A		
10. Ol	bservers				1				
10.1.		Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	26.02.2015	С	С	С	P/C	Source - IOTC-2014-SC17-NR01: an observer scheme existe, no deployment in 2013 calendar year.	
10.2.	D 11/04	• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	С	С	N/C	N/C	Source - IOTC-2014-SC17-NR01 and IOTC-2015-CoC12-IR01: indicate no coverage for 2013 calendar year.	
10.3.	Res. 11/04	• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessels of <24m fishing outside of its EEZ.	
10.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	26.02.2015	С	С	С	С	Source - IOTC-2014-SC17-NR01: done by observers on board fishing vessels or in port	
10.5.		Observer reports	150 days after	P/C	P/C	N/A	N/A	Australia has reported: No observer	

N°	C	Tofoursetion monetand	Deadline/	Previous	Status <sup>1</sup>	Current Sta	itus	Observations	CPC Remarks
IN.	Source	Information required	Remark	Timeliness	Content	Timeliness	Content	Observations	CPC Remarks
			trip					reports are available for 2013 due to a	
								lack of observer coverage in the 2013	
								calendar year.	
11. S	tatistical docum	ent programme							
11.1.		1 <sup>st</sup> Semester report (2014)	01.10.14	C	C	C	C	Received 26.08.14.	
11.2.	Res. 01/06	2 <sup>nd</sup> Semester report (2013)	01.04.14	C	C	C	C	Received 29.01.15.	
11.3.		Annual report <sup>2</sup> (2013)	26.02.2015	С	C	L	C	Received: 24.03.15 and 13.04.15.	
11.4.		Information on authorised	Since	С	P/C	С	С	Last update: 10.03.15	
11.4.		institutions and personnel	01.07.2002	C	r/C	C	C	Last update. 10.03.13	
12. P	ort inspection								
12.1.	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Source – CQ 2015: No foreign vessels	
	Res. 05/05	· · · ·	01.07	14/21	11/11		14/21	called into its ports.	
12.2.		List of designated ports	Since	C	C	C	C	Foreign vessels may access any Australian port.	
12.3.		Designated competent Authority	31.12.10	C	C	C	C		
12.4.		Prior notification periods	31.12.10	C	C	C	C	Australian port.	
12.5.	Res. 10/11	Inspection report	3 days after	N/A	N/A	N/A	N/A		
12.3.	KC3. 10/11	1	inspection	IV/A	IV/A	IV/A	IV/A	Source – CQ 2015: No foreign vessels	
12.6.		At least 5% inspection of LAN or	Since	N/A	N/A	N/A	N/A	requested entry into its ports.	
		TRX Inspection report	01/03/2011		IV/A	IV/A		requested entry into its ports.	
12.7.		Denial of entry in port	01/03/2011	N/A	N/A	N/A	N/A		
13. N	Iarket								
		Report on import, landing and							
13.1.	Res. 10/10	transhipment of tuna and tuna-like fish products in ports <sup>2</sup>	26.02.2015	С	С	С	С	Received 26.08.14 and 29.01.15.	
		fish products in ports							

## Feedback to Australia on the level of implementation of IOTC Conservation and Management Measures identified by the CoC11 in 2014.

**Feedback:** With regards to the level of compliance by Australia to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Australia by the Chair of the Commission in a letter dated 5<sup>th</sup> June, 2014.

- Catch and effort and size data for sharks have not been provided, as required by Res. 05/5.
- Some mandatory information (signature of Authorised Officer) have not been provided, as required by Res. 01/06.

**Response:** The response to the letter of the Chair of the Commission was provided by Australia on 2 September 2014.

## <u>Current issues on the level of implementation by Australia of IOTC Conservation and Management Measures identified for discussion in the CoC12 in 2015.</u>

Having reviewed the 2015 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2014)	Previous Status (2013)
Repeated compliance issues		
• Has not provided mandatory information to IOTC Standard for the list of vessels active in 2014; provides GRT instead of GT, as required by Resolution 10/08.	P/C	P/C
• Has not provided mandatory information to IOTC Standard on the List of Authorized vessels; provides GRT instead of GT, as required by Resolution 14/04.	P/C	P/C
• Has not reported size frequency to IOTC Standard for the longline fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 10/02.	P/C	P/C
Non-repeated compliance issues		
• Has not reported size frequency to IOTC Standard for the surface fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 10/02.	P/C	C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	C
• Has not implemented the observer sheme in 2013, no deployment for the calendar year 2013, as required by Resolution 11/04.	P/C	С
• Has not implemented the observer scheme in 2013, no deployment and no observer coverage at sea for vessel > 24m for the calendar year 2013, as required by Resolution 11/04.	N/C	С