

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (26.02.2015)	L	C	C	C	Received 24.02.15	
1.2.	Res. 10/09	Compliance Questionnaire	09.02.2015	C	C	L	C	Received 24.02.15	
1.3.	SC	National Scientific Report	23.11.2014	C	C	C	C	Received 18.11.14	
1.4.	Commission	Feedback letter	26.02.2015	C	C	C	C	Received 24.02.15	
<b>2. Management Standards</b>									
2.1.	Res. 14/04	Documents listed in this resolution on board <sup>2</sup>	26.02.2015	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
		Marking of vessels <sup>2</sup>		N/A	N/A	N/A	N/A		
		Marking of gears <sup>2</sup>		N/A	N/A	N/A	N/A		
		Marking of FADs <sup>2</sup>		N/A	N/A	N/A	N/A		
		Logbook on board <sup>2</sup>	N/A	N/A	N/A	N/A			
		Official authorisation to fish outside National Jurisdictions	15.02.2014	N/A	N/A	N/A	N/A		
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014	N/A	N/A	N/A	N/A		
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	26.02.2015	N/A	N/A	N/A	N/A		
2.4.	Res. 13/08	FADs management plan	31.12.2013	N/A	N/A	N/A	N/A		
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	P/C	P/C	L	P/C	Letter Received 24.02.15	
3.3.	Res. 12/11	Reference Capacity							
		List of vessels <sup>3</sup> for Tropical Tuna during 2006	31.12.2009	L	C	N/A	N/A	Source – CAP: have reported one vessel active in 2007, targeting SWO/ALB.	
List of vessels <sup>3</sup> for SWO and ALB during 2007	L	C		C	C				
3.4.	Res. 14/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A		

<sup>1</sup> C = Compliant; N/C = Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	C	P/C	C	C	Received 04.02.15.	
3.7.		List of foreign vessels denied a licence	15.02	C	C	L	C	Received 17.03.15.	
3.8.		Access Agreement information	26.02.2015	C	C	C	C	Source - mandatory report (received 26.02.15). No access agreement in force.	
3.9.		Official coastal State fishing License	14.01.2014	L	C	C	C	Received 15.04.14	
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	C	C	N/A	N/A	No vessels in the record of authorised vessels.	
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	C	C	C	C	Source - mandatory report: NIL report.	
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
5.1.	Res. 10/02	Nominal Catch							
		• Coastal fisheries	30.06	L	C	L	C	Source - IOTC-2014-SC17-NR13.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No purse seiner ever authorised.	
		• LL	Provisional	30.06	N/A	N/A	N/A	N/A	Activity stopped in 2011.
Final			30.12	N/A	N/A	N/A	N/A		
5.2.		Catch & Effort							
		• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	Mandatory report not submitted.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No purse seiner ever authorised.	
		• LL	Provisional	30.06	N/A	N/A	N/A	N/A	Activity stopped in 2011.
Final			30.12	N/A	N/A	N/A	N/A		
5.3.	Size Frequency								
	• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	Mandatory report not submitted.		
	• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No purse seiner ever authorised.		
	• LL	Provisional	30.06	N/A	N/A	N/A	N/A	Activity stopped in 2011.	
Final		30.12	N/A	N/A	N/A	N/A			
5.4.	Fish Aggregating Devices (FAD)								
	Supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.		
	Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A			
FADs set by type	30.06	N/A	N/A	N/A	N/A				
<b>6. Mandatory statistical requirement – Coastal State CPCs</b>									
6.1.	Res. 10/02	Catch & Effort							
		• Surface fisheries: PS, BB, GN	30.06	N/C	N/C	N/C	N/C	No information provided.	
		• LL	Provisional	30.06	N/C	N/C	N/C	37 foreign purse seiners authorised	

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		Final	30.12	N/C	N/C	N/C	N/C	and 4 foreign longliners authorised in 2013.	
<b>7. Implementation of mitigation measures and bycatch of non-IOTC species</b>									
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	L	P/C	L	P/C	Source - IOTC-2014-SC17-NR13: aggregated by species.  No information provided.	
		Submission of data regarding Sharks – Catch & effort	30.06	N/C	N/C	N/C	N/C		
		Submission of data regarding Sharks – Size frequency	30.06	N/C	N/C	N/C	N/C		
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	N/C	N/C	L	C	Source IOTC_2014-SC17-NR13 - Voluntary release in the recreational fishery. No legal reference provided.	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels. Previously assessed as N/C.	
7.4.	Res. 12/04	Sea turtles report <sup>2</sup>	26.02.2015	L	C	C	C	Source - IOTC_2015-CoC12-IR13: a National Strategy exists and is implemented by multiple agencies.  No vessels in the record of authorised vessels.	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	N/A	N/A	N/A	N/A		
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A		
7.7.	Res. 12/06	Seabirds report <sup>2</sup>	26.02.2015	N/A	N/A	N/A	N/A		
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		
7.9.	Res. 13/04	Instances of Cetaceans encircled <sup>2</sup>	For PS 26.02.2015	N/A	N/A	N/A	N/A		
7.10.	Res 13/05	Instances Whale Sharks encircled <sup>2</sup>	For PS 26.02.2015	N/A	N/A	N/A	N/A		
<b>8. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (16.02.2015)	C	C	C	C	No vessel or national identified in IUU fishing activities.	
8.2.	Res. 07/01	Compliance by nationals	26.02.2015	C	C	C	C		
<b>9. Transhipments</b>									
9.1.	Res. 14/06	At sea transhipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
9.2.		Transhipments in port report <sup>2</sup>	26.02.2015	N/A	N/A	N/A	N/A		
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A		
9.4.		Report on results of investigations on possible infractions	19.02.2015	N/A	N/A	N/A	N/A		
9.5.		ROP fee	16.08.2014	N/A	N/A	N/A	N/A		

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<b>10. Observers</b>									
10.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	26.02.2015	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
10.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	N/A	N/A	N/A	N/A		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A		
10.4.		• 5% Phasing in Artisanal landings <sup>2</sup>	26.02.2015	N/C	N/C	C	P/C	Source - IOTC_2014-SC17-NR13: a sampling program started in 2013. No indication of coverage.	
10.5.		Observer reports	150 days after trip	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
<b>11. Statistical document programme</b>									
11.1.	Res. 01/06	1 <sup>st</sup> Semester report (2014)	01.10.14	N/A	N/A	N/A	N/A	Does not import BET	
11.2.		2 <sup>nd</sup> Semester report (2014)	01.04.14	N/A	N/A	N/A	N/A		
11.3.		Annual report <sup>2</sup> (2013)	26.02.2015	N/A	N/A	N/A	N/A	No vessels in the record of authorised vessels.	
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	P/C	C	C	Last update: 15.01.15	
<b>12. Port inspection</b>									
12.1.	Res. 05/03	Port inspection programme	01.07	L	C	L	C	Received 04.02.15	
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated one port.	
12.3.		Designated competent Authority		C	C	C	C		
12.4.		Prior notification periods		C	C	C	C		
12.5.		Inspection report	3 days after inspection	L	C	L	C	2 inspection reports submitted 25 LAN/TRX 8 % inspection LAN/TRX	
12.6.		At least 5% inspection of LAN or TRX Inspection report	Since 01/03/2011	L	C	L	C		
12.7.		Denial of entry in port		C	C	L	C	Received 04.02.15: no denial of entry to port.	
<b>13. Market</b>									
13.1.	Res. 10/10	Report on import, landing and transhipment of tuna and tuna-like fish products in ports <sup>2</sup>	26.02.2015	L	C	C	C	Received 04.02.15	

**Feedback to Kenya on the level of implementation of IOTC Conservation and Management Measures identified by the CoC11 in 2014.**

**Feedback:** With regards to the level of compliance by Kenya to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Kenya by the Chair of the Commission in a letter dated 5<sup>th</sup> June, 2014.

• Has not provided catch & effort data of foreign vessels (PS & LL), as required by Res. 10/02.
• Has not provided catch & effort data for coastal fisheries, as required by Res. 10/02.
• Has not provided size frequency data for the coastal fishery, as required by Res. 10/02.
• Has not implemented the prohibition on thresher sharks of all the species of the family <i>Alopiidae</i> and on oceanic whitetip sharks ( <i>Carcharhinus longimanus</i> ), as required by Res. 12/09 and 13/06.
• No sampling scheme for the artisanal fishery, as required by Res. 11/04.

**Response:** The response to the letter of the Chair of the Commission was provided by Kenya on 24 February 2015.

**Current issues on the level of implementation by Kenya of IOTC Conservation and Management Measures identified for discussion in the CoC12 in 2015.**

Having reviewed the 2015 Compliance Report for Kenya, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2014)	Previous Status (2013)
<b>Repeated compliance issues</b>		
• Has not provided a Fleet Development Plan, as requested by Resolution 12/11	P/C	P/C
• Has not reported Catch & effort for the coastal fisheries, as required by Resolution 10/02.	N/C	N/C
• Has not reported size frequency for the coastal fisheries, as required by Resolution 10/02.	N/C	N/C
• Has not reported Catch & effort of foreign purse seiners operating in its EEZ, as required by Resolution 10/02.	N/C	N/C
• Has not reported Catch & effort of foreign longliners operating in its EEZ, as required by Resolution 10/02.	N/C	N/C
• Has not reported Nominal catch on sharks to IOTC standard (aggregated by species), as required by Resolution 05/05.	P/C	P/C
• Has not reported Catch and effort on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not fully implemented the observer scheme for artisanal landing, as required by Resolution 11/04.	P/C	N/C