

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (26.02.2015)	C	C	C	C	Received 26.02.15	
1.2.	Res. 10/09	Compliance Questionnaire	09.02.2015	C	C	C	C	Received 09.02.15	
1.3.	SC	National Scientific Report	23.11.2014	C	C	C	C	Received 22.11.14	
1.4.	Commission	Feedback letter	26.02.2015	C	C	L	C	Received 24.03.15	
2. Management Standards									
2.1.	Res. 14/04	Documents listed in this resolution on board ²	26.02.2015	C	C	C	C	Source - IOTC-2015-CoC12-IR26: Alinea 4.(i) of High Seas Fishing Operations Regulations No. 1 of 2014	
		Marking of vessels ²		C	C	C	C	Vessel marking already in place in the national legislation (1980), and according to FAO standard from 2006.	
		Marking of gears ²		C	P/C	C	C	Source - IOTC-2015-CoC12-IR26 - Fishing gear marking regulation No.1 of 2015 (Received 26.02.15)	
		Marking of FADs ²		C	C	C	C	Source - IOTC-2014-CoC11-IR25: Had 7 PS active in 2014, do not use FADs.	
		Logbook on board ²		C	C	C	C	Source - IOTC-2013-CoC10-IR25: Logbook program started in 2012	
		Official authorisation to fish outside National Jurisdictions		15.02.2014	C	C	C	C	1 st Update received on 13.02.15.
2.2.	Res. 13/03	Official fishing logbooks	15.02.2014	C	C	C	C	1 st Update received on 26.08.14	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	26.02.2015	C	C	C	C	Source - IOTC-2015-CoC12-IR26 Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 (Received 26.02.15).	
2.4.	Res. 13/08	FADs management plan	31.12.2013	L	P/C	L	P/C	Source - IOTC-2014-CoC11-IR25: has indicated a plan will be submitted, , 7 PS active in 2014.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 12.02.15.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Submission: 07.01.14 (3 rd update)		
Reference Capacity										
3.3.	Res. 12/11	List of vessels ³ for Tropical Tuna during 2006	31.12.2009	C	C	C	C	All vessels are targeting tropical tunas.		
		List of vessels ³ for SWO and ALB during 2007		N/A	N/A	N/A	N/A	All vessels are targeting tropical tunas.		
3.4.	Res. 14/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C			
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C			
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Foreign vessels are not licensed (IOTC-2015-CoC12-IR26).		
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A			
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A			
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A			
4. Vessel Monitoring System										
4.1.	Res. 06/03	Adoption VMS for all vessels greater than 15 metres in length overall	Since 01.07.2007	L	P/C	L	C	Source - IOTC-2015-CoC12-IR26: 1610 active vessels in 2014, has reported 39 VMS boxes installed up to 26.02.15; VMS regulation drafted & pending at legal draftsmen department		
4.2.		VMS report on the progress and implementation	30.06 Vessels > 15 m	N/C	N/C	L	C	Received 12.03.15.		
5. Mandatory statistical requirement – Flag State CPCs										
Nominal Catch										
5.1.	Res. 10/02	• Coastal fisheries	30.06	C	P/C	C	P/C	Catch for coastal, gillnet/longline fisheries reported aggregated for some fleet components.		
		• Surface fisheries: PS, BB, GN	30.06	C	P/C	C	P/C	Catches for the longline gillnet aggregated with coastal fishery		
		• LL	Provisional	30.06	C	P/C	C	C		
			Final	30.12	C	P/C	C	C		
Catch & Effort										
5.2.		• Coastal fisheries	30.06	C	P/C	C	P/C	Catch for coastal, gillnet/longline fisheries reported aggregated for some fleet components.		
		• Surface fisheries: PS, BB, GN	30.06	C	P/C	C	P/C	Data not by IOTC standard		

N°	Source	Information required		Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks		
					Timeliness	Content	Timeliness	Content				
5.3.		• LL	Provisional	30.06	C	P/C	C	P/C	Data not by IOTC standard			
			Final	30.12	C	P/C	C	P/C				
		Size Frequency										
		• Coastal fisheries	30.06	C	P/C	C	P/C	Catch for coastal, gillnet/longline fisheries reported aggregated for some fleet components.				
			• Surface fisheries: PS, BB, GN	30.06	C	P/C	C		P/C			
• LL	Provisional	30.06	C	P/C	C	P/C						
	Final	30.12	C	P/C	C	P/C						
Fish Aggregating Devices (FAD)												
5.4.		Supply vessels		30.06	N/A	N/A	N/A	N/A	No supply vessel.			
		Days at sea by supply vessels		30.06	N/A	N/A	N/A	N/A				
		FADs set by type		30.06	N/A	N/A	N/A	N/A				
6. Mandatory statistical requirement – Coastal State CPCs												
Catch & Effort												
6.1.	Res. 10/02	• Surface fisheries: PS, BB, GN		30.06	N/A	N/A	N/A	N/A	Foreign vessels are not licensed.			
		• LL	Provisional	30.06	N/A	N/A	N/A	N/A				
			Final	30.12	N/A	N/A	N/A	N/A				
7. Implementation of mitigation measures and bycatch of non-IOTC species												
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch		30.06	C	P/C	C	P/C	Catch for coastal, surface and longline fisheries reported aggregated for some fleet components			
		Submission of data regarding Sharks – Catch & effort		30.06	C	P/C	C	P/C				
		Submission of data regarding Sharks – Size frequency		30.06	N/C	N/C	N/C	N/C				
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>		Since 07.07.2010	C	C	C	C	Source - IOTC-2015-CoC12-IR26: Alinea 4.(vi) of High Seas Fishing Operations Regulations No. 1 of 2014 (Received 26.02.15); and Prohibition of Catching Thresher Shark Regulation in force in July 2012;			
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks		Since 14.08.2013	N/C	N/C	N/C	N/C	Source - IOTC-2014-CoC11-IR25: Regulation not drafted			
7.4.	Res. 12/04	Sea turtles report ²		26.02.2015	C	C	C	P/C	Source - IOTC-2014-SC17-NR26: Protected under Fishing Operations Regulation 1996; has reported 3 interactions but not at species level			
7.5.		Carry line cutters and de-hookers on board (Longliners)		Since 06.08.2009	C	P/C	C	P/C	Vessel operators instructed to carry line cutters and de-hookers. No legal reference provided.			
7.6.		Carry dip nets (Purse seiners)		Since 06.08.2009	N/C	N/C	N/C	N/C	Had 7 PS active. No information provided.			

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
7.7.	Res. 12/06	Seabirds report ²	26.02.2015	N/A	N/A	N/A	N/A	Sea bird catches are not reported due to the nature of the fishery and the gear used, has reported no interaction observed (IOTC-2015-CoC12-IR26).	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		
7.9.	Res. 13/04	Instances of Cetaceans encircled ²	For PS 26.02.2015	C	P/C	C	C	Source - IOTC-2015-CoC12-IR26: Alinea 4.(vi) of High Seas Fishing Operations Regulations No. 1 of 2014 (Received 26.02.15) No instance reported.	
7.10.	Res 13/05	Instances Whale Sharks encircled ²	For PS 26.02.2015	C	P/C	C	C	Source - Alinea 4.(vi) of High Seas Fishing Operations Regulations No. 1 of 2014 (Received 26.02.15) No encircling reported in year 2014.	
8. Illegal, Unreported and Unregulated (IUU) Vessels									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (16.02.2015)	C	C	N/C	N/C	9 vessels and nationals identified in IUU fishing activities.	
8.2.	Res. 07/01	Compliance by nationals	26.02.2015	C	C	N/C	N/C		
9. Transshipments									
9.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in ROP.	
9.2.		Transshipments in port report ²	26.02.2015	C	C	C	C	Source - IOTC-2015-CoC12-IR26: Sri Lanka vessels are not authorized to tranship in ports of other countries under Alinea 5 of High Seas Fishing Operations Regulations No. 1 of 2014 (Received 26.02.15)	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Source - IOTC-2015-CoC12-IR26: two at-sea transshipments conducted in 2014 under force majeure	
9.4.		Report on results of investigations on possible infractions	19.02.2015	N/A	N/A	N/A	N/A		
9.5.		ROP fee	16.08.2014	N/A	N/A	N/A	N/A		
10. Observers									
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	26.02.2015	N/C	N/C	N/C	N/C	Source - IOTC-2015-CoC12-IR26: has reported ROS is carried out at pilot scale in 2014. 20 observers trained and 3 pilot trips have been undertaken.	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/C	N/C	N/C	N/C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	N/C	N/C		
10.4.		• 5 % Phasing in Artisanal landings ²	26.02.2015	C	C	L	P/C	Source - IOTC-2014-SC17-NR26: has 23 major fishing ports & 10 minor landing sites sampled. No vessel coverage monitored.	

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
10.5.		Observer reports	150 days after trip	N/C	N/C	N/C	N/C	No observer scheme.	
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report (2014)	01.10.14	N/A	N/A	N/A	N/A	Does not import Bigeye tuna.	
11.2.		2 nd Semester report (2013)	01.04.14	N/A	N/A	N/A	N/A		
11.3.		Annual report ² (2013)	26.02.2015	L	P/C	N/C	N/C	Mandatory report not provided. EU imported 78 Mt of BET from LKA in 2013.	
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 10.04.14	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	C	P/C	C	C	Received 28.06.14	
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	C	C	C	P/C	Has designated 2 ports (Mutwal Fishery Harbour; Dikovita Fishery Harbour). Port of Galle, Colombo: 5 counts of TRX by Maldives LSTLVs (observer report) & 3 counts of TRX by China LSTLVs (China report on TRX in foreign port).	
12.3.		Designated competent Authority		C	C	C	C		
12.4.		Prior notification periods		C	C	C	C		
12.5.		Inspection report	3 days after inspection	L	C	C	C	Source - IOTC-2015-CoC12-CQ26: Call in port: 39 ; Foreign vessel inspected: 15 ; LAN/TRX monitored: 12. 12 reports provided in 2014.	
12.6.		At least 5% inspection of LAN or TRX Inspection report	Since 01/03/2011	C	C	C	C		
12.7.		Denial of entry in port		C	C	C	C		Source - IOTC-2015-CoC12-CQ26: no vessel denied entry into port.
13. Market									
13.1.	Res. 10/10	Report on import, landing and transhipment of tuna and tuna-like fish products in ports ²	26.02.2015	N/C	N/C	L	P/C	Report received on 24.03.15 includes only landing information. Source - IOTC-2015-CoC12-IR26: has reported no transhipments in Sri Lanka in 2014. Port of Galle, Colombo: 5 counts of TRX by Maldives LSTLVs (observer report) & 3 counts of TRX by China LSTLVs (China report on TRX in foreign port).	

Feedback to Sri Lanka on the level of implementation of IOTC Conservation and Management Measures identified by the CoC11 in 2014.

Feedback: With regards to the level of compliance by Sri Lanka to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Sri Lanka by the Chair of the Commission in a letter dated 5th June, 2014.

• Has not implemented an observer programme as required by Resolution 11/04.
• Has not implemented the legal and administrative measures to implement the area closure for purse seiner, as required by Resolution 12/13.
• Has not provided the VMS report on the progress and implementation, as required by Resolution 06/03.
• Has not provided length frequency data for coastal fisheries, as required by Resolution 10/02.
• Has not transposed the prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>) into national legislation, as required by Resolution 13/06.
• Has not transposed the provision for purse seiners to carry dip nets into national legislation, as required by Resolution 12/04.
• Has not transposed the provision for longliners to carry line cutters and de-hookers into national legislation, as required by Resolution 12/04.
• Has not provided the report on import, landing, transshipment of tuna and tuna-like fish products in ports as required by Resolution 10/10.
• Has not provided a FADs management plan as required by Resolution 13/08.

Response: A response to the letter of the Chair of the Commission was provided by Sri Lanka on 23 March 2015.

Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC12 in 2015.

Having reviewed the 2015 Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2014)	Previous Status (2013)
Repeated compliance issues		
• Has not provided information on the FADs management plan, as required by Resolution 13/08	P/C	P/C
• Has not reported Nominal catch for the coastal fisheries at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Nominal catch for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Catch and Effort for the coastal fisheries at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Catch and Effort for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Catch and Effort for the longline fisheries at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Size frequency for the coastal fisheries at IOTC Standard, as required by Resolution 10/02.	P/C	P/C

• Has not reported Size frequency for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Size frequency for the longline fisheries at IOTC Standard, as required by Resolution 10/02.	P/C	P/C
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not fully implemented the ban on oceanic whitetip sharks as required by Resolution 13/06.	N/C	N/C
• Has not implemented the mandatory requirement for longliners to carry line cutters and de-hookers on board, as required by Resolution 12/04.	P/C	P/C
• Has not implemented the mandatory requirement for Purse seiners to Carry dip nets on board, as required by Resolution 12/04.	N/C	N/C
• Has not implemented the observer scheme, no deployment, as required by Resolution 11/04.	N/C	N/C
• Has not implemented the observer scheme, no deployment, no observer coverage at sea for vessel > 24m, as required by Resolution 11/04.	N/C	N/C
• Has not implemented the observer scheme, no deployment, no observer coverage at sea for vessel < 24m, as required by Resolution 11/04.	N/C	N/C
• Has not provided observer report, as required by Resolution 11/04.	N/C	N/C
• Has not provided the mandatory annual report on BET, as required by Resolution 01/06.	N/C	P/C
• Has not fully implemented the requirement on Report on import, landing and transhipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.	P/C	N/C
Non-repeated compliance issues		
• Has nine vessels and nationals identified in IUU fishing activities (Resolutions 11/03 and 07/01).	N/C	C
• Has not fully implemented the requirement on the List of designated ports, as required by Resolution 10/11.	P/C	C
• Has not fully implemented the mandatory requirement on Sea turtles report, as required by Resolution 12/04.	P/C	C
• Has not fully implemented the observer scheme for artisanal landing, as required by Resolution 11/04.	P/C	C