

## SUMMARY REPORT ON POSSIBLE INFRACTIONS OBSERVED UNDER THE REGIONAL OBSERVER PROGRAMME

Prepared by IOTC Secretariat, 16 April 2016

In line with the requirement of IOTC Resolution 14/06 *On establishing a programme for transhipment by large-scale fishing vessels*, this document provides a summary of possible infractions of IOTC Resolutions by LSTLVs/carrier vessels, as recorded by observers deployed under the Programme during 2015.

Paragraph 23. The Secretariat shall, when providing CPCs with copies of all raw data, summaries and reports in accordance with paragraph 10 of **Annex III** to this Resolution, also indicate evidence indicating possible infraction of IOTC regulations by LSTLVs/carrier vessels flagged to that CPC. Upon receiving such evidence, each CPC shall investigate the cases and report the results of the investigation back to the Secretariat three months prior to the Compliance Committee meeting. The Secretariat shall circulate among CPCs the list of names and flags of the LSTLVs/Carrier vessels that were involved in such possible infraction as well as the response of the flag CPCs 80 days prior to the Compliance Committee meeting.

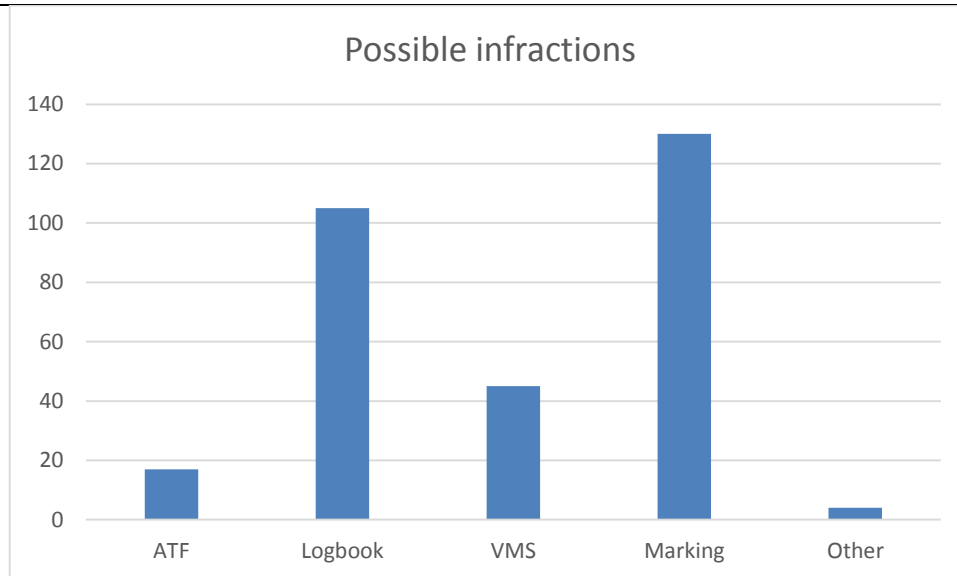
The summaries of possible infractions are presented by category of infractions and by fleets in Figure 1 and Table 1, and they are also presented in details, in Appendix I, under six distinct categories: Table A1, Possible infractions relating to authorisation to fish (ATF); Table A2, Possible infractions relating to Vessel Monitoring System (VMS); Table A3, Possible infractions relating to fishing logbooks; Table A4, Possible infractions relating to marking of fishing vessels, and Table A5 Possible infractions related to intention of transhipment outside the ROP and/or obstruct, intimidate, interfere with the work of observer. These observations have been made by the observers in fulfilment of the observer tasks provided for in Resolution 14/06.

Annex III, Paragraph 5. The observer tasks shall be in particular to:

- a) On the Fishing Vessel intending to tranship to the carrier vessel and before the transhipment takes place, the observer shall:
  - i. check the validity of the fishing vessel's authorisation or licence to fish tuna and tuna like species in the IOTC Area of competence;
  - ii. check and note the total quantity of catch on board, and the quantity to be transferred to the carrier vessel;
  - iii. check that the VMS is functioning and examine the logbook;
  - iv. verify whether any of the catch on board resulted from transfers from other vessels, and check documentation on such transfers;
  - v. in the case of an indication that there are any violations involving the fishing vessel, immediately report the violations to the carrier vessel master,
  - vi. report the results of these duties on the fishing vessel in the observers report.

In all, a total of 301 possible infractions were recorded, of which, 105 related to fishing logbook, 130 related to marking of vessels, 17 related to ATF, 45 related to VMS, 2 related to obstruct, intimidate, interfere with the work of observer, 2 related to intention of transhipment outside the ROP and obstruct, intimidate, interfere with the work of observer (Figure 1). These have been communicated to the concerned fleets participating in the Programme, as and when the concerned deployment reports were approved by the Secretariat.

Off the 301 possible infractions notified to the participating fleets, 287 (95%) responses were received. Three fleets, India, Seychelles and Tanzania, have not provided all the responses following the notification of possible infractions (Table 1).



**Figure 1: Possible infractions by category under the ROP in 2015.**

The results of the investigations of the concerned fleets whose vessels are participating in the Programme are provided in: Appendix II for responses received before the deadline of 16/02/2016.

Table 1 – Summary of possible infractions by category of infraction and by participating fleet in 2015.

		CHN	TWN, CHN	IND	JPN	KOR	MYS	OMN	PHL	SYC	TZA	THA	Total by category
Authorisation to Fish (ATF)	Possible infractions	4	7	1				1	1	1		2	17
	Responses received	4	7	0				1	1	0		2	15
Vessel Monitoring System (VMS)	Possible infractions	1	31		3	1	4	2		2	1		45
	Responses received	1	31		3	1	4	2		1	1		44
Fishing Logbook	Possible infractions	6	17	1	62	8	2	2	1	2	1	3	105
	Responses received	6	17	0	62	8	2	2	1	0	0	3	101
Marking of vessel	Possible infractions	19	67	1	6	1	25	1	1	6	1	2	130
	Responses received	19	67	0	6	1	25	1	1	0	1	2	123
Obstruct, intimidate, interfere with the work of observer	Possible infractions		2										2
	Responses received		2										2
Intention of transshipment outside the ROP and obstruct, intimidate, interfere with the work of observer	Possible infractions		1									1	2
	Responses received		1									1	2
Total by fleet	Possible infractions	30	125	3	71	10	31	6	3	11	3	8	301
	Responses received	30	125	0	71	10	31	6	3	1	2	8	287

■ No possible infraction notified

Appendix I (Rows highlighted in grey indicate that a response was received by the concerned fleet before the deadline / Rows not highlighted indicate that no response was received by the concerned fleet).

Table A1 – Possible infractions relating to authorisation to fish (ATF).

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
291	SAN CARLOS No. 18	PHL	16/12/14	Fishing licence provided to the observer was for Marine Areas under the jurisdiction of Seychelles.	20/01/15	21/01/15
291	MOOK ANDAMAN 028	THA	18/12/14	The fishing licences shown to the observer on MOOK ANDAMAN 028 were coastal state fishing licences for the EEZs of Madagascar	20/01/15	03/02/16
296	CHING CHUN FA NO.168	TWN	21/12/14	ATF shown to observer during inspection was out-of-date. An in-date ATF was faxed to the CV on 22/12/2014.	03/02/15	10/03/15
297	SINAW 16	OMN	18/01/15	The LSTLV master could not produce the flag state Authorisation to Fish (ATF) during the inspection. The observer presented the captain with the Taiwan, Province of China translation sheet and used a translated inspection form (in Chinese - the Taiwan, China format). The master of the CV, who accompanied the observer during the inspection also attempted to obtain (verbally and in writing) the relevant document. The LSTLV master (of Taiwan, Province of China origin) persisted in answering "no" to all the requests for the ATF.	30/03/15	10/02/16
301	SHIN SHUEN FAR NO.668	TWN	26/01/15	On ATF the number of crew and the Fishing Areas were changed by hand. No Fisheries Agency of Taiwan Official Seal for the modification of fishing licences was seen on the ATF.	27/04/15	14/01/16
303	HUNG HUI NO.112	TWN	17/02/15	The first ATF presented to the observer was expired. An in-date ATF was faxed to the LSTLV vessel during the inspection.	04/05/15	23/06/15
307	OCEAN GLORY No.10	IND	23/03/15	Observer was shown ATT and vessel registration documents instead of an ATF	02/07/15	
326	XIN SHI JI 82	CHN	07/09/15	The NRN provided in the IOTC vessel list was "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200064". This did not concur with the NRN "(ZHE)CHUANDENG(JI)(2012)FT-200197" provided on the LSTLV's ATF.	23/10/2015	05/11/15
326	XIN SHI JI 85	CHN	20/09/15	The IOTC vessel list provided the NRN as "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200066". This did not concur with the NRN "(ZHE)CHUANDENG(JI)(2012)FT-200200" listed on the LSTLV's ATF.	23/10/2015	05/11/15
326	XIN SHI JI 83	CHN	23/09/15	The IOTC vessel list provide the NRN "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200065". The NRN (ZHE)CHUANDENG(JI)(2012)FT-200199" was provided in the ATF and did not concur with the IOTC vessel list data.	23/10/2015	05/11/15
326	XIN SHI JI 86	CHN	24/09/15	The ATF listed the NRN as "(ZHE)CHUANDENG(JI)(2015)FT-200199". The NRN listed on the ATF did not concur with the NRN "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200067" listed in the IOTC vessel list.	23/10/2015	05/11/15
313	HUNG JIE WEI	TWN	30/05/15	Fishing License expired on 28/04/2015	03/11/15	04/01/16
313	TENN MING YANG NO.368	TWN	02/06/15	Fishing License expired on 20/05/2015.	03/11/15	04/01/16
313	SHIN SHUEN FAR NO.688	TWN	28/06/15	Fishing License expired on 20/06/2015.	03/11/15	04/01/16
313	KUO CHYAU NO.26	TWN	17/07/15	Fishing License expired on 16/06/2015.	03/11/15	04/01/16
334	NF DAFA No. 8	SYC	21/12/15	The ATF for 2016 was shown to the observer instead of that for 2015	20/01/16	

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
334	MOOK ANDAMAN 018	THA	27/12/15	The observer was shown a photocopy of the accompanying letter for the ATF, but not the ATF itself. The valid to date was not fully readable	20/01/16	03/02/16

Table A2 – Possible infractions relating to Vessel Monitoring System (VMS).

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to Fleet	Date feedback from fleet
291	SINAW 16	OMN	04/12/14	No power light visible on the VMS unit.	20/01/15	10/02/16
296	JING MAN NO.666	TWN	01/01/15	The VMS power light on JING MAN NO.666 was not on.	03/02/15	10/03/15
308	SHANG FENG NO.3	TWN	15/02/15	The LSTLV captain indicated a CLS VMS unit (ID 509006) as the VMS currently being tracked by the flag state. In addition two other VMS units – an ARGOS SEIMAC FVT-G and an ARGOS MAR GE V2 were noted. The power light on both these units were switched off. According to the LSTLV's ATF, the VMS unit installed on the Shang Feng No.3 should be an ARGOS unit with serial number 116932. This was one of the units not in use and switched off during the inspection. Both the CLS and the unit 116932 were fitted with power switches close to the units.	24/03/15	14/05/15
308	CHENG QING FENG NO.8	TWN	17/02/15	The captain of the LSTLV indicated an antennae (MARGE V2) on top of the wheelhouse as the VMS. The power supply unit was detected inside of the vessel. Although the power switch on the power supply unit was switched to the "on" position, the power LED was not illuminated.	24/03/15	14/05/15
308	CHENG QING FENG	TWN	17/02/15	The VMS unit was fitted with a power switch next to the unit.	24/03/15	14/05/15
300	TIAN XIANG 328	CHN	22/01/15	The power of the VMS antenna appeared to be off. In response to this the LSTLV presented the document "Authorization for CLS to allow Seychelles Fishing Authorities to access positions data and other information within the specified period" (ARGOS ID: 37350)	24/03/15	31/03/15
297	SINAW 16	OMN	18/01/15	The power light on the VMS unit was not on during the inspection.	30/03/15	10/02/16
297	HWA HUNG NO.202	TWN	21/01/15	The VMS unit pointed out to the observer was a CLS LEO unit marked with the ID 507514. The unit was fitted with a power switch. The flag state ATF indicated the VMS unit as ARGOS with ID 47305.	30/03/15	20/07/15
297	KWANG HARNG NO.7	TWN	29/01/15	The LSTLV International Radio Call Sign (IRCS) was worn away and could only be read at very close range.	30/03/15	20/07/15
297	SHENG FAN NO.119	TWN	31/01/15	The LSTLV was fitted with two ARGOS VMS systems. Both were switched on during the on-board inspection and both units were fitted with power switches close to the units.	30/03/15	20/07/15
297	SHENG FAN NO.399	TWN	01/02/15	The LSTLV was fitted with two ARGOS VMS systems (Seimac FVT-G and Kannad MARGE V2). The Seimac FVT-G unit was fitted with a power switch	30/03/15	20/07/15
297	YUAN TAI	TWN	02/02/15	The LSTLV was fitted with two ARGOS VMS systems (Seimac FVT-G and Kannad). The Seimac unit (ID54851) was switched on during the inspection. The Kannad unit (ID 124793) was not switched on. Both units were fitted with power switches adjacent to the units. The flag state ATF indicated the VMS as an ARGOS unit with serial number 15875.	30/03/15	20/07/15
297	HUNG HUI NO.112	TWN	17/02/15	The power light on the VMS unit was not illuminated, but the power switch was on. The captain of the LSTLV said that the VMS unit works correctly and that the authority in Taiwan, China switches the VMS unit on and off, as they require.	30/03/15	20/07/15
304	ORYONG NO.353	KOR	09/03/15	The green power light emitting diode (LED) of the VMS did not shine continuously, but flashed on and off rapidly - so much so that the LED could not be captured on camera when illuminated.	04/05/15	16/02/16
315	Jiin Horng No. 106	SYC	26/05/15	The ARGOS VMS unit was fitted with a power switch.	08/07/15	
315	TUNA BEST	TZA	20/05/15	The VMS units of the LSTLVs TUNA BEST was fitted with power switches close to the units	08/07/15	20/07/15

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to Fleet	Date feedback from fleet
315	JIN YUAN	TWN	14/05/15	The CLS VMS unit was fitted with a power switch	08/07/15	24/07/15
315	CHARNG LUEN NO.22	TWN	15/05/15	The VMS unit was fitted with a power switch.	08/07/15	24/07/15
315	KHA YANG 9	MYS	24/05/2015	The power supply to this unit was switched off.	08/07/15	16/02/16
315	KHA YANG 7	MYS	25/05/2015	The power lights of the VMS unit were not illuminated.	08/07/15	16/02/16
317	KHA YANG 9	MYS	24/05/15	The power supply to this unit was switched off.	08/07/15	16/02/16
317	KHA YANG 7	MYS	25/05/15	The power lights of the VMS unit were not illuminated.	08/07/15	16/02/16
319	CHENG QING FENG	TWN	02/07/15	The ARGOS VMS unit was fitted with a power switch next to the unit	10/08/15	16/10/15
319	SHIN LIAN FA NO.36	TWN	04/07/15	The ARGOS VMS unit was fitted with a power switch next to the unit	10/08/15	16/10/15
322	YI JEN FA NO.888	TWN	02/09/15	The LSTLV was fitted with two ARGOS LEO VMS units. Both units were fitted with power switches. At the time of the inspection, the switch of one unit (509011) was in the on position with the power light glowing. The switch of the second unit (508430) was in the off position.	02/10/15	13/01/16
320	RYUSEI MARU No.8	JPN	02/09/15	The LSTLV's VMS had an ON/OFF switch fitted to the power line.	26/11/15	16/02/16
320	Jiin Horng No. 106	SYC	13/10/15	The VMS unit (ARGOS 117104) was fitted with a power switch.	26/11/15	08/12/15
320	SHENG HAI NO.127	TWN	04/09/15	The VMS unit was fitted with a power switch	26/11/15	14/01/16
320	SHANG FENG NO.3	TWN	11/09/15	The CLS LEO unit was fitted with a power switch adjacent to the unit.	26/11/15	14/01/16
320	CHIN SHENG WIN	TWN	14/10/15	The ARGOS VMS unit was fitted with a power switch.	26/11/15	14/01/16
320	YU HSING HSIANG NO.168	TWN	16/10/15	This VMS unit was fitted with a power switch	26/11/15	14/01/16
320	DAR LONG CHENG NO.378	TWN	17/10/15	A power switch was situated adjacent to the VMS unit	26/11/15	14/01/16
320	JIN YUAN	TWN	19/10/15	The vessel's VMS had its own On/Off switch mounted next to the unit.	26/11/15	14/01/16
320	YI JEN FA NO.888	TWN	25/10/15	Both the VMS units were fitted power switches adjacent to the units.	26/11/15	14/01/16
320	SHYE SIN NO.1	TWN	26/10/15	A power switch marked "ARGOS" was mounted immediately above the CLS LEO unit	26/11/15	14/01/16
320	MENG FA NO.312	TWN	30/10/15	The VMS had a power switch mounted alongside the unit	26/11/15	14/01/16
320	SHANG FENG NO.3	TWN	01/11/15	The CLS LEO and the ARGOS MARGE V2 units were fitted with power switches	26/11/15	14/01/16
328	YU HSING HSIANG NO.168	TWN	23/10/15	A switch was connected to the VMS unit.	15/01/16	29/01/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to Fleet	Date feedback from fleet
328	SIN HUA FONG NO.168	TWN	27/10/15	A switch was connected to the VMS unit.	15/01/16	29/01/16
328	SHUANG LIAN	TWN	13/11/15	A switch was connected to the VMS unit.	15/01/16	29/01/16
328	WOEN YU CHANG NO.6	TWN	13/11/15	A switch was connected to the VMS unit.	15/01/16	29/01/16
335	CHENG QING FENG	TWN	05/12/15	The unit was fitted with power switch adjacent to the junction box.	15/01/16	28/01/16
329	KATSUEI MARU No.88	JPN	23/10/15	The VMS power light was not illuminated	19/01/16	16/02/16
329	RYUSEI MARU No.8	JPN	29/10/15	The VMS was fitted with a power switch directly below the VMS junction box.	19/01/16	16/02/16

Table A3– Possible infractions relating to fishing logbooks.

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
299	MATSUEI MARU No.11	JPN	17/12/14	The fishing logbook was printed but was not bound and the pages were not numbered with consecutive numbers.	12/01/15	19/01/15
299	HINODE MARU No.38	JPN	18/12/14	The fishing logbook was printed but was not bound and the pages were not numbered with consecutive numbers.	12/01/15	19/01/15
299	WAKASHIO MARU No.83	JPN	18/12/14	The fishing logbook was printed but was not bound and the pages were not numbered with consecutive numbers.	12/01/15	19/01/15
299	MYOJIN MARU No.3	JPN	28/12/14	The fishing logbook was printed but was not bound and the pages were not numbered with consecutive numbers.	12/01/15	19/01/15
291	SINAW 16	OMN	04/12/14	The logbook of SINAW 16 shown to the observer was bound but unprinted	20/01/15	10/02/16
291	SAN CARLOS No. 18	PHL	16/12/14	The logbooks shown by SAN CARLOS NO.18 was printed but unbound.	20/01/15	21/01/15
291	MOOK ANDAMAN 028	THA	18/12/14	The logbook shown by MOOK ANDAMAN 028 were printed but unbound.	20/01/15	03/02/16
294	TAIYO MARU No.8	JPN	24/12/14	The logbooks of TAIYO MARU NO.8 shown to the observer were printed but only bound with a plastic strip.	31/01/15	16/02/2016
294	TAIYO MARU No.28	JPN	24/12/14	The logbooks of TAIYO MARU NO.28 shown to the observer were printed but only bound with a plastic strip. The logbooks of TAIYO MARU NO.28 were issued by the coastal state of Mozambique.	31/01/15	16/02/2016
294	TAIYO MARU No.58	JPN	25/12/14	The logbook of TAIYO MARU NO.58 shown to the observer was printed and attached to a clipboard. One page shown was numbered but the other was not. The logbooks of TAIYO MARU NO.58 were issued by the coastal state of Mozambique.	31/01/15	16/02/2016



Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
296	CHIN YI CHUN	TWN	01/01/15	The logbooks of CHIN YI CHUN were printed and unbound, and the pages were not numbered consecutively. The observer was informed by the LSTLV captain that the vessel was waiting for a new log book to arrive.	03/02/15	10/03/15
296	JING MAN NO.666	TWN	01/01/15	The logbooks of JING MAN NO.666 were printed and unbound, and the pages were not numbered consecutively. The observer was informed by the LSTLV captain that the vessel was waiting for a new log book to arrive.	03/02/15	10/03/15
298	Shuenn Perng 202	SYC	31/12/14	The logbook was printed and unbound and lacked clear consecutive page numbering	10/02/15	
298	RAY HOME	TWN	30/12/15	The logbook was printed and unbound and lacked clear consecutive page numbering.	10/02/15	02/03/15
300	FUKUSEKI MARU No.7	JPN	29/01/15	The logbook was printed but unbound.	24/03/15	16/02/2016
300	TIAN XIANG 328	CHN	22/01/15	The logbook was not up to date, and lacked clear and consecutive page numbers.	24/03/15	31/03/15
300	XIN SHI JI NO.6	CHN	23/01/15	The logbook was not up to date, and lacked clear and consecutive page numbers.	24/03/15	31/03/15
300	XIN SHI JI NO.67	CHN	24/01/15	The logbook lacked clear and consecutive page numbers.	24/03/15	31/03/15
297	FUKUTOKU MARU No.37	JPN	07/01/15	The fishing logbook was printed and the pages were retained in a folder.	30/03/15	16/02/2016
297	SHOFUKU MARU No. 78	JPN	09/01/15	The fishing logbooks were printed but not bound. The pages were retained in a folder.	30/03/15	16/02/2016
297	SINAW 16	OMN	18/01/15	The fishing logbook was unprinted and kept in a notebook. Due to the informal nature of the logbook, the observer could not determine the last date of entry with any reasonable level of certainty.	30/03/15	10/02/16
297	WEI HSIN NO.16	TWN	20/01/15	The LSTLV logbook was printed and unbound and the pages were not numbered with serial numbers.	30/03/15	20/07/15
297	WIN FAR NO.838	TWN	25/01/15	The LSTLV logbook was unprinted and bound in a notebook with no page numbers.	30/03/15	20/07/15
297	HWA KUN NO.168	TWN	08/02/15	The logbook was printed and bound, but the pages were not numbered with consecutive page numbers.	30/03/15	20/07/15
301	HUNG SHUN	TWN	20/01/15	Logbook was printed but unbound.	27/04/15	14/01/16
301	SHUN FENG NO.8	TWN	22/01/15	Logbook was printed but unbound and lacked clear consecutive page numbering.	27/04/15	14/01/16
301	YUAN TAI	TWN	30/01/15	Logbook was printed but unbound.	27/04/15	14/01/16
301	HWA SHAN NO.302	TWN	31/01/15	Logbook was printed but unbound.	27/04/15	14/01/16
301	HWA KUN NO.168	TWN	09/02/15	Logbook lacked clear, consecutive page numbering.	27/04/15	14/01/16
301	JIN JAAN SHYANG NO.3	TWN	12/02/15	Logbook was printed but unbound.	27/04/15	14/01/16
301	HOME SHEEN	TWN	12/03/15	Logbook was printed but unbound.	27/04/15	14/01/16
304	NO.639 DONGWON	KOR	06/03/15	The fishing logbook was printed but not bound in a book. The pages presented for the observer's inspection were loose. The pages were not printed with sequential page numbers.	04/05/15	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
304	ORYONG NO.355	KOR	07/03/15	The fishing logbook was printed but not permanently bound in a book. The pages were kept together with a binding plate. The inspected pages were not printed with a sequential page number. The last page was marked at the top right hand corner with "2015-03" (in hand writing with a pen).	04/05/15	16/02/16
304	ORYONG NO.801	KOR	08/03/15	The fishing logbook was printed but not bound in a book. The pages were not printed with a sequential page number. The last page was numbered as "page 5".	04/05/15	16/02/16
304	ORYONG NO.353	KOR	09/03/15	The fishing logbook was printed but not bound in a permanent book. The pages were not printed with a sequential page number. The last page was numbered as "page 5".	04/05/15	16/02/16
304	SHOHO MARU No.1	JPN	01/02/15	The logbook was printed but not permanently bound as a book. The pages were held together in a ring binder and marked with sequential page numbers (printed).	04/05/15	16/02/16
304	KOTOKU MARU No.3	JPN	05/02/15	The logbook presented was printed, similar to the example provided but was not permanently bound in a book. The pages were retained in a ring binder and the pages were numbered (printed).	04/05/15	16/02/16
304	KOTOSHIRO MARU No.58	JPN	06/02/15	The logbook presented was printed, similar to the example provided but was not permanently bound in a book. The loose pages were stored in a binder The pages were numbered with the last page numbered (printed) as 32-①.	04/05/15	16/02/16
304	WAKASHIO MARU No.108	JPN	17/02/15	The fishing logbook was printed but not permanently bound in a book. The unbound pages were stored in a ring binder and the pages were not numbered.	04/05/15	16/02/16
304	WAKASHIO MARU No.68	JPN	18/02/15	The fishing logbook was printed but the pages were not permanently bound. The loose pages were retained in a binder and were not numbered	04/05/15	16/02/16
304	CHIHO MARU No.18	JPN	19/02/15	The fishing logbook was printed, unbound and the pages were not formally numbered with sequential numbers. The last page of the logbook was numbered (3-①) by hand with a pen. The loose pages were stored together in a folder	04/05/15	16/02/16
304	WAKASHIO MARU No.8	JPN	20/02/15	The fishing logbook was printed and not permanently bound in a book. The pages were not numbered with sequential numbers. The pages inspected by the observer were loose.	04/05/15	16/02/16
304	WAKASHIO MARU No.118	JPN	24/02/15	The fishing logbook was printed but not permanently bound in a book. The unbound pages were retained in a ring binder and the pages were not numbered with sequential numbers.	04/05/15	16/02/16
304	WAKASHIO MARU No.83	JPN	25/02/15	The fishing logbook was printed but not permanently bound in a book. The observer was presented with loose pages. The unbound pages were numbered with printed sequential numbers.	04/05/15	16/02/16
304	TAIYO MARU No.58	JPN	26/02/15	The fishing logbook was printed and not permanently bound in a book. The observer was provided with loose pages for inspection. The pages were not numbered with sequential numbers.	04/05/15	16/02/16
304	HINODE MARU No.38	JPN	05/03/15	The fishing logbook was printed but not permanently bound in a book. The inspected pages were retained in a binder. The pages were not marked with printed sequential numbers. The pages were manually numbered (handwriting in pen) - with the last page numbered 15-1.	04/05/15	16/02/16
304	TAIYO MARU No.8	JPN	11/03/15	The fishing logbook was printed (as a fax received) but not permanently bound in a book. The pages inspected were retained in a folder. The pages did not display a printed sequential page number.	04/05/15	16/02/16
304	MYOJIN MARU No.3	JPN	21/03/15	The fishing logbooks were printed but not permanently bound in a book. The pages inspected were retained in a ring binder. The pages were not printed with a sequential page number. The last page was manually (handwriting with pen) numbered with "16-1".	04/05/15	16/02/16
311	WAKASHIO MARU No.8	JPN	23/04/15	The fishing logbook was printed but not bound. The pages were retained together with a paper folder after the pages were hole-punched. The pages were not numbered with a sequential page number.	25/05/15	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
311	WAKASHIO MARU No.83	JPN	24/04/15	The fishing logbook was printed but not bound. The pages were retained together with a paper folder	25/05/15	16/02/16
311	WAKASHIO MARU No.68	JPN	25/04/15	The fishing logbook was printed but not bound. The pages were retained together in a folder.	25/05/15	16/02/16
311	CHIHO MARU No.18	JPN	26/04/15	The fishing logbook was printed but not bound. The pages were retained together with a clip along to top margin	25/05/15	16/02/16
311	HINODE MARU No.38	JPN	27/04/15	The fishing logbook was printed but not bound. The inspected pages were loose. The pages did not display printed sequential page numbers. The last page was marked in pen with "20-1".	25/05/15	16/02/16
314	TAI XIANG 10	CHN	22/04/15	Tai Xiang 10 had non State issued logbooks.	25/05/15	28/05/15
314	TAI XIANG 7	CHN	23/04/15	Tai Xiang 7 had non State issued logbooks.	25/05/15	28/05/15
305	TAIYO MARU No.58	JPN	18/03/15	The vessel presented a logbook consisting of individual loose pages.	02/07/15	16/02/16
307	OCEAN GLORY No.10	IND	23/03/15	In transshipment number 1, LSTLV OCEAN GLORY No.10 fishing logbook presented to observer was unprinted and bound . Observer inquired LSTLV Master if there was any other fishing logbook present onboard, official Government of India Fishing Logbook printed and bounded, which the reply was negative. There was no other kind of fishing logbook onboard LSTLV. Additionally, instead of an ATF, the observer was shown an authorisation to tranship and vessel registration documents .	02/07/15	
315	MOOK ANDAMAN 028	THA	11/05/15	The fishing logbook was printed but was not bound and the pages were not numbered with sequential page numbers.	08/07/15	03/02/16
315	LU QING YUAN YU 106	CHN	31/05/15	The LSTLV had a printed and bound logbook on board. However there were no entries logged on the book. A separate printed and unbound logbook was completed instead.	08/07/15	13/07/15
315	KHA YANG 7	MYS	25/05/2015	The fishing logbook was printed, but not bound and the pages were not marked with sequential page numbers.	08/07/15	16/02/16
317	KHA YANG 7	MYS	25/05/15	The fishing logbook was printed, but not bound and the pages were not marked with sequential page numbers.	08/07/15	16/02/16
312	FUKUSEKI MARU No. 1	JPN	13/05/15	The fishing logbooks were printed, unbound and the pages were not marked with sequential page numbers.	10/08/15	16/02/16
312	FUKUSEKI MARU No.35	JPN	14/05/15	The fishing logbooks were printed but not bound	10/08/15	16/02/16
312	RYUSEI MARU No.8	JPN	16/05/15	The fishing logbooks were printed, unbound and the pages were not marked with sequential page numbers.	10/08/15	16/02/16
312	SHOEI MARU No.88	JPN	16/05/15	The fishing logbook was printed and unbound with the pages retained in a ring binder. Some pages were marked with page numbers, but not all of them.	10/08/15	16/02/16
312	FUKUTOKU MARU No. 88	JPN	20/05/15	The fishing logbooks were printed and unbound. The pages were not numbered with sequential page numbers	10/08/15	16/02/16
318	MATSUEI MARU No.2	JPN	04/06/15	The logbook was printed and unbound.	13/08/15	16/02/16
318	SEIFUKU MARU No.68	JPN	05/06/15	The logbook was printed and unbound.	13/08/15	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
318	MYOJIN MARU No.8	JPN	06/06/15	The logbook was printed and unbound.	13/08/15	16/02/16
318	SHOHO MARU No.1	JPN	07/06/15	The logbook was printed and unbound.	13/08/15	16/02/16
318	KOTOKU MARU No.3	JPN	08/06/15	The logbook was printed and unbound.	13/08/15	16/02/16
318	FUKURYU MARU No.21	JPN	05/07/15	Logbook was printed and unbound and in a folder.	13/08/15	16/02/16
318	SEIFUKU MARU No.78	JPN	06/07/15	Logbook printed but unbound and kept in a binder.	13/08/15	16/02/16
318	SEIFUKU MARU No. 88	JPN	08/07/15	The logbook was printed and unbound.	13/08/15	16/02/16
318	YAHATA MARU No.5	JPN	09/07/15	The logbook was printed and unbound.	13/08/15	16/02/16
318	RYOYOSHI MARU No. 8	JPN	11/07/15	Logbook was printed and unbound and in a folder.	13/08/15	16/02/16
318	SHOFUKU MARU No. 38	JPN	12/07/15	Logbook printed and not bound.	13/08/15	16/02/16
318	KOYO MARU No.1	JPN	13/07/15	Logbook was printed and unbound	13/08/15	16/02/16
318	KUANG LI	TWN	02/07/15	Logbook was printed and unbound	13/08/15	16/10/15
323	HINODE MARU No. 38	JPN	05/09/15	Logbook was printed and unbound. The logbook may also have been a faxed copy rather than an original. The logbook of HINODE MARU NO.38 was not bound. When the observer informed the LSTLV Master that the logbook format was not appropriate the Master explained that the logbook was provided by their company.	22/10/15	16/02/16
327	WAKASHIO MARU No.8	JPN	13/10/15	The fishing logbooks scrutinised were not flag state logbooks and were marked "MOZAMBIQUE LOGBOOK FOR TUNA FISHERY. The fishing logbooks were printed and not bound. The pages were not marked with sequential page numbers.	03/11/2015	16/02/16
327	WAKASHIO MARU No.68	JPN	14/10/15	The fishing logbooks were printed and not bound. The pages were not marked with sequential page numbers.	03/11/15	16/02/16
320	SHOHO MARU No.1	JPN	27/08/15	The fishing logbooks were printed but not bound. The pages were retained in a ring binder and were not numbered with sequential page numbers.the name "SHOHO MARU No.1" provided in the IOTC vessel list.	26/11/15	16/02/16
320	RYUSEI MARU No.8	JPN	02/09/15	The fishing logbooks were printed but not bound. The pages were retained in a ring binder and were not numbered with sequential page numbers	26/11/15	16/02/16
320	SHOEI MARU No.88	JPN	14/09/15	The fishing log was printed but not bound	26/11/15	16/02/16
332	KOEI MARU No.1	JPN	26/10/15	The logbook was bound only with string, and did not appear to match the format of the official Japanese logbook. The pages were not clearly and consecutively marked with page numbers	26/11/15	16/02/16
332	TAIYO MARU No.8	JPN	26/10/15	The logbook was bound only by a plastic binder. The pages were not clearly and consecutively numbered	26/11/15	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
332	KOEI MARU No.88	JPN	27/10/15	The logbook was bound in a ring binder. Although numbers were present in the top-right corner of the logbook pages, by a field labelled 'page', the numbering system was not clear, nor obviously consecutive	26/11/15	16/02/16
328	YONG QING FA	TWN	20/10/15	The Captain of YONG QING FA produced an official Taiwanese logbook but this was only completed up to 08/10/015. The Captain did produce another separate logbook that was ring-bound and unprinted, this logbook kept additional records of his catch from 09/10/2015 up to 19/10/2015.	15/01/16	29/01/16
329	SHOFUKU MARU No.8	JPN	18/10/15	The LSTLVs logbook consisted of printed but unbound pages contained in a clip file cover.	19/01/16	16/02/16
329	SHOFUKU MARU No.18	JPN	19/10/15	The LSTLVs logbook consisted of unbound printed pages contained in a clip file cover.	19/01/16	16/02/16
329	KATSUEI MARU No.88	JPN	23/10/15	The LSTLVs logbook consisted of loose, numbered pages contained in a clip file cover	19/01/16	16/02/16
329	KATSUEI MARU No.78	JPN	24/10/15	The LSTLV's logbook consisted of unbound printed pages contained in a clip file cover. The pages were not numbered.	19/01/16	16/02/16
329	RYUSEI MARU No.8	JPN	29/10/15	The fishing logbook was printed but not bound.	19/01/16	16/02/16
329	HINODE MARU No.38	JPN	04/11/15	The LSTLVs logbook consisted of unbound printed pages contained in a clip file cover.	19/01/16	16/02/16
329	SHOHO MARU No.1	JPN	11/12/15	The fishing logbook was printed but not bound.	19/01/16	16/02/16
329	DONG WON NO.638	KOR	06/11/15	The format of the fishing log was not the same as the example of the Korean logbook supplied to the observer.	19/01/16	16/02/16
329	ORYONG NO.355	KOR	07/11/15	The LSTLVs logbook consisted of unbound printed pages contained in a file cover. The pages were not numbered with sequential page numbers.	19/01/16	16/02/16
329	NO.805 ORYONG	KOR	08/11/15	The LSTLV's logbook provided for the observer's inspection consisted of printed unbound, un-numbered pages contained in a file cover. The format of the fishing log was not the same as the example of the Korean logbook supplied to the observer.	19/01/16	16/02/16
329	ORYONG NO.373	KOR	09/11/15	The pages were not numbered with sequential page numbers. The format of the fishing log was not the same as the example of the Korean logbook supplied to the observer.	19/01/16	16/02/16
334	Ashuneyu	SYC	17/12/15	Vessel details were not completed at the top of each logbook page	20/01/16	
334	MOOK ANDAMAN 018	THA	27/12/15	No logbooks were shown to the observer.	20/01/16	03/02/16
334	HSIANG PERNG NO.212	TWN	20/12/15	Logbook was bound only by staples	20/01/16	30/01/16
334	Venus	TZA	23/12/15	No dates or vessel details entered into fishing logbook since the start of the trip	20/01/16	



Table A4 – Possible infractions related to marking of fishing vessels

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
291	HO FU MEI NO.6	TWN	02/12/14	A different IOTC number was indicated on the transshipment declaration of the transshipment with HO FU MEI NO.6 than that recorded in the IOTC database	20/01/15	11/02/15
291	SHIN SHUEN FAR NO.69	TWN	17/12/14	Partially obscured name on bow of SHIN SHUEN FAR NO.69	20/01/15	11/02/15
291	SHANG FENG NO.3	TWN	19/12/14	Partially worn away name on bow of SHANG FENG NO.3	20/01/15	11/02/15
291	SINAW 16	OMN	04/12/14	Call sign not clearly visible on the side of the vessel.	20/01/15	10/02/16
291	SAN CARLOS No. 18	PHL	16/12/14	Name on the bow not clearly visible.	20/01/15	21/01/15
291	MOOK ANDAMAN 028	THA	18/12/14	No indication of the national registration number of MOOK ANDAMAN 028 was seen on the vessel markings, ATFor logbook.	20/01/15	03/02/16
294	TAIYO MARU No.8	JPN	24/12/14	TAIYO MARU NO.8's bow markings were worn and difficult to read.	31/01/15	16/02/2016
296	CHING CHUN FA NO.168	TWN	21/12/2014	Name on bow of vessel was obscured.	03/02/15	10/03/15
296	CHANG YING NO.868	TWN	31/12/2014	The names on the bows of CHANG YING NO.868 were worn or obscured by fouling, and difficult to read.	03/02/15	10/03/15
296	LIEN SHENG FA	TWN	01/01/2015	The names on the bows of LIEN SHENG FA were worn or obscured by fouling, and difficult to read.	03/02/15	10/03/15
296	JING MAN NO.666	TWN	01/01/15	The names on the bows of JING MAN NO.666 were worn or obscured by fouling, and difficult to read.	03/02/15	10/03/15
298	Shuenn Perng 202	SYC	31/12/14	ATF and vessel markings on bow indicated name to be SHUENN PERNG NO.202 whilst the IOTC database lists this vessel as SHUENN PERNG 202	10/02/15	
298	RAY HOME	TWN	30/12/15	Part of the name on the bow was worn away.	10/02/15	02/03/15
300	XIN SHI JI NO.67	CHN	24/01/15	Vessel marking on stern indicated name to be XIN SHI JI whilst the IOTC database lists this vessel as XIN SHI JI NO.67).	24/03/15	31/03/15
297	SHOFUKU MARU No. 78	JPN	24/02/15	The vessel did not display the IRCS displayed on either side of the vessel. The LSTLV captain said they were busy painting the vessel.	30/03/15	16/02/2016
301	SHUN FENG NO.8	TWN	22/01/15	NRN and name on bow hard to read.	27/04/15	14/01/16
301	SHUEN DE CHING NO.18	TWN	05/03/15	The name hard to read on bow.	27/04/15	14/01/16
301	WOEN DAR NO.168	TWN	05/03/15	name on bow hard to read.	27/04/15	14/01/16
301	CHING CHENG FU NO.666	TWN	06/03/15	name hard to read on bow	27/04/15	14/01/16
303	AN WEN FA NO.26	TWN	07/02/15	The bow markings of the LSTLV was not clearly visible due to fouling on the hull.	04/05/15	23/06/15

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
303	SHUN FENG NO.8	TWN	08/02/15	The markings on the bow was not clearly visible due to fouling on the hull and the letter "N" of the name "SHUN" was worn away.	04/05/15	23/06/15
303	KUO CHYAU	TWN	08/02/15	The LSTLV name on the bow of the vessel was not clearly applied and the last letter was not clearly visible.	04/05/15	23/06/15
303	GUAN WANG NO.21	TWN	12/03/15	The name "GUAN 21 WANG" was displayed on the stern of the LSTLV.	04/05/15	23/06/15
303	CHENG QING FENG NO.8	TWN	12/03/15	The NRN on the bow was not clearly visible and partially worn away.	04/05/15	23/06/15
303	SHUN FENG NO.8	TWN	14/03/15	The bow markings were only visible at very close range. The markings were partially rubbed off and obscured by fouling.	04/05/15	23/06/15
303	RUEY CHIEN TSAI NO.112	TWN	15/03/15	The bow markings of the RUEY CHIEN TSAI No.112 was partially obscured by fouling and was also worn. The NRN could not be seen as a result.	04/05/15	23/06/15
303	RLEY CHIEN TSAI NO.116	TWN	15/03/15	This LSTLV displayed the name "RLEY CHIEN TSAI NO.116" on the stern of the vessel and the name "RUEY CHIEN TSAI NO.116" on the bow.	04/05/15	23/06/15
304	SHOHO MARU No.1	JPN	01/02/15	The vessel name on stern and the bow of the LSTLV did not correspond with the name provided in the IOTC vessel list. The letters "No" was left out and the letter "l" was displayed instead of the number"1".	04/05/15	16/02/16
310	CHIA CHIN CHUN NO.26	TWN	22/04/15	The name on the bow was partially obscured by fouling and not clearly visible.	02/07/15	20/07/15
310	YU FU	TWN	26/04/15	The vessel name was worn away on the bow and not clearly visible.	02/07/15	20/07/15
310	CHUAN HSING FA NO.10	TWN	29/04/15	The vessel name on the bow was partially worn and the characters "H" in the "HSIANG" was not legible	02/07/15	20/07/15
305	FUKUSEKI MARU No.31	JPN	18/03/15	The vessel failed to display a painted callsign on the side of the vessel	02/07/15	16/02/16
307	OCEAN GLORY No.10	IND	23/03/15	In transshipment number 1, LSTLV OCEAN GLORY No.10 had a different IRCS number displayed as LSTLV external markings, IRCS 8XBC, different from the one recorded in the observer IOTC database, IRCS 8VBF	02/07/15	
315	Shuenn Perng 202	SYC	17/05/15	The LSTLV displayed the name "SHUEN PERNG NO.202". This name was not consistent with the name "Shuenn Perng 202" provided by the IOTC vessel list.	08/07/15	
315	Fortune 58	SYC	23/05/15	The LSTLV was marked with the name "FORTUNE NO. 58". This name was not consistent with the name "Fortune 58" provided in the IOTC vessel list.	08/07/15	
315	Fortune 78	SYC	24/05/15	The LSTLV displayed the vessel name "FORTUNE NO78". This name was not the same as the name "Fortune 78" provided in the IOTC vessel list.	08/07/15	
315	LU QING YUAN YU 105	CHN	16/05/15	The LSTLV did not display the International Radio call Sign (IRCS). Neither the LSTLV's ATF, nor the IOTC vessel list provided the IRCS. The vessel operating company provided the IRCS as "BCJD5.	08/07/15	13/07/15
315	LU QING YUAN YU 102	CHN	21/05/15	The LSTLV's IRCS were not displayed on the vessel.	08/07/15	13/07/15
315	LU QING YUAN YU 107	CHN	27/05/15	The LSTLV's IRCS were not displayed on the vessel.	08/07/15	13/07/15
315	LU QING YUAN YU 101	CHN	29/05/15	The LSTLV's IRCS were not displayed on the vessel.	08/07/15	13/07/15



Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
315	LU QING YUAN YU 106	CHN	31/05/15	The LSTLV's IRCS were not displayed.	08/07/15	13/07/15
315	TUNA BEST	TZA	20/05/15	The IRCS displayed on the IOTC list and on the LSTLV was 5IM473 (the letter "I" after the "5"). However the ATF displayed 51M473 (the number "1" after the "5" of the LSTLV's IRCS).	08/07/15	20/07/15
315	CHARNG LUEN NO.22	TWN	15/05/15	The LSTLV name was displayed as "22 CHARNG LUEN" on the stern of the vessel.	08/07/15	24/07/15
315	KHA YANG 5	MYS	24/05/2015	The National Registration Number (NRN) displayed by the LSTLV (PPF 979) was not consistent with the NRN provided in the IOTC vessel list (PPF 979/333445).	08/07/15	16/02/16
315	KHA YANG 9	MYS	24/05/2015	The NRN displayed by the LSTLV (PPF 981) was not consistent with the NRN provided in the IOTC vessel list (PPF 981/333447)	08/07/15	16/02/16
315	KHA YANG 7	MYS	25/05/2015	The NRN displayed by the LSTLV (PPF 980) was not the same as the NRN provided with the IOTC vessel list (PPF 980/333446). These markings were very worn and practically unreadable	08/07/15	16/02/16
315	KHA YANG 1	MYS	26/05/2015	The bow markings of the LSTV was worn and the NRN markings were practically unreadable unless at very close range. The LSTLV displayed the NRN "PPF 997". This NRN was not consistent with the NRN provided with the IOTC vessel list (PPF 977/333443)	08/07/15	16/02/16
315	KHA YANG 3	MYS	27/05/2015	The LSTLV displayed the markings "PPF 998" on the bow. These markings were not consistent with the NRN provided in the IOTC vessel list (PPF 978/333444).	08/07/15	16/02/16
317	CHENG QING FENG NO.8	TWN	29/05/15	The NRN on the bow was partially worn away and only legible at very close range.	08/07/15	27/07/15
317	CHUAN FA SHIAN NO.88	TWN	03/06/15	The vessel name and NRN on the bow of the vessel was partly invisible due to the fouling on the hull. The markings could only be read at close range.	08/07/15	27/07/15
317	KHA YANG 5	MYS	24/05/15	The National Registration Number (NRN) displayed by the LSTLV (PPF 979) was not consistent with the NRN provided in the IOTC vessel list (PPF 979/333445).	08/07/15	16/02/16
317	KHA YANG 9	MYS	24/05/15	The NRN displayed by the LSTLV (PPF 981) was not consistent with the NRN provided in the IOTC vessel list (PPF 981/333447).	08/07/15	16/02/16
317	KHA YANG 7	MYS	25/05/15	The NRN displayed by the LSTLV (PPF 980) was not the same as the NRN provided with the IOTC vessel list (PPF 980/333446). These markings were very worn and practically unreadable.	08/07/15	16/02/16
317	KHA YANG 1	MYS	26/05/15	The bow markings of the LSTV was worn and the NRN markings were practically unreadable unless at very close range. The LSTLV displayed the NRN "PPF 997". This NRN was not consistent with the NRN provided with the IOTC vessel list (PPF 977/333443).	08/07/15	16/02/16
317	KHA YANG 3	MYS	27/05/15	The LSTLV displayed the markings "PPF 998" on the bow. These markings were not consistent with the NRN provided in the IOTC vessel list (PPF 978/333444).	08/07/15	16/02/16
312	CHAAN YING	TWN	09/06/15	The markings on the bow was partially worn away and was not visible unless at very close range	10/08/15	02/09/15
312	DE HAI NO.12	TWN	10/06/15	The vessel name markings on the bow was partially worn and not clearly legible.	10/08/15	02/09/15
319	KHA YANG 5	MYS	27/06/15	The National Register Number (NRN) "PPF 979" was displayed by the LSTLV. This NRN did not concur with the NRN "PPF 979/333445" provided in the IOTC list of vessels	10/08/15	16/02/16
319	KHA YANG 9	MYS	27/06/15	The NRN "PPF 981" was displayed by the LSTLV. This NRN did not concur with the NRN "PPF 981/333447" provided in the IOTC list of vessels	10/08/15	16/02/16
319	KHA YANG 7	MYS	28/06/15	The NRN markings on the bow facing the CV was not legible as the markings were worn away	10/08/15	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
319	KHA YANG 1	MYS	28/06/15	The observer could verify the name of the vessel but not the NRN which was worn away	10/08/15	16/02/16
319	KHA YANG 3	MYS	30/06/15	The NRN "PPF 978" was displayed by the LSTLV. This NRN did not concur with the NRN "PPF 978/333444" provided in the IOTC list of vessels	10/08/15	16/02/16
319	CHUAN FA SHIAN NO.88	TWN	01/07/15	The vessel details on the bow was covered with fouling and the LSTLV NRN was not visible	10/08/15	16/10/15
319	SHIN LIAN FA NO.36	TWN	04/07/15	Vessel details on the bow were covered in fouling and LSTLV NRN was almost illegible	10/08/15	16/10/15
318	SHANG FENG NO.3	TWN	03/07/15	The name on the bow and the callsign markings on SHANG FENG NO.3 were worn and difficult to read.	13/08/15	16/10/15
316	FENG KUO NO.368	TWN	21/06/15	The name on the bow of FENG KUO NO.368 was partially obscured and difficult to read at a distance	14/08/15	18/11/15
321	KHA YANG 5	MYS	26/07/15	The LSTLV displayed the number "PPF979" on the bow of the vessel. This number did not concur with the number provided as the National Register Number (NRN) in the IOTC vessel list (PPF 979/333445).	03/09/15	16/02/16
321	KHA YANG 7	MYS	28/07/15	The LSTLV displayed the number "PPF980" on the bow of the vessel. This number did not concur with the number provided as the NRN in the IOTC vessel list (PPF 980/333446)	03/09/15	16/02/16
321	KHA YANG 1	MYS	29/07/15	The bow markings of the NRN was not legible due to fouling on the hull and the markings were rubbed away	03/09/15	16/02/16
321	KHA YANG 9	MYS	30/07/15	The LSTLV displayed the number "PPF 981" on the bow of the vessel. This number did not concur with the number provided as the NRN in the IOTC vessel list (PPF 981/333447).	03/09/15	16/02/16
321	KHA YANG 3	MYS	03/08/15	The LSTLV displayed the number "PPF 978" on the bow of the vessel. This number did not concur with the number provided as the NRN in the IOTC vessel list (PPF 978/333444).	03/09/15	16/02/16
321	JIN GWO DEE 1HAW	TWN	05/08/15	The LSTLV displayed the name "JINGWO DEEIHAW" on the bow of the vessel. The IOTC vessel list provided the name "JIN GWO DEE 1HAW"	03/09/15	17/12/15
321	AN WONE FA NO.3	TWN	07/08/15	The name "AN WONEFA NO.3" displayed on the bow did not contain the same spaces between characters as the name "AN WONE FA NO.3" provided in the IOTC list. The NRN on the bow was partially worn away.	03/09/15	17/12/15
321	AN WEN FA NO.2	TWN	07/08/15	The LSTLV displayed the name "AN W ENFA NO.2" on the bow of the vessel. The spacing of the characters in the name displayed was different to the spacing on the characters of the name "AN WEN FA NO.2" provided in the IOTC vessel list. The name "AN W ENFANO 02." was displayed on the stern of the vessel.	03/09/15	17/12/15
324	CHENG QING FENG	TWN	26/08/15	The LSTLV name markings on the bow was worn away and barely legible at close range.	07/09/15	21/12/15
324	KHA YANG 3	MYS	25/08/15	The vessel name was partially worn away. The National Registration Number (NRN [PPF978]) displayed on the bow was not consistent with the NRN "PPF978/333444" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 978" and the "Vessel Official no." as "333444"	07/09/15	16/02/16
324	KHA YANG 5	MYS	26/08/15	The NRN PPF979 was displayed on the bow of the LSTLV and partially worn away. The displayed NRN was not consistent with the NRN "PPF979/333445" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 979" and the "Vessel Official no." as "333445".	07/09/15	16/02/16
324	KHA YANG 7	MYS	26/08/15	The NRN and name of the LSTLV on the bow was worn and not legible. This NRN was not consistent with the NRN "PPF 980/333446" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 980" and the "Vessel Official no." as "333446".	07/09/15	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
324	KHA YANG 9	MYS	26/08/15	The NRN PPF981 was displayed on the bow of the LSTLV. This was not consistent with the NRN "PPF 981/333447" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 981" and the "Vessel Official no." as "333447"	07/09/15	16/02/16
324	KHA YANG 1	MYS	27/08/15	The LSTLV name- and NRN markings on the bow was covered in fouling and not legible	07/09/15	16/02/16
322	FV San Carlos No. 3	SYC	31/08/15	The LSTLV displayed the name "SAN CARLOS NO.3". This name did not concur with the name "FV San Carlos No. 3" provided in the IOTC vessel list provided.	02/10/15	
326	LU QING YUAN YU 101	CHN	01/09/15	The LSTLV did not display the International Radio Call Sign (IRCS).	23/10/2015	05/11/15
326	XIN SHI JI 82	CHN	07/09/15	The LSTLV displayed the name XIN SHI JI NO.82. The same name was reflected on the LSTLV's Authorization to Fish (ATF). However, the IOTC vessel list provided the name "XIN SHI JI 82". The vessel name displayed on the bow was not clearly visible due to fouling on the hull.	23/10/2015	05/11/15
326	XIN SHI JI 81	CHN	19/09/15	The LSTLV displayed the name XIN SHI JI NO.81. The name provided in the IOTC vessel list as well as the LSTLV's ATF was "XIN SHI JI 81". The English name displayed on the bow of the vessel was partially hidden by the fouling on the hull and not clearly legible	23/10/2015	05/11/15
326	XIN SHI JI 85	CHN	20/09/15	The LSTLV displayed the name XIN SHI JI NO.85. The name provided in the IOTC vessel list as well as the LSTLV's ATF was "XIN SHI JI 85".	23/10/2015	05/11/15
326	XIN SHI JI 37	CHN	21/09/15	The LSTLV displayed the name "XIN SHI JI NO.37" The IOTC vessel list as well as the LSTLV's ATF provided the name as "XIN SHI JI 37".	23/10/2015	05/11/15
326	XIN SHI JI 83	CHN	23/09/15	The LSTLV displayed the name "XIN SHI JI NO.83" on the bow and the stern of the vessel. The displayed name was not consistent with the name "XIN SHI JI 83" provided in the IOTC list of vessels.	23/10/2015	05/11/15
326	XIN SHI JI 86	CHN	24/09/15	The name "XIN SHI JI NO. 86" was displayed on the bow and the stern of the LSTLV. The displayed name was not consistent with the name "XIN SHI JI 86" provided in the IOTC vessel list. The ATF listed the LSTLV name as "XIN SHI JI NO.86".	23/10/2015	05/11/15
313	DE HAI NO.12	TWN	22/05/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	SHIN LIAN FA NO.168	TWN	24/05/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	CHANG YING NO.868	TWN	26/05/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	HSIN MING SHENG NO.28	TWN	27/05/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	FWU FA NO.6	TWN	29/05/2015	Bow markings incorrect. Callsign unclear.	03/11/15	04/01/16
313	TENN MING YANG NO.889	TWN	29/05/2015	Name on bow partially obscured and difficult to read.	03/11/15	04/01/16
313	LIEN SHENG FA	TWN	02/06/2015	Name on bow partially obscured and difficult to read.	03/11/15	04/01/16
313	RUEY I SHYANG NO.12	TWN	03/06/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	RUEY I SHYANG NO.10	TWN	03/06/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
313	DE HAI NO.12	TWN	25/06/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	DE HAI NO.12	TWN	02/07/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	TENN MING YANG NO.889	TWN	05/07/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	RUEY I SHYANG NO.12	TWN	06/07/2015	Callsign, and name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	TENN MING YANG NO.101	TWN	09/07/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	RUEY I SHYANG NO.7	TWN	12/07/2015	Name on bow partially obscured and difficult to read.	03/11/15	04/01/16
313	LIEN SHENG FA	TWN	15/07/2015	Name on bow partially obscured and difficult to read.	03/11/15	04/01/16
313	FWU FA NO.6	TWN	16/07/2015	Bow markings incorrect.	03/11/15	04/01/16
313	HSIN MING SHENG NO.28	TWN	17/07/2015	Name on bow partially worn and difficult to read.	03/11/15	04/01/16
313	SHIN LIAN FA NO.36	TWN	05/08/2015	Name on bow partially obscured and difficult to read.	03/11/15	04/01/16
313	CHENG QING FENG	TWN	05/08/2015	The name of the LSTLV marked was not clear (bow and stern).	03/11/15	04/01/16
313	LIEN SHENG FA	TWN	06/08/2015	Name on bow partially obscured and difficult to read.	03/11/15	04/01/16
313	SHIN LIAN FA NO.168	TWN	16/08/2015	The name of the LSTLV marked at the bow not clear.	03/11/15	04/01/16
320	SHOHO MARU No.1	JPN	27/08/15	The name "SHOHO MARU_1" was displayed on the bow of the LSTLV and the name "SHOHO MARU.1" was displayed on the stern. The displayed vessel names were therefore not consistent with the name "SHOHO MARU No.1" provided in the IOTC vessel list.	26/11/15	16/02/16
320	JIN JAAN SHYANG NO.3	TWN	12/09/15	The LSTLV's International Radio Call Sign (IRCS) on the starboard side was very faded and only visible at close range.	26/11/15	14/01/16
320	SHIH SHUEN FAR NO 889	TWN	23/10/15	The listed name did not concur with the name "SHIN SHUEN FAR NO 889" displayed on the LSPLV bow, stern and ATF	26/11/15	14/01/16
328	DAR LONG CHANG NO.2	TWN	22/10/15	The midship callsign marking of the DAR LONG CHANG NO.2 was partially worn away.	15/01/16	29/01/16
328	SHUU CHANG NO.6	TWN	27/10/15	The midship callsign marking of the SHUU CHANG NO.6 was partially obscured	15/01/16	29/01/16
328	SHUANG LIAN	TWN	13/11/15	The midship callsign marking on the side of the SHUANG LIAN was partially obscured.	15/01/16	29/01/16
329	SHOHO MARU No.1	JPN	11/12/15	The LSTLV displayed the LSTLV name "SHOHO MARU.1" on the bow and the stern. The IOTC vessel list provided the name "SHOHO MARU No.1".	19/01/16	16/02/16
329	DONG WON NO.638	KOR	06/11/15	The LSTLV displayed the name "No638 DONG WON" on the bow of the LSTLV. The weld marks on the bow was in the format as the name provided by the IOTC vessel list (DONG WON N° 638. The name marking on the bow was not consistent to the name "DONG WON No638" displayed on the stern of the ship.	19/01/16	16/02/16

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
334	TAI XIANG 8	CHN	09/12/15	Name on bow was obscured by algal growth and difficult to read	20/01/16	26/01/16
334	TAI XIANG 1	CHN	09/12/15	Name on bow and the callsign were obscured and difficult to read	20/01/16	26/01/16
334	TAI XIANG 5	CHN	10/12/15	Callsign was obscured by rust and difficult to read	20/01/16	26/01/16
334	TAI XIANG 7	CHN	10/12/15	Name on bow was obscured by algal growth and difficult to read	20/01/16	26/01/16
334	TAI XIANG 9	CHN	11/12/15	Name on bow was obscured by algal growth and difficult to read	20/01/16	26/01/16
334	TAI XIANG 10	CHN	12/12/15	Name on bow was obscured by algal growth and difficult to read	20/01/16	26/01/16
334	Fortune 58	SYC	13/12/15	The markings on the bow and the ATF indicated the name to be FORTUNE NO.58, whereas the vessel name is recorded as FORTUNE 58 in IOTC records	20/01/16	
334	MOOK ANDAMAN 018	THA	27/12/15	The vessel name on the bow was partially obscured by rust and difficult to read	20/01/16	03/02/16
334	HWA MAO NO.203	TWN	19/12/15	The markings on the bow indicated the name to be HWA MAO NO.203, in agreement with IOTC records. However, the stern markings indicated the name to 203 HWA MAO.	20/01/16	30/01/16
325	SHUU CHANG NO.6	TWN	20/10/15	The LSTLV's bow name and callsign both appeared correct but were partially obscured by rust and other damage.	23/01/16	28/01/16

Table A5 Possible infractions related to intention of transshipment outside the ROP and/or obstruct, intimidate, interfere with the work of observer

Deploy. number	Vessel name	Vessel flag	Inspection date	Inspection comment	Date report sent to fleet	Date feedback from fleet
301	CHIA CHIN CHUN NO.26	TWN	09/01/15	In addition, once transshipment 14 was concluded the observer was asked by the Captain of the CHEN YU NO.7 if it was possible to modify the start time of the transshipment from 06:00 to 12:00 on the transshipment declaration; the observer replied in the negative	27/04/15	14/01/16
301	HWA HUNG NO.202	TWN	20/01/15	The pre-transshipment form indicating species and quantities to be transhipped provided by the LSTLV did not initially indicate an intention to tranship any tuna species apart from albacore. However, during transshipment the observer saw tuna (which he identified as yellowfin tuna) being transhipped and started to video them. The Captain of the CHEN YU NO.7 told the observer not to take pictures, and asked how many pieces the observer had seen. No more tuna were transhipped and the number observed was included on the transshipment declaration.	27/04/15	14/01/16
301	CHEN YU NO.7	TWN	18/01/15	Three days before the non ROP transshipment with F/V Hung Chi Fu 68 the observer was asked by the Captain of CHEN YU NO.7 to make an illegal transshipment with this vessel; they wanted to transfer 15 tonnes of fish (species not known to the observer) during the fuel loading, and asked the observer if they could proceed without the observer monitoring the transshipment or inspecting the LSTLV. The observer refused to do this, so the transshipment proceeded as non ROP only – no tuna or tuna-like products were transhipped.	27/04/15	14/01/16
301	Hung Chi Fu 68	THA	18/01/15	Three days before the non ROP transshipment with F/V Hung Chi Fu 68 the observer was asked by the Captain of CHEN YU NO.7 to make an illegal transshipment with this vessel; they wanted to transfer 15 tonnes of fish (species not known to the observer) during the fuel loading, and asked the observer if they could proceed without the observer monitoring the transshipment or inspecting the LSTLV. The observer refused to do this, so the transshipment proceeded as non ROP only – no tuna or tuna-like products were transhipped.	27/04/15	14/05/15

## Appendix II

**Responses received from CPCs before the deadline of 16/02/2016****LSTLVs – SAN CARLOS No. 18 (Deploy 291)**

**Email received 06/03/2014 from Benjamin F.S. Tabios Jr., Assistant Director  
Bureau of Fisheries and Aquatic Resources.**

**Participating Fleet  
PHILIPPINES**

- Possible**           • Name on the bow not clearly visible,  
**infraction:**       • Fishing licence provided to the observer was for Marine Areas under the jurisdiction of Seychelles,  
                              • The logbooks shown by SAN CARLOS NO.18 was printed but unbound.

Respond to possible infractions observed under the ROP Deployment No. 291-14, IOTC ref. 14788 for F/V San Carlos No. 18 on December 16, 2014

We will inform the owner to correct the marking. In regards to logbook of San Carlos No. 18 as we have explained before that all our fishing vessels utilize printed formats based on the BFAR approved formats which are based on IOTC templates. These are filed up utilizing data coming from the same forms which when originally are filled up are not clean. Thereafter, when the data has been clarified, verified and confirmed, these data are transferred to the clean sheets of the same format. After having completed 1 page, these are faxed on a weekly basis so that the Philippine flagged fishing vessel operator will receive the same and can have updated data which are then submitted BFAR for updating. After these forms are faxed, they are inserted and bounded onto the logbook. As was explained, they cannot fax a sheet if the same is already bounded. We utilize this system to ensure that the fishing vessel and our office have the same copies and BFAR is updated. BFAR is using this faxed weekly catch report in issuing Statistical Document and authority to transshipment.

Attached is the copy of the Authority to Fish (International Fishing Permit) of San Carlos No. 18, issued by this office valid until January 13, 2015



Please acknowledge upon receipt. Thank you

Best regard

**LSTLVs – HO FU MEI NO.6, SHIN SHUEN FAR NO.69, SHANG FENG NO.3  
(Deploy 291)**

**Participating Fleet  
TAIWAN, CHINA**

**Letter received 11/02/2015 from Fisheries Agency**

- Possible**           • A different IOTC number was indicated on the transshipment declaration of the transshipment with HO FU MEI NO.6 than  
**infraction:**       that recorded in the IOTC database,  
                              • Partially obscured name on bow of SHIN SHUEN FAR NO.69,  
                              • Partially worn away name on bow of SHANG FENG NO.3.

Incidents related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
291	SHIN SHUEN FAR NO.69	2014/12/17	Name on the bow not clearly visible.	Through our investigation, we found that vessels' markings or identifications would very likely wear out due to the erosion made by brine and sea wind. We have already informed the vessel owners of such incidents and have requested to repaint the marking once the operation of repainting is possible.
291	SHANG FENG NO.3	2014/12/19	Name on the bow not clearly visible.	

Incident related to other cases				
NO.	Vessel Name	Date	Inspection Comment	Investigation
291	HO FU MEI NO.8	2014/12/2	IOTC number in database was 5234, in TD it was 9000-70320. A different IOTC number was indicated on the transshipment declaration of the transshipment with HO FU MEI NO.6 than that recorded in the IOTC database.	Through our investigation, the number 9000-70320 printed on the transshipment declaration was provided by the carrier vessel based on the database of IOTC. According to the email dated August 3, 2009, which was sent from Mr. Gerard Domingue, the IOTC Compliance Coordinator, the nine-digit number has been assigned to Taiwanese vessels by the Secretariat on the basis of the registry numbers authorized by the Fisheries Agency of Taiwan. After scrutinizing the white list we provided to IOTC on the website of OFDC, it is certain that the registry number of F/V HO FU MEI NO.6 is CT7-0320, which should be 9000-70320 after IOTC converted the number to your numbering system. With regard to the number 5234, it was not authorized by this Agency and we are wondering how this number really emerged. Therefore, it is hard for us to explain how this situation happened on the declaration concerned. It would be greatly appreciated if IOTC could offer more relevant information about the number 5234 reported by the observer.

**LSTLVs – RAY HOME (Deploy 298)**  
**Letter received 02/03/15 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

- Possible infraction:**
- The logbook was printed and unbound and lacked clear consecutive page numbering.,
  - Part of the name on the bow was worn away.

### **The Results of Investigation from Taiwan Regarding the IOTC ROP Report (298)**

#### **1. Comment related to vessel marking (please refer to Table for vessel marking)**

##### **For F/V RAY HOME**

According to the report, part of the name on the bow was worn away.

Through our investigation, we found that vessels' markings or identifications would very likely wear out due to the erosion made by brine and sea wind. We have already informed the vessel owner of such incident and have requested to repaint the marking once the operation of repainting is possible.

#### **2. Comment related to fishing logbook (please refer to Table for the fishing logbook)**

According to our domestic regulations, fishing vessels are obligated to submit their periodical logbook data regularly to Fisheries Agency of Taiwan during their operations and the compiled logbooks after every single fishing trip.

##### **For F/V RAY HOME**

This fishing vessel was reported by the observer that the logbook was printed and unbound and lacked clear consecutive page numbering.

We have explained the reason of such incident before. In order to rectify such a defect, this Agency has released bounded logbook to our fishing vessels since the early of last year. Given that a period of time for the change is needed, we hope observers can consider the current situation and suspend reporting such cases.



**LSTLVs – Multiple LSTLVs (Deploy 296)**

Letter received 10/03/15 from Fisheries Agency

**Participating Fleet****TAIWAN, CHINA****Possible** • See table below**infraction:**

<b>Incidents related to marking</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
296	CHING CHUN FA NO.168	2014/12/21	Name on bow of vessel was obscured. The names on the bows of CHING CHUN FA NO.168 was worn or obscured by fouling, and difficult to read.	Through our investigation, we found that vessels' markings or identifications would very likely wear out due to the erosion made by brine and sea wind. We have already informed the vessel owners of such incidents and have requested to repaint the marking once the operation of repainting is possible.
296	CHANG YING NO.868	2014/12/31	The name on the bows of CHANG YING NO.868 was worn or obscured by fouling, and difficult to read.	
296	LIEN SHENG FA	2015/1/1	The name on the bows of LIEN SHENG FA was worn or obscured by fouling, and difficult to read.	
296	JING MAN NO.666	2015/1/1	The name on the bows of JING MAN NO.666 was worn or obscured by fouling, and difficult to read.	

<b>Incident related to VMS</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
296	JING MAN NO.666	2015/1/1	VMS light did not appear to be on.	After checking our VMS record, we confirmed that this fishing vessel has normally reported its locations during navigation on the fishing trip. In other words, this vessel did not violate our domestic regulations concerning VMS.

<b>Incidents related to fishing logbook</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
296	CHIN YI CHUN	2015/1/1	The logbook was printed and unbound. The Captain explained he was waiting for a new logbook.	We have explained the reason of such incidents before. In order to rectify such a defect, this Agency has released bounded logbook to our fishing vessels since the early of last year. Given that a period of time for the change is needed, we hope observers can consider the current situation and suspend reporting such cases.
296	JING MAN NO.666	2015/1/1	The logbook was printed and unbound. The Captain explained he was waiting for a new logbook.	

<b>Incident related to ATF</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
296	CHING CHUN FA NO.168	2014/12/21	ATF shown to observer during inspection was out-of-date. An in-date ATF was faxed to the CV on 22/12/2014.	According to our record, the fishing license of CHING CHUN FA NO.168 is valid during the transshipment. Our Agency has requested the vessel owner to inform the vessel captain to bring and show the valid document in the future.

Letter received 31/03/2015 from Ministry of Agriculture,  
People's Republic of ChinaPossible  
infraction:

- The power of the VMS antenna appeared to be off. In response to this the LSTLV presented the document "Authorization for CLS to allow Seychelles Fishing Authorities to access positions data and other information within the specified period" (ARGOS ID: 37350)
- The logbook was not up to date, and lacked clear and consecutive page numbers,
- Vessel marking on stern indicated name to be XIN SHI JI whilst the IOTC database lists this vessel as XIN SHI JI NO.67).

Dear Sir/Madam:

I acknowledge with thanks receipt of the Transshipment Observer Report for China LSTLVs involved in transshipments with MV Haru on March 25,2015.

We undertake investigation as soon as we received the Observer Report and we wish to advise the current outcome as follows:

1. VMS and logbook issues of Tian Xiang 328

Tian Xiang 328 was accused that the power of the VMS antenna appeared to be off. The vessel owner checked with master and confirmed that the VMS onboard was powered on all the time, and this vessel is in good standing VMS reporting status according to our internal Vessel Monitoring System(as attachment 1) on the day when the observer had taken an inspection. The VMS antenna not displaying power light in Figure 5 in the Report may be due to the low power light of the VMS antenna, furthermore the VMS antenna is rusted by the strong wind and big waves.

Tian Xiang 328 was also accused that the logbook was not up to date and lacked clear and consecutive page numbers. Almost all Chinese LL fishing vessels have official logbook on board to record everyday fishing activities and catch data, so does Tian Xiang 328. Since the official logbooks should be submitted to Chinese fishery authorities each year for annual review and scientific analysis according to Chinese Distant Water Fisheries Management and Regulations. The master had prepared the completed logbook of Tian Xiang 328 which deadline is 31 Dec,2014 and planed to bring this logbook back by Carrier vessel. However our master is not good at English and he is not able to understand the request by observer, thus provided the completed logbook to observer. As for logbook lacked clear and consecutive page numbering, the master record everyday fishing activities and catch data, but only insert the date no page numbering due to careless, the owner had required vessel master to complete logbook strictly.

2. Logbook issues of XIN SHI JI NO.6

XIN SHI JI NO.6 was accused that the logbook was not up to date, and lacked clear and consecutive page numbering. Almost all Chinese LL fishing vessels have official logbook on board to record everyday fishing activities and catch data, so does XIN SHI JI NO.6. Since the official logbooks should be submitted to Chinese fishery authorities each year for annual review and scientific analysis according to Chinese Distant Water Fisheries Management and Regulations. The master had prepared the completed logbook of XIN SHI JI NO.6 which deadline is 6 Jan, 2015 and planed to bring this logbook back by Carrier vessel. The vessel has a new logbook to record everyday fishing activities and catch data from Jan 7, 2015. However our master is not good at English and he is not able to understand the request by observer, thus provided the completed logbook to observer. As for logbook lacked clear and consecutive page numbering, the master record everyday fishing activities and catch data, but only insert the date no page numbering due to careless, the owner had required vessel master to complete logbook strictly.

3. Name marking and logbook issues of XIN SHI JI NO.67

XIN SHI JI No.67 was accused that vessel marking on stern indicated name to be XIN SHI JI whilst the IOTC database lists this vessel as XIN SHI JI NO.67. XIN SHI JI NO.67 displayed the name XIN SHI JI No.67 on the bow (as attachment 2) according to relevant regulation. Regarding the indicated name on stern, actually this is the problem left over by history, XIN SHI JI NO.67 as well as other XIN SHI JI vessels such as XIN SHI JI 7, 37, 87 displayed the "XIN SHI JI" and the registration port on the stern meaning the series vessels of one owner according to the marking traditions at that time in China. The owner will standardized the name marking of vessels.

XIN SHI JI No.67 was also accused that logbook lacked clear and consecutive page numbering. The master record everyday fishing activities and catch data, but only insert the data no page numbering due to careless, the owner had required vessel master to complete logbook strictly.

Hope the information above could clarify the problems and please let me know should you have further questions.

Thank you and warm regards,

Wan Chen, Deputy-Director, Division of Distant Water Fishing, Bureau of Fisheries and Fisheries Law Enforcement, Ministry of Agriculture, P.R.China



LSTLVs – Hung Chi Fu 68 (Deploy 301)Participating FleetLetter received 14/05/2015 from Department of Fisheries, ThailandThailand**Possible  
infraction:**

- Three days before the non ROP transshipment with F/V Hung Chi Fu 68 (Error! Reference source not found.) the observer was asked by the Captain of CHEN YU NO.7 to make an illegal transshipment with this vessel; they wanted to transfer 15 tonnes of fish (species not known to the observer) during the fuel loading, and asked the observer if they could proceed without the observer monitoring the transshipment or inspecting the LSTLV. The observer refused to do this, so the transshipment proceeded as non ROP only – no tuna or tuna-like products were transhipped.



No. 0505.3/ 3811

Department of Fisheries  
Kaset Klang, Chatuchak  
Paholyothin Road,  
Bangkok 10900 Thailand  
Tel/Fax: +662 579 7947

11 May B.E. 2558 (2015)

Dear Sir,

**Subject: Investigation on the Possible Infractions in Transshipment Observer Report**

Kindly refer to the email dated 27 April 2015, the Department of Fisheries made a clarification regarding the possible infractions in Transshipment Observer Reports for Thailand LSTLVs involved in transshipment with the Taiwan registered carrier vessel. We are very pleased to inform that Thai tuna longliner, HUNG CHI FU 68 had not conducted at port transshipment from the Taiwan registered carrier vessel, Chen Yu 07 in which this carrier vessel was inspected by the assigned regional observer.

The Department of Fisheries conducted investigation and meeting with the company who is the owner of Thai tuna longliner and learned that possible case of infraction happened due to the fact of misunderstanding during the communication between the two masters and ROP observer. The master of Hung Chi Fu 68 not only asked ROP observer but also his office regarding whether they could perform transshipment before submitting the required IOTC document to Thailand Department of Fisheries. After clarification from the office, no transshipment has been conducted, only refueling has been taken place.

Please be assured of our fullest cooperation.

Yours faithfully,

(Dr. Waraporn Prompoj)

Deputy director - General

For Director - General

**LSTLVs – SHANG FENG NO.3, CHENG QING FENG NO.8, CHENG QING FENG (Deploy 308)****Participating Fleet  
TAIWAN, CHINA****Letter received 14/05/2015 from Fisheries Agency****Possible  
infraction:**

- The LSTLV captain indicated a CLS VMS unit (ID 509006) as the VMS currently being tracked by the flag state. In addition two other VMS units – an ARGOS SEIMAC FVT-G and an ARGOS MAR GE V2 were noted. The power light on both these units were switched off. According to the LSTLV's ATF, the VMS unit installed on the Shang Feng No.3 should be an ARGOS unit with serial number 116932. This was one of the units not in use and switched off during the inspection.
- The captain of the LSTLV indicated an antennae (MARGE V2) on top of the wheelhouse as the VMS. The power supply unit was detected inside of the vessel. Although the power switch on the power supply unit was switched to the "on" position, the power LED was not illuminated.
- The VMS unit was fitted with a power switch next to the unit.

<b>Incident related to VMS</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
308	SHANG FENG NO.3	2015/2/15	The VMS unit was fitted with a power switch.	After checking our VMS record, we confirmed that the fishing vessel had normally reported its locations during navigation on the fishing trip. In other words, this vessel did not violate our domestic regulations concerning VMS.
308	CHENG QING FENG NO.8	2015/2/17	Although the VMS unit was switched on, the power LED was not illuminated.	
308	CHENG QING FENG	2015/2/17	The VMS unit was fitted with a power switch.	

**LSTLVs – TAI XIANG 10 , TAI XIANG 7 (Deploy 314)****Participating Fleet  
CHINA****Letter received 28/05/2015 from Ministry of Agriculture,  
People's Republic of China****Possible**

- Tai Xiang 10 had non State issued logbooks.

**infraction:**

- Tai Xiang 7 had non State issued logbooks.

Dear Sir/Madam:

I acknowledge with thanks receipt of the Transshipment Observer Report for China LSTLVs involved in transshipments with CV Seiwa on April 23<sup>rd</sup> and 24<sup>rd</sup> 2015.

We undertake investigation as soon as receive the Observer Report and we wish to advise the current outcome as follows:

1, Unprinted and bound(UB) logbooks of Tai Xiang 10, Tai Xiang 7

Almost all Chinese LL fishing vessels have both official logbooks (PB) uniformly printed by Chinese government and informal ones (UB) made by vessels' owners on board to record everyday fishing activities and catch data, so do Tai Xiang 10, 7. Since the official logbooks should be submitted to Chinese fishery authorities each year for annual review and scientific analysis according to Chinese Distant Water Fisheries Management and Regulations, vessels' owners must have other logbooks as backups for their own use. However, the vessels' masters are not good at English and they are not able to understand the requests and queries by the observers, thus when observers regard the backup logbooks as formal ones and put queries to vessels' masters, our masters can not response in time and correct immediately due to language problems.

Hope the information above could clarify the situation and please let me know should you have further questions.

With warm regards,

WAN Chen, Deputy Director, Division of Distant Water Fishing, Bureau of Fisheries, Ministry of Agriculture People's Republic of China

**LSTLVs – AN WEN FA NO.26, SHUN FENG NO.8, KUO CHYAU, HUNG HUI  
NO.112, GUAN WANG NO.21, CHENG QING FENG NO.8, SHUN FENG NO.8,  
RUEY CHIEN TSAI NO.112, RLEY CHIEN TSAI NO.116 (Deploy 303)****Participating Fleet  
TAIWAN, CHINA****Letter received 23/06/15 from Fisheries Agency****Possible  
infraction:**

- The bow markings of the LSTLV was not clearly visible due to fouling on the hull.
- The markings on the bow was not clearly visible due to fouling on the hull and the letter "N" of the name "SHUN" was worn away.
- The LSTLV name on the bow of the vessel was not clearly applied and the last letter was not clearly visible.

- The first ATF presented to the observer was expired. An in-date ATF was faxed to the LSTLV vessel during the inspection.
- The name "GUAN 21 WANG" was displayed on the stern of the LSTLV.
- The NRN on the bow was not clearly visible and partially worn away.
- The bow markings were only visible at very close range. The markings were partially rubbed off and obscured by fouling.
- The bow markings of the RUEY CHIEN TSAI No.112 was partially obscured by fouling and was also worn. The NRN could not be seen as a result.
- This LSTLV displayed the name "RLEY CHIEN TSAI NO.116" on the stern of the vessel and the name "RUEY CHIEN TSAI NO.116" on the bow.

Incidents related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
303	KUO CHYAU	20150208	The LSTLV name on the bow of the vessel was not clearly applied and the last letter was not clearly visible.	We have already notified these vessels' owner of such incidents and have requested these fishing vessels to repaint their markings once the operation of repainting is possible.
303	GUAN WANG NO.21	20150312	The name "GUAN 21 WANG" was displayed on the stern of the LSTLV. The LSTLV GUAN WANG NO.21 displayed two different vessel names. The name "GUAN 21 WANG" was displayed on the stern of the vessel and the name "GUAN WANG NO.21" was displayed on the bow. The vessel could however be positively identified and the ATF reflected the correct name as "GUAN WANG NO.21.	
303	RUEY CHIEN TSAI NO.112	20150315	The bow markings of the RUEY CHIEN TSAI No.112 was partially obscured by fouling and was also worn. The NRN could not be seen as a result.	
303	RLEY CHIEN TSAI NO.116	20150315	This LSTLV displayed the name "RLEY CHIEN TSAI NO.116" on the stern of the vessel and the name "RUEY CHIEN TSAI NO.116" on the bow. The name on the stern concurs with the name provided in the vessel list provided by IOTC and the name on the ATF.	
303	AN WEN FA NO.26	20150207	The bow markings of the LSTLV was not clearly visible due to fouling on the hull.	After our notification, the vessels' owners had already repainted the vessel marking and this Agency had confirmed it.
303	SHUN FENG NO.8	20150208	The markings on the bow was not clearly visible due to fouling on the hull and the letter "N" of the name "SHUN" was worn away.	
303	CHENG QING FENG NO.8	20150312	The NRN on the bow was not clearly visible and partially worn away.	
303	SHUN FENG NO.8	20150314	The bow markings were only visible at very close range. The markings were partially rubbed off and obscured by fouling.	

Incidents related to ATF				
NO.	Vessel Name	Date	Inspection Comment	Investigation
303	HUNG HUI NO.112	20150217	The first ATF presented to the observer was expired. An in-date ATF was faxed to the LSTLV vessel before the inspection was completed.	After checking the photographs of ATF provided from IOTC Secretariat, we confirmed that the ATF expired date was 12th, Oct 2018 during the transshipment trip. In other words, ATF was not expired and this vessel did not violate our domestic regulations concerning ATF.

**LSTLVs – LU QING YUAN YU 105, LU QING YUAN YU 102, LU QING YUAN YU 107, LU QING YUAN YU 101, LU QING YUAN YU 106, LU QING YUAN YU 106 (Deploy 315)**

**Participating Fleet**  
**CHINA**

**Letter received 13/07/15 from Ministry of Agriculture, People's Republic of China**

**Possible infraction:**

- The LSTLV did not display the International Radio call Sign (IRCS). Neither the LSTLV's ATF, nor the IOTC vessel list provided the IRCS. The vessel operating company provided the IRCS as "BCJD5.
- The LSTLV's IRCS were not displayed on the vessel.
- The LSTLV's IRCS were not displayed on the vessel.
- The LSTLV's IRCS were not displayed on the vessel.
- The LSTLV's IRCS were not displayed.
- The LSTLV had a printed and bound logbook on board. However there were no entries logged on the book. A separate printed and unbound logbook was completed instead.

Dear Sir/Madam:

I acknowledge with thanks receipt of the Transshipment Observer Report for China LSTLVs involved in transshipments with MV Seiyu on July 8,2015.

We undertake investigation as soon as we received the Observer Report and we wish to communicate the current outcomes as follows:

1. Logbook issue of LU QING YUAN YU 106

LU QING YUAN YU 106 was accused that the vessel master did not use PB logbook, a separate PU logbook was completed instead. Almost all Chinese LL fishing vessels have both official logbooks (PB) uniformly printed by Chinese government and informal ones(PU) made by vessels' owners on board to record everyday fishing activities and catch data, so does LU QING YUAN YU 106. Since the the official logbook should be submitted to Chinese Fishery Authorities each year for annual review and scientific analysis according to Chinese Distant Water Fisheries Management and Regulations, the vessel's owner must have other logbook as backup for own use. The previous vessel master disembarked for madical reason, new master completed PU logbook due to careless. The owner had required vessel master to complete PB logbook strictly.

2. Vessel marking issue of LU QING YUAN YU 101, 102, 105, 106, 107

LU QING YUAN YU 101, 102, 105, 106, 107 was accused that the LSTLV did not display the IRCS, neither the LSTLV's ATF, nor the IOTC vessel list provided the IRCS. Kindly be advised that we have issued revised ATF with IRCS inserted to the vessel owner, the IRCS of LU QING YUAN YU 101 is BCJD9, LU QING YUAN YU 102-BCJD2, LU QING YUAN YU 105-BCJD5, LU QING YUAN YU 106-BCJD6, LU QING YUAN YU 107-BCJD7, LU QING YUAN YU 108-BCJD8. Please update on the IOTC vessel list accordingly. The vessel owner said they will write IRCS on the vessel.

Hope the information above could clarify the situation and please let me know should you have further questions.

With warm regards,

WAN Chen, Deputy Director, Division of Distant Water Fisheries, Bureau of Fisheries, Ministry of Agriculture,P.R.China

**LSTLVs – WEI HSIN NO.16, HWA HUNG NO.202, WIN FAR NO.838, KWANG HARNG NO.7, SHENG FAN NO.119, SHENG FAN NO.399, YUAN TAI, HWA KUN NO.168, HUNG HUI NO.112 (Deploy 297)**

**Participating Fleet**  
**TAIWAN, CHINA**

**Letter received 20/07/15 from Fisheries Agency**

**Possible infraction:**

- The LSTLV logbook was printed and unbound and the pages were not numbered with serial numbers.
- The VMS unit pointed out to the observer was a CLS LEO unit marked with the ID 507514. The unit was fitted with a power switch. The flag state ATF indicated the VMS unit as ARGOS with ID 47305.
- The LSTLV logbook was unprinted and bound in a notebook with no page numbers.
- The LSTLV International Radio Call Sign (IRCS) was worn away and could only be read at very close range.
- The LSTLV was fitted with two ARGOS VMS systems. Both were switched on during the on-board inspection and both units were fitted with power switches close to the units.
- The LSTV was fitted with two ARGOS VMS systems (Seimac FVT-G and Kannad MARGE V2). The Seimac FVT-G unit was fitted with a power switch
- The LSTLV was fitted with two ARGOS VMS systems (Seimac FVT-G and Kannad). The Seimac unit (ID54851) was switched on during the inspection. The Kannad unit (ID 124793) was not switched on. Both units were fitted with power switches adjacent to the units. The flag state ATF indicated the VMS as an ARGOS unit with serial number 15875.
- The logbook was printed and bound, but the pages were not numbered with consecutive page numbers.

- The power light on the VMS unit was not illuminated, but the power switch was on. The captain of the LSTLV said that the VMS unit works correctly and that the authority in Taiwan, China switches the VMS unit on and off, as they require.

Incidents related to fishing logbooks				
NO.	Vessel Name	Date	Inspection Comment	Investigation
297	WIN FAR NO.838	20150125	The LSTLV logbook was unprinted and bound in a notebook with no page numbers.	According to our investigation, this vessel used printed logbook and e-logbook system to report its operational status. This vessel did not violate our domestic regulations concerning logbook.
297	WEI HSIN NO.16	20150120	The LSTLV logbook was printed and unbound and the pages were not numbered with serial numbers.	These vessels used old version of logbook. We have explained the reason of such incidents before. In order to rectify such a defect, this Agency has released bounded logbook to our fishing vessels. Given that a period of time for the change is needed, we hope observers can consider the current situation and suspend reporting such cases.
297	HWA KUN NO.168	20150208		

Incidents related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
297	KWANG HARNG NO.7	20150129	The LSTLV International Radio Call Sign (IRCS) was worn away and could only be read at very close range.	We have already notified the vessel owner of such incidents and have requested this fishing vessel to repaint their markings once the operation of repainting is possible.

Incidents related to VMS				
NO.	Vessel Name	Date	Inspection Comment	Investigation
297	HWA HUNG NO.202	20150121	The VMS unit pointed out to the observer was a CLS LEO unit marked with the ID 507514. The unit was fitted with a power switch. The flag state ATF indicated the VMS unit as ARGOS with ID 47305	After checking the VMS records, we confirmed that these fishing vessels had normally reported their navigation locations during the transshipment trip. In other words, these vessels did not violate our domestic regulations concerning VMS.
297	SHENG FAN NO.119	20150131	The LSTLV was fitted with two ARGOS VMS systems. Both were switched on during the on-board inspection and both units were fitted with power switches close to the units.	
297	SHENG FAN NO.399	20150201	The LSTLV was fitted with two ARGOS VMS systems (Seimac FVT-G and Kannad MARGE V2). The Seimac FVT-G unit was fitted with a power switch.	
297	YUAN TAI	20150202	The LSTLV was fitted with two ARGOS VMS systems (Seimac FVT-G and Kannad). The Seimac unit (ID54851) was switched on during the inspection. The Kannad unit (ID 124793) was not switched on. Both units were fitted with power switches adjacent to the units. The flag state ATF indicated the VMS as an ARGOS unit with serial number 15875.	
297	HUNG HUI NO.112	20150217	The power light on the VMS unit was not illuminated, but the power switch was on. The captain of the LSTLV said that the VMS unit works correctly and that the authority in Taiwan, China switches the VMS unit on and off, as they require.	



**LSTLVs – CHIA CHIN CHUN NO.26, YU FU, CHUAN HSING FA NO.10 (Deploy 310)****Participating Fleet  
TAIWAN, CHINA****Letter received 20/07/15 from Fisheries Agency**

- Possible infraction:**
- The name on the bow was partially obscured by fouling and not clearly visible.
  - The vessel name was worn away on the bow and not clearly visible.
  - The vessel name on the bow was partially worn and the characters "H" in the "HSIANG" was not legible.

<b>Incidents related to marking</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
310	CHIA CHIN CHUN NO.26	20150422	The name on the bow was partially obscured by fouling and not clearly visible.	1.We have already notified these vessels' owner of such incidents and have requested these fishing vessels to repaint their markings once the operation of repainting is possible. 2.There is a typo in the inspection comment of LSTLV CHUAN HSING FA NO.10. The word "HSIANG" should be amended to "HSING".
310	YU FU	20150426	The vessel name was worn away on the bow and not clearly visible.	
310	CHUAN HSING FA NO.10	20150429	The vessel name on the bow was partially worn and the characters "H" in the "HSIANG" was not legible.	

**LSTLVs – TUNA BEST (Deploy 310)****Participating Fleet  
TANZANIA****Letter received 20/07/15 from Fisheries Agency**

- Possible infraction:**
- The IRCS displayed on the IOTC list and on the LSTLV was 51M473 (the letter "I" after the "5"). However the ATF displayed 51M473 (the number "1" after the "5" of the LSTLV's IRCS).
  - The VMS units of the LSTLVs TUNA BEST was fitted with power switches close to the units

<b>Vessel name</b>	<b>Inspection date</b>	<b>Inspection comment</b>	<b>Infraction type</b>	<b>Date report sent to CPC</b>	<b>Feedback from Tanzania (The United Republic of)</b>
TUNA BEST	20/05/2015	The IRCS displayed on the IOTC list and on the LSTLV was 51M473 (the letter "I" after the "5"). However the ATF displayed 51M473 (the number "1" after the "5" of the LSTLV's IRCS).	ATF	20/07/2015	The DSFA has identified a typing error on the Authorisation to Fish it issued to the vessel (i.e The number 1 instead of the letter I). The referenced ATF has since been revoked and a new one issued with the correct international radio call sign. (Done on the 13th July 2015)
TUNA BEST	20/05/2015	The VMS unit was fitted with a power switch.	VMS	20/07/2015	Vessel held on the next Port Call and was not allowed to leave port until when the switch was removed and the power supply of the VMS was free from interruption. (Done on the 14th July 2015)



**UNITED REPUBLIC OF TANZANIA**  
**DEEP SEA FISHING AUTHORITY**

DSFA Building, P.O.Box 56, ZANZIBAR.  
 Tel: +255 732947017, Fax: +255 732947025  
 Mobile: +255772011011, Email:dsfa@dsfatz.org



Ref: DSFA/30/VOL. IV/152

13/07/2015

OPERATIONAL MANAGER,  
 GLOBAL MARINE SERVICES LTD,  
 P.O.BOX 4585  
 ZANZIBAR  
 TANZANIA

**REF: NEW CERTIFICATE OF AUTHORIZATION**

Please refer to our letter with Reference No. DSFA/56/ Vol. 1/06 dated 17/0/02 with regard to the above subject.

This is to inform you that the Authority has received the IOTC Observer report for TUNA BEST transshipment with the carrier vessel CV Seiyu on the 20/05/2015. The report pointed out an error that was to be found in our letter of Certification of Authorization where the International Radio Call Sign (IRCS) was written as 51M473 instead of 51M473.

In order to correct what the DSFA has observed as typing error, a new letter of Certificate of Authorization is being issued.

With this letter we are revoking the Certificate of Authorization number DSFA/56/Vol. 1/06 and replacing it with reference number DSFA/56/Vol. 1/08 attached herewith and with the correct call sign.

Please receive our apologies for any inconvenience caused.

Sincerely,

  
 Zahor Mohamed El Kharousy  
**DIRECTOR GENERAL**



**UNITED REPUBLIC OF TANZANIA**  
**DEEP SEA FISHING AUTHORITY**

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Ref: DSFA/56/VOL. I/08

13/07/2015

**CERTIFICATE OF AUTHORIZATION**

The **longliner** vessel **Tuna Best** with registration number **100145** and International Radio Call Sign **5IM473** is Authorized to fish in the **Indian Ocean** for Tuna and Tuna like Species.

The vessel is registered under the company **Global Marine Services LTD** of P. O. Box 4585, Zanzibar, United Republic of Tanzania.

The Authorization is valid from **1<sup>st</sup> of March 2015** to the **29<sup>th</sup> of February 2016**.

*This Authorization is given under the condition that the vessel shall comply with the Laws of Tanzania and all international laws that the United Republic is Party to.*

  
**Zahor El Kharousy**  
**Director General**



**LSTLVs – JIN YUAN, CHARNG LUEN NO.22, CHARNG LUEN NO.22 (Deploy 315)**  
**Letter received 24/07/15 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

- Possible infraction:**
- The CLS VMS unit was fitted with a power switch
  - The LSTLV name was displayed as “22 CHARNG LUEN” on the stern of the vessel.
  - The VMS unit was fitted with a power switch.

Incidents related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
315	CHARNG LUEN NO.22	20150515	The LSTLV name was displayed as “22 CHARNG LUEN” on the stern of the vessel.	We have already notified the vessel owner of such incidents and have requested this fishing vessel to repaint their markings once the operation of repainting is possible.

Incidents related to VMS				
NO.	Vessel Name	Date	Inspection Comment	Investigation
315	JIN YUAN	20150514	The CLS VMS unit was fitted with a power switch.	After checking the VMS records, we confirmed that these fishing vessels had normally reported their navigation locations during the transshipment trip. In other words, these vessels did not violate our domestic regulations concerning VMS.
315	CHARNG LUEN NO.22	20150515	The VMS unit was fitted with a power switch.	

**LSTLVs – CHENG QING FENG NO.8, SHIN LIAN FA NO.36, CHUAN FA SHIAN****Participating Fleet****NO.88 (Deploy 317)****TAIWAN, CHINA****Letter received 27/07/15 from Fisheries Agency****Possible**

- The NRN on the bow was partially worn away and only legible at very close range.

**infraction:**

- The VMS was fitted with a power switch next to the unit.
- The vessel name and NRN on the bow of the vessel was partly invisible due to the fouling on the hull. The markings could only be read at close range.

<b>Incidents related to marking</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
317	CHENG QING FENG NO.8	20150529	The NRN on the bow was partially worn away and only legible at very close range.	We have already notified these vessels' owners of such incidents and have requested these fishing vessels to repaint their markings once the operation of repainting is possible.
317	CHUAN FA SHIAN NO.88	20150603	The vessel name and NRN on the bow of the vessel was partly invisible due to the fouling on the hull. The markings could only be read at close range.	

<b>Incidents related to VMS</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
317	SHIN LIAN FA NO.36	20150530	The VMS was fitted with a power switch next to the unit.	After checking the VMS records, we confirmed that this fishing vessel had normally reported its navigation locations during the transshipment trip. In other words, this vessel did not violate our domestic regulations concerning VMS.

**LSTLVs – CHAAN YING, DE HAI NO.12 (Deploy 312)****Participating Fleet****Letter received 02/09/15 from Fisheries Agency****TAIWAN, CHINA****Possible**

- The markings on the bow was partially worn away and was not visible unless at very close range

**infraction:**

- The vessel name markings on the bow was partially worn and not clearly legible.

With respect to the Observer Report (312), Fisheries Agency of Taiwan would like to inform you of the results of our investigation and actions taken in accordance with Resolution 14/06.

According to the report, there are 2 comments related to vessel marking. We have already notified the owners of F/V CHAAN YING and DE HAI NO.12 such incidents and have requested them to repaint their markings once the operation of repainting is possible.

**LSTLVs – CHUAN FA SHIAN NO.88; CHENG QING FENG; SHIN LIAN FA NO.36****Participating Fleet****(Deploy 319)****TAIWAN, CHINA****Letter received 16/10/15 from Fisheries Agency****Possible** • The vessel details on the bow was covered with fouling and the LSTLV NRN was not visible**infraction:** • The ARGOS VMS unit was fitted with a power switch next to the unit,  
• Vessel details on the bow were covered in fouling and LSTLV NRN was almost illegible,  
• The ARGOS VMS unit was fitted with a power switch next to the unit.

Table				
Incidents related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
319	CHUAN FA SHIAN NO.88	20150701	The vessel details on the bow was covered with fouling and the LSTLV NRN was not visible.	We have already notified these vessels' owners of such incidents and have requested these fishing vessels to repaint their markings once the operation of repainting is possible.
319	SHIN LIAN FA NO.36	20150704	Vessel details on the bow were covered in fouling and LSTLV NRN was almost illegible.	

Incidents related to VMS				
NO.	Vessel Name	Date	Inspection Comment	Investigation
319	CHENG QING FENG	20150702	The ARGOS VMS unit was fitted with a power switch next to the unit.	After checking the VMS records, we confirmed that these fishing vessels had normally reported their navigation locations during the transshipment trips. In other words, these vessels did not violate our domestic regulations concerning VMS. According to the Annex 1 of IOTC Resolution 06/03, VMS devices onboard are allowed to be switched off after the entry into ports of fishing vessels and with prior approval of the flag state. Therefore, we are of the view that VMS devices onboard with switches connected are permitted in accordance with the existing IOTC Resolution and the ROP observers shall stop identifying such incidents as infractions.
319	SHIN LIAN FA NO.36	20150704	The ARGOS VMS unit was fitted with a power switch next to the unit.	

**LSTLVs – KUANG LI; SHANG FENG NO.3 (Deploy 318)****Participating Fleet****Letter received 16/10/15 from Fisheries Agency****TAIWAN, CHINA****Possible** • Logbook was printed and unbound**infraction:** • The name on the bow and the callsign markings on SHANG FENG NO.3 were worn and difficult to read.

Table				
Incident related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
318	SHANG FENG NO.3	20150703	Name on bow and IRCS on both sides of the vessel very faded and need repainting.	We have already notified this vessel's owner of such incident and have requested this fishing vessel to repaint its markings once the operation of repainting is possible.

Incident related to LOGBOOK				
NO.	Vessel Name	Date	Inspection Comment	Investigation
318	KUANG LI	20150702	The observer was shown printed but unbound logbooks.	The vessel used old version of logbook. We have explained the reason of such incidents before. In order to rectify such a defect, this Agency has released bounded logbook to our fishing vessels. Given that a period of time for the change is needed, we hope observers can consider the current situation and suspend reporting such cases.

**LSTLVs – LU QING YUAN YU 101; XIN SHI JI 82; XIN SHI JI 82; XIN SHI JI 81; XIN SHI JI 85; XIN SHI JI 85; XIN SHI JI 37; XIN SHI JI 83; XIN SHI JI 83; XIN SHI JI 86; XIN SHI JI 86 (Deploy 326)**  
**Email received 05/11/2015 from Ministry of Agriculture, People's Republic of China**

**Participating Fleet**  
**CHINA**

**Possible**  
**infraction:**

- The LSTLV did not display the International Radio Call Sign (IRCS).
- The LSTLV displayed the name XIN SHI JI NO.82. The same name was reflected on the LSTLV's Authorization to Fish (ATF). However, the IOTC vessel list provided the name "XIN SHI JI 82". The vessel name displayed on the bow was not clearly visible due to fouling on the hull.
- The NRN provided in the IOTC vessel list was "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200064". This did not concur with the NRN "(ZHE)CHUANDENG(JI)(2012)FT-200197" provided on the LSTLV's ATF.
- The LSTLV displayed the name XIN SHI JI NO.81. The name provided in the IOTC vessel list as well as the LSTLV's ATF was "XIN SHI JI 81". The English name displayed on the bow of the vessel was partially hidden by the fouling on the hull and not clearly legible.
- The LSTLV displayed the name XIN SHI JI NO.85. The name provided in the IOTC vessel list as well as the LSTLV's ATF was "XIN SHI JI 85".
- The IOTC vessel list provided the NRN as "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200066". This did not concur with the NRN "(ZHE)CHUANDENG(JI)(2012)FT-200200" listed on the LSTLV's ATF.
- The LSTLV displayed the name "XIN SHI JI NO.37" The IOTC vessel list as well as the LSTLV's ATF provided the name as "XIN SHI JI 37".
- The LSTLV displayed the name "XIN SHI JI NO.83" on the bow and the stern of the vessel. The displayed name was not consistent with the name "XIN SHI JI 83" provided in the IOTC list of vessels.
- The IOTC vessel list provide the NRN "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200065". The NRN "(ZHE)CHUANDENG(JI)(2012)FT-200199" was provided in the ATF and did not concur with the IOTC vessel list data.
- The name "XIN SHI JI NO. 86" was displayed on the bow and the stern of the LSTLV. The displayed name was not consistent with the name "XIN SHI JI 86" provided in the IOTC vessel list. The ATF listed the LSTLV name as "XIN SHI JI NO.86".
- The ATF listed the NRN as "(ZHE)CHUANDENG(JI)(2015)FT-200199". The NRN listed on the ATF did not concur with the NRN "(Zhe)Chuan Deng (Ji) No.:(2015) FT-200067" listed in the IOTC vessel list.

Dear Sir/Madam:

I acknowledge with thanks receipt of the Transshipment Observer Report for China LSTLVs involved in transshipments with CV Seiyu on Oct 23<sup>rd</sup> 2015.

We undertake investigation as soon as receive the Observer Report and we wish to advise the current outcome as follows:

1. Authorisation to Fish and Marking issues of XIN SHI JI 82/81/85/37/83/86

XIN SHI JI 82/81/85/37/83/86 were accused that the LSTLV displayed the name on the bow and listed on the ATF were not consistent with the name in the IOTC list of vessels, and the NRN listed on the ATF did not concur with the NRN listed in the IOTC vessel list. Kindly be advised that previously the name of XIN SHI JI vessels with NO. inserted, but China issued the new Certificate of nationality and ATF for these vessels in March this year, on which the name of the XIN SHI JI vessels are XIN SHI JI 82/ XIN SHI JI 81/ XIN SHI JI 85/ XIN SHI JI 37/ XIN SHI JI 83/ XIN SHI JI 86 without NO. and also updated the NRN, we have updated the details in the IOTC list of vessels accordingly. Due to the long distance, the vessel owner have not transport the new ATF to the vessels.

Therefore the name on the bow and ATF were not consistent with the name in the IOTC list. The vessel owner will provide the new ATF to vessels as soon as possible and write the correct name on the bow and stern of vessel when the vessels call port next year.

## 2. Marking issues of LU QING YUAN YU 101

LU QING YUAN YU 101 was accused that the LSTLV did not display the IRCS. I wish to advise that the master had already painted IRCS on the both side of vessel.

Hope the information above could clarify the situation and please let me know should you have further questions.

With warm regards,

WAN Chen, Deputy Director, Division of Distant Water Fishing, Bureau of Fisheries,  
Ministry of Agriculture, People's Republic of China

**LSTLVs – FENG KUO NO.368 (Deploy 316)**  
**Letter received 18/11/15 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

**Possible** •The name on the bow of FENG KUO NO.368 was partially obscured and difficult to read at a distance  
**infraction:**

Dear Mr. Domingue,

With respect to the Observer Report (316), Fisheries Agency of Taiwan would like to inform you of the results of our investigation and actions taken in accordance with Resolution 14/06.

According to the report, there is 1 comment related to vessel marking. The name on the bow of F/V FENG KUO NO.368 was partially obscured and difficult to read at a distance. We have already notified this vessel's owner of such incident and have requested this fishing vessel to repaint its markings once the operation of repainting is possible.

**LSTLVs – Jiin Horng No. 106 (Deploy 320)**  
**Email received 08/12/15 from SFA**

**Participating Fleet**  
**SEYCHELLES**

**Possible** •The VMS unit (ARGOS 117104) was fitted with a power switch.  
**infraction:**

Dear Sir/Madam

Please see below explanation regarding the possible infraction reported in the Transshipment Observer Report. Jiin Horng No. 106: The ARGOS unit being referred to in the report is NOT the primary reporting terminal onboard the vessel. The terminal we are using to track the vessel is the Thrane & Thrane System, which is shown as the large Black Box in the photo.. The Greyish one connected to the power switch is the ARGOS system. In fact we do not consider the Argos as "Fully automated reporting beacon" as the system is not real time. Therefore the Thrane & Thrane Inmarsat terminal for automated report to our FMC, and this terminal is properly wired to the power supply; and is reporting to the FMC accordingly. We do acknowledge however the fact that the ARGOS terminal is connected to a switch and SFA will advise the vessel to install the terminal directly to a Undisturbed power supply.

Vincent Lucas

Dear Sir/ Madam



After further consultations I was made aware that the ARGOS system in the photo is a backup unit in case the Thrane & Thrane system breaks down. Instead of having to report manually, this is used for automatic reporting.

Vincent Lucas

**LSTLVs – JIN GWO DEE 1HAW; AN WONE FA NO.3; AN WEN FA NO.2 (Deploy 321)**

**Participating Fleet  
TAIWAN, CHINA**

**Letter received 17/12/15 from Fisheries Agency**

**Possible  
infraction:**

- The LSTLV displayed the name "JINGWO DEEHAW" on the bow of the vessel. The IOTC vessel list provided the name "JIN GWO DEE 1HAW"
- The name "AN WONEFA NO.3" displayed on the bow did not contain the same spaces between characters as the name "AN WONE FA NO.3" provided in the IOTC list. The NRN on the bow was partially worn away.
- The LSTLV displayed the name "AN W ENFA NO.2" on the bow of the vessel. The spacing of the characters in the name displayed was different to the spacing on the characters of the name "AN WEN FA NO.2" provided in the IOTC vessel list. The name "AN W ENFANO 02." was displayed on the stern of the vessel.

**For F/V JIN GWO DEE 1 HAW , AN WONE FA NO.3 and AN WEN FA NO.2**

We have already notified these vessels' owners of such incidents and have requested these fishing vessels to repaint their markings once the operation of repainting is possible.

**LSTLVs – CHENG QING FENG (Deploy 324)**

**Participating Fleet  
TAIWAN, CHINA**

**Letter received 21/12/15 from Fisheries Agency**

**Possible  
infraction:**

- The LSTLV name markings on the bow was worn away and barely legible at close range.

Dear Mr. Domingue,

With respect to the Observer Report (324), Fisheries Agency of Taiwan would like to inform you of the results of our investigation and actions taken in accordance with Resolution 14/06.

According to the report, there is 1 comment related to vessel marking of F/V CHENG QING FENG. This vessel's name on the bow was worn away and barely legible at close range. We have already notified this vessel's owner of such incident and have requested this fishing vessel to repaint its markings once the operation of repainting is possible.

Should you have any questions about our investigations and actions on this case, please feel free to contact me at any time.

**LSTLVs – DE HAI NO.12; SHIN LIAN FA NO.168; CHANG YING NO.868; HSIN MING SHENG NO.28; FWU FA NO.6; TENN MING YANG NO.889; HUNG JIE WEI; LIEN SHENG FA; TENN MING YANG NO.368; RUEY I SHYANG NO.12; RUEY I SHYANG NO.10; DE HAI NO.12; SHIN SHUEN FAR NO.688; DE HAI NO.12; TENN MING YANG NO.889; RUEY I SHYANG NO.12; TENN MING YANG NO.101; RUEY I SHYANG NO.7; LIEN SHENG FA; FWU FA NO.6; HSIN MING SHENG NO.28; KUO CHYAU NO.26; DAR LONG CHENG NO.288; SHIN LIAN FA NO.36; CHENG QING FENG; LIEN SHENG FA; SHIN LIAN FA NO.168; (Deploy 313)**

**Participating Fleet  
TAIWAN, CHINA**

**Letter received 04/01/16 from Fisheries Agency**

**Possible**      • See table below.  
**infraction:**

<b>Incidents related to fishing logbooks</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
313	DAR LONG CHENG NO.288	20150731	Logbook was printed but unbound.	The vessel used old version of logbook. We have explained the reason of such incident before. In order to rectify such a defect, this Agency has released bounded logbook to our fishing vessels. In this case, this Agency has requested the vessel's owner to inform the captain to use bounded logbook.

<b>Incidents related to marking</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
313	DE HAI NO.12	2015/5/22 2015/6/25 2015/7/02	Name on bow partially worn and difficult to read.	Through our investigation, we found that vessels' markings or identifications would very likely wear out due to the erosion made by brine and sea wind. We have already notified these vessels' owners of such incidents and have requested these fishing vessels to repaint their markings once the operation of repainting is possible.
313	SHIN LIAN FA NO.168	2015/5/24 2015/8/16	Name on bow partially worn and difficult to read.	
313	CHANG YING NO.868	2015/5/26	Name on bow partially worn and difficult to read.	
313	HSIN MING SHENG NO.28	2015/5/27 2015/7/17	Name on bow partially worn and difficult to read.	
313	FWU FA NO.6	2015/5/29 2015/7/16	Bow markings incorrect. Callsign unclear.	
313	TENN MING YANG NO.889	2015/5/29 2015/7/5	Name on bow partially worn and difficult to read.	
313	LIEN SHENG FA	2015/6/2 2015/7/15 2015/8/6	Name on bow partially worn and difficult to read.	
313	RUEY I SHYANG NO.12	2015/6/3 2015/7/6	Name on bow partially worn and difficult to read.	
313	RUEY I SHYANG NO.10	2015/6/3	Name on bow partially worn and difficult to read.	
313	TENN MING YANG NO.101	2015/7/9	Name on bow partially worn and difficult to read.	
313	RUEY I SHYANG NO.7	2015/7/12	Name on bow partially worn and difficult to read.	
313	SHIN LIAN FA NO.36	2015/8/5	Name on bow partially obscured and difficult to read.	
313	CHENG QING FENG	2015/8/5	The name of the LSTLV marked was not clear (bow and stern).	

<b>Incidents related to ATF</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
313	HUNG JIE WEI	2015/5/30	Fishing License expired on 28/04/2015.	According to our record, fishing license of these vessels are valid during the transshipment. The Agency has requested these vessels' owners to inform these vessels' captains to carry onboard and show the valid documents to the ROP observer when requested.
313	TENN MING YANG NO.368	2015/6/2	Fishing License expired on 20/05/2015.	
313	SHIN SHUEN FAR NO.688	2015/6/28	Fishing License expired on 20/06/2015.	
313	KUO CHYAU NO.26	2015/7/17	Fishing License expired on 16/06/2015.	
313	FWU FA NO.6	2015/7/16	Expired ATF of FWU FA NO.6.	

**LSTLVs – YI JEN FA NO.888; (Deploy 322)**  
**Letter received 13/01/16 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

**Possible**  
**infraction:**

- The LSTLV was fitted with two ARGOS LEO VMS units. Both units were fitted with power switches. At the time of the inspection, the switch of one unit (509011) was in the on position with the power light glowing. The switch of the second unit (508430) was in the off position.

Dear Mr. Domingue,

With respect to the Observer Report (322), Fisheries Agency of Taiwan would like to inform you of the results of our investigation and actions taken in accordance with Resolution 14/06.

According to the report, there is 1 comment related to VMS, i.e. the LSTLV was fitted with two ARGOS LEO VMS units. Both units were fitted with power switches. At the time of the inspection, the switch of one unit (509011) was in the on position with the power light glowing. The switch of the second unit (508430) was in the off position. After checking the vessel's VMS records, we confirmed that this fishing vessel had normally reported its navigation locations during the transshipment trip. Furthermore, in accordance with paragraph 6 and subparagraph c) under paragraph B) of Annex 1 of Resolution 06/03, a flag state shall ensure that its vessel monitoring devices onboard are tamper resistant and the power supply of the devices is not interrupted. However, VMS devices onboard are allowed to be switched off after the entry into ports of fishing vessels and with prior approval of the flag state based on paragraph C) of the same Resolution. Therefore, we are of the view that VMS devices onboard with switches connected are permitted in accordance with the existing IOTC Resolution and the ROP observers shall stop identifying such incidents as infractions.

Should you have any questions about our investigations and actions on each case, please feel free to contact me at any time.

**LSTLVs – CHING CHUN FA NO.168; CHIA CHIN CHUN NO.26; GUAN WANG; HWA HUNG NO.202; HUNG SHUN; SHUN FENG NO.8; SHUN FENG NO.8; SHIN SHUEN FAR NO.668; YUAN TAI; HWA SHAN NO.302; HWA KUN NO.168; JIN JAAN SHYANG NO.3; SHUEN DE CHING NO.18; WOEN DAR NO.168; CHING CHENG FU NO.666; HOME SHEEN; CHEN YU NO.7 (Deploy 301)**

**Participating Fleet  
TAIWAN, CHINA**

**Letter received 14/01/16 from Fisheries Agency**

**Possible**      • See table below  
**infraction:**

<b>Incidents related to fishing logbooks</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
301	MAN YO SHUN(previous name was HUNG SHUN)	20150120	Logbook was printed but unbound	These vessels already used the new version of bounded log book, each day record of which is composed of one pink and one white sheet, which is tear-off to hand in this Agency. Also, what the observer took in the photos were exact the white sheets, so these vessels had used bounded logbook and did not violate our domestic regulations concerning logbook. Therefore, we hope observers can suspend reporting such cases.
301	YUAN TAI	20150130	Logbook was printed but unbound. (shown on first inspection(30/01/2015)) & Logbook was printed and bound (shown on second inspection(02/02/2015))	
301	HWA SHAN NO.302	20150131	Logbook was printed but unbound.	
301	JIN JAAN SHYANG NO.3	20150212	Logbook was printed but unbound.	
301	HOME SHEEN	20150312	Logbook was printed but unbound.	
301	SHUN FENG NO.8	20150122	Logbook was printed but unbound and lacked clear consecutive page numbering.	These vessels used the old version of logbook. We have explained the reason of such incidents before. In order to rectify such a defect, this Agency has released bounded logbook to our fishing vessels. Given that a period of time for the change is needed, we hope observers can consider the current situation and suspend reporting such
301	HWA KUN NO.168	20150209	Logbook lacked clear, consecutive page numbering.	
301	JIA YANG NO.8(previous name was SHUEN DE CHING NO.18)	20150305	The last logbook entry was dated 10th January 2015. The LSTLV captain told the observer that the more recent logbooks had been lost. / Logbook of SHUEN DE CHING NO.18 - out-of-date.	The owner and the Captain of this vessel have been punished respectively with the administrative disposition of suspending the fishing license and the Fishing Vessel Crew Identification for one month by this Agency.

<b>Incidents related to marking</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
301	CHING CHUN FA NO.168	20150109	NRN on bow hard to read.	This agency have already notified the vessel owner of such incidents and have requested this fishing vessel to repaint their markings once the operation of repainting is possible.
301	SHUN FENG NO.8	20150122	NRN and name on bow hard to read.	
301	JIA YANG NO.8(previous name was SHUEN DE CHING NO.18)	20150305	The name and NRN hard to read on bow.	
301	WOEN DAR NO.168	20150305	NRN and name on bow hard to read.	
301	CHING CHENG FU NO.666	20150306	NRN and name hard to read on bow.	
301	GUAN WANG	20150118	NRN, name and IRCS hard to read.	This vessel has been fined by this Agency.

<b>Incidents related to ATF</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
301	SHIN SHUEN FAR NO.668	20150126	On ATF the number of crew and the Fishing Areas were changed by hand. No Fisheries Agency of Taiwan Official Seal for the modification of fishing licences was seen on the ATF.	The validation of its fishing licenses is officially authorized. Also, the information listed on its fishing licenses has been certified and modified by this Agency.

<b>Incidents related to others</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
301	CHEN YU NO.7	20150113	Three days before the non ROP transshipment with F/V Hung Chi Fu 68 the observer was asked by the Captain of CHEN YU NO.7 to make an illegal transshipment with this vessel; they wanted to transfer 15 tonnes of fish (species not known to the observer) during the fuel loading, and asked the observer if they could proceed without the observer monitoring the transshipment or inspecting the LSTLV. The observer refused to do this, so the transshipment proceeded as non ROP only – no tuna or tuna-like products were transhipped.	According to our investigation, this vessel did not violate nor have the intention to make any illegal transshipment, and thus the Captain of the vessel did not violate our domestic regulations concerning transshipment either.

**LSTLVs – SHENG HAI NO.127; SHANG FENG NO.3; JIN JAAN SHYANG NO.3;  
CHIN SHENG WIN; YU HSING HSIANG NO.168; DAR LONG CHENG NO.378;  
JIN YUAN; SHIH SHUEN FAR NO 889; YI JEN FA NO.888; SHYE SIN NO.1;  
MENG FA NO.312; SHANG FENG NO.3 (Deploy 320)**

**Participating Fleet  
TAIWAN, CHINA**

**Letter received 14/01/16 from Fisheries Agency**

**Possible**      • See table below  
**infraction:**

Table				
Incidents related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
320	JIN JAAN SHYANG NO.3	20150912	The LSTLV's International Radio Call Sign (IRCS) on the starboard side was very faded and only visible at close range.	We have already notified this vessel's owner of such incident and have requested this fishing vessel to repaint its markings once the operation of repainting is possible.
320	SHIN SHUEN FAR NO.889	20151023	The IOTC vessel list provided the vessel name "SHIH SHUEN FAR NO 889" for the LSTLV with the IRCS "BH3452" and NRN "CT6-1452". The listed name did not concur with the name "SHIN SHUEN FAR NO 889" displayed on the LSPLV bow, stern and ATF.	After checking our record, the vessel name "SHIN SHUEN FAR NO.889" is correct. We have notified the IOTC Secretariat to amend the vessel's record.

Incidents related to VMS				
NO.	Vessel Name	Date	Inspection Comment	Investigation
320	SHENG HAI NO.127	20150904	The VMS unit was fitted with a power switch.	After checking the VMS records, we confirmed that these fishing vessels had normally reported their navigation locations during the transshipment trips. In other words, these vessels did not violate our domestic regulations concerning VMS. Furthermore, in accordance with paragraph 6 and subparagraph c) under paragraph B) of Annex 1 of Resolution 06/03, a flag state shall ensure that its vessel monitoring devices onboard are tamper resistant and the power supply of the devices is not interrupted. However, VMS devices onboard are allowed to be switched off after the entry into ports of fishing vessels and with prior approval of the flag state based on paragraph C) of the same Resolution. Therefore, we are of the view that VMS devices onboard with switches connected are permitted in accordance with the existing IOTC Resolution and the ROP observers shall stop identifying such incidents as infractions.
320	SHANG FENG NO.3	20150911	The master of the LSTLV informed the observer that the CLS LEO (509006) unit was the primary VMS unit. The CLS LEO unit was fitted with a power switch adjacent to the unit.	
320	CHIN SHENG WIN	20151014	The ARGOS VMS unit was fitted with a power switch.	
320	YU HSING HSIANG NO.168	20151016	This VMS unit was fitted with a power switch.	
320	DAR LONG CHENG NO.378	20151017	A power switch was situated adjacent to the VMS unit.	
320	JIN YUAN	20151019	The vessel's VMS had its own On/Off switch mounted next to the unit.	
320	YI JEN FA NO.888	20151025	The LSTLV was fitted with two CLS LEO units. Both the VMS units were fitted power switches adjacent to the units.	
320	SHYE SIN NO.1	20151026	A power switch marked "ARGOS" was mounted immediately above the CLS LEO unit.	
320	MENG FA NO.312	20151030	The VMS had a power switch mounted alongside the unit.	
320	SHANG FENG NO.3	20151101	The CLS LEO and the ARGOS MARGE V2 units were fitted with power switches.	

**LSTLVs – TAI XIANG 8 ; TAI XIANG 1 ; TAI XIANG 5 ; TAI XIANG 7 ; TAI XIANG 9 ;  
TAI XIANG 10 (Deploy 334)**

**Participating Fleet  
CHINA**

**Email received 16/02/16 from WAN Chen; Deputy Director; Division of Distant Water Fishing; Bureau of Fisheries; Ministry of Agriculture; People's Republic of China**

**Possible**

• Name on bow was obscured by algal growth and difficult to read

**infraction:**

• Name on bow (**Error! Reference source not found.**) and the callsign (**Error! Reference source not found.**) were obscured and difficult to read

• Callsign was obscured by rust and difficult to read

Dear Sir/Madam,

I acknowledge with thanks receipt of the Transshipment Observer Report(334) for China LSTLVs involved in transshipments with CV Seiyu. We undertake investigation as soon as receive the Observer Report and we wish to advise the current outcome as follows:

In the report, there are 6 possible infractions related to the marking of the LSTLVs. The fishing vessels Tai Xiang 1, 5, 7, 8, 9, 10 was reported that the name or callsign were obscured by algal growth or rust and difficult to read. We have already informed the vessel owner of such incident and have requested fishing vessel to clean the algae and rust surrounding the name and callsign, and repaint the marking.

Hope the information above could clarify the situation and please let me know should you have further question.

With warm regards,

WAN Chen, Deputy Director, Division of Distant Water Fishing, Bureau of Fisheries, Ministry of Agriculture

People's Republic of China

**LSTLVs – CHENG QING FENG (Deploy 335)**  
**Letter received 28/01/16 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

**Possible infraction:**      •The unit was fitted with power switch adjacent to the junction box.

Dear Mr. Domingue,

With respect to the Observer Report (335), Fisheries Agency of Taiwan would like to inform you of the results of our investigation and actions taken in accordance with Resolution 14/06.

According to the report, there is 1 comment related to VMS of LSTLV, i.e. the LSTLV CHENG QING FENG was fitted with ARGOS MARGE V2 VMS unit. The unit was fitted with power switch adjacent to the junction box. After checking the vessel's VMS records, we confirmed that this fishing vessel had normally reported its navigation locations during the transshipment trip. Furthermore, in accordance with paragraph 8 and subparagraph c) under paragraph B) of Annex 1 of Resolution 15/03, a flag state shall ensure that its vessel monitoring devices onboard are tamper resistant and the power supply of the devices is not interrupted. However, VMS devices onboard are allowed to be switched off after the entry into ports of fishing vessels and with prior approval of the flag state based on paragraph C) of the same Resolution. Therefore, we are of the view that VMS devices onboard with switches connected are permitted in accordance with the existing IOTC Resolution and the ROP observers shall stop identifying such incidents as infractions.

Should you have any questions about our investigations and actions on each case, please feel free to contact me at any time.

Sincerely yours,

*Ming-Fen Wu*  
Ming-Fen Wu  
Section Chief  
Dccp Sea Fisheries Division

**LSTLVs – SHUU CHANG NO.6 (Deploy 325)**  
**Letter received 28/01/16 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

**Possible**  
**infraction:**

- The LSTLV's bow name (Error! Reference source not found.) and callsign (Error! Reference source not found.) both peared correct but were partially obscured by rust and other damage.

Dear Mr. Domingue,

With respect to the Observer Report (325), Fisheries Agency of Taiwan would like to inform you of the results of our investigation and actions taken in accordance with Resolution 14/06.

According to the report, there is 1 comment related to the marking of LSTLV SHUU CHIANG NO.6. The bow name and callsign of the said vessel both appeared correct but were partially obscured by rust and other damage. We have already notified this vessel's owner of such incident and have requested this fishing vessel to repaint its markings once the operation of repainting is possible.

Should you have any questions about our investigations and actions on each case, please feel free to contact me at any time.

Sincerely yours,

*Ming-Fen Wu*  
Ming-Fen Wu  
Section Chief  
Deep Sea Fisheries Division

**LSTLVs – YONG QING FA; DAR LONG CHANG NO.2; YU HSING HSIANG NO.168;**  
**SHUU CHANG NO.6; SIN HUA FONG NO.168; SHUANG LIAN; SHUANG**  
**LIAN; WOEN YU CHANG NO.6 (Deploy 328)**  
**Letter received 28/01/16 from Fisheries Agency**

**Participating Fleet**  
**TAIWAN, CHINA**

**Possible**  
**infraction:**

- See table below

<b>Incidents related to marking</b>				
<b>NO.</b>	<b>Vessel Name</b>	<b>Date</b>	<b>Inspection Comment</b>	<b>Investigation</b>
328	DAR LONG CHANG NO.2	20151022	The midship callsign marking of the DAR LONG CHANG NO.2 was partially worn away.	We have already notified these vessels' owners of such incident and have requested these fishing vessels to repaint their markings once the operation of repainting is possible.
328	SHUU CHANG NO.6	20151027	The midship callsign marking of the SHUU CHANG NO.6 was partially obscured.	
328	SHUANG LIAN	20151113	The midship callsign marking on the side of the SHUANG LIAN was partially obscured.	



Incidents related to VMS				
NO.	Vessel Name	Date	Inspection Comment	Investigation
328	YU HSING HSIANG NO.168	20151023	A switch was connected to the VMS unit.	After checking the VMS records, we confirmed that these fishing vessels had normally reported their navigation locations during the transshipment trips. In other words, these vessels did not violate our domestic regulations concerning VMS. Furthermore, in accordance with paragraph 8 and subparagraph c) under paragraph B) of Annex 1 of Resolution 15/03, a flag state shall ensure that its vessel monitoring devices onboard are tamper resistant and the power supply of the devices is not interrupted. However, VMS devices onboard are allowed to be switched off after the entry into ports of fishing vessels and with prior approval of the flag state based on paragraph C) of the same Resolution. Therefore, we are of the view that VMS devices onboard with switches connected are permitted in accordance with the existing IOTC Resolution and the ROP observers shall stop identifying such incidents as infractions.
328	SIN HUA FONG NO.168	20151027	A switch was connected to the VMS unit.	
328	SHUANG LIAN	20151113	A switch was connected to the VMS unit.	
328	WOEN YU CHANG NO.6	20151113	A switch was connected to the VMS unit.	

Incidents related to logbook				
NO.	Vessel Name	Date	Inspection Comment	Investigation
328	YONG QING FA	20151020	The Captain of YONG QING FA produced an official Taiwanese logbook but this was only completed up to 08/10/015 (Figure 3). The Captain did produce another separate logbook that was ring-bound and unprinted, this logbook kept additional records of his catch from 09/10/2015 up to 19/10/2015.	This agency need more time to investigate this case.
328	DAR LONG CHANG NO.2	20151022	The last entry in the logbook for DAR LONG CHANG NO.2 was for 19/10/2015 and the date of transshipment was 22/10/2015. This would normally be seen as the logbook not being kept up to date.	We have already notified this vessel's owner of such incident and have requested the captain of this vessel to keep logbook up to date.

**LSTLVs – HWA MAO NO.203; HSIANG PERNG NO.212 (Deploy 334)****Participating Fleet****Letter received 30/01/16 from Fisheries Agency****TAIWAN, CHINA**

- Possible infraction:**
- The markings on the bow indicated the name to be HWA MAO NO.203, in agreement with IOTC records. However, the stern markings indicated the name to 203 HWA MAO (Error! Reference source not found.).
  - Logbook was bound only by staples

Table				
Incident related to marking				
NO.	Vessel Name	Date	Inspection Comment	Investigation
334	HWA MAO NO.203	20151219	The stern markings indicated the name to 203 HWA MAO.	We have already notified this vessel's owner of such incident and have requested this fishing vessel to repaint its markings once the operation of repainting is possible.

Incident related to logbook				
NO.	Vessel Name	Date	Inspection Comment	Investigation
334	HSIANG PERNG NO.212	20151220	Logbook was bound only by staples.	This vessel already used the new version of bounded log book, each day record of which is composed of one pink and one white sheet, which white sheet is tear-off to hand in this Agency. Also, what the observer took in the photo was exact the white sheets, so this vessel had used bounded logbook and did not violate our domestic regulations concerning logbook. Therefore, we hope observers can suspend reporting such cases.

**LSTLVs – MOOK ANDAMAN 028 (Deploy 291)**  
**Letter received 03/02/2016 from DoF Thailand**

**Participating Fleet**  
**THAILAND**

**Possible  
 infraction:**

- The fishing licences shown to the observer on MOOK ANDAMAN 028 were coastal state fishing licences for the EEZs of Madagascar,
- The logbook shown by MOOK ANDAMAN 028 were printed but unbound,
- No indication of the national registration number of MOOK ANDAMAN 028 was seen on the vessel markings, ATF or logbook.



No. 0505.3/ 887

Department of Fisheries  
 Kaset Klang, Chatuchak  
 Paholyothin Road,  
 Bangkok 10900, Thailand  
 Tel/Fax: 662 5797947

๒ February B.E. 2559 (2016)

Dear Sir,


**Subject: RESPONSES TO THE POSSIBLE INFRACTIONS OBSERVED  
 IN THE OBSERVER REPORTS IN 2015**

Kindly refer to the IOTC's email dated 20 January 2016, the Department of Fisheries would like to inform you of the results of investigation and actions taken in the irregularities identified of possible infractions observed in the observer reports in 2015.

The Department of Fisheries would like to submit the result of investigation as appeared in the attach file. Should you have any questions about our investigations and actions on each case, please don't hesitate to contact me.

Please be assured of our fullest cooperation.

Yours sincerely,

  
 (Mr. Meesak Pakdeekong)  
 Deputy director-General

Mr. Rondolph Payet  
 Executive Secretary  
 Indian Ocean Ocean Tuna Commission (IOTC) Director-General  
 IOTC Secretariat  
 Le Chantier Mall (2nd floor)  
 PO Box 1011 Victoria Mahé  
 SEYCHELLES  
 Tel: +248 4225494  
 Fax: +248 4224364

Deploy number	Vessel names	Inspection date	Detailed infraction	Results of investigation
291	MOOK ANDAMAN 028	18/12/14	The fishing licenses shown to the observer on MOOK ANDAMAN 028 were coastal state fishing licenses for the EEZs of Madagascar	The Department of Fisheries deleted this vessel from the IOTC vessel list since mid January 2016. In addition, this vessel is in the blacklist of draft provisional IUU vessel list to be considered in the next CoC13. Now Thailand is taking legal action against this vessel in accordance with the Royal Ordinance on Fisheries B.E. 2558 (2015)
291	Mook Andaman 028	18/12/14	The logbook shown by MOOK ANDAMAN 028 was printed but unbound.	The Department of Fisheries deleted this vessel from the IOTC vessel list since mid January 2016. In addition, this vessel is in the blacklist of draft provisional IUU vessel list to be considered in the next CoC13. Now Thailand is taking legal action against this vessel in accordance with the Royal Ordinance on Fisheries B.E. 2558 (2015)
291	MOOK ANDAMAN 028	18/12/14	No indication of the national registration number of MOOK ANDAMAN 028 was seen on the vessel markings, ATF or logbook.	The Department of Fisheries deleted this vessel from the IOTC vessel list since mid January 2016. In addition, this vessel is in the blacklist of draft provisional IUU vessel list to be considered in the next CoC13. Now Thailand is taking legal action against this vessel in accordance with the Royal Ordinance on Fisheries B.E. 2558 (2015)

**LSTLVs – MOOK ANDAMAN 028 (Deploy 215)****Participating Fleet****Letter received 03/02/2016 from DoF Thailand****THAILAND****Possible**

- The fishing logbook was printed but was not bound and the pages were not numbered with sequential page numbers.

**infraction:**

315	MOOK ANDAMAN 028	11/05/15	The fishing logbook was printed but was not bound and the pages were not numbered with sequential page numbers.	The Department of Fisheries deleted this vessel from the IOTC vessel list since mid January 2016. In addition, this vessel is in the blacklist of draft provisional IUU vessel list to be considered in the next CoC13. Now Thailand is taking legal action against this vessel in accordance with the Royal Ordinance on Fisheries B.E. 2558 (2015)
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**LSTLVs – MOOK ANDAMAN 018 (Deploy 334)****Participating Fleet****Letter received 03/02/2016 from DoF Thailand****THAILAND****Possible**

- The observer was shown a photocopy of the accompanying letter for the ATF, but not the ATF itself. The valid to date was not fully readable (**Error! Reference source not found.**).

**infraction:**

- No logbooks were shown to the observer,

- The vessel name on the bow was partially obscured by rust and difficult to read (**Error! Reference source not found.**).

334	MOOK ANDAMAN 018	27/12/15	The observer was shown a photocopy of the accompanying letter for the ATF, but not the ATF itself. The valid to date was not fully readable (Figure 17).	The Department of Fisheries deleted this vessel from the IOTC vessel list since mid January 2016. In addition, this vessel is in the blacklist of draft provisional IUU vessel list to be considered in the next CoC13. Now Thailand is taking legal action against this vessel in accordance with the Royal Ordinance on Fisheries B.E. 2558 (2015)
334	MOOK ANDAMAN 018	27/12/15	No logbooks were shown to the observer..	The Department of Fisheries deleted this vessel from the IOTC vessel list since mid January 2016. In addition, this vessel is in the blacklist of draft provisional IUU vessel list to be considered in the next CoC13. Now Thailand is taking legal action against this vessel in accordance with the Royal Ordinance on Fisheries B.E. 2558 (2015)

**LSTLVs – SINAW 16 (Deploy 291 & 297)****Participating Fleet****Letter received 03/02/2016 from DoF Thailand****OMAN****Possible**

- Call sign not clearly visible on the side of the vessel.

**infraction:**

- No power light visible on the VMS unit,
- The logbook of SINAW 16 shown to the observer was bound but unprinted
- The LSTLV master could not produce the flag state Authorisation to Fish (ATF) during the inspection. The observer presented the captain with the Taiwan, Province of China translation sheet and used a translated inspection form (in Chinese - the Taiwan, China format). The master of the CV, who accompanied the observer during the inspection also attempted to obtain (verbally and in writing) the relevant document. The LSTLV master (of Taiwan, Province of China origin) persisted in answering "no" to all the requests for the ATF,
- The power light on the VMS unit was not on during the inspection,
- The fishing logbook was unprinted and kept in a notebook. Due to the informal nature of the logbook, the observer could not determine the last date of entry with any reasonable level of certainty.

<b>Deployment number</b>	<b>Vessel Name</b>	<b>Inspection date</b>	<b>Possible infraction</b>	<b>The Respond</b>
291	SINAW 16	04/12/2014	Marking. VMS. Logbook.	<ul style="list-style-type: none"> <li>- The instruction had been given to make the call sign clear and visible on the vessel according to the local and international requirements.</li> <li>- After checking our system the VMS is working probably, and instruction.</li> <li>- The ministry of agriculture and fisheries developed a new formal standardized fishing logbook to fulfill national and international obligation, and are working to get it approved and implemented after overcome the administrative and financial constrains in the coming future.</li> </ul>
297	SINAW 16	18/01/2015	The ATF. VMS. The logbook.	<ul style="list-style-type: none"> <li>- The Instruction had been given to the owner of the vessel to instruct the captain to fully cooperate with the observers in the future and try his best to assist them during the inspection.</li> <li>- After checking our system the VMS is working probably, and instruction.</li> <li>- The ministry of agriculture and fisheries developed a new formal standardized fishing logbook to fulfill national and international obligation, and are working to get it approved and implemented after overcome the administrative and financial constrains in the coming future.</li> </ul>

**LSTLVs – Multiple LSTLVs (Deploy 304 & 329)****Participating Fleet****Email received 16/02/2016 from Korea****KOREA****Possible**

- See table

**infraction:****RE: Response to the possible infractions in 2014 under the IOTC ROP**

With regard to the possible infractions under the Regional Observer Program, the Republic of Korea has investigated the cases and reported the results back to the Secretariat as follows.

1. **Date Reported: 4/May/2015, Trip number(Shota Maru) : 304/15**

Four vessels (Dong Won No.639, Oryong No.355, Oryong No.801 and Oryong No.353) were reported that their logbooks were not bound in a book. Our Ministry has instructed her to bind logbook pages with sequential page numbers. Oryong No.353 was reported that the green power LED of the VMS flashed on and off rapidly. Based on our investigation, this situation occasionally happens when electrical power of the vessel is unstable, and her VMS has normally worked.

**2. Date Reported: 19/January/2016, Trip number(Meita Maru): 329/15**

Dong Won No.638 was reported that her vessel name displayed on the bow of the vessel (No.638 Dong Won) was not consistent to the vessel name listed in IOTC Record (Dong Won No.638). Upon our instruction, the vessel name will be corrected this March when she comes to port for repair.

Three vessels (Dong Won No.638, No.805 Oryong, Oryong No.373) were reported that the format of their fishing log were not the same as the example of the Korean logbook supplied to the observer. Based on our investigation, those vessels have kept their official logbooks on board which have been distributed by the National Fisheries Research and Development Institute (NFRDI). The photos taken by the observer in his report are all notebooks which are normally used for just recording of their daily catch reports. They are separate from their official logbooks.

Three vessels (Oryong No.355, No.805 Oryong, Oryong No.373) were reported that their logbooks were not bound in a book or unnumbered pages. Our Ministry has instructed them to bind logbook pages with sequential page numbers.

Our Ministry has advised all Korean fishing vessels operating in the IOTC competence area to comply with all IOTC Resolutions so that these possible infractions do not happen.

Best regards,

Jeongseok Park

**LSTLVs – Multiple LSTLVs (Deploy 315, 317, 319, 321, 324)**

**Email received 16/02/2016 from DoF, Malaysia**

**Possible**      **•See table**

**infraction:**

**Participating Fleet**

**MALAYSIA**

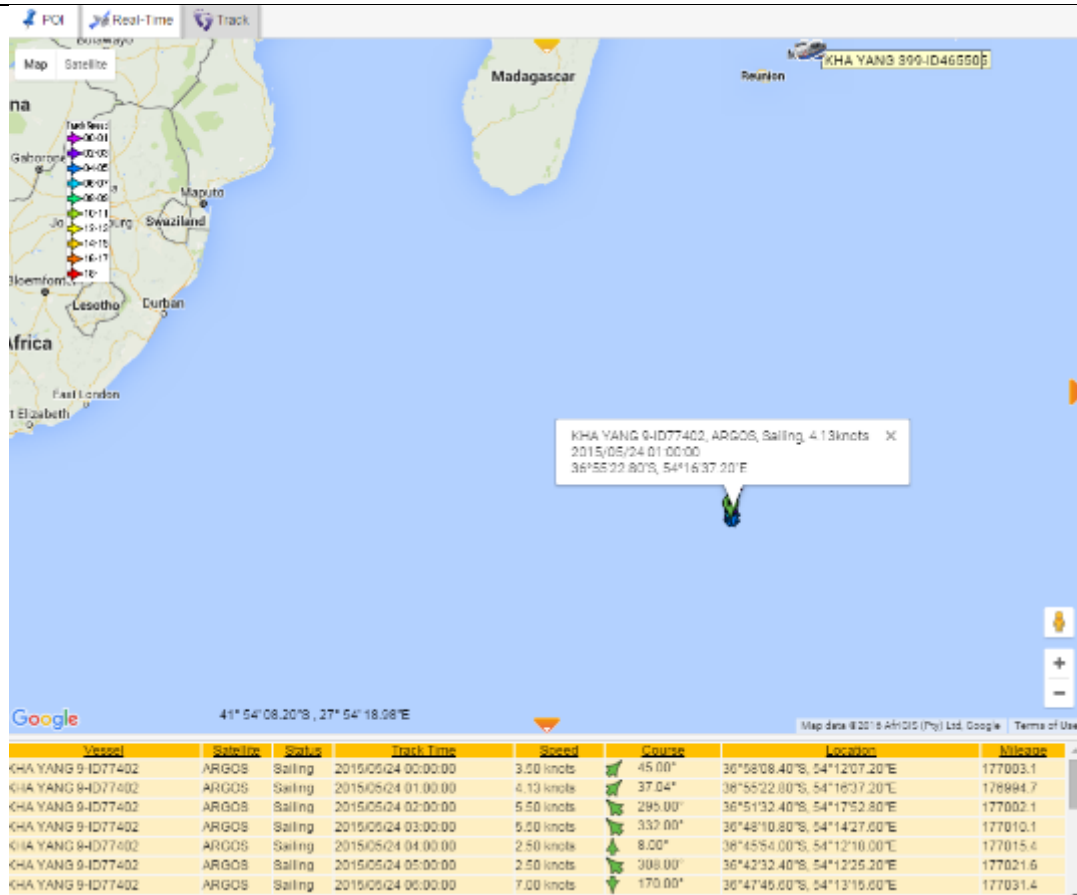
315	KHA YANG 5	The National Registration Number (NRN) displayed by the LSTLV (PPF 979) was not consistent with the NRN provided in the IOTC vessel list (PPF 979/333445).	PPF 979 is the National Registration Number provided by the Department of Fisheries Malaysia. 333445 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
315	KHA YANG 9	The NRN displayed by the LSTLV (PPF 981) was not consistent with the NRN provided in the IOTC vessel list (PPF 981/333447).	PPF 981 is the National Registration Number provided by the Department of Fisheries Malaysia. 333447 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
315	KHA YANG 9	The power supply to this unit was switched off.	The power supply switch off on the Inmarsat VMS. Vessels are on Monitoring by ARGOS VMS. Refer VMS attachment
315	KHA YANG 7	The NRN displayed by the LSTLV (PPF 980) was not the same as the NRN provided with the IOTC vessel list (PPF 980/333446). These markings were very worn and practically unreadable	PPF 980 is the National Registration Number provided by the Department of Fisheries Malaysia. 333446 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.

315	KHA YANG 7	The power lights of the VMS unit were not illuminated.	The power supply switch off on the Inmarsat VMS. Vessels are on Monitoring by ARGOS VMS. Refer VMS attachment
315	KHA YANG 7	The fishing logbook was printed, but not bound and the pages were not marked with sequential page numbers.	The logbook was not bound due to the requirement of the vessel operator to scan and email the logbook data every week to the Department of Fisheries Malaysia
315	KHA YANG 1	The bow markings of the LSTV was worn and the NRN markings were practically unreadable unless at very close range.	The marking on vessels was worn away due to strong waves and rough sea. The vessel operator had repaint the vessels and markings.
		The LSTLV displayed the NRN "PPF 997". This NRN was not consistent with the NRN provided with the IOTC vessel list (PPF 977/333443)	PPF 977 is the National Registration Number provided by the Department of Fisheries Malaysia. 333443 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
315	KHA YANG 3	The LSTLV displayed the markings "PPF 998" on the bow. These markings were not consistent with the NRN provided in the IOTC vessel list (PPF 978/333444).	PPF 978 is the National Registration Number provided by the Department of Fisheries Malaysia. 333444 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
317	KHA YANG 5	The National Registration Number (NRN) displayed by the LSTLV (PPF 979) was not consistent with the NRN provided in the IOTC vessel list (PPF 979/333445).	PPF 979 is the National Registration Number provided by the Department of Fisheries Malaysia. 333445 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
317	KHA YANG 9	The NRN displayed by the LSTLV (PPF 981) was not consistent with the NRN provided in the IOTC vessel list (PPF 981/333447).	PPF 981 is the National Registration Number provided by the Department of Fisheries Malaysia. 333447 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
317	KHA YANG 9	The power supply to this unit was switched off.	The power supply switch off on the Inmarsat VMS. Vessels are on Monitoring by ARGOS VMS. Refer VMS attachment
317	KHA YANG 7	The NRN displayed by the LSTLV (PPF 980) was not the same as the NRN provided with the IOTC vessel list (PPF 980/333446). These markings were very worn and practically unreadable.	PPF 980 is the National Registration Number provided by the Department of Fisheries Malaysia. 333446 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
317	KHA YANG 7	The power lights of the VMS unit were not illuminated.	The power supply switch off on the Inmarsat VMS. Vessels are on Monitoring by ARGOS VMS. Refer VMS attachment
317	KHA YANG 7	The fishing logbook was printed, but not bound and the pages were not marked with sequential page numbers.	The logbook was not bound due to the requirement of the vessel operator to scan and email the logbook data every week to the Department of Fisheries Malaysia

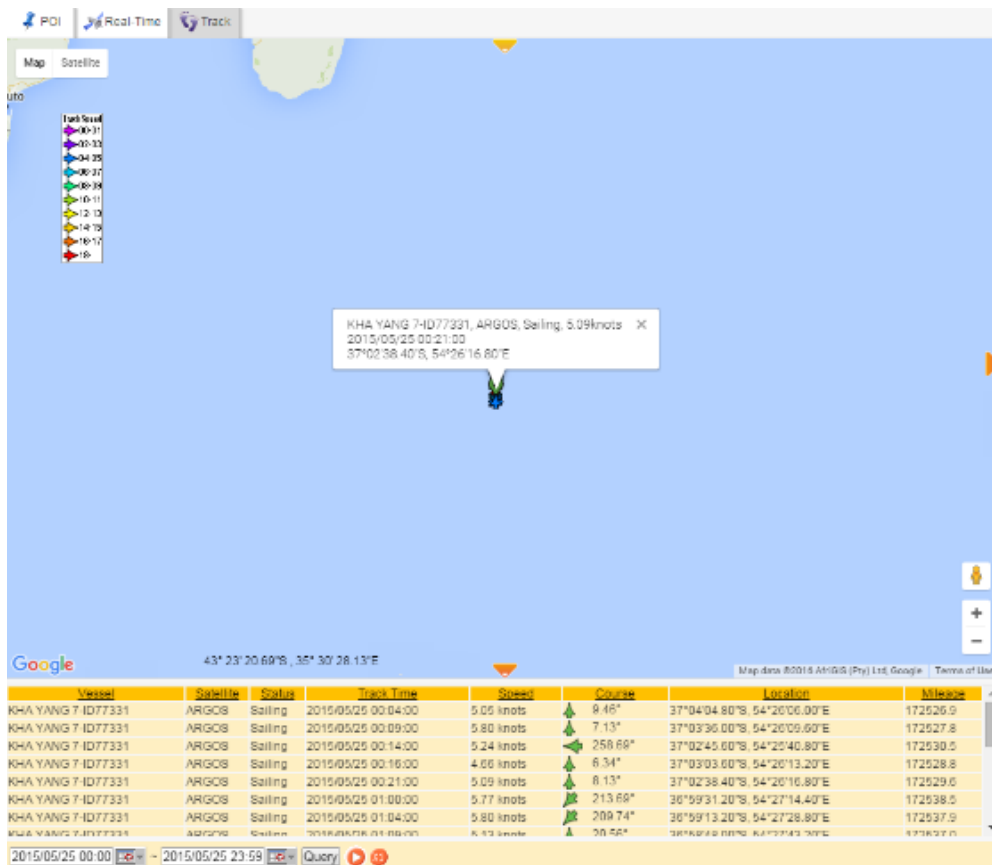
317	KHA YANG 1	The bow markings of the LSTV was worn and the NRN markings were practically unreadable unless at very close range. The LSTLV displayed the NRN "PPF 997". This NRN was not consistent with the NRN provided with the IOTC vessel list (PPF 977/333443).	PPF 977 is the National Registration Number provided by the Department of Fisheries Malaysia. 333443 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
317	KHA YANG 3	The LSTLV displayed the markings "PPF 998" on the bow. These markings were not consistent with the NRN provided in the IOTC vessel list (PPF 978/333444).	PPF 978 is the National Registration Number provided by the Department of Fisheries Malaysia. 333444 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
319	KHA YANG 5	The National Register Number (NRN) "PPF 979" was displayed by the LSTLV. This NRN did not concur with the NRN "PPF 979/333445" provided in the IOTC list of vessels	PPF 979 is the National Registration Number provided by the Department of Fisheries Malaysia. 333445 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
319	KHA YANG 9	The NRN "PPF 981" was displayed by the LSTLV. This NRN did not concur with the NRN "PPF 981/333447" provided in the IOTC list of vessels	PPF 981 is the National Registration Number provided by the Department of Fisheries Malaysia. 333447 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
319	KHA YANG 7	The NRN markings on the bow facing the CV was not legible as the markings were worn away	The marking on vessels was worn away due to strong waves and rough sea. The vessel operator had repaint the vessels and markings.
319	KHA YANG 1	The observer could verify the name of the vessel but not the NRN which was worn away	The marking on vessels was worn away due to strong waves and rough sea. The vessel operator had repaint the vessels and markings.
319	KHA YANG 3	The NRN "PPF 978" was displayed by the LSTLV. This NRN did not concur with the NRN "PPF 978/333444" provided in the IOTC list of vessels	PPF 978 is the National Registration Number provided by the Department of Fisheries Malaysia. 333444 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
321	KHA YANG 5	The LSTLV displayed the number "PPF979" on the bow of the vessel. This number did not concur with the number provided as the National Register Number (NRN) in the IOTC vessel list (PPF 979/333445).	PPF 979 is the National Registration Number provided by the Department of Fisheries Malaysia. 333445 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
321	KHA YANG 7	The LSTLV displayed the number "PPF980" on the bow of the vessel. This number did not concur with the number provided as the NRN in the IOTC vessel list (PPF 980/333446)	PPF 980 is the National Registration Number provided by the Department of Fisheries Malaysia. 333446 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.

321	KHA YANG 1	The bow markings of the NRN was not legible due to fouling on the hull and the markings were rubbed away	The marking on vessels was worn away due to strong waves and rough sea. The vessel operator had repaint the vessels and markings.
321	KHA YANG 9	The LSTLV displayed the number "PPF 981" on the bow of the vessel. This number did not concur with the number provided as the NRN in the IOTC vessel list (PPF 981/333447).	PPF 981 is the National Registration Number provided by the Department of Fisheries Malaysia. 333447 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
321	KHA YANG 3	The LSTLV displayed the number "PPF 978" on the bow of the vessel. This number did not concur with the number provided as the NRN in the IOTC vessel list (PPF 978/333444).	PPF 978 is the National Registration Number provided by the Department of Fisheries Malaysia. 333444 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
324	KHA YANG 3	The vessel name was partially worn away. The National Registration Number (NRN [PPF978]) displayed on the bow was not consistent with the NRN "PPF978/333444" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 978" and the "Vessel Official no." as "333444"	PPF 978 is the National Registration Number provided by the Department of Fisheries Malaysia. 333444 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
324	KHA YANG 5	The NRN PPF979 was displayed on the bow of the LSTLV and partially worn away. The displayed NRN was not consistent with the NRN "PPF979/333445" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 979" and the "Vessel Official no." as "333445".	PPF 979 is the National Registration Number provided by the Department of Fisheries Malaysia. 333445 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
324	KHA YANG 7	The NRN and name of the LSTLV on the bow was worn and not legible. This NRN was not consistent with the NRN "PPF 980/333446" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 980" and the "Vessel Official no." as "333446".	PPF 980 is the National Registration Number provided by the Department of Fisheries Malaysia. 333446 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
324	KHA YANG 9	The NRN PPF981 was displayed on the bow of the LSTLV. This was not consistent with the NRN "PPF 981/333447" provided in the IOTC vessel list. The ATF document on board provided the "Vessel Licence No." as "PPF 981" and the "Vessel Official no." as "333447"	PPF 981 is the National Registration Number provided by the Department of Fisheries Malaysia. 333447 is the Vessel Registration Number provided by the Marine Department. Both Registration Number are valid and recognised by Malaysia.
324	KHA YANG 1	The LSTLV name- and NRN markings on the bow was covered in fouling and not legible	The marking on vessels was worn away due to strong waves and rough sea. The vessel operator had repaint the vessels and markings.





ARGOS 24052016 on KHA YANG 9



ARGOS 25052016 on KHA YANG 7

LSTLVs – Multiple LSTLVs (Multiple Deployments)Participating Fleet

Email received 16/02/2016 from Japan Fisheries Agency

JAPANPossible **•See table**  
infraction:**FISHERIES AGENCY**MINISTRY OF AGRICULTURE, FORESTRY AND FISHERIES, GOVERNMENT OF JAPAN

1-2-1, Kasumigaseki, Chiyoda-ku, Tokyo 100-8907, Japan

TEL: \*81-3-3502-8460 FAX: \*81-3-3504-2649

February 15, 2016

Dr. David T. Wilson,  
IOTC Interim Executive Secretary

Dear Dr. David Wilson,

In accordance with the paragraph 23 of the Resolution 14/06, I am writing to report results of our investigations and actions which have been taken regarding the Japanese vessels whose possible non-compliance activities were pointed out by the IOTC regional observers related to at-sea transshipments.

- **38 LSTLVs whose fishing logbooks were kept in inadequate manner (Chiho Maru No.18, Fukuseki Maru No.1, Fukuseki Maru No.7, Fukuseki Maru No.35, Fukuryu Maru No.21, Fukutoku Maru No.37, Fukutoku Maru No.88, Hinode Maru No.38, Katsuei Maru No.78, Katsuei Maru No.88, Koei Maru No.1, Koei Maru No.88, Kotoku Maru No.3, Kotoshiro Maru No.58, Koyo Maru No.1, Matsuei Maru No.2, Myojin Maru No.3, Myojin Maru No.8, Ryoyoshi Maru No.8, Ryusei Maru No.8, Seifuku Maru No.68, Seifuku Maru No.78, Seifuku Maru No.88, Shoei Maru No.88, Shofuku Maru No.8, Shofuku Maru No.18, Shofuku Maru No.38, Shofuku Maru No.78, Shoho Maru No.1, Taiyo Maru No.8, Taiyo Maru No.28, Taiyo Maru No.58, Wakashio Maru No.8, Wakashio Maru No.68, Wakashio Maru No.83, Wakashio Maru No.108, Wakashio Maru No.118 and Yahata Maru No.5)**
  - In most cases, allegations pointed out by observers are that the logbook was not properly recorded or it was unbound.
  - The Fisheries Agency of Japan (FAJ) confirmed that these 38 vessels maintain their logbooks on board at the time of transshipment.
  - FAJ confirmed that some vessels were needed to correct their logbooks (e.g. printing page number). However, other vessels maintained logbook properly (printed and bound using binder) and no reason for the allegation.

- FAJ gave directions on how to keep logbook on board to all Japanese LSTLVs. In addition, FAJ reminded each vessel to prevent the recurrence of such allegations (e.g. no page numbers, unprinted/unbound).
- Having said that though, in order to avoid this situation (i.e. repeated reports of possible non-compliance) in future, an electronic logbook system has been developed in cooperation with relevant industries, and its trial use has already been started last year for a limited number of vessels. Japan hopes that this new system will resolve the current situation in the near future.
- **LSTLV (Ryusei Maru No.8) whose VMS switch was independent from the vessel main power supply**
  - FAJ directed the vessel to repair the VMS system at the next entry in port, so that the main power supply of the vessel and power of the VMS system operate together.
- **LSTLV (Katsuei Maru No.88) whose VMS switch was not illuminated**
  - FAJ confirmed the VMS system of Katsuei Maru No.88 was Inmarsat C and it has worked properly throughout the last year. Argos, which was pointed out by the IOTC regional observer, is the old system which has not been used for almost six years on the vessel.
- **LSTLV (Shoho Maru No.1) whose vessel name was not to correspond with the name recorded in the IOTC authorized vessel list**
  - FAJ directed the vessel to re-paint from "SHOHO MARU.1" to "SHOHO MARU No.1" as recorded in the IOTC authorized vessel list.
- **LSTLVs (Fukuseki Maru No.31 and Shofuku Maru No.78) whose international call signs were not to mark on the side walls**
  - FAJ directed the vessels to mark the call sign on the side walls. FAJ confirmed that call signs of the both vessels were marked properly on their side wall. However, Shofuku Maru No. 78 has been deleted from the IOTC authorized vessel list since the vessel was burned down thereafter.

- **LSTLV (Taiyo Maru No.8) whose vessel name (in English) was partially rubbed away and unclear on the side wall**
  - FAJ directed the vessel to re-paint the vessel name on the side walls. FAJ confirmed that the vessel name was clearly printed.

Sincerely yours,



Hisashi Endo

Japanese Commissioner to IOTC