

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (16.03.2016)	C	C	C	C	Received 14.03.16.	
1.2.	Rules Of P.	Compliance Questionnaire	29.02.2016	C	C	C	C	Received 23.02.16.	
1.3.	SC	National Scientific Report	09.11.2015	C	C	C	C	Received 27.10.15.	
1.4.	Commission	Feedback letter	16.03.2016	L	C	L	C	Received 27.04.16.	
2. Management Standards									
2.1.	Res. 15/04	Documents listed in this resolution on board ²	16.03.2016	C	C	C	C	Regulation on Distant Water Fisheries 2013	
		Marking of vessels ²		C	C	C	C		
		Marking of gears ²		C	C	C	C		
		Marking of FADs ²	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RECORD OF AUTHORISED VESSELS.		
		Logbook on board ²	C	C	C	C	Nongbanyu[2013] NO.21 Regulation		
		Official authorisation to fish outside National Jurisdictions	15.02.2016	C	C	C	C	Updated: 26.01.16	
		IMO number for eligible vessels	01.01.2016			L	C	Has 93 eligible vessels on the RECORD OF AUTHORISED VESSELS and 93 vessels with IMO number.	
2.2.	Res. 15/01	Official fishing logbooks	15.02.2016	C	C	C	C	Nongbanyu[2013] NO.21 Regulation	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	16.03.2016	C	C	C	C	Nongbanyu[2013] NO.21 Regulation	
2.4.	Res. 15/08	FADs management plan	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RECORD OF AUTHORISED VESSELS.	
		Report of progress on implementation of FADs management plan	16.03.2016			N/A	N/A		
2.5.	Res. 15/07	Prohibition artificial lights for FADs	For PS 10.09.2015			N/A	N/A	Does not have PS/SP vessel on the IOTC RECORD OF AUTHORISED VESSELS, or fleet does not use FADs or PS not active	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 02.02.16.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

²Information is to be provided in the Report of Implementation.

³24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Received 16.10.09 (Initial).	
3.3.	Res. 12/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006	31.12.2009	C	C	C	C	Received 07.01.10.	
List of vessels ³ for SWO and ALB during 2007	C	C		C	C				
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last update 28.01.16	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel of less than 24m, operating in the IOTC Area.	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2006. Nongbanyu[2013] NO.21 Regulation	
4.2.		VMS report on the progress and implementation	30.06	C	C	C	C	Received 26.06.15. Had 53 active vessels in 2014, has reported 99 vessels fitted with VMS in 2014.	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RECORD OF AUTHORISED VESSELS.	
		• LL	Provisional	30.06	C	C	C	C	Received 26.06.15
		Final	30.12	C	C	C	C	Received 26.06.15	
5.2.	Res 15/05	Catch & Effort							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RECORD OF AUTHORISED VESSELS.	
		• LL	Provisional	30.06	C	C	C	C	Received 26.06.15
		Final	30.12	C	C	C	C	Received 26.06.15	

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5.3.		Size Frequency								
		• Coastal fisheries		30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN		30.06	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RECORD OF AUTHORISED VESSELS.	
		• LL	Provisional	30.06	C	C	L	P/C	Received 28-01-2016; Data from observer program; less than 1 fish per metric tons measured for some species	The size data provided to the IOTC for target species in recent years are from longline observer data. However, it is hard to reach the required sample size because of the lower observer coverage. China is now developing an Information Vessel Project, which aims to train some of the fishermen onboard to sample and record size data for important species. We hope to report the progress to IOTC Secretariat in the near future.
Final	30.12		C	C	L	P/C				
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels		30.06	N/A	N/A	N/A	N/A	No supply vessels.	
		Days at sea by supply vessels		30.06	N/A	N/A	N/A	N/A		
		FADs set by type		30.06	N/A	N/A	N/A	N/A		
6. Mandatory statistical requirement – Coastal State CPCs										
6.1.	Res. 15/01	Catch & Effort								
		• Surface fisheries: PS, BB, GN		30.06	N/A	N/A				
		• LL	Provisional	30.06	N/A	N/A				
			Final	30.12	N/A	N/A				
7. Implementation of mitigation measures and bycatch of non-IOTC species										
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch		30.06	C	C	C	C	Received 26.06.15	
		Submission of data regarding Sharks – Catch & effort		30.06	C	C	C	C	Received 26.06.15	
		Submission of data regarding		30.06	L	P/C	L	P/C	Received 28-01-2016; Data from	

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		Sharks – Size frequency						observer program; less than 1 fish per metric tons measured	
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2012; Nongbanyu[2013] NO.21 Regulation	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Banned since 2013; Nongbanyu[2013] NO.21 Regulation	
7.4.	Res. 12/04	Sea turtles report ²	16.03.2016	C	C	C	C	Data received 27.11.15; Total of 1 interaction reported in 2014.	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Has indicated obligation is in place since 2014; Nongbanyu[2013] NO.21 Regulation	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RECORD OF AUTHORISED VESSELS.	
7.7.	Res. 12/06	Seabirds report ²	16.03.2016	C	C	C	C	Data received 27.11.15; No interaction reported in 2014.	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Has indicated obligation is in place since 2014; Nongbanyu[2013] NO.21 Regulation	
7.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)			L	C	Received 27.04.16. No interactions recorded in 2014..	
		Instances of Cetaceans encircled ²	For PS 16.03.2016	N/A	N/A	N/A	N/A	No purse seiner on the Record of Authorised Vessels or active in 2015.	
7.10.	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)			L	C	Received 27.04.16. No interactions recorded in 2014..	
		Instances Whale Sharks encircled ²	For PS 16.03.2016	N/A	N/A	N/A	N/A	No purse seiner on the Record of Authorised Vessels or active in 2015	
8. Illegal, Unreported and Unregulated (IUU) Vessels									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2016)	C	C	C	C	No vessel listed on IOTC IUU list in 2015.	
8.2.	Res. 07/01	Compliance by nationals	16.03.2016	C	C	C	C	No nationals on board vessel listed on IOTC IUU list in 2015.	
9. Transhipments									
9.1.	Res. 14/06	At sea transhipments – CPC report	Before 15.09	C	C	C	C	Reports received 09.09 15	
9.2.		Transhipments in port report ²	16.03.2016	C	C	C	C	Reports received 14.03.16.	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Last update 29.01.16	
9.4.		Report on results of investigations on possible infractions	26.02.2016	C	C	C	C	Possible infractions: 30 Responses received: 30	
9.5.		ROP fee	17.08.2015	L	C	C	C	Received 04.11.14.	

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10. Observers									
10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	16.03.2016	C	C	C	P/C	Has provided coverage by gear (LL) but number of vessel monitored not provided.	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	P/C	C	P/C	Coverage by gear LL 1 %	
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel < 24 m in the RECORD OF AUTHORISED VESSELS	
10.4.		• 5 % Phasing in Artisanal landings ²	16.03.2016	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
10.5.		Observer reports	150 days after trip	L	C	L	C	Two observer reports provided.	
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report (2015)	01.10	C	C	C	C	Report received 23.09.15.	
11.2.		2 nd Semester report (2014)	01.04	C	C	C	C	Report received 27.03.15.	
11.3.		Annual report ²	16.03.2016	C	C	C	C	Report received 14.03.16; Export exceeds import.	
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update:25.03.14.	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	N/A	N/A	N/A	N/A		
12.3.		Designated competent Authority		N/A	N/A	N/A	N/A		
12.4.		Prior notification periods		N/A	N/A	N/A	N/A		
12.5.		Inspection report		3 days after inspection	N/A	N/A	N/A		N/A
12.6.		At least 5% inspection of LAN or TRX	Since 01/03/2011	N/A	N/A	N/A	N/A		
12.7.		Denial of entry in port		N/A	N/A	N/A	N/A		
13. Market									
13.1.	Res. 10/10	Report on import, landing and transhipment of tuna and tuna-like fish products in ports ²	16.03.2016	C	C	C	C	Report received 14.03.16.	

Feedback to CHINA on the level of implementation of IOTC Conservation and Management Measures identified by the CoC12 in 2015.

Feedback: With regards to the level of compliance by COUNTRY to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to COUNTRY by the Chair of the Commission in a letter dated 1st May, 2015.

<ul style="list-style-type: none"> • Has not reported Size Frequency on sharks to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.
<ul style="list-style-type: none"> • Has not fully implemented an observer scheme for vessel above 24 m (two deployments in 2013; coverage rate of 1%), as required by Resolution 11/04.

Response: The response to the letter of the Chair of the Commission was provided by CHINA on 27 April 2016.

Current issues on the level of implementation by CHINA of IOTC Conservation and Management Measures identified for discussion in the CoC13 in 2016.

Having reviewed the 2016 Compliance Report for CHINA, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2015)	Previous status (2014)
Repeated compliance issues		
<ul style="list-style-type: none"> • Has not reported Size Frequency on sharks to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05. 	P/C	P/C
<ul style="list-style-type: none"> • Has not fully implemented the observer scheme, as required by Resolution 11/04; coverage <5% for vessel > 24m. 	P/C	P/C
Non-repeated compliance issues		
<ul style="list-style-type: none"> • Has not reported Size Frequency for longline fishery to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05. 	P/C	C
<ul style="list-style-type: none"> • Has not fully implemented the observer scheme, as required by Resolution 11/04; has not provided number of vessels monitored. 	P/C	C