

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (16.03.2016)	N/C	N/C	L	C	Received 08.04.16	
1.2.	Rules Of P.	Compliance Questionnaire	29.02.2016	N/C	N/C	C	C	Received 27.02.16	
1.3.	SC	National Scientific Report	09.11.2015	L	C	C	C	Received 09.11.16	
1.4.	Commission	Feedback letter	16.03.2016	N/C	N/C	N/C	N/C	Response to FL not provided	
<b>2. Management Standards</b>									
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	16.03.2016	C	C	C	C		
		Marking of vessels <sup>2</sup>		C	C	C	C		
		Marking of gears <sup>2</sup>		L	C	L	C	Regulation of Marine Living Resources Act 1998.	
		Marking of FADs <sup>2</sup>		N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	
		Logbook on board <sup>2</sup>		C	C	C	C	Source: IOTC-2013-CoC10-IR33	
		Official authorisation to fish outside National Jurisdictions	15.02.2016	N/C	N/C	N/C	N/C	Mandatory information not provided.	
		IMO number for eligible vessels	01.01.2016			L	P/C	Has 29 vessels on the Record of Authorised Vessels and 13 with IMO numbers. No information provided for vessels without IMO number.	
2.2.	Res. 15/01	Official fishing logbooks	15.02.2016	C	C	C	C	Received 08.08.14 for PL and LL gears.	
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	16.03.2016	C	C	C	C	As part of permit conditions.	
2.4.	Res. 15/08	FADs management plan	31.12.2013	N/C	N/C	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	
		Report of progress on implementation of FADs management plan	16.03.2016			N/A	N/A		
2.5.	Res. 15/07	Prohibition artificial lights for FADs	For PS 10.09.2015			N/A	N/A		
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	P/C	L	C	Received 16.02.16	

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C			
3.3.	Res. 12/11	Reference Capacity								
		List of vessels <sup>3</sup> for Tropical Tuna during 2006	31.12.2009	C	C	C	C	Received 08.08.14		
List of vessels <sup>3</sup> for SWO and ALB during 2007	C	C		C	C					
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C			
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C			
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	C	C	L	C	Received 16.02.16: has licensed 4 LL FFV in 2015		
3.7.		List of foreign vessels denied a licence	15.02	N/C	N/C	L	C	Received 01.04.16: No FFv denied license in 2015		
3.8.		Access Agreement information	26.02.2015	C	C	C	C	Has terminated all tuna fishing access agreements in 2002 (IOTC-2013-CoC10- IR33).		
3.9.		Official coastal State fishing License	14.01.2014	C	C	C	C			
<b>4. Vessel Monitoring System</b>										
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	Adopted since 1998.		
4.2.		VMS report on the progress and implementation	30.06	C	C	L	C	Received 10.03.16		
<b>5. Mandatory statistical requirement – Flag State CPCs</b>										
5.1.	Res. 15/02 & Res 15/05	Nominal Catch								
		• Coastal fisheries	30.06	C	C	C	C	Has provided NC by gears, by species.		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the Record of Authorised Vessels or active in 2014		
		• LL	Provisional	30.06	C	C	C	C	Has provided NC by gears, by species.	
			Final	30.12	C	C	C	C	Has provided NC by gears, by species.	
5.2.		Catch & Effort								
		• Coastal fisheries	30.06	C	C	C	C	Has provided CE by gears, by species, by month.		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the Record of		

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5.3.									Authorised Vessels or active in 2014			
		• LL	Provisional	30.06	C	C	C	C	Has provided CE by species, by month, by grid, by type of fisheries			
			Final	30.12	C	C	C	C				
		Size Frequency										
		• Coastal fisheries			30.06	N/C	N/C	N/C	N/C	No information provided.		
		• Surface fisheries: PS, BB, GN			30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the Record of Authorised Vessels or active in 2014		
• LL	Provisional		30.06	N/C	N/C	N/C	N/C	No information provided.				
	Final		30.12	N/C	N/C	N/C	N/C					
5.4.		Fish Aggregating Devices (FAD)										
		Supply vessels			30.06	N/A	N/A	N/A	N/A	No purse seiner and/or supply vessel on the Record of Authorised Vessels or active in 2014.		
		Days at sea by supply vessels			30.06	N/A	N/A	N/A	N/A			
		FADs set by type			30.06	N/A	N/A	N/A	N/A			
<b>6. Mandatory statistical requirement – Coastal State CPCs</b>												
6.1.	Res. 15/01	Catch & Effort										
		• Surface fisheries: PS, BB, GN			30.06	N/C	N/C					
		• LL	Provisional		30.06	C	C					
Final			30.12	C	C							
<b>7. Implementation of mitigation measures and bycatch of non-IOTC species</b>												
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch			30.06	C	P/C	C	P/C	Has provided sharks NC but species aggregated.		
		Submission of data regarding Sharks – Catch & effort			30.06	C	P/C	C	P/C	Has provided sharks CE but some species aggregated.		
		Submission of data regarding Sharks – Size frequency			30.06	N/C	N/C	N/C	N/C	Data provided for foreign fleet only		
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>			Since 07.07.2010	C	C	C	C	As part of permit conditions article 6.f).		
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks			Since 14.08.2013	N/C	N/C	L	P/C	Source IOTC-2016-CoC13-CQ26: banned since 2011, no legal reference provided.		
7.4.	Res. 12/04	Sea turtles report <sup>2</sup>			16.03.2016	C	C	P/C	P/C	4 interactions reported (IOTC-2015-SC18-NR37) No information received on the status of implementation of the FAO guidelines.		
7.5.		Carry line cutters and de-hookers			Since	C	C	C	C	As part of permit conditions (IOTC-		

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		on board (Longliners)	06.08.2009					2013-CoC10-IR33).	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2015	
7.7.		Seabirds report <sup>2</sup>	16.03.2016	C	C	C	C	102 interaction reported, seabird mortality rate of 0.37/1000 hooks in 2014 (IOTC-2015-SC18-NR37).	
7.8.	Res. 12/06	Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C		
7.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)			C	C		
		Instances of Cetaceans encircled <sup>2</sup>	For PS 16.03.2016	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2015	
7.10.	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)			C	C		
		Instances Whale Sharks encircled <sup>2</sup>	For PS 16.03.2016	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2015	
<b>8. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2016)	C	C	C	C	No vessel listed on IOTC IUU list in 2015	
8.2.	Res. 07/01	Compliance by nationals	16.03.2016	C	C	C	C	No nationals on board vessel listed on IOTC IUU list in 2015	
<b>9. Transshipments</b>									
9.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	At sea transshipments not permitted.	
9.2.		Transshipments in port report <sup>2</sup>	16.03.2016	N/C	N/C	L	C	NIL report received 06.04.16.	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	No carrier vessel & No participating in ROP.	
9.4.		Report on results of investigations on possible infractions	26.02.2016	N/A	N/A	N/A	N/A	No participating in ROP.	
9.5.		ROP fee	17.08.2015	N/A	N/A	N/A	N/A		
<b>10. Observers</b>									
10.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	16.03.2016	C	C	C	C	Source IOTC-2015-SC18-07[E] Rev_1 ROS update: had 6 LL active in 201; has provided coverage but below 5% for vessel >24 m (Coverage of 3.1%).	
10.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	C	C	C	P/C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	C	C	N/A	N/A	No vessel < 24 m active in 2014	
10.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	16.03.2016	C	C	N/C	N/C	Port sampling programme established in 1973 and ended in March 2011.	
10.5.		Observer reports	150 days after trip	C	C	C	C	2 observer reports provided.	
<b>11. Statistical document programme</b>									
11.1.	Res. 01/06	1 <sup>st</sup> Semester report	01.10	N/A	N/A	N/A	N/A	Does not import Bigeye tuna.	

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11.2.		2 <sup>nd</sup> Semester report	01.04	N/A	N/A	N/A	N/A		
11.3.		Annual report <sup>2</sup>	16.03.2016	L	P/C	N/C	N/C	Mandatory report not provided.	
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 31.03.2015	
<b>12. Port inspection</b>									
12.1.	Res. 05/03	Port inspection programme	01.07	C	C	L	C	Received 07.04.16	
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated 3 ports.	
12.3.		Designated competent Authority		L	P/C	C	C		
12.4.		Prior notification periods		L	P/C	C	C		
12.5.		Inspection report	3 days after inspection	C	C	L	C	Source - IOTC-2016-CoC13-CQ26: Call in port: 98 ; Foreign vessel inspected: 55 ; LAN/TRX monitored: 27. 55 inspection reports submitted and 27 monitoring forms submitted to Secretariat in 2015.	
12.6.		At least 5% inspection of LAN or TRX	Since 01/03/2011	P/C	P/C	L	C		
12.7.	Denial of entry in port		N/C	N/C	C	C	Source - IOTC-2016-CoC13-CQ26: no vessel denied entry into port.		
<b>13. Market</b>									
13.1.	Res. 10/10	Report on import, landing and transhipment of tuna and tuna-like fish products in ports <sup>2</sup>	16.03.2016	N/C	N/C	L	C	Received 07.04.16	

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**Feedback to South Africa on the level of implementation of IOTC Conservation and Management Measures identified by the CoC12 in 2015.**

**Feedback:** With regards to the level of compliance by South Africa to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to South Africa by the Chair of the Commission in a letter dated 1<sup>st</sup> May, 2015.

• Has not provided its Report of Implementation, in accordance to Article X of the Agreement.
• Has not provided all the mandatory information on the Official authorisation to fish outside National Jurisdictions, as required by Resolution 14/04.
• Has not provided information on FADs management plan, as required by Resolution 13/08.
• Has not provided all the mandatory information on the List of Active vessels, missing GT, Name and address of owner, period of authorisation, as required by Resolution 10/08.
• Has not provided information on the List of foreign vessels denied a licence, as required by Resolution 14/05.
• Has not reported Size frequency for the coastal fisheries, as required by Resolution 10/02.
• Has not reported Size frequency for the longline fisheries, as required by Resolution 10/02.
• Has not reported Catch & effort for surface fisheries (foreign vessels operating in its EEZ), as required by Resolution 10/02.
• Has not reported Nominal catch on sharks to IOTC Standard as required by Resolution 05/05.
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not implemented the ban on on oceanic whitetip sharks as required by Resolution 13/06.
• Has not provided a report for transshipment of flagged vessels in foreign ports, as required by Resolution 14/06.
• Has not provided the mandatory annual report on BET to IOTC standard, as required by Resolution 01/06.
• Has not provided the Designated Competent Authority, as required by Resolution 10/11.
• Has not provided the Prior notification periods , as required by Resolution 10/11
• Has not fully implemented the requirement on inspection of at least 5% of LAN or TRX, as required by Resolution 10/11.
• Has not provided information on denial of entry in port, as required by Resolution 10/11.
• Has not provided the mandatory report on import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.
• Has not provided the completed Compliance Questionnaire, as required by the Rules of Procedure for the Compliance Committee.
• Has not provided a response to the letter of feedback, as required by the S17.

**Response:** No response to the letter of the Chair of the Commission was provided by South Africa

**Current issues on the level of implementation by South Africa of IOTC Conservation and Management Measures identified for discussion in the CoC13 in 2016.**

Having reviewed the 2016 Compliance Report for South Africa, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current status (2015)</b>	<b>Previous Status (2014)</b>
<b>Repeated compliance issues</b>		
• Has not provided a response to the letter of feedback, as required by the S17.	N/C	N/C
• Has not provided all the mandatory information on the Official authorisation to fish outside National Jurisdictions, as required by Resolution 15/04.	N/C	N/C
• Has not reported Size frequency for the coastal fisheries, as required by Resolution 15/02.	N/C	N/C
• Has not reported Size frequency for the longline fisheries, as required by Resolution 15/02.	N/C	N/C
• Has not reported Nominal catch on sharks to IOTC Standard as required by Resolution 05/05.	P/C	P/C
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not implemented the ban on on oceanic whitetip sharks as required by Resolution 13/06.	P/C	N/C
• Has not provided the mandatory annual report on BET to IOTC standard, as required by Resolution 01/06.	N/C	P/C
<b>Non-repeated compliance issues</b>		
• Has not provided the information on the IMO number for eligible vessel, as required by Resolution 15/04	P/C	C
• Has not fully implemented the requirement on 5% mandatory at sea (>24m), as required by Resolution 11/04	P/C	C
• Has not implemented the requirement on 5% phasing in artisanal landings, as required by Resolution 11/04	N/C	C
• Has not provided information on the implementation of the FAO guideline to reduce mortality of sea turtles, as required by Resolution 12/04	P/C	C