

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (16.03.2016)	L	C	C	C	Received 15.03.16	
1.2.	Rules Of P.	Compliance Questionnaire	29.02.2016	L	P/C	C	C	Received 25.02.16	
1.3.	SC	National Scientific Report	09.11.2015	C	C	C	C	Received 18.10.16	
1.4.	Commission	Feedback letter	16.03.2016	N/C	N/C	C	P/C	Received 08.07.15. Has provided a letter without specific responses to the non-compliance issues.	Thailand need further consult with IOTC regarding the understanding of this requirement. However, we try our best to comply with the data and reporting requirement in this year.
<b>2. Management Standards</b>									
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	16.03.2016	C	C	C	C	Has reported all documents were found to be on board vessels inspected, source IOTC-2016-CoC13-CQ30; contradictory to legal reference provided: Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management	The previous Fisheries laws did not give the power for enforcement. However, the new Fisheries Law issued on November 2015 focus more on fisheries management and empower the official for law enforcement.
		Marking of vessels <sup>2</sup>		L	P/C	C	C	Has reported vessels are not marked, source IOTC-2016-CoC13-CQ30; contradictory to legal reference provided : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management	
		Marking of gears <sup>2</sup>		L	P/C	C	C	Has reported gears are not marked, source IOTC-2016-CoC13-CQ30; contradictory to legal reference provided: Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management	
		Marking of FADs <sup>2</sup>		N/A	N/A	N/A	N/A	Does not have PS vessel on the	

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup>Information is to be provided in the Report of Implementation.

<sup>3</sup>24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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		Logbook on board <sup>2</sup>		C	C	C	C	Record of Authorised Vessels.	
		Official authorisation to fish outside National Jurisdictions	15.02.2016	L	C	L	C	Received 03.02.14. No update provided	Official letter on change of authorization to fish in IOTC area dated 15 Jan 2016 has already submitted to IOTC by email (A reference file is attached.)
		IMO number for eligible vessels	01.01.2016			L	C	Has 3 vessels on the Record of Authorised Vessels, IMO numbers provided on 15.01.16.	
2.2.	Res. 15/01	Official fishing logbooks	15.02.2016	C	C	C	C	Received 11.02.14. No update provided.	
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	16.03.2016	C	C	C	C	Source - IOTC-2016-CoC13-IR30 & IOTC-2016-CoC13-CQ30: has reported does not employ drifnet fishing in the highseas and banned since 2010, No legal reference provided.	
2.4.	Res. 15/08	FADs management plan	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS/Fleet on the Record of Authorised Vessels.	
		Report of progress on implementation of FADs management plan	16.03.2016			N/A	N/A		
2.5.	Res. 15/07	Prohibition artificial lights for FADs	For PS 10.09.2015			N/A	N/A		
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 22.01.16	
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Submission: 27.05.10 (1 <sup>st</sup> update).	
3.3.	Res. 12/11	List of vessels <sup>3</sup> for Tropical Tuna during 2006	31.12.2009	C	C	C	C	No vessel targeting SWO/ ALB.	
		List of vessels <sup>3</sup> for SWO and ALB during 2007		N/A	N/A	N/A	N/A		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last update 15.01.16	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel < 24 operating outside EEZ on the Record of Authorised Vessels.	

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				Timeliness	Content	Timeliness	Content			
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Source - IOTC-2016-CoC13-IR30: According to the Act Governing the Right to Fish in Thai Waters of 1939, foreign fishing vessels are not allowed to fish in Thai waters.		
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A			
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A			
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A			
<b>4. Vessel Monitoring System</b>										
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	L	C	Thailand started and implemented the VMS system on all fishing vessels (> 30 gross ton) in 2015, source IOTC-2015-SC18-NR29. Legal reference: Royal Ordinance on Fisheries B.E. 2558 (2015), Section 81.		
4.2.		VMS report on the progress and implementation	30.06	N/C	N/C	C	C	Received 30.06.15		
<b>5. Mandatory statistical requirement – Flag State CPCs</b>										
5.1.	Res. 15/02 & Res 15/05	Nominal Catch								
		• Coastal fisheries	30.06	C	C	C	C	Has provided NC by gears, by species.		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the Record of Authorised Vessels or active in 2014		
		• LL	Provisional	30.06	C	C	C	C	Has provided NC by gears, by species.	
			Final	30.12	C	C	C	C	Has provided NC by gears, by species.	
5.2.		Catch & Effort								
		• Coastal fisheries	30.06	C	C	C	C	Has provided NC by gears, by species.		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the Record of Authorised Vessels or active in 2014		

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					Timeliness	Content	Timeliness	Content		
		• LL	Provisional	30.06	C	C	C	C	Has provided NC by gears, by species.	
			Final	30.12	C	C	C	C	Has provided NC by gears, by species.	
5.3.		Size Frequency								
		• Coastal fisheries		30.06	N/C	N/C	L	P/C	Data received on 23/07/2015: has provided SF but not for all gear, and less than 1 fish per MT measured.	Thailand need further consult with IOTC regarding the understanding of this requirement.
		• Surface fisheries: PS, BB, GN		30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the Record of Authorised Vessels or active in 2014	
		• LL	Provisional	30.06	N/C	N/C	N/C	N/C	Mandatory data not provided.	It is unintentional datamissing submitted to the IOTC together with other requirements . Files of missing data are attached for your consideration.
Final	30.12		N/C	N/C	N/C	N/C				
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels		30.06	N/A	N/A	N/A	N/A	No supply vessels on the Record of Authorised Vessels or active in 2014	
		Days at sea by supply vessels		30.06	N/A	N/A	N/A	N/A		
		FADs set by type		30.06	N/A	N/A	N/A	N/A		
<b>6. Mandatory statistical requirement – Coastal State CPCs</b>										
6.1.	Res. 15/01	Catch & Effort								
		• Surface fisheries: PS, BB, GN		30.06	N/A	N/A				
		• LL	Provisional	30.06	N/A	N/A				
Final	30.12		N/A	N/A						
<b>7. Implementation of mitigation measures and bycatch of non-IOTC species</b>										
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch		30.06	L	P/C	C	P/C	Has provided sharks NC but some gear missing/aggregated and/or some species aggregated.	Some missing data was due to 1) limitation of crews to identify sharks, and 2) in 2015 the observer programme has not yet implemented. This mission will start in 2016.
		Submission of data regarding Sharks – Catch & effort		30.06	N/C	N/C	C	P/C	Has provided sharks NC but some gear missing/aggregated and/or some species aggregated.	
		Submission of data regarding Sharks – Size frequency		30.06	N/C	N/C	N/C	N/C	Mandatory data not provided.	
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>		Since 07.07.2010	L	P/C	L	C	Source - IOTC-2013-CoC10-IR28: industry has been informed about the Resolution.	

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				Timeliness	Content	Timeliness	Content		
								Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	L	P/C	L	C	Source IOTC-2014-CoC11-IR28: has reported fishing company was instructed. Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management	
7.4.	Res. 12/04	Sea turtles report <sup>2</sup>	16.03.2016	C	P/C	L	P/C	Source - IOTC-2014-SC17-NR29: Thai Fisheries Act of 1947, article 32(7) prohibits exploitation of marine turtles. No figure on interaction reported. No information received on the status of implementation of the FAO guidelines	
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	N/C	N/C	L	C	Source IOTC-2016-CoC13-CQ30: has reported LL carry line cutters & de-hookers on board, Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management.	
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean.	
7.7.	Res. 12/06	Seabirds report <sup>2</sup>	16.03.2016	N/C	N/C	N/C	N/C	No figure on interaction reported.	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	P/C	P/C	C	C	Source - IOTC-2016-CoC13-IR30: two tuna longliners are using 1 mitigation measure: bird scaring lines. Legal reference : Royal Ordinance on Fisheries B.E. 2558 (2015) Chapter 5: Fisheries conservation and management	
7.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)			C	C	Has reported no interaction has occurred.	
		Instances of Cetaceans encircled <sup>2</sup>	For PS 16.03.2016	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean.	

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
7.10.	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)			C	C	Has reported no interaction has occurred.	
		Instances Whale Sharks encircled <sup>2</sup>	For PS 16.03.2016	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean.	
<b>8. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2016)	C	C	C	C	No vessel listed on IOTC IUU list in 2015	
8.2.	Res. 07/01	Compliance by nationals	16.03.2016	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2015	
<b>9. Transshipments</b>									
9.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/C	N/C	C	C	Received 11.09.16.	
9.2.		Transshipments in port report <sup>2</sup>	16.03.2016	N/C	N/C	C	P/C	Received 15.03.16 Has reported TRX in national ports instead of in foreign ports. Information from other reporting requirement(s) indicate some vessels have conducted TRX in foreign ports: 4 TRX reported by MUS under R10/10.	Thailand need further consult with IOTC regarding the understanding of this requirement.
9.3.		List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Received 13.05.15.	
9.4.		Report on results of investigations on possible infractions	26.02.2016	N/C	N/C	C	C	Possible infractions: 8. Responses received: 8.	
9.5.		ROP fee	17.08.2015	N/A	N/A	L	C	Received 16.09.15	
<b>10. Observers</b>									
10.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	16.03.2016	N/C	N/C	N/C	N/C	CPC has not provided the No. of vessels monitored and coverage by gear type.	Thailand developed the national observer scheme since the end of 2015. This scheme will start in 2016.
10.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	N/C	N/C	N/C	N/C		
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	N/C	N/C		
10.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	16.03.2016	N/C	N/C	N/C	N/C	No information provided.	
10.5.		Observer reports	150 days aftertrip	N/C	N/C	N/C	N/C	No observer report provided.	
<b>11. Statistical document programme</b>									

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
11.1.	Res. 01/06	1 <sup>st</sup> Semester report (2015)	01.10	L	C	C	C	Received 30.09.15	
11.2.		2 <sup>nd</sup> Semester report (2014)	01.04	L	C	N/C	N/C	Mandatory report not provided.	The DOF report system for biannual 2014 is changed. Thailand will communicate with the responsible agency for further submission.
11.3.		Annual report <sup>2</sup> (2014)	16.03.2016	N/C	N/C	C	P/C	Received 15.03.16, report not at IOTC Standard, missing exporting information. JPN imported 71 Mt of BET from THA.	Thailand need further consult with IOTC regarding the understanding of this requirement.
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 05.03.15	
<b>12. Port inspection</b>									
12.1.	Res. 05/03	Port inspection programme	01.07	L	C	C	C	Received 30.06.15: report indicates that the following number of longliners have landed catch from IOTC area in THA in 2014: 6 in 2015 IOTC IUU list; 1 Indonesia not in the record of authorised vessels; 11 not authorised by Taiwan, China.	Port inspection programme in 2014 was more focused on the IUU vessels. So issuing of landing permit is based on checking with the IUU list. Besides, the database of the authorized Taiwanese vessel has not been published for re-check via the IOTC website. However, we are aware and concern about this issue. Currently, we frequently contact IOTC and some Flag States about the authorized vessels.
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	N/C	N/C	L	C	Received 16.11.15. Has designated 38 ports.	
12.3.		Designated competent Authority		N/C	N/C	L	C		
12.4.		Prior notification periods		N/C	N/C	L	C		
12.5.		Inspection report	3 days after inspection	N/C	N/C	N/C	N/C	Source IOTC-2016-CoC13-CQ30: calls in port: 148 ; Foreign vessels inspected: 148 ; LAN/TRX monitored: 0 ; Denial of entry: 0, No inspection report provided in 2015.	Thailand started PSM since September 2015. At the first start, the official inspected 100%. However, we need assistance from the IOTC to train us how to fully comply with all requirement.
12.6.	At least 5% inspection of LAN or TRX	Since 01/03/2011	N/C	N/C	N/C	N/C			
12.7.	Denial of entry in port		C	C	C	C			
<b>13. Market</b>									
13.1.	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	16.03.2016	N/C	N/C	C	C	Received 16.03.16: report indicates that the following number of longliners have landed/transhipped catch from IOTC area in THA in 2015: 11 in 2015 IOTC IUU list; 2 Indonesia,	Thailand started PSM since September 2015. We expect that the full PSM in 2016 will prevent IUU vessels landing, The 11 vessels had landed at the Port of Phuket, Thailand before the date of issuing of the IOTC IUU list in May, 2015. See the

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								6 Belize and 1 Bolivia not in the Record of authorised vessels.	attached table for the information on the landing date of these vessels, and the reference for the date of issuing of the IOTC IUU list



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**Feedback to Thailand on the level of implementation of IOTC Conservation and Management Measures identified by the CoC12 in 2015.**

**Feedback:** With regards to the level of compliance by Thailand to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Thailand by the Chair of the Commission in a letter dated 1<sup>st</sup> May, 2015.

• Has not provided a response to the letter of feedback, as required by the S17.
• Has not fully implemented the requirement for marking of gears, as required by Resolution 14/04.
• Has not provided the mandatory VMS report on the progress and implementation, as required by Resolution 06/03.
• Has not reported Size frequency for the Coastal fisheries, as required by Resolution 10/02.
• Has not reported Size frequency for the longline fisheries, as required by Resolution 10/02.
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Catch and effort on sharks, as required by Resolution 05/05.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not fully implemented the ban on thresher sharks as required by Resolution 12/09.
• Has not fully implemented the ban on oceanic whitetip sharks as required by Resolution 13/06.
• Has not fully implemented the mandatory requirement on Sea turtles report, as required by Resolution 12/04.
• Has not fully implemented the mandatory requirement for longliners to carry line cutters and de-hookers on board, as required by Resolution 12/04.
• Has not fully implemented the requirement on seabirds report, as required by Resolution 12/06.
• Has not fully implemented the mitigation measures on seabirds south of 25°S, as required by Resolution 12/06.
• Has provided the report for transshipment of flagged vessels in foreign ports which is contradictory with report of one port State CPC for transshipment activities in their ports, as required by Resolution 14/06.
• Has not provided the mandatory Report on results of investigations on possible infractions, as required by Resolution 14/06.
• Has not implemented the observer scheme, no deployment, as required by Resolution 11/04.
• Has not implemented the observer scheme, no observer coverage at sea for vessel > 24m, as required by Resolution 11/04.
• Has not implemented the observer scheme, no observer coverage at sea for vessel < 24m, as required by Resolution 11/04.
• Has not implemented the observer scheme for artisanal landing, as required by Resolution 11/04.
• Has not provided observer report, as required by Resolution 11/04.
• Has not reported a list of designated ports, as required by Resolution 10/11.
• Has not reported the competent authorities as required by Resolution 10/11.
• Has not reported the notification period, as required by Resolution 10/11.
• Has not submitted inspection reports as required by Resolution 10/11.
• Has not inspected at least 5% inspection of LAN or TRX Inspection report, required by Resolution 10/11.
• Has not provided the Report on import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10
• Has not fully implemented the requirement for marking of vessels, as required by Resolution 14/04.
• Has not provided the mandatory report on at sea transshipments, as required by Resolution 14/06.

**Response:** The response to the letter of the Chair of the Commission was provided by Thailand on 8 July 2015.

**Current issues on the level of implementation by Thailand of IOTC Conservation and Management Measures identified for discussion in the CoC13 in 2016.**

Having reviewed the 2016 Compliance Report for Thailand, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current Status (2015)</b>	<b>Previous Status (2014)</b>
<b>Repeated compliance issues</b>		
• Has not provided full response to the letter of feedback, as required by the S17.	P/C	N/C
• Has not reported Size frequency for the Coastal fisheries to IOTC Standard, as required by Resolution 15/02.	P/C	N/C
• Has not reported Size frequency for the longline fisheries, as required by Resolution 15/02.	N/C	N/C
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	N/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	N/C
• Has not fully implemented the ban on thresher sharks as required by Resolution 12/09.	P/C	P/C
• Has not fully implemented the ban on oceanic whitetip sharks as required by Resolution 13/06.	P/C	P/C
• Has not fully implemented the mandatory requirement on Sea turtles report, as required by Resolution 12/04.	P/C	P/C
• Has not fully implemented the mandatory requirement for longliners to carry line cutters and de-hookers on board, as required by Resolution 12/04.	P/C	N/C
• Has not fully implemented the requirement on seabirds report, as required by Resolution 12/06.	N/C	N/C
• Has not fully implemented the mitigation measures on seabirds south of 25°S, as required by Resolution 12/06.	P/C	P/C
• Has provided the report of transshipment of flagged vessels in foreign ports which is contradictory with report of one port State CPC for transshipment activities in their ports, as required by Resolution 14/06.	P/C	N/C
• Has not implemented the observer scheme, no deployment, as required by Resolution 11/04.	N/C	N/C
• Has not implemented the observer scheme, no observer coverage at sea for vessel > 24m, as required by Resolution 11/04.	N/C	N/C
• Has not implemented the observer scheme, no observer coverage at sea for vessel < 24m, as required by Resolution 11/04.	N/C	N/C
• Has not implemented the observer scheme for artisanal landing, as required by Resolution 11/04.	N/C	N/C
• Has not provided observer report, as required by Resolution 11/04.	N/C	N/C
• Has not provided the annual report to IOTC Standard, as required by Resolution 01/06.	P/C	N/C
• Has not submitted inspection reports as required by Resolution 10/11.	N/C	N/C
• Has not inspected at least 5% inspection of LAN or TRX Inspection report, required by Resolution 10/11.	N/C	N/C
<b>Non-repeated compliance issues</b>		
• Has not provided the 2 <sup>nd</sup> semester report (2014), as required by Resolution 01/06.	N/C	C