

N°	Source	Information required	Deadline/ Remark	Previous	Status <sup>1</sup>	Current	Status	Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
<b>1. Implementation obligations</b>										
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	L	C	Received 04.04.17		
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	C	C	Received 28.02.17		
1.3.	SC	National Scientific Report.	16.11.2016	C	C	C	C	Received 30.10.16		
1.4.	Commission	Feedback letter.	17.03.2017	L	C	L	C	Received 27.04.17		
<b>2. Management Standards</b>										
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	17.03.2017	C	C	C	C	Regulation on Distant Water Fisheries 2013		
		Marking of vessels <sup>2</sup>		C	C	C	C			
		Marking of gears <sup>2</sup>		C	C	C	C	Nongbanyu[2013]NO.21 Regulation		
		Marking of FADs <sup>2</sup>		N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RAV.		
		Logbook on board <sup>2</sup>		C	C	C	C	Nongbanyu[2013]NO.21Regulation		
		Official authorisation to fish outside National Jurisdictions.		Since 15.02.2014	C	C	C	C		Updated: 25.02.16
		IMO number for eligible vessels.		Since 01.01.2016	L	C	C	C		Has 105 eligible vessels on the RAV and 105 with IMO numbers.
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Nongbanyu[2013]NO.21Regulation		
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	17.03.2017	C	C	C	C			
2.4.	Res. 15/08	FADs management plan.	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RAV.		
		Report of progress on implementation of FADs management plan.	17.03.2017	N/A	N/A	N/A	N/A			
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			N/A	N/A	Does not have PS/SP vessel on the IOTC RAV.		
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			N/A	N/A			
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			N/A	N/A	Catch reductions does not apply to CPC.		

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup>Information is to be provided in the Report of Implementation.

<sup>3</sup>24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Information received 04.04.17. Source: IOTC-2017-CoC14-IR02	
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 14.02.17	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010(10 years)	C	C	C	C	Updated 10.05.17.	
Reference Capacity									
3.3.	Res. 15/11	List of vessels <sup>3</sup> for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 07.01.10.	
		List of vessels <sup>3</sup> for SWO and ALB during 2007		C	C	C	C		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last Update 05.04.17	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessels <24 in the IOTC RAV.	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2006. Nongbanyu[2013]NO.21Regulation	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 28.06.16. Had 53 active vessels in 2015, has reported 95 vessels fitted with VMS in 2015.	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted 2006 and coverage 100%	
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
Nominal Catch									
5.1.	Res. 15/02 & Res 15/05	• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC Coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel in the IOTC RAV	
		• LL - Provisional	30.06	C	C	C	C	Received :30-06-2016	
		• LL - Final	30.12	C	C	C	C		
Catch & Effort									
5.2.		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC Coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel in the IOTC RAV	

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5.3.		• LL - Provisional	30.06	C	C	C	C	Received :30-06-2016		
		• LL - Final	30.12	C	C	C	C			
		Size Frequency								
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC Coastal State.		
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB or GN vessel in the IOTC RAV		
		• LL - Provisional	30.06	L	P/C	C	P/C	Received :30-06-2016 Less than 1 fish per metric tons of fish measured	China provides size data (both target and bycatch spp.) using observer data. Partial compliance due to lower observer coverage for 2015.	
		• LL - Final	30.12	L	P/C	C	P/C			
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No PS/SP in the IOTC RAV.		
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A			
		FADs set by type	30.06	N/A	N/A	N/A	N/A			
<b>6. Implementation of mitigation measures and bycatch of non-IOTC species</b>										
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	C	Received :30-06-2016. Catches of shark reported both as NC and discard		
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	C			
		Submission of data regarding Sharks – Size frequency	30.06	L	P/C	C	P/C	Received :30-06-2016 Less than 1 fish per metric tons of fish measured	China provides size data (both target and bycatch spp.) using observer data. Partial compliance due to lower observer coverage for 2015.	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2012; Nongbanyu[2013]NO.21Regulation		
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C			
6.4.	Res. 12/04	Sea turtles report <sup>2</sup>	17.03.2017	C	C	C	C	Received :30-06-2016. NIL Interactions. Information on implementation of FAO guideline received: 04.04.17.		
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Has indicated obligation is in place since 2014;		

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				Timeliness	Content	Timeliness	Content			
								Nongbanyu[2013]NO.21Regulation		
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RAV.		
6.7.		Seabirds report <sup>2</sup>	17.03.2017	C	C	C	C	Received :30-06-2016. NIL Interactions.		
6.8.	Res. 12/06	Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Has indicated obligation is in place since 2014; Nongbanyu[2013]NO.21Regulation		
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	L	C	C	C	Received :30-06-2016. NIL Interactions.		
		Instances of Cetaceans encircled <sup>2</sup>	For PS 17.03.2017	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RAV.		
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	L	C	C	C	Received :30-06-2016. NIL Interactions.		
		Instances Whale Sharks encircled <sup>2</sup>	For PS 17.03.2017	N/A	N/A	N/A	N/A	Does not have PS vessel on the IOTC RAV.		
<b>7. Illegal, Unreported and Unregulated (IUU) Vessels</b>										
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016.		
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2016.		
<b>8. Transshipments</b>										
8.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	C	C	C	C	Reports received 14.09.16		
8.2.		Transshipments in port report <sup>2</sup>	17.03.2017	C	C	L	C	Reports received 05.04.17		
8.3.		List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Last Update 22.01.17		
8.4.		Report on results of investigations on possible infractions	15.02.2017	C	C	C	C	Possible infractions: 100. Response received: 100.		
8.5.		ROP fee	17.08.2016	C	C	C	C	Received 28.07.16.		
<b>9. Observers</b>										
9.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	17.03.2017	C	P/C	C	P/C	Had 53 vessels active in 2015; Source: IOTC-2016-SC19-NR03; No. of vessels monitored: 1; Coverage by gear LL 0.4 %.	The situation for 2016 is expected to be improved.	
9.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	C	P/C	C	P/C			
9.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A			No vessels <24m
9.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	17.03.2017	N/A	N/A	N/A	N/A			Not an IOTC coastal state
9.5.		• Observer reports	150 days after trip	L	C	C	C			1 Observer report provided

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<b>10. Statistical document programme</b>									
10.1	Res. 01/06	1 <sup>st</sup> Semester report	01.10	C	C	C	C	Report received 29.09.16	
10.2		2 <sup>nd</sup> Semester report	01.04	C	C	C	C	Report received 24.03.16	
10.3		Annual report <sup>2</sup>	17.03.2017	C	C	L	P/C	Report received 05.04.17. Import exceeds exports by 5,964,668 kg.	The export data was calculated based on the 2016 BET Statistical Document issued by Bureau of Fisheries of China. Crosscheck can be possible if detailed import data is available to China.
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last Update 25.03.14	
<b>11. Port inspection</b>									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	N/A	N/A	N/A	N/A		
11.3		Designated competent Authority		N/A	N/A	N/A	N/A		
11.4		Prior notification periods		N/A	N/A	N/A	N/A		
11.5		Inspection report	3 days after inspection	N/A	N/A	N/A	N/A		
11.6		At least 5% inspection of LAN or TRX	Since	N/A	N/A	N/A	N/A		
11.7		Denial of entry in port	01.03.2011	N/A	N/A	N/A	N/A		
<b>12. Market</b>									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	17.03.2017	C	C	L	C	Report received 05.04.17; not at IOTC standard; aggregated.	

**Feedback to China on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.**

**Feedback:** With regards to the level of compliance by China to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to China by the Chair of the Commission in a letter dated 27<sup>th</sup> May, 2016.

<ul style="list-style-type: none"> <li>• Has not reported Size Frequency on sharks to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.</li> </ul>
<ul style="list-style-type: none"> <li>• Has not fully implemented the observer scheme, as required by Resolution 11/04; coverage &lt;5% for vessel &gt; 24m.</li> </ul>
<ul style="list-style-type: none"> <li>• Has not reported Size Frequency for longline fishery to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.</li> </ul>
<ul style="list-style-type: none"> <li>• Has not fully implemented the observer scheme, as required by Resolution 11/04; has not provided number of vessels monitored.</li> </ul>

**Response:** The response to the letter of the Chair of the Commission was provided by China on 27 April 2017.

**Current issues on the level of implementation by China of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.**

Having reviewed the 2017 Compliance Report for China, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current status (2017)</b>	<b>Previous Status (2016)</b>
<b>Repeated compliance issues</b>		
<ul style="list-style-type: none"> <li>• Has not reported Size Frequency for longline fishery to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.</li> </ul>	P/C	P/C
<ul style="list-style-type: none"> <li>• Has not reported Size Frequency on sharks to IOTC Standard (Size data from observers only and less than 1 fish per metric tons.) as required by Resolution 05/05.</li> </ul>	P/C	P/C
<ul style="list-style-type: none"> <li>• Has not fully implemented the observer scheme, as required by Resolution 11/04; coverage &lt;5% for vessel &gt; 24m.</li> </ul>	P/C	P/C
<b>Non-repeated compliance issues</b>		
<ul style="list-style-type: none"> <li>• Has not provided its annual report for the Bigeye Tuna Statistical Document Programme, as required by Resolution 01/06.</li> </ul>	P/C	C