



5th Meeting of the Technical Committee on Allocation Criteria (TCAC05)

Administrative Processes (IOTC-2018-S22-R)

Para 64(3): *The IOTC Secretariat shall develop and document an administrative process for instances where a CPC, who does not have a 'baseline historical catch' for a particular species, may request an allocation in accordance with paragraphs 14(b) Baseline coastal State allocation; 16(c) Supplementary high seas allocation and 17(c) Supplementary DCS/SIDS allocation, and how that request would be evaluated against the provisions in this Resolution. The administrative processes described in the paragraphs shall be presented for decision at the TCAC05 meeting.*

Appendix 6: *The IOTC Secretariat shall develop and document administrative processes for any element of the proposals referred to in point 1 above, including but not limited to a catch reconciliation mechanism to reconcile reported catch against CPC allocations, and associated over-catch penalty administration, shall be developed by the IOTC Secretariat, for presentation and discussion at the TCAC05.*



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Elements of the Administrative Process

1. Allocation of catch to CPC, who does not have a 'baseline historical catch
2. Catch Reconciliation Mechanism
3. Over-catch penalty administration.



1) Allocation of catch to CPC, who does not have a 'baseline historical catch

IOTC Secretariat receives a formal petition in writing from a CPC with no baseline historical catch who wishes to be assigned a baseline allocation (one or more species)

IOTC Secretariat applies **estimation calculation** outlined in a resolution (e.g. Para 14 of IOTC-2018-S22-INF01 or 17b of IOTC-2019-TCAC05-PropA)

IOTC Secretariat informs all CPCs through an official Circular of the allocation to be assigned to the CPC in question



Catch Reconciliation Mechanisms

1. Should be defined in a Resolution
2. Can incorporate **monthly (CCSBT) or quarterly (ICCAT)** reporting of catch data by species. Catch reports to be provided to the secretariat no later than at the end of the subsequent time period (i.e. report for January by end of February or Q1 by end of Q2).
3. A password protected page for reports is created and catch reports are uploaded [and presented graphically of catch v allocation]
4. CPCs inform the Secretariat of any revisions to their previous catch reports and this information is duly communicated to all CPCs via a Circular

Catch Reconciliation Mechanisms

5. Possible? – When, e.g. 80% of the catch limit or threshold for a CPC is exceeded, the Secretariat shall notify that to all CPCs.
6. Possible? – catches verification can be complemented using a catch documentation scheme (CCSBT and ICCAT) – this implies significant additional infrastructure/admin/logistics
7. Possible? – compare annual nominal catch statistics (official total catch that scientists use) to the compiled catch reports (from allocation process)
8. Catch reports to be compiled by the Secretariat and presented to the Compliance Committee annually

Over-catch penalty administration

1. Also should be defined in a Resolution
2. Examples of penalties are provided in Para 22b of IOTC-2019-TCAC05-PropA or alternatively in ICCAT Rec [16-01].
 - Secretariat to apply the agreed procedure to adjust allocations for each CPC and report these to the compliance committee annually – the adjustments are to be applied to the next allocation period.
 - Compliance Committee to review and endorse allocations and provide final table to the Commission for adoption.
 - Should a more stringent penalty be required than an allocation reduction according to the adopted procedure, the Secretariat will communicate this to the Compliance Committee
 - All messages to CPCS relating to a penalty to be communicated by the Compliance Committee Chairperson.



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Summary of issues requiring discussion/agreement

1. Define species for which allocations are to be made
2. Agree on an estimation methodology for baseline allocations (for CPCs with no historical baseline catches)
3. Decide on a schedule for catch reporting of species for which allocations are agreed (monthly, quarterly or other).
4. Decide whether catch reports are to be compared with official annual catch statistics ?
5. Is a catch documentation scheme required to complement catch monitoring?
6. Agree on procedures for penalties if allocations are exceeded ?
7. Agree on whether the transfer of allocations is permissible and what options might be used
8. *Managing allocation activities will require additional resources in the Secretariat*