



IOTC-2020-CoC17-07b [E]

## SUMMARY REPORT ON POSSIBLE INFRACTIONS OBSERVED UNDER THE REGIONAL OBSERVER PROGRAMME

Prepared by IOTC Secretariat, 31 July 2020

In line with the requirement of IOTC Resolution 19/06 *On establishing a programme for transhipment by large-scale fishing vessels*, this document provides information on possible infractions, as recorded by observers deployed under the Programme during 2019, that have not been disposed of (Appendix I) by the Working Party on the Implementation of Conservation and Management measures (WPICMM) due to, additional clarifications required or that responses were not provided by the concerned fleets.

The Sixteenth Session of the Compliance Committee (CoC16) made the following recommendation, which is in line with Activity 10.1.3 of the work plan of the Working Party on the Implementation of Conservation and Management measures (WPICMM):

Notwithstanding the timing of the WPICMM meeting and the deadline for responses, the CoC RECOMMENDED that the responses to the possible infractions be analyse by the IOTC Secretariat, CoC16.08 (Para 31).

The above recommendation required that part of the procedure provided for in paragraph 26 of Resolution 19/06 be suspended. Therefore, the IOTC Secretariat provided the 2019 list of possible infractions reported up to the 20th December 2019, in order to receive the results of the investigations by 15th January 2020, from the concerned fleets. This change in procedure was required in order to meet with the requirements for the IOTC Secretariat to perform the analyses of responses and to make the results of the assessment available to the third meeting of the WPICMM, which was held in mid-February 2020. For more details please refer to document <a href="IOTC-2020-WPICMM03-08a">IOTC-2020-WPICMM03-08a</a> and <a href="IOTC-2020-WPICMM03-08b">IOTC-2020-WPICMM03-08a</a> and <a href="IOTC-2020-WPICMM03-08b">IOTC-2020-WPICMM03-08a</a>

The summaries of possible infractions to information received after WPICMM03 are presented by category of infractions and by fleets in Table 1, and they are also presented in more details, in Appendix I.

In summary, up to the 20th December 2019, a total of 178 possible infractions were recorded (2018: 235), of which:

- 33 related to fishing logbook (2018: 58),
- 51 related to marking of vessels (2018: 51),
- 22 related to ATF (2018: 32),
- 70 related to VMS (2018: 68),
- 2 related to NRN.

Of the 178 possible infractions notified to the participating fleets, 169 (95%) responses were received. The fleets of Oman and Seychelles did not yet provided all responses for the cases observed, in time to be analysed and considered by WPICMM03, as indicated in Table 1. Five fleets, China, Japan, Kenya, Malaysia and Taiwan, Province of China, provided their responses before the deadline of 15th January 2020, and these are provided in paper IOTC-2020-WPICMM03-08b.

The assessments made by the IOTC Secretariat were endorsement by the WPICMM03 for 167 responses. These were assessed as "appropriate action taken by the fleet", thus no recommendation for further action by the CoC are required. For two responses, which concerns two LSTLVs, the WPICMM03 recommended that the concerned fleet provide further evidence for discussion at CoC17.

The two LSTLVs for which further evidence must be provided are presented below:



## IOTC-2020-CoC17-07b [E]

Fleet: TAIWAN, PROVINCE OF CHINA

Deploy. number	Vessel name	Inspection date	Inspection comment	Infractio n type	Date report sent to CPC	Date feedback from CPC
569	AN WEN FA NO.36	22/06/19	The header information of the fishing logbook (Vessel and trip details, and the signature of the LSTLV Captain) were not included with logbook entries. The absence of this information did not effectively link the logbook to the LSTLV	Logbook	25/09/19	13/12/2019
569	LIEN SHENG FA	15/07/19	The logbook header information (Vessel, gear and trip details) was not completed on all the pages inspected. The logbook could therefore not be effectively linked to the vessel.	Logbook	25/09/19	13/12/2019

The response of the concerned fleet is presented below:

As for the possible infractions about logbook of vessels· "AN WEN FA NO36" and "LIEN SHENG FA", we found no violation in our domestic regulations which only the first page header must be completed per fishing trip.

The WPICMM03 **REQUESTED** the fleets that must provide further evidence related to the possible infractions, to provide their evidence to the Secretariat before the 15th March 2020 (IOTC-2020-WPICMM03-R [E] Paragraph 31).

Taiwan, Province of China provided the below response on 03 March 2020 to the request of the WPICMM03.

Sent: 03 March 2020 12:21

To: IOTC-Transhipment < IOTC-Transhipment@fao.org >

Subject: RE: Possible infraction under the ROP - Request for further evidence

Dear Sir/Madam,

Thank you for the reminder with respect to the recommendation of WPICMM03.

The logbook used in our fleet is duly bound in compliance with IOTC requirements. Fulfilling of the header information (i.e., basic information of the vessel) on the first page of the logbook during each fishing trip is sufficient to consider the logbook to be effectively linked to the vessel.

Thus, as indicated in our previous response, we found no violation of our domestic regulations.

Moreover, we also strongly suggest that ROP observer, when examining the logbook, shall check whether [or] not the vessel master has filled in the header information on the first page of the logbook during each fishing trip. If the header information on the first page has been filled in during the fishing trip, the ROP observer shall not report not fulfilling each page's header information as a possible infraction.

Best regards,

Ken Chien-Nan LIN, Fisheries Agency of TAIWAN

Of the seven possible infractions for which no responses were provided for by the deadline that was set for WPICMM03, Seychelles recently provided six responses on 18 August 2020 and one response on 25 August 2020.

At the time of preparing this document, no response has been received from the fleet of Oman (Appendix I).

The responses provided by Seychelles are presented below:

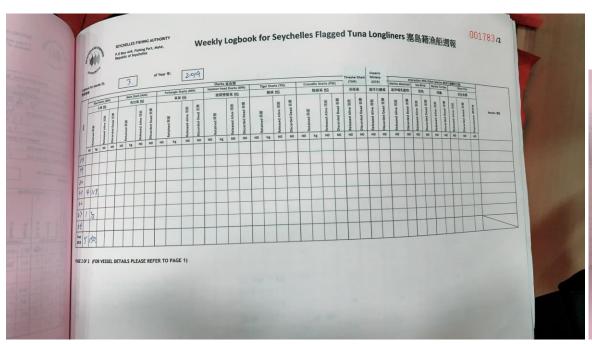
TO BE COM	PLETED BY TH	E SECRE	TARI	AT														
Fleet:	Type of pos	sible inf	fractio	on:				Name of	vessel			Deploy	ment number	r and r	name	Date sent to	Date	of
												of carri	er vessel:			fleet	respo	nse
Seychelles	□VMS □L	.ogbook	: □A	TF ⊠I	Marking			NF DAFA	NO.16	8		522 - H	arima			01/02/2019	18/08	3/2020
Inspection c	omment:	The ve	ssel r	name v	vas mar	ked on	the bow but	was difficu	ılt to se	ee clearly a	it a distance	due to p	art of the na	me be	ing fully	worn way.		
Photograph	(s) attached:	File na	me:				File	name:				File na	ıme:					
TO BE COM	PLETED BY TH	E FLEET																
Result of inv	estigation:	Vessel	mark	king w	as worn	out due	to natural i	wear of pai	nt. Nar	me was rej	painted. As po	er SFA ir	struction (Se	e phot	o attacl	hed).		
Action(s) the	e fleet taken	Name	was ı	repaint	ted at se	?a.												
ASSESSMEN	IT OF THE RES	ULTS OI	F THE	INVES	TIGATIO	ON		(by Secr	etariat	<del>:</del> )								
Fleet has pr	ovided the fo	llowing	evide	ence(s)	in its r	esponse	<b>:</b> :											
Marking of t	the vessel has	been		$\boxtimes$	Vessel	instruct	ed to correc	ct/repaint		Vaccal h	as been calle	d to nor	+		Insert	any other type	of	
corrected/re	epainted at se	a.			the ma	rking at	next port c	all.		VC33C111	as been cane	u to poi			evider	псе		
Insert any of	ther type of ev	vidence			Insert (	any othe	er type of ev	idence		Insert ar	y other type	of evide	nce					
Fleet action	(s) taken acco	rding to	o nati	ional le	egislatio	n:												
imposed to	lescribing sand the er/owner/ope						ed to port fo investigation		ose of		Vessel/ma	ister/ow	ner/operato	r has b	een giv	en a warning.		
Any other flo	eet action(s) t	aken:		Spe	cify: No	n specifi	ed.											
RESULTS OF	THE ASSESSN	1ENT		(Ву	Secreta	riat)												
Recommend	dation from th	ne asses	smer	nt to th	ne WPIC	MM												
	action taken nmendation to	•				$\boxtimes$		dered as a p mmendation			า		Fleet reque for discussi		•	de further evidei C.	nce	



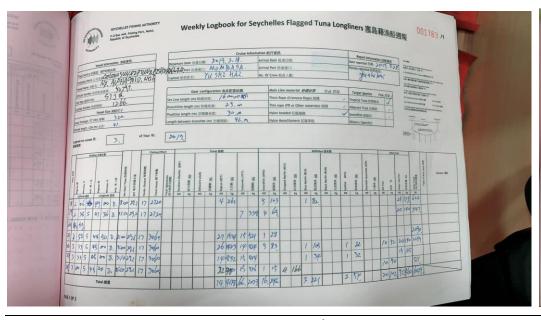
TO BE COM	PLETED BY TH	E SECRETAR	IAT											
Fleet:	Type of pos	sible infract	ion:		Name of vessel	Deplo	yment nun	nber and nan	ne of ca	rrier vessel:	Daf	te sent to fleet	Date of res	ponse
Seychelles	□VMS □L	ogbook 🗆	ATF 🗵	Marking	Phoenix	522 -	Harima				01,	/02/2019	18/08/202	0
Inspection c	omment:	The vessel	phoen	ix bow markings	were confusing to rea	d clear	ly due to th	e rusting of t	the prev	vious vessel n	narkin	gs underneath t	he print	
Photograph(	(s) attached:	File name:			File name:				File na	me:				
TO BE COM	PLETED BY TH	E FLEET												
Result of inv	estigation:	Vessel was	called	to Port in Septer	nber 2019 to repaint n	name (s	ee Photo A	ttached).						
Action(s) the	e fleet taken	The vessel	entere	d Port Louis, Mai	uritius and name was r	repaint	ed.							
ASSESSMEN	T OF THE RES	ULTS OF TH	E INVE	STIGATION	(by Secr	etariat	·)							
Fleet has pro	ovided the fo	llowing evid	lence(s	) in its response	:									
	he vessel has epainted at se		$\boxtimes$	Vessel instructe the marking at	ed to correct/repaint next port call.		Vessel ha	s been called	to por	t.	$\boxtimes$	Insert any other	er type of	
Insert any ot	ther type of ev	vidence		Insert any othe	r type of evidence		Insert any	other type o	of evide	nce				
Fleet action	(s) taken acco	ording to nat	ional I	egislation:										
imposed to t	escribing sand the er/owner/ope			Vessel ordere	ed to port for the purpo nvestigation.	ose of		Vessel/mas	ster/ow	ner/operator	has b	een given a war	ning.	
Any other fle	eet action(s) t	aken:	Spe	cify: None specif	ied									
RESULTS OF	THE ASSESSA	<b>MENT</b>	(Ву	Secretariat)										
Recommend	dation from tl	he assessme	nt to t	he WPICMM										
	action taken	•		$\boxtimes$	Not considered as a p					Fleet reque		o provide furthenext CoC.	r evidence	



Fleet: Type of possible infraction: Name of vessel Deployment number and name of carrier vessel: fleet response  Seychelles	TO BE COMPLETED BY THE	SECRETARIAT												
Seychelles	Fleet:	Type of possible	infraction:	Name of v	essel			Deployi	ment number	and na	ame	Date sent to	Date of	f
Inspection comment: The vessel details were absent from logbook entries and could therefore not effectively link the logbook to the LSTLV.  Photograph(s) attached: File name: File name: File name: File name:  TO BE COMPLETED BY THE FLEET  Result of investigation: Vessel master was requested to fill in all missing details in logbook (See photo attached).  Action(s) the fleet taken The master of vessel completed the logbook properly with all missing details.  ASSESSMENT OF THE RESULTS OF THE INVESTIGATION (by Secretariat)  Fleet has provided the following evidence(s) in its response:  Fishing logbook was onboard &								of carri	er vessel:			fleet	respon	se
Photograph(s) attached: File name: File name: File name: File name: File name:  TO BE COMPLETED BY THE FLEET  Result of investigation: Vessel master was requested to fill in all missing details in logbook (See photo attached).  Action(s) the fleet taken The master of vessel completed the logbook properly with all missing details.  ASSESSMENT OF THE RESULTS OF THE INVESTIGATION (by Secretariat)  Fleet has provided the following evidence(s) in its response:  Fishing logbook was onboard & Fishing logbook was completed properly.  Fishing logbook match the template provided by the flag State (Res. 15/01).	Seychelles	□VMS ⊠Logbo	ook $\square$ ATF $\square$ Marking	NF Alpha (	Gold N	o. 6		545 - Ha	arima			03/06/2019	18/08/	2020
Result of investigation:  Action(s) the fleet taken  Assessment Of The Results of the following evidence(s) in its response:  Fishing logbook was onboard & bound & with consecutive number.  Fishing state (Res. 15/01).  Fishing State (Res. 15/01).  The master was requested to fill in all missing details in logbook (See photo attached).  (by Secretariat)  Fishing logbook properly with all missing details.  (by Secretariat)  Fishing logbook match the template provided by the flag State (Res. 15/01).	Inspection comment:	The vessel details	were absent from logbo	ok entries and	could t	therefore n	ot effective	ely link tl	ne logbook to	the LS	TLV.			
Result of investigation:  Action(s) the fleet taken  The master of vessel completed the logbook properly with all missing details.  ASSESSMENT OF THE INVESTIGATION  (by Secretariat)  Fleet has provided the following evidence(s) in its response:  Fishing logbook was onboard & properly.  Fishing logbook was completed provided by the flag State (Res. 15/01).  Master requested to complete the Logbook	Photograph(s) attached:	File name:	F	ile name:				File na	ime:					
Action(s) the fleet taken  The master of vessel completed the logbook properly with all missing details.  ASSESSMENT OF THE INVESTIGATION (by Secretariat)  Fleet has provided the following evidence(s) in its response:  Fishing logbook was onboard & bound & with consecutive number.  Fishing logbook was completed properly.  Fishing logbook match the template provided by the flag State (Res. 15/01).  Master requested to complete the Logbook	TO BE COMPLETED BY THE	FLEET												
ASSESSMENT OF THE RESULTS OF THE INVESTIGATION  (by Secretariat)  Fleet has provided the following evidence(s) in its response:  Fishing logbook was onboard &	Result of investigation:	Vessel maste	er was requested to fill in	all missing deta	ails in l	logbook (Se	e photo att	tached).						
Fleet has provided the following evidence(s) in its response:  Fishing logbook was onboard & bound & with consecutive number.  Fishing logbook was completed properly.  Fishing logbook was completed provided by the flag State (Res. 15/01).  Fishing logbook match the template provided by the flag State (Res. 15/01).	Action(s) the fleet taken	The master of	of vessel completed the lo	ogbook properly	with (	all missing (	details.							
Fishing logbook was onboard & Deproperly.  Fishing logbook was completed Deproperly.  Fishing logbook was onboard & Deproperly.  Fishing logbook was completed Deprovided by the flag State (Res. 15/01).  Fishing logbook match the template Deprovided by the flag State (Res. 15/01).	ASSESSMENT OF THE RESU	JLTS OF THE INVE	STIGATION	(by Secre	tariat)	)								
bound & with consecutive number. properly. provided by the flag State (Res. 15/01). complete the Logbook														
bound & with consecutive number.   property.   provided by the hag state (kes. 15/01).   Complete the Logbook	Fishing logbook was onboard & Fishing logbook was completed Fishing logbook match the template Master requested to													
Insert any other type of evidence       Insert any other type of evidence       Insert any other type of evidence	bound & with consecutive	number.	properly.			provided l	by the flag	State (Re	es. 15/01).		comple	te the Logbook		
insert any other type of evidence         insert any other type of evidence	Insert any other type of evi	idence 🔲	Insert any other type of	f evidence		Insert any	other type	of evide	nce					
Fleet action(s) taken according to national legislation:	Fleet action(s) taken accor	rding to national l	egislation:											
Document describing sanction(s)  Vessel ordered to port for the purpose of	Document describing sanc	tion(s)	Vessel ordered to per	t for the purpo	co of									
imposed to the inspection / investigation.	imposed to the				3e 01		Vessel/ma	aster/ow	ner/operator	r has be	een giver	າ a warning.		
vessel/master/owner/operator.	vessel/master/owner/oper	rator.	mspection/ investigat	tion.										
Any other fleet action(s) taken: Specify: Non specified	Any other fleet action(s) ta	iken: Spe	cify: Non specified											
RESULTS OF THE ASSESSMENT (By Secretariat)	RESULTS OF THE ASSESSM													
Recommendation from the assessment to the WPICMM	Recommendation from th	e assessment to t	he WPICMM											
Appropriate action taken by fleet  Not considered as a possible infraction Fleet requested to provide further evidence for discussion at next CoC		•	IXI	•							•	further eviden	се г	









Page 8 of 24



ТО ВЕ СОМР	LETED BY TH	E SECRETARI	AT													
Fleet:	Type of pos	sible infracti	on:		Name	e of vess	sel			Deployr	ment number	and nar	me [	Date sent to	Date o	of
										of carrie	er vessel:		f	fleet	respoi	nse
Seychelles	⊠vms □l	.ogbook □A	TF 🗆	Marking	Farqu	ıhar No.	. 2			559 - Ib	uki		C	04/06/2019	18/08	/2020
Inspection co	mment:	The Argos S	Seimac	unit was fitte	d with a switch.											
Photograph(s	s) attached:	File name:			File name:					File na	me:					
ТО ВЕ СОМР	LETED BY TH	E FLEET			·											<u>.</u>
Result of inve			ot prin	nary Unit for R	eporting. Seychelles o	confirms	s pri	imary VMS	(INMARSAT	Г Unit) w	as operation	al durino	g inspect	tion.		
Action(s) the			-		and the switch was r	_	_	-			•				or that	•
		period.														
ASSESSMENT	OF THE RES	ULTS OF THE	INVE.	STIGATION	(by S	Secretar	riat)									
Fleet has pro	vided the fo	llowing evid	ence(s	) in its respon												
Record of po vessel demor functional.	-		$\boxtimes$		ng the vessel track ng the VMS of the nctional.			vessel in a	ccordance v nonstrating	with Res						
Insert any oti	her type of ev	vidence		Insert any ot	her type of evidence			Insert any	other type	of evide	псе					
Fleet action(	s) taken acco	ording to nat	ional I	egislation:												
Document describing sanction(s) imposed to the vessel/master/owner/operator.					ered to port for the profession.				Vessel/ma	I/master/owner/operator has been given a warning.						
Any other fleet action(s) taken: Specify:																
RESULTS OF THE ASSESSMENT (By Secretariat)																
Recommend	ation from tl	ne assessme	nt to t	he WPICMM												
Recommendation from the assessment Appropriate action taken by fleet - > no recommendation to CoC.				$\boxtimes$	Not considered as	•					Fleet reque for discussion		•	further evider	nce	

Mobile	Date	Latitude	Longitude	Heading	Speed	DNID	Inmarsat Member
FARQUHAR NO.2	06/05/2019 23:14:00	27°31'40.8 S	38°08'36 E	153	1.205	9359	18
FARQUHAR NO.2	06/05/2019 22:12:00	27°28'52.8 S	38°05'04.8 E	128	6.395	9359	18
FARQUHAR NO.2	06/05/2019 21:12:00	27°29'45.6 S	38°04'16.8 E	359	2.391	9359	18
FARQUHAR NO.2	06/05/2019 20:12:00	27°31'19.2 S	38°04'07.2 E	6	1.594	9359	18
FARQUHAR NO.2	06/05/2019 19:12:00	27°32'45.6 S	38°03'48 E	2	1.205	9359	18
FARQUHAR NO.2	06/05/2019 18:10:00	27°34'09.6 S	38°03'14.4 E	2	1.808	9359	18
FARQUHAR NO.2	06/05/2019 17:10:00	27°35'28.8 S	38°02'36 E	46	1.594	9359	18
FARQUHAR NO.2	06/05/2019 16:10:00	27°36'48 S	38°01'55.2 E	46	1.594	9359	18
FARQUHAR NO.2	06/05/2019 15:10:00	27°38'16.8 S	38°01'33.6 E	46	1.808	9359	18
FARQUHAR NO.2	06/05/2019 14:08:00	27°43'09.6 S	37°59'50.4 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 13:08:00	27°43'48 S	38°00'00 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 12:08:00	27°44'24 S	38°00'07.2 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 11:08:00	27°44'55.2 S	38°00'12 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 10:06:00	27°45'12 S	38°00'16.8 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 09:06:00	27°45'24 S	38°00'24 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 08:06:00	27°45'26.4 S	38°00'38.4 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 07:06:00	27°45'38.4 S	38°00'52.8 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 06:06:00	27°45'45.6 S	38°01'07.2 E	83	0	9359	18
FARQUHAR NO.2	06/05/2019 05:04:00	27°45'00 S	37°58'52.8 E	116	6.998	9359	18
FARQUHAR NO.2	06/05/2019 04:04:00	27°41'12 S	37°52'16.8 E	130	6.998	9359	18
FARQUHAR NO.2	06/05/2019 04:00:00	27°40'50.4 S	37°51'48 E	130	6.803	9359	18
FARQUHAR NO.2	06/05/2019 03:04:00	27°36'57.6 S	37°45'50.4 E	130	6.803	9359	18
FARQUHAR NO.2	06/05/2019 02:04:00	27°32'45.6 S	37°39'16.8 E	124	7.192	9359	18
FARQUHAR NO.2	06/05/2019 01:02:00	27°28'26.4 S	37°32'40.8 E	125	7.192	9359	18
FARQUHAR NO.2	06/05/2019 00:02:00	27°23'57.6 S	37°26'09.6 E	126	7.406	9359	18



TO BE COMPLETED BY THE	E SECRETAR	IAT												
Fleet:	Type of po	ossible	infraction:	Name of v	essel			Deploy	ment number	and n	name	Date sent to	Date o	of
								of carri	er vessel:			fleet	respor	nse
Seychelles	□VMS □	Logbo	ook □ATF ⊠Marking	Phoenix				559 - Ib	uki			04/06/2019	18/08,	/2020
Inspection comment:	The LSTLV	bow m	arkings were worn to such	n an extent th	at the	name was i	not legible a	at a dista	ance.					
Photograph(s) attached:	File name:		Fil	le name:				File na	ame:					
TO BE COMPLETED BY THE	E FLEET													
Result of investigation:	The LSTLV	bow m	arkings were worn to such	an extent the	at the	name was r	not legible a	ıt a disto	ince.					
Action(s) the fleet taken	The markin	ıgs (na	me) on the vessel was repo	ainted .										
ASSESSMENT OF THE RES	ULTS OF THE	INVE.	STIGATION	(by Secre	etariat,	)								
Fleet has provided the following evidence(s) in its response:														
Marking of the vessel has corrected/repainted at sea		$\boxtimes$	Vessel instructed to corre the marking at next port	•		Vessel has	s been calle	d to por	t.		Insert (	any other type o ce	f	
Insert any other type of ev	idence		Insert any other type of e	evidence		Insert any	other type	of evide	nce					
Fleet action(s) taken acco	rding to nat	ional l	egislation:			<u> </u>								
Document describing sand imposed to the vessel/master/owner/ope			Vessel ordered to port inspection / investigation		se of		Vessel/ma	aster/ow	ner/operator	has b	een give	n a warning.		
Any other fleet action(s) taken: Specify:														
RESULTS OF THE ASSESSM														
Recommendation from th	he WPICMM													
Appropriate action taken I	I IXI I	sidered as a p					Fleet reque		•	e further eviden	ce			

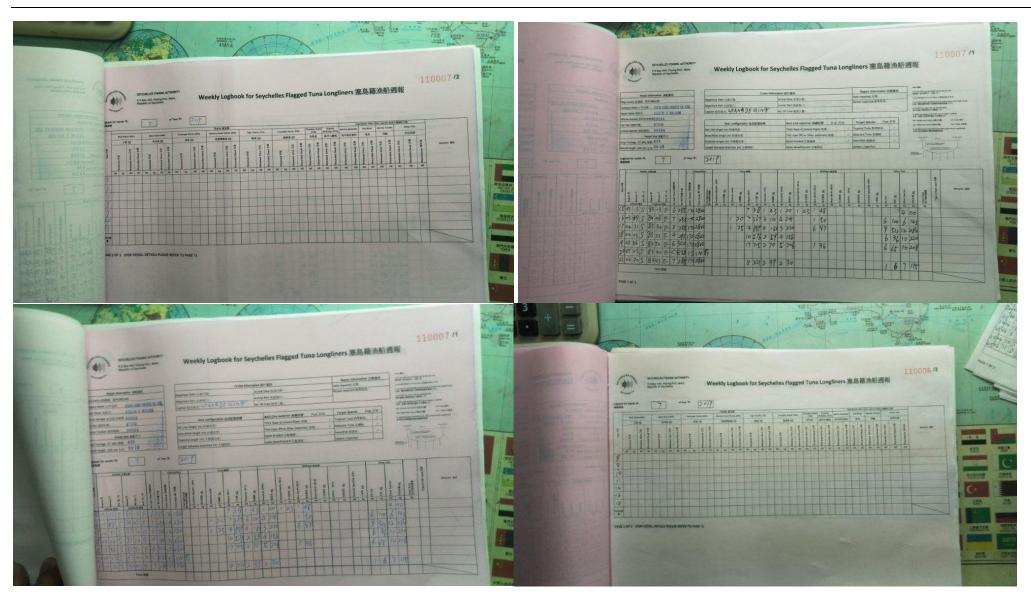






Page 15 of 24

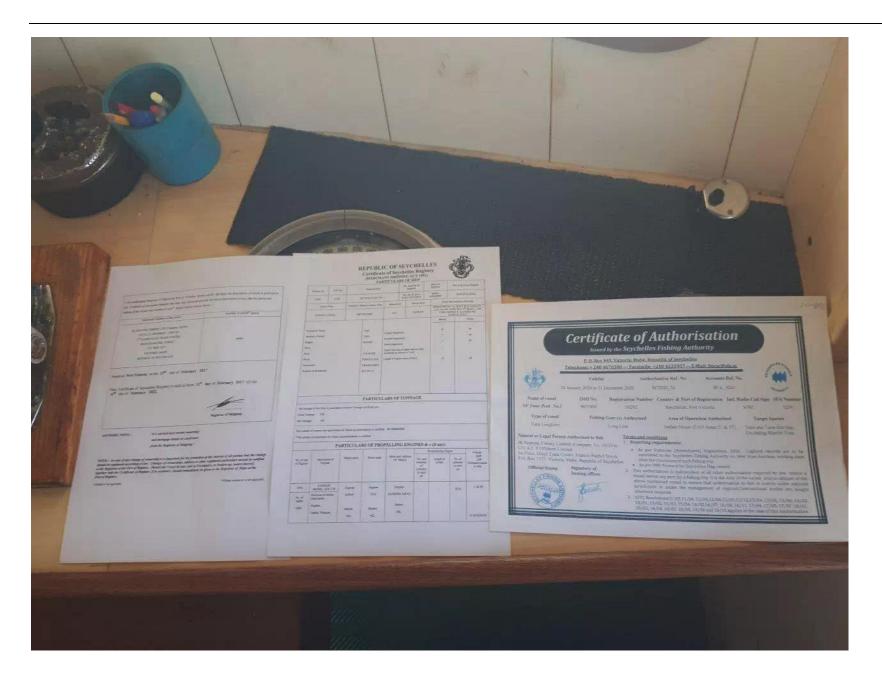
TO BE COMPLETED BY TH	E SECRETARIA	4 <i>T</i>													
Fleet:	Type of pos	ssible infr	action:	1	Name of v	ressel			Deployr	nent number	r and n	ame	Date sent to	Date o	f
									of carrie	er vessel:			fleet	respor	ise
Seychelles	□VMS ⊠	Logbook		arking	CHUN I N	0. 326			577 - HA	ARIMA			30/10/2019	18/08/	/2020
Inspection comment:	The vessel m	naintaine	d single shee	t logbooks ke	pt togeth	er loos	sely in a fol	der.							
Photograph(s) attached:	File name:			File na	ame:				File na	me:					
TO BE COMPLETED BY TH	E FLEET														
Result of investigation:	Captain tear	ring pages	s for scannin	g of documen	nt for Valid	dation	of Catches,	once fishing	is com	oleted. Capta	in adv	ised to a	iscontinue pract	ise.	
Action(s) the fleet taken	Fishing logb	ook was d	completed co	rrectly withou	ut captain	tearir	ng out the p	ages.							
ASSESSMENT OF THE RES	ULTS OF THE	INVESTIG	SATION		(by Secre	etariat	)								
Fleet has provided the following evidence(s) in its response:															
Fishing logbook was onboard & Fishing logbook was completed Fishing logbook match the template Captain advised to stop the															
bound & with consecutive	bound & with consecutive number.					р			tate (Re	s. 15/01).	Ш	practis	e of tearing page	€.	
Insert any other type of ev	vidence	☐ Ins	sert any othe	r type of evide	ence		Insert any	other type	of evide	nce					
Fleet action(s) taken acco	ording to natio	onal legis	lation:									1			
Document describing sand	ction(s)		/aaaal audaua	. d + 0 . 0 0 + f 0	<b>.</b>	t									
imposed to the		1 1 1 1		ed to port for t nvestigation.	the purpo	ise or		Vessel/ma	ster/ow	ner/operato	r has b	een give	n a warning.		
vessel/master/owner/ope	erator.	l II	nspection / ii	nvestigation.											
Any other fleet action(s) taken: Specify:															
RESULTS OF THE ASSESSM	<b>MENT</b>	(By Sec	cretariat)												
Recommendation from the assessment to the WPICMM															
Appropriate action taken	Appropriate action taken by fleet						e infraction		Тп	Fleet reque	sted to	o provid	e further eviden	ce i	$\overline{\Box}$
- > no recommendation to	CoC.	$\boxtimes$	-> no recom	mendatio	n to C	oC.			for discussi	on at r	next CoC				



				106	110
		CHELLES	FISH		
	6	4	16		
		AUTHOS	RITT		
nb= ++	715 At M				
		有釣漁船		The same	Mary Com
(Seyen	enes Fia	g Vessels T	luna Lon	gine Logs	heet)
Period (F	rom – To	0)			
紀錄期間	: 從_	B	月	年	
	至_	B	用	年	
					-
船名: _				2000	
Vessel Na	me: _ C	HUN	I NO.	326_	
備姓: 此報				A 41 46 3K 46	Ro ST

Section 2015 (2015) (20	2212
The Control of Control	
Serving 27 mass ** Control of the Co	E-10
The segretary and the second of the second o	10505
The state of the s	C.
2 44 N 79 10 5 6 MALLO 2000   5 303 1 72- 1 50   2 20 3 300     2 12 N 79 80 5 6 30 30 10 20 0 4 200 1 57 2 31 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	AREA AREA
\$\begin{align*} \( \begin{align*} \begin{align*} \delta &	

TO BE COMPLETED BY TH	E SECRETAR	IAT												
Fleet:	Type of po	ossible	infraction:		Name of	vessel				ment number er vessel:	and name	Date sent to fleet	Date o	
Seychelles	□VMS□	Logbo	ok ⊠ATF	$\square$ Marking	NF Tuna F	Peak N	0. 1		567 - K	HA YANG 333		11/07/2019	25/08	/2020
Inspection comment:	-			ppeared to be fo nd the Internatio					e vessel	name as "NF	Tuna Peak	x", the National Reg	istratio	n
Photograph(s) attached:	File name:			File	name:				File na	ame:				
TO BE COMPLETED BY TH	E FLEET													
Result of investigation:	The Capt	_	NF TUNA	PEAK NO.1 also	noticed th	hat the	y had the	wrong ATF	when	at sea and a	sked the c	owner to send the	m the	
Action(s) the fleet taken	The corre	ct ATF	was send t	to the vessel. The	vessel now	has th	e correct ce	ertificate onl	board.					
ASSESSMENT OF THE RES	ULTS OF THE	E INVE	STIGATION	1	(by Secre	etariat	)							
Fleet has provided the fo	llowing evid	ence(s	) in its resp	oonse:										
ATF was faxed to the vess provided after the inspect		$\boxtimes$		valid and signed boorised officer (Re	•			h the template et (Resolution		provided to 4)	$\boxtimes$			
Insert any other type of ev	vidence		Insert any	other type of ev	idence		Insert any	other type	of evide	ence				
Fleet action(s) taken acco	ording to nat	ional I	egislation:											
Document describing sand imposed to the vessel/master/owner/ope				ordered to port fo on / investigation		ose of		Vessel/ma	aster/ow	vner/operato	has been	given a warning.		
Any other fleet action(s) t	aken:	Spe	cify:										•	
RESULTS OF THE ASSESSM	<i>MENT</i>	(Ву	Secretaria	t)										
Recommendation from the assessment to the WPICMM														
Appropriate action taken by fleet -> no recommendation to CoC.				XI I	Not considered as a possible infraction Fleet requested to provide further evidence for discussion at next CoC.					nce				





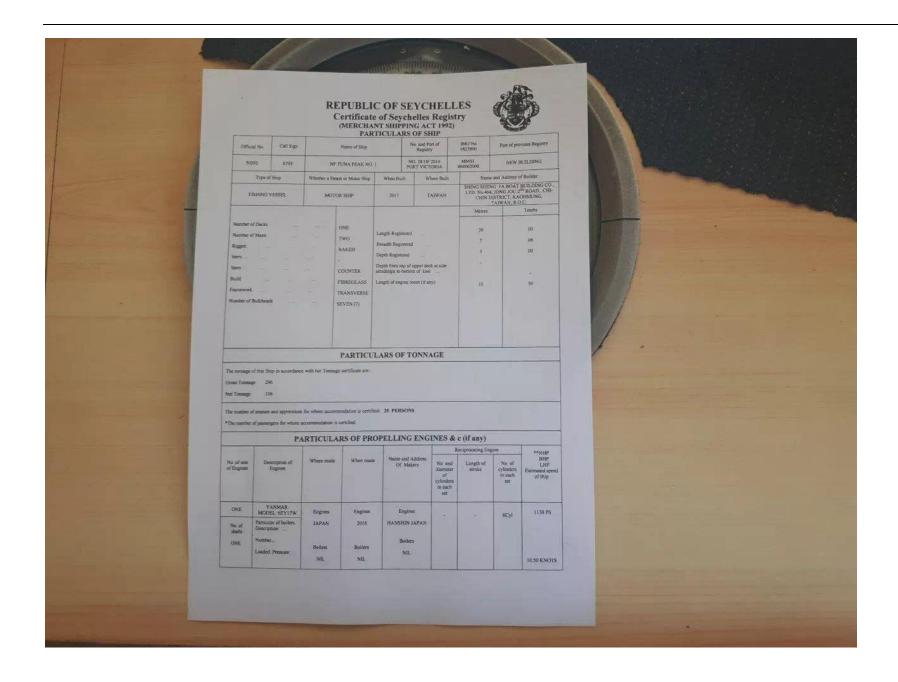


Table 1 – Summary of possible infractions by category of infraction in 2019 and by participating fleets for which no response was provided.

		Oman	Seychelles
Authorisation to Fish (ATF)	Possible infractions	1	1
	Responses received	0	1
Vessel Monitoring System (VMS)	Possible infractions		1
	Responses received		1
Fishing Logbook	Possible infractions	1	3
	Responses received	0	3
Marking of vessel	Possible infractions		3
_	Responses received		3
NRN	Possible infractions		
	Responses received		
Total by fleet	Possible infractions	2	8
	Responses received	0	8

No possible infraction notified

Fleet(s) with missing response(s) to possible infraction(s) notified

## Appendix I - Possible infractions detected during 2019 without response from the fleet (Oman).

## **OMAN**

Deploy.	Vessel name	Inspectio	Inspection comment	Infraction	Date report	Date
number		n date		type	sent to CPC	feedback from CPC
545	SINAW 16	06/04/19	The ATF produced during the on-board check did not match the flag state template provided to the observer. The LSTLV ATF also did not reflect the same National Registration Number (NRN) as in the observer's IOTC records. The ATF reflected the "Registration No" "1696009" while the IOTC records provided the NRN "252".	ATF	03/06/19	
545	SINAW 16	06/04/19	The fishing log consisted of unbound sheets without consecutive page numbers. The format printed on the sheet did not match the format of the template provided by IOTC.	Logbook	03/06/19	