



COC17 ANNOTATED AGENDA

Chairperson: Ms Anne-France Matlett (France)

Background

IOTC Circular 2020-31 from the IOTC Chairperson informed the Commission that due to the COVID-19 pandemic and following consultations between the IOTC Chairperson, the Chair of the Compliance Committee on (CoC) and IOTC Members, the 17th Session of the CoC will be held by correspondence (email).

Holding the CoC meeting by email presents the Commission with considerable challenges, especially with respect to how delegations are able to make 'interventions' and how the CoC Chair can collate and interpret the inputs received in order to accurately reflect the views of the CoC to the Commission.

The approach being used this year involves two main documents (this document, IOTC-2020- CoC17-01b and IOTC-2020- CoC17-MRD), in addition to the normal CoC documents.

This document (IOTC-2020- CoC 17-01b)

IOTC-2020- CoC17-01b is an annotated agenda. It contains:

- links to relevant documents
- some (dot point) comments to assist your consideration of an agenda item at hand
- recommended actions

NOTE: only credentialled delegations will receive access to the IOTC's SharePoint platform where they can make 'interventions' on the agenda items. Interventions will be made into the CoC17 'Meeting Response Document' (IOTC-2020- CoC17-MRD). The MRD will be shared by all credentialled participants and all participants will be able to see all interventions as they appear. The inputs received from delegations in the MRD Response Document will form the basis of the CoC17 meeting report.

1. CREDENTIALS / ADMISSION OF OBSERVERS

- The list of credentialed CPCs and IOTC Observers involved in the meeting will be provided in document IOTC-2020- CoC17-MRD.
- Written statements from Members that wish to make an intervention under this item will be made available in the CoC17 webpage and appended to the CoC17 Report.

Recommended action/s:

None

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

2. REVIEW OF THE COUNTRY-BASED COMPLIANCE REPORTS TOGETHER WITH ASSOCIATED REPORTS AND IDENTIFICATION OF CHALLENGES ENCOUNTERED IN THE IMPLEMENTATION OF IOTC CMMS – APPENDIX V OF THE IOTC RULES OF PROCEDURE

Documents: [IOTC-2020-CoC17-CR All](#)
[IOTC-2020-CoC17-IR All](#)
[IOTC-2020-CoC17-CQ All](#)
[IOTC-2020-CoC17-FL All](#)
[IOTC-2020-CoC17-07a](#)
[IOTC-2020-CoC17-05](#)
[IOTC-2020-CoC17-07b](#)
[IOTC-2020-CoC17-09 Rev1](#)

Chair's observations from the documents:

- 1) The level of compliance to Resolution 18/07 (submission of zero catch matrix) remains relatively low, in spite of commitments made by CPCs at the previous Compliance Committee (CoC16) meeting.
- 2) A number of CPCs have repeatedly not engaged in the work of Commission, which puts to question their membership status.
- 3) A number of CPCs continue to fail in their obligations to submit mandatory reports and data, which places the Commission at risk of not being effective in delivering on its mandate.

Recommended action/s:

That the CoC:

- 1) That the CoC considers to recommend sanction, as foreseen in paragraph 3 of Resolution 18/07, for CPCs not compliant with this resolution.
- 2) The SCAF and the Commission should review the membership status of CPCs that have continuously failed to engage in the work of the Commission.
- 3) CPCs that have failed to submit mandatory information and data for the 2019 reporting cycle shall provide a clear guideline as to when:
 - the missing mandatory information and data will be submitted
 - as the case may be, when and how will they be in a position to fulfil these obligations for which they have recurring non-compliance
 - the commitments made and their evolution as foreseen by the parties at this session (CoC17) will be appended to the report, as to the table that we have been completing over the last two years.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

3. OVERVIEW OF THE IMPLEMENTATION OF IOTC CONSERVATION AND MANAGEMENT MEASURES (CMMS)

Document [IOTC-2020-CoC17-03 Rev1](#)

3.1 Level of compliance by IOTC CPCs for all Resolutions

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.2 Record of Authorised Vessels (IOTC Resolution 19/04)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.3 Record of Active Vessels (IOTC Resolution 10/08)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.4 Bigeye Tuna Statistical Document Programme (IOTC Resolution 01/06)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.5 IOTC at-sea transshipment programme (IOTC Resolution 19/06)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.6 Reporting of mandatory statistics (flag State)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.7 On a Regional Observer Scheme (IOTC Resolution 11/04)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3.8 Implementation of IOTC Port State Measures Resolutions

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

3. OVERVIEW OF THE IMPLEMENTATION OF IOTC CONSERVATION AND MANAGEMENT MEASURES (CMMS)

Recommended action/s:

That the CoC:

- 1) **NOTE** the information provided in document IOTC-2020-CoC17-03_Rev1;
- 2) **NOTE** the recurrent low level of compliance with Resolution 11/04 (Observer Scheme), Resolution 15/02 (Catch statistics) and Resolution 17/05 (Catch statistics on sharks);
- 3) **NOTE** that only three CPC are fully compliant with the size frequency requirement for all of their fisheries and two CPCs are compliant with size frequency for sharks,
- 4) **NOTE** that the deadline under the Rules of Procedures (15 days for comments) does not allow the IOTC Secretariat to produce this meeting document 30 days before the Compliance Committee meeting.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

4. REVIEW OF ADDITIONAL INFORMATION RELATED TO IUU FISHING ACTIVITIES IN THE IOTC AREA OF COMPETENCE

Documents [IOTC-2020-CoC17-07a](#)

[IOTC-2020-CoC17-07a Add1](#)

4.1 Information on the vessel, IMUL-A-0204-MTR (DINESH 03)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

4.2 Information on the vessel, IMUL-A-0868-CHW (CHAMARA PUTHA)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

4.3 Information on the vessel, IMUL-A-0063-KMN

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

4.4 Information on the vessel, YONG QING FA No. 666

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

4.5 Information on the vessel, FFV PERINTIS JAYA 19

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

4. REVIEW OF ADDITIONAL INFORMATION RELATED TO IUU FISHING ACTIVITIES IN THE IOTC AREA OF COMPETENCE

Recommended action/s:

That the CoC:

- 1) **NOTE** paper IOTC-2020-CoC17-07a and IOTC-2020-CoC17-07a_Add1, which describes five incidences of illegal fishing activities that took place in the waters of the two CPCs, within the IOTC Area.
- 2) **NOTE** the actions that Sri Lanka and Taiwan, Province of China, have taken against the vessels involved in these incidences.
- 3) **NOTE** the effectiveness of the bilateral relationship established between Sri Lanka and the UK ("BIOT") with the objective of combatting IUU fishing activities.
- 4) **NOTE** cooperation provided by the flag State, Indonesia, of the fishing vessel during the investigation.
- 5) **RECOMMEND** that South Africa keep CPCs informed on the progress and outcome of the criminal proceedings against the owner and/or master of the vessel.
- 6) **RECOMMEND** that Taiwan, Province of China, cooperate with the authorities of South Africa by providing information that will assist the latter in this criminal investigation.
- 7) **RECOMMEND** that Taiwan, Province of China, provide information to the Compliance Committee on the timeframe and nature of the violation that was committed under its legal framework.
- 8) **RECOMMEND** that CPCs continue to provide the Compliance Committee with information of actions they undertake to combat fishing practices that undermines the effectiveness of conservation and management measures adopted by the Indian Ocean Tuna Commission.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

5. REVIEW OF THE DRAFT IUU VESSELS LIST – RESOLUTION 18/03

Documents [IOTC-2020-CoC17-08](#)

[IOTC-2020-CoC17-08 Add1](#)

5.1 Information on the vessel, IMULA 0641 KLT (LAKNA DHEE)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5.2 Information on the vessel, IMULA 0541 KLT (CHATHURANGA 02)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5.3 Information on the vessel, IMULA 0096 KLT (NISANSALA 01)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5.4 Information on the vessel, IMULA 0811 GLE

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5.5 Information on the vessel, IMULA 0195 TCO (MANGALA)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5.6 Information on the vessel, WISDOM SEA REEFER

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5.7 Information on the vessel, AL WESAM 5

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

5. REVIEW OF THE DRAFT IUU VESSELS LIST – RESOLUTION 18/03

Recommended action/s:

That the CoC:

- 1) **NOTE** the information provided in document IOTC–2020–CoC17–08, which will assist it in its deliberations for recommending a Provisional IUU Vessels List for the consideration of the Twenty-fourth Session of the Commission;
- 2) **NOTE** the actions taken by Sri Lanka against the five Sri Lankan vessels, as provided in Annex 3;
- 3) **NOTE** the deletion of the carrier vessel, WISDOM SEA REEFER, from the Register of Ships of Honduras (Annex 4);
- 4) **NOTE** the requests from Thailand to change the details of the vessels, WISDOM SEA REEFER and AL WESAM 5 (Annex 5);

- 5) **NOTE** the information provided in Annex 5, with regards to the destiny of the carrier vessel, UTHAIWAN (ex-WISDOM SEA REEFER);
- 6) **CONSIDER** if the five Sri Lanka vessels should be recommended for IUU listing;
- 7) **CONSIDER** if the details of the vessels, WISDOM SEA REEFER and AL WESAM 5, should be updated to reflect the information in the Certificates of Registry issued by Mongolia and Cameroon, respectively;
- 8) **CONSIDER** if the carrier vessel, WISDOM SEA REEFER/UTHAIWAN should be deleted from the IOTC IUU Vessels List upon receipt of proof that the vessel has been scrapped,
- 9) **RECOMMEND** a Provisional IUU Vessels List for the consideration of the Twenty-fourth Session of the Commission.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

6. UPDATE ON PROGRESS REGARDING THE PERFORMANCE REVIEW – COMPLIANCE RELATED ISSUES

Document [IOTC-2020-CoC17-06](#)

Recommended action/s:

That the CoC:

- 1) **NOTE** the excerpt of CoC-related recommendations from the performance review, as given in Appendix 1.
- 2) **REVIEW** and further **UPDATE** the status table, including proposed timelines and priorities for each recommendation relevant to the work of the CoC.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

7. REVIEW OF RECOMMENDATIONS REQUIRING INTERSESSIONAL ACTIONS, FROM COC16 AND THE 23RD ANNUAL SESSION

Document [IOTC-2020-CoC17-11](#)

Recommended action/s:

That the CoC:

- 1) That the CoC17 **NOTE** the progress made in implementing the recommendations of CoC16 and S23.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

8. ACTIVITIES BY THE IOTC SECRETARIAT IN SUPPORT OF CAPACITY BUILDING FOR DEVELOPING CPCS – RESOLUTION 16/10

Document [IOTC-2020-CoC17-10](#)

Recommended action/s:

That the CoC:

- 1) **NOTE** the information provided in document IOTC-2020-CoC17-10,
- 2) **NOTE** the continued implementation of capacity building activities by the IOTC Secretariat to improve compliance to CMMs and strengthen the implementation of port State measures,
- 3) **NOTE** the implementation of training programme for port State CPCs in accordance with the paragraph 3 of Resolution 16/11 and the increasing use of the IOTC e-PSM application by port State CPCs,
- 4) **NOTE**, in accordance with the recommendations of CoC15, the progress made by the IOTC Secretariat towards the work related to the development of the e-MARIS application.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

9. REPORTS OF WORKING PARTY (WPICMM) AND WORKING GROUPS ON CDS AND VMS

9.1 Report of the 3rd Session of the IOTC Working Party on Implementation of Conservation and Management Measures

Document [IOTC-2020-WPICMM03-R](#)

Recommended action/s:

That the CoC:

- 1) The WPICMM03 **RECOMMENDED** that the Compliance Committee consider the consolidated set of recommendations arising from WPICMM03, provided at Appendix 8.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

9.2 Report and documentation of the 3rd meeting of the Catch Documentation Scheme (CDS) Working Group (WG)

Document [IOTC-2020-CDS WG meeting-R](#)

Recommended action/s:

That the CoC:

- 1) The WG agreed on the following matters to be addressed prior to the Compliance Committee in 2020:
 - A CDSWG chair be elected (by electronic, the process will be facilitated by the Secretariat),
 - A draft CDSWG ToR be developed (by email).

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

9.3 IOTC Catch Documentation Scheme progress report and terms of reference for the Working Group

Document [IOTC-2020-CoC17-13](#)

Recommended action/s:

That the CoC:

- 1) **NOTE** the progress of work undertaken by the CDSWG during the intersessional period.
- 2) **CONSIDER** and, as appropriate, amend the ToR of the CDSWG, in Appendix I.
- 3) **RECOMMENDS** a ToR for the CDSWG to the Commission.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

10. REVIEW OF REQUESTS FOR ACCESS TO/RENEWAL OF THE STATUS OF COOPERATING NON-CONTRACTING PARTY - APPENDIX III OF THE IOTC RULES OF PROCEDURE

10.1 Senegal CNCP status renewal

Document [IOTC-2020-CoC17-CNCP01](#)

Chair's observations from the document:

- The request from Senegal for CNCP renewal application was received well in advance of the ninety (90) days before the Annual Session. Received on 2 February 2020.
- Senegal has not have any fishing vessels active in the IOTC Area since 2007; therefore, it has no fisheries data to submit.
- Senegal has confirmed its commitment to respect the Commission's Conservation and Management Measures.

Recommended action/s:

That the CPCs:

- 1) Clearly express if they are in agreement or not to recommend that the Commission considers to renew the CNCP status of Senegal.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

10.2 Liberia CNCP status renewal

Document [IOTC-2020-CoC17-CNCP02](#)

Chair's observations from the document:

- The request from Liberia for CNCP renewal application was received well in advance of the ninety (90) days before the Annual Session. Received on 02 March 2020.
- Liberia only operates carrier vessel in the IOTC Area; therefore, it has no fisheries data to submit.

- Liberia has confirmed its commitment to respect the Commission's Conservation and Management Measures.

Recommended action/s:

That the CPCs:

- 1) Clearly express if they are in agreement or not to recommend that the Commission considers to renew the CNCP status of Liberia.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

11. COOPERATION WITH OTHER ORGANISATIONS

Document [IOTC-2020-CoC17-12](#)

11.1 Letter of Understanding (LoU) between the Indian Ocean Memorandum of Understanding on Port State Control (IOMOU) and the Indian Ocean Tuna Commission (IOTC)

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

12. ANY OTHER BUSINESS

Document [IOTC-2020-CoC17-14](#)

12.1 Proposal of a Terms of Reference for developing a scheme to operationalise the FAO Voluntary Guidelines on the Marking of Fishing Gear (VGMFG)

Recommended action/s:

That the CoC:

- 1) That the CoC17 **CONSIDER** and, as appropriate, amend the proposed Terms of Reference for developing a scheme to operationalise the *FAO Voluntary Guidelines on the Marking of Fishing Gear (VGMFG)*, provided in Appendix I.
- 2) That the CoC17 **RECOMMENDS** the Terms of Reference for developing a scheme to operationalise the *FAO Voluntary Guidelines on the Marking of Fishing Gear (VGMFG)*, to the Commission.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

12.2 Date and place of the next Session of the CoC

The CoC meeting is held just prior to the Commission meeting therefore the date and place of CoC18 will be determined by the Commission.

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.

13. ADOPTION OF THE REPORT OF CoC17

- *The Secretariat, with the CoC's Chair oversight, will draft the CoC17 report and circulate this for adoption by correspondence.*
- *Proposed time for the adopted CoC17 report to be made available for the Commission (i.e. 2 weeks before the Commission meeting).*

Credentialed CoC17 delegations will be invited to respond to this agenda item in the meeting response document (on IOTC's restricted access SharePoint platform).

Unless you state otherwise, the recommended action/s will be reported as being noted/endorsed by the CoC.