

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
1. Implementation obligations						
1.1.	Art. X Agreement	Report of Implementation (IR)	C	IR received and all sections completed	Received [Date]	2020
			P/C	IR received, at least one section not completed	Received [Date], X section(s) not completed	
			N/C	No IR received	No IR received	
			N/A	IR mandatory for all CPCs.		
1.2.	Rules of Procedure. (4.1)	Compliance Questionnaire (CQ)	C	CQ received and all section(s)/question(s) completed	Received [Date]	2020
			P/C	CQ received, at least one section/question not completed	Received [Date], X section(s)/question(s) not completed	
			N/C	No CQ received	No CQ received	
			N/A	CQ mandatory for all CPCs.		
1.3.	SC04 (111)	National Scientific Report (NR)	C	NR received and all sections completed	Received [Date]	2019
			P/C	NR received, at least one section not completed	Received [Date], X section(s) not completed	
			N/C	No NR received	No NR received	
			N/A	NR mandatory for all CPCs.		
1.4.	Commission (S17 p 52)	Feedback letter (FL)	C	FL received and all issues responded	Received [Date]	2020
			P/C	FL received, incomplete response.	Received [Date], X issue(s) not responded.	
			N/C	No FL received.	No FL received.	
			N/A	The CPC was not issued a FL, a response is not required.	No feedback letter was issued.	
2. Management Standards						
2.1.	Res. 19/04 (17.c)	Documents listed in this resolution on board Documents = Certificates of vessel registration & valid authorisation to fish and/or tranship	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decree XX.	2020
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated documents are on board/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate some vessels have no documents onboard (e.g. ROP/Port inspection)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels	Does not have vessels on the IOTC Record of authorised vessels.	
2.2.	Res. 19/04 (18)	Marking of vessels FAO Standard	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decree XX.	2020
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated vessels are marked/No legal reference provided/Conflicting information IR/CQ/Information from other reporting requirement(s) indicate some vessels are not marked (e.g. ROP/Port inspection)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels	Does not have vessels on the IOTC Record of authorised vessels.	
2.3.	Res. 19/04 (19.a)	Marking of gears	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decree XX.	2020
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated gears are marked/No legal reference provided/Conflicting information IR/CQ/ Information from	

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					other reporting requirement(s) indicate some gears are not marked (e.g. ROP/Port inspection)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no LL/GN vessel on the Record of authorised vessels.	No LL/GN on the Record of authorised vessels.	
			C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decreet XX.	
2.4.	Res. 19/04 (20)	Logbook on board	P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated logbook are on-board/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate logbooks are not on board (e.g. ROP/Port inspection)	2020
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
2.5.	Res. 19/04 (6)	Official authorisation to fish outside National Jurisdictions (ATF) Mandatory information: Template ATF and Competent Authority information: name, name & signature of the personnel; official stamp	C	Template ATF and Competent Authority information: name, name & signature of personnel; official stamp provided	Received [Date] or Updated[Date]	2020
			P/C	One of the following information was not provided: Template ATF, Competent Authority information: name, name & signature of personnel; official stamp	Received [Date], Missing [Template ATF, Competent Authority information: name, name of personnel; signature of the personnel; official stamp] and/or Information from other reporting requirement(s) indicate ATF not onboard or not matching mandatory information provided (e.g. ROP/Port inspection).	
			N/C	Template ATF and Competent Authority information: name, name of contact; signature of the personnel; official stamp not provided	No information provided.	
			N/A	CPC does have vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
2.6.	Res. 19/04 (3.b & c)	IMO number for eligible vessels	C	Has provided IMO number for eligible vessels/Has informed on non-eligible vessels.	Has XX eligible vessels on the Record of authorised vessels and XX with IMO numbers. Has XX non-eligible vessels on the Record of authorised vessels and reported XX non-eligible vessels.	2020
			P/C	Has not provided information for all vessels on the Record of authorised vessels	Has XX vessels on the Record of authorised vessels and XX with IMO numbers/non-eligible vessels.	
			N/C	Has not provided IMO number/status of eligibility	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels	
2.7.	Res. 15/01 (4)	Official fishing logbooks	C	Template of official fishing logbook provided. Translation in English/French provided if logbook not in one of IOTC language.	Received [Date] or Updated[Date]	2020
			P/C	Template of official fishing logbook provided. Translation in English/French not provided if logbook not in one of IOTC languages.	Received [Date], and Missing translation in [English/French]	

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				Logbook not provided for all gear/vessel type on the Record of authorised vessels.	and/or Logbook for [PS/LL/GN/BB] provided but missing logbook for [PS/LL/GN/BB]. and/or Information from other reporting requirement(s) indicate fishing logbook onboard is not matching the official fishing logbook provided (e.g. ROP/Port inspection)	
			N/C	Template of official fishing logbook not provided.	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
2.8.	Res. 17/07 (2)	Ban on large-scale driftnets	C	Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decree XX.	2020
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated 2.5 km driftnet are banned/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate Driftnets have been used on the high seas (e.g. ROP/Port inspection).	
			N/C	No information provided in IR/CQ.	No information provided.	
2.9.	Res. 19/02 (21) & 19/04 (19)	Marking of FADs	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decree XX.	2020
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated FADs are marked/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate some FAD are not marked (e.g. ROP/Port inspection/Observer)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no PS/SP vessel on the Record of authorised vessels.	No PS/SP on the Record of authorised vessels.	
2.10.	Res. 19/02 (12)	FADs management plan	C	Has PS on the Record of authorised vessels. FAD Plan received and information provided according to the guideline	Received [Date] or Updated[Date]	2020
			P/C	Has PS on the Record of authorised vessels. FAD Plan received and information not provided according to the guideline and/or incompleted	Received or updated [Date]; Plan not provided at IOTC standard; XX sections not completed.	
			N/C	Has PS on the Record of authorised vessels, no FAD plan provided.	Has XX PS on the Record of authorised vessels; No information provided.	
			N/A	No PS on the Record of authorised vessels or FADs are not used or PS not active.	Does not have PS on the IOTC Record of authorised vessels, or fleet does not use FADs or PS not active in 2020.	
2.11	Res. 19/02 (16)	Report of progress on implementation of FADs management plan	C	Has already submitted a FAD plan; has submitted the progress report	Received [Date]	2020
			P/C	Has already submitted a FAD plan; has submitted the progress report but some sections of the plan not reviewed.	Received [Date]; XX sections of the plan not reviewed.	
			N/C	Has already submitted a FAD plan; has not submitted the progress report	No information provided.	
			N/A	No PS/fleet on the Record of authorised vessels, or FADs are not used or PS not active.	Does not have PS on the IOTC Record of authorised vessels, or fleet does not use FADs or PS not active in 2020.	

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2.12	Res. 16/07 (1 & 2)	Prohibition of surface or submerged artificial lights to attract fish	C	CPC has vessels operating beyond territorial waters. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decreet XX.	2020
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated artificial lights is banned/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate use of artificial lights (e.g. Port inspection).	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessel operating beyond territorial waters or CPC is not a coastal State and does not have vessel on the IOTC RAV.	Does not have vessel using artificial lights beyond territorial waters to attract fish or does not have vessel on the IOTC Record of authorised vessels.	
2.13	Res. 16/08 (1)	Prohibition of aircrafts and unmanned aerial vehicles.	C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decreet XX.	2020
			P/C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated use of aircrafts and unmanned aerial vehicles is banned/No legal reference provided/Conflicting information IR/CQ/. Information from other reporting requirement(s) indicate use of aircrafts and unmanned aerial vehicles.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State.	Does not have vessel operating in the IOTC Area of Competence.	
2.14	Res. 19/01 (12)	Report on methods for achieving the YFT catch reductions.	C	Catch reductions applies to CPC, Report provided and/or information provided in IR/CQ.	Report/information received [Date], Methods are [XXX].	2020
			P/C	Catch reductions applies to CPC, Report provided and/or Information provided in IR/CQ, conflicting information between IR & CQ.	Report/information received [Date], Methods are [XXX]/Conflicting information IR/CQ.	
			N/C	No report or information provided in IR/CQ.	No information provided.	
			N/A	Catch reductions does not apply to CPC.	Catch reductions does not apply to CPC.	
2.15	Res. 19/01 (18)	Purse seiners served by supply vessel.	C	Has PS/ SP vessels on the Record of authorised vessels, Information provided and/or information provided in IR/CQ.	Report/information received [Date].	2021
			P/C	Has PS/SP vessels on the Record of authorised vessels, Information provided and/or Information provided in IR/CQ, conflicting information between IR & CQ.	Report/information received [Date], Conflicting information IR/CQ.	
			N/C	Has PS/SP vessels on the Record of authorised vessels, No report or information provided in IR/CQ.	Has XX PS and XX SP on the Record of authorised vessels, no information provided.	
			N/A	Has no PS/SP vessels on the Record of authorised vessels, does not apply to CPC	Does not have PS/SP vessels operating in the IOTC Area of Competence.	
2.16	Res. 19/01 (16)	Plans for reducing the use of supply vessel.	C	Has PS/ SP vessels on the Record of authorised vessels, Plan provided and/or information provided in IR/CQ.	Plan received [Date].	2021
			P/C	Has PS/SP vessels on the Record of authorised vessels, Plan provided and/or Information provided in IR/CQ, conflicting information between IR & CQ.	Plan received [Date], Conflicting information IR/CQ.	

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			N/C	Has PS/SP vessels on the Record of authorised vessels, No plan provided in IR/CQ.	Has XX PS and XX SP on the Record of authorised vessels, no information provided.	
			N/A	Has no PS/SP vessels on the Record of authorised vessels, does not apply to CPC	Does not have PS/SP vessels operating in the IOTC Area of Competence.	
2.17	Res. 19/01 (5-15)	Nominal catch of YFT	C	For PS, CPC reduced catch by 15% from applicable level (2014/2015). For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015). For other gears, CPC reduced catch by 5% from applicable level (2014/2015). CPC to which the quota is applicable, catch reduction reached for all gears.	Gear A : 2014 or 2015 catch limit: xxxx T; 2019 catch: xxxx T ; Reduction: xx% Catch reduction(s) reached.	2019
			P/C	For PS, CPC reduced catch by 15% from applicable level (2014/2015). For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015). For other gears, CPC reduced catch by 5% from applicable level (2014/2015). CPC with several gears to which the quota is applicable, catch reduction not reached for at least one gear.	Gear A : 2014 or 2015 catch limit: xxxx T; 2019 catch: xxxx T ; Reduction: xx% Gear B : 2014 or 2015 catch limit: xxxx T; 2019 catch: xxxx T ; Reduction: xx% Catch reduction reached for gear B but not for gear A.	
			N/C	For PS, CPC reduced catch by 15% from applicable level (2014/2015). For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015). For other gears, CPC reduced catch by 5% from applicable level (2014/2015). Catch reduction not reached for all gears to which the qouta is applicable	Gear A : 2014 or 2015 catch limit: xxxx T; 2019 catch: xxxx T ; Reduction: xx% Gear B : 2014 or 2015 catch limit: xxxx T; 2019 catch: xxxx T ; Reduction: xx% Catch reduction(s) not reached.	
			N/A	Catch reduction does not apply to CPC.	Catch reduction does not apply to CPC.	
2.18	Res. 18/07 (1)	Report actions taken to implement reporting obligations & improve data collection of catches.	C	CPC reports catch data. Information on actions provided in IR/CQ.	Information received [Date] (CQ/IR) Actions are: XXX	2020
			P/C	CPC reports catch data. Information provided in IR/CQ, conflicting information between IR & CQ.	Information received [Date] (CQ/IR). conflicting information between IR & CQ. Actions are: XXX	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence	Does not have vessel operating in the IOTC Area of Competence	
2.19	Res. 11/02 (6)	Reports of observations of damaged data buoys	C	CPC reports observation of damaged buoys. Information on actions provided in IR/CQ.	Information received [Date] (CQ/IR)	2020
			P/C	CPC reports observation of damaged buoys. Information provided in IR/CQ, conflicting information between IR & CQ.	Information received [Date] (CQ/IR). conflicting information between IR & CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence	Does not have vessel operating in the IOTC Area of Competence	
2.20	Res. 11/02 (2)	Prohibition from intentionally fishing within 1 nautical mile of or interacting with data buoy	C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decreree XX.	2020
			P/C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated is banned/No legal reference provided/Conflicting information IR/CQ/	

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			N/C	No information provided in IR/CQ.	Information from other reporting requirement(s) indicate fishing within 1 NM. No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence.	Does not have vessel operating in the IOTC Area of Competence.	
2.21	Res. 11/02 (3)	Prohibition from taking on board a data buoy	C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decree XX.	2020
			P/C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated is banned/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate data buoy has been taken onboard	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence.	Does not have vessel operating in the IOTC Area of Competence.	
2.22	Res. 13/04 (2)	Prohibition of intentionally setting purse seine net around a cetacean	C	CPC has PS vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decree XX.	2020
			P/C	CPC has PS vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated is banned/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate setting around cetacean	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have PS vessel operating in the IOTC Area of Competence.	Does not have PS vessel operating in the IOTC Area of Competence.	
2.23	Res. 13/05 (2)	Prohibition of intentionally setting purse seine net around a whale shark	C	CPC has PS vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decree XX.	2020
			P/C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated is banned/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate setting around whale shark.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have PS vessel operating in the IOTC Area of Competence.	Does not have PS vessel operating in the IOTC Area of Competence.	
2.24	Res. 19/03 (2)	Prohibition of intentionally setting any gear type on mobulid rays	C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decree XX.	2020
			P/C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated is banned/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate setting on mobulid rayz.	
			N/C	No information provided in IR/CQ.	No information provided.	

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			N/A	CPC does not have vessels operating in the IOTC Area of Competence.	Does not have vessel operating in the IOTC Area of Competence.	
3. Reporting on Vessels						
3.1.	Res. 10/08 (1)	List of Active vessels	C	Has vessels on the Record of authorised vessels, List received and information provided at IOTC Standard.	Received [Date]	2020
			P/C	Has vessels on the Record of authorised vessels, List received but information not provided at IOTC standard.	Received [Date]; Information not provided at IOTC standard; missing [e.g. IRCS; NRN].	
			N/C	Has vessels on the Record of authorised vessels, No list received.	Has XX vessels on the Record of authorised vessels; no information provided	
			N/A	No vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
3.2.	Res. 19/07 (8)	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	C	Particular of charter agreements has been provided with information at IOTC standard.	Received [Date]; charter agreement with CPC A in [Year]	2020
			P/C	Particular of charter agreements has been provided with information not at IOTC standard.	Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx].	
			N/C	Particular of charter agreements was not provided.	No particular of charter agreements provided.	
			N/A	The CPC has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
3.3.	Res. 19/07 (4.1)	Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	C	The chartering CP has provided all information before fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]	2020
			P/C	The chartering CP has provided information before fishing activities started but some information missing or not at IOTC standard or after fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started.	
			N/C	The chartering CP has not provided information.	No information provided.	
			N/A	The CPC has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
3.4.	Res. 19/07 (4.2)	Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	C	The flag CPC has provided all information before fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]	2020
			P/C	The flag CPC has provided information before fishing activities started but some information missing or not at IOTC standard or after fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started.	
			N/C	The flag CPC has not provided information.	No information provided.	
			N/A	The CPC has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
3.5.	Res. 19/07 (6)	Start, suspension, resumption and termination of the fishing operations under the chartering agreement	C	The CP (chartering and flag) has provided information.	Received [Date]; charter agreement with CPC A in [Year]	2020
			P/C	The flag CPC has provided information but but date not provided.	Received [Date]; charter agreement with CPC A, but date missing; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started.	
			N/C	The CP (chartering and flag) has provided information.	No information provided.	
			N/A	The CP has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
3.6.			C	List has been provided with information at IOTC Standard.	Last update [Date]	

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	Res. 19/04 (3)	List of Authorized vessels 24 metres in length overall or more	P/C	List has been provided with information not at IOTC Standard.	Last update [Date]; Information not provided at IOTC standard; missing [e.g. owner].	2020
			N/C	No list was provided.	List not provided. Information from other reporting requirement(s) indicate vessels 24 metres in length overall or more are active.	
			N/A	No vessel > 24 operating in the Indian Ocean	No vessels of 24 metres in length overall or more in the IOTC Record of authorised vessels.	
3.7.	Res. 19/04 (3)	List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag State)	C	List has been provided with information at IOTC Standard.	Last update [Date]	2020
			P/C	List has been provided with information not at IOTC Standard	Last update [Date]; Information not provided at IOTC standard; missing [e.g. owner].	
			N/C	No list was provided.	List not provided. Information from other reporting requirement(s) indicate less than 24m, operating in waters outside EEZ of the flag State, are active.	
			N/A	No vessel < 24 operating outside EEZ. No vessels in the Record of authorised vessels.	No vessel < 24 operating outside EEZ. No vessels in the IOTC Record of authorised vessels.	
3.8.	Res. 14/05 (1)	List of foreign vessels licensed in EEZ	C	Has licensed FFV, List has been provided with information at IOTC Standard	Received [Date]; has issued XX license in 2019.	2020
			P/C	Has licensed FFV, List has been provided with information not at IOTC Standard or missing	Received [Date]; has issued XX license in 2019. Information not provided at IOTC standard; missing [e.g. GT].	
			N/C	Has licensed FFV. List has not been provided	Has licensed FFV and list has not been provided.	
			N/A	CPC does not license FFV	Does not license FFV/ not an IOTC coastal State	
3.9.	Res. 14/05 (6)	List of foreign vessels denied a licence	C	Information on denial of license to FFV was reported	Received [Date]; Has denied XX license/Has not denied any licence in 2019.	2020
			P/C	Has denied licence to FFV.	Did not provide reason for denial of licence to FFV. XX FFV denied licence(s).	
			N/C	Has licensed FFV, no information reported on denial of license.	No information provided.	
			N/A	CPC does not license FFV or CPC is not an IOTC Coastal State	Does not license foreign fishing vessel / Not an IOTC coastal State	
3.10.	Res. 14/05 (5)	Access Agreement information	C	Information on access agreement and copy of agreement provided	Received [Date]; CPC-CPC agreement with [Country code]	2020
			P/C	Information on access agreement provided but copy of agreement not provided	Received [Date]; Missing copy of agreement with [Country code]	
			N/C	Has agreement with [CPCA - Country code]. CPCA has provided information or copy of agreement. Information on access agreement and copy of agreement not provided by [CPC being assessed – Country code].	No information/copy of agreement provided. [CPCA - Country code] has reported to have agreement with [CPC being assessed – Country code].	
			N/A	No foreign vessel are licensed or does not have CPC-CPC agreement.	Does not license foreign fishing vessel / Does not have CPC-CPC Agreement.	
3.11.	Res. 14/05 (7)	Official coastal State fishing License	C	Template License and Competent Authority information: name, name of personnel; signature of the personnel; official stamp provided.	Received [Date].or Updated[Date].	2020

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
		Mandatory information: Template license and Competent Authority information: name, name of personnel; signature of the personnel; official stamp not provided	P/C	One of the following information was not provided: Template License, Competent Authority information: name, name of personnel; signature of the personnel; official stamp	Received [Date], Missing [Template ATF, Competent Authority information: name, name of personnel; signature of the personnel; official stamp] and/or Information from other reporting requirement(s) indicate License not matching mandatory information provided (e.g. ROP/Port inspection).	
	N/C		Has licensed FFV, Template License and Competent Authority information: name, name of personnel; signature of the personnel; official stamp not provided.	No information provided.		
	N/A		CPC does not license FFV or CPC is not an IOTC coastal State.	Does not license foreign fishing vessel / not an IOTC coastal State.		
4. Vessel Monitoring System						
4.1.	Res. 15/03 (1)	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	C	Has a satellite-based VMS.	VMS adopted in [YEAR]. Legal Reference: Act/regulation/decreet XX	2020
			P/C	Has indicated other tracking system adopted but not satellite-based VMS. Has adopted VMS but not all vessels covered.	Source [Report Name].	
			N/C	No information provided on VMS adoption	No information provided.	
			N/A	No vessel on the IOTC Record of authorised vessels / Has only an artisanal fleet.	Does not have vessel on the IOTC Record of authorised vessels	
4.2.	Res. 15/03 (12)	VMS report on implementation and technical failures	C	Has provided the VMS report and full completion.	Received [Date] Had XX active vessels in 2019, has reported XX vessels fitted with VMS in 2019.	2019
			P/C	Has provided the VMS report but some section(s)/field(s) not completed.	Received [Date]; Missing information [XX]. Had XX active vessels in 2019, has reported YY vessels fitted with VMS in 2019.	
			N/C	Has not provided the VMS report.	Mandatory VMS report not provided. Had XX active vessels in 2019.	
			N/A	No vessel on the IOTC Record of authorised vessels / Has only an artisanal fleet.	Does not have vessel on the IOTC Record of authorised vessels.	
4.3.	Res. 15/03 (2)	VMS implementation plan	C	Has provided the VMS implementation plan.	Received [Date]	2020
			P/C	Has provided the VMS implementation plan but incomplete, missing information on % of coverage, less than 50% coverage.	Received [Date]; Missing information [XX]. Less than 50 % coverage.	
			N/C	Has not provided the VMS implementation plan, VMS not adopted.	No VMS, VMS not adopted and no implementation plan submitted.	
			N/A	VMS adopted [Date] and coverage 100%	VMS adopted [Date] and coverage 100%	
5. Mandatory statistical requirement – Flag State CPCs						
Nominal Catch						
5.1.		Coastal fisheries	C	Has provided NC by gears, by species.	Data received [Date].	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)		P/C	Has provided NC but some gear missing/aggregated and/or some species aggregated. Has provided NC but fleet segments aggregated: coastal & surface; coastal & LL.	Data received [Date], not at IOTC Standard [Description].	2019
			N/C	No information provided. No data collection system in place.	Mandatory data not provided.	
			N/A	Does not have fishing vessel on the IOTC Record of authorised vessels and CPC is not an IOTC coastal State.	Does not have fishing vessel on the IOTC Record of authorised vessels and is not an IOTC coastal State.	
5.2.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Surface fisheries: PS, BB, GI	C	Has provided NC by gears, by species.	Data received [Date].	2019
			P/C	Has provided NC but some gear missing/aggregated and/or some species aggregated. Has provided NC but fleet segments aggregated: coastal & surface; surface & LL	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	No PS, BB or GI vessels on the IOTC Record of authorised vessels or active	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]	
5.3.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	LL Provisional or Final	C	Has provided NC by species and by type of fisheries (Fresh & frozen)	Data received [Date].	2019
			P/C	Has provided NC but some species aggregated. Has provided NC but fleet segments aggregated: coastal & LL; surface & LL Has provided NC aggregated by type of fisheries (Fresh & frozen)	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	No LL vessel on the IOTC Record of authorised vessels or active.	No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]	
5.4.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Report on zero catches matrix	C	Has provided zero catches matrix.	Data received [Date].	2019
			P/C	Has provided zero catches matrix, but some species and/or gears missing.	Data received [Date], not at IOTC Standard [missing species X, missing Gear Y].	
			N/C	Has not provided zero catches matrix.	Mandatory data not provided.	
			N/A	Zero catches matrix report mandatory for all CPCs.		
Catch & Effort						
5.5.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4)	Coastal fisheries	C	Has provided CE by gears and by species	Data received [Date].	2019
			P/C	Has provided CE but some gears missing/aggregated and/or some species aggregated	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed	
	& 19/02 (p. 22)		N/A	Does not have fishing vessel on the IOTC Record of authorised vessels and is not an IOTC coastal State.	Does not have fishing vessel on the IOTC Record of authorised vessels and is not an IOTC coastal State.		
5.6.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Surface fisheries: PS, BB, GI	C	Has provided CE by gears, by species, by month and by grid	Data received [Date].	2019	
			P/C	Has provided CE but some gears missing/aggregated and/or some species aggregated and/or not by month and/or not by grid	Data received [Date], not at IOTC Standard [Description].		
			N/C	No information provided.	Mandatory data not provided.		
			N/A	No PS, BB or GI vessel on the IOTC Record of authorised vessels or active in [year]	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]		
5.7.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	LL Provisional or Final	C	Has provided CE by species, by month, by grid, by type of fisheries (Fresh & frozen)	Data received [Date].	2019	
			P/C	Has provided CE but some species aggregated and/or not by month and/or not by grid. Has provided CE aggregated by type of fisheries (Fresh & frozen)	Data received [Date], not at IOTC Standard [Description].		
			N/C	No information provided.	Mandatory data not provided.		
			N/A	No LL vessel on the IOTC Record of authorised vessels or active in [year]	No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]		
5.8.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Coastal fisheries	Size Frequency				2019
			C	Has provided SF by gears and by species	Data received [Date].		
			P/C	Has provided SF but not for all gear and/or some species missing and less than 1 fish per MT measured.	Data received [Date], not at IOTC Standard [Less than 1 fish per MT].		
			N/C	No information provided.	Mandatory data not provided.		
5.9.	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Surface fisheries: PS, BB, GI	C	Has provided SF by gears, by species, by month and by grid	Data received [Date].	2019	
			P/C	Has provided SF but not for all gear, not by month, not by grid, and/or some species missing and less than 1 fish per MT measured.	Data received [Date], not at IOTC Standard [Less than 1 fish per MT].		
			N/C	No information provided.	Mandatory data not provided.		
			N/A	No PS, BB or GI vessel on the IOTC Record of authorised vessels in [year].	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]		
5.10	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4)	LL Provisional/Final	C	Has provided SF by species, by month, by grid and by type of fisheries	Data received [Date].	2019	
			P/C	Has provided SF but not by month, not by grid and/or some species missing and less than 1 fish per MT measured and/or not by type of fisheries	Data received [Date], not at IOTC Standard [Less than 1 fish by MT].		

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
	& 19/02 (p. 22)		N/C	No information provided.	Mandatory data not provided.	
			N/A	No LL vessel on the IOTC Record of authorised vessels or active in [year].	No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]	
Fish Aggregating Devices (FAD)						
5.11	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Supply vessels	C	Has provided the number and characteristics of SP	Data received [Date].	2019
			P/C	Has not provided all information (the number and characteristics of SP)	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC does not have supply vessels or active in [year]	No supply vessels on the IOTC Record of authorised vessels or active in 2019	
5.12	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	Days at sea by supply vessels	C	Has provided effort of SP by month by grid	Data received [Date].	2019
			P/C	Has provided all information but not by month and/or by grid.	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC does not have supply vessels on the IOTC Record of authorised vessels or active in [year].	No supply vessels on the IOTC Record of authorised vessels or active in 2019	
5.13	Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22)	FADs set by type	C	Has provided data on FADs set by type, by grid and by month	Data received [Date].	2019
			P/C	Has provided data on FADs set but not by type, not by grid and not by month	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC does not have purse seiner and/or supply vessel or active in [year].	No purse seiner and/or supply vessel on the IOTC Record of authorised vessels or active in [year]	
5.14	19/02 (4, 24)	Number of active FAD	C	Has provided active number of FADs daily. Has maximum of 300 FADs active/vessel.	Data received [Last submission date].	2020
			P/C	Has provided active number of FADs daily but not at IOTC Standard (date, instrumented buoy ID, assigned vessel and daily position) and/or some vessels have more that 300 FADs active/day and/or some vessels active but no report on active FADs.	Data received [Last submission date], not at IOTC Standard [Missing date, instrumented buoy ID, assigned vessel and daily position] and /or XX vessels with XX days with more than 300 FADs.	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC does not have purse seiner or active in [year]	No purse seiner on the IOTC Record of authorised vessels or active in [year]	
6. Implementation of mitigation measures and bycatch of non-IOTC species						
6.1.	Res. 17/05 (6)	Submission of data regarding Sharks – Nominal catch	C	Has provided sharks NC by gears, by species.	Data received [Date].	2019
			P/C	Has provided sharks NC but some gear missing/aggregated and/or some species aggregated.	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC coastal State and no vessel in the IOTC Record of authorised vessels.	
6.2.	Res. 17/05 (6)	Submission of data regarding Sharks – Catch & effort	C	Has provided sharks CE by gears, by species.	Data received [Date].	2019
			P/C	Has provided sharks CE but some gears missing/aggregated and/or some species aggregated.	Data received [Date], not at IOTC Standard [Description].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC coastal State and no vessel in the IOTC Record of authorised vessels.	
6.3.	Res. 17/05 (6)	Submission of data regarding Sharks – Size frequency	C	Has provided sharks SF by gears, by species.	Data received [Date].	2019
			P/C	Has provided sharks SF but some species missing and less than 1 fish measured by MT.	Data received [Date], not at IOTC Standard [Less than 1 fish by MT].	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC coastal State and no vessel in the IOTC Record of authorised vessels.	
6.4.	Res. 17/05 (3)	Prohibition on sharks finning	C	For shark landed fresh, CPC banned removal of fins on board since [year]; Information provided in IR/CQ including reference to national legislation. For shark landed frozen, CPC implement 5% ratio since [year]; Information provided in IR/CQ including reference to national legislation.	Banned since [year]; Legal Reference: Act/regulation/decree XX.	2020
			P/C	For shark landed fresh, CPC banned removal of fins on board since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. For shark landed frozen, CPC implement 5% ratio since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	
6.5.	Res. 12/09 (2)	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	C	Banned since [year]; Information provided in IR/CQ including reference to national legislation.	Banned since [year]; Legal Reference: Act/regulation/decree XX.	2020
			P/C	Banned since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	
6.6.	Res. 13/06 (3)	Prohibition on oceanic whitetip sharks	C	Banned since [year]; Information provided in IR/CQ including reference to national legislation.	Banned since [year]; Legal Reference: Act/regulation/decree XX.	2020
			P/C	Banned since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	
6.7.	Res. 19/03 (3)	Prohibition to retain onboard, tranship, land, store mobulid rays	C	Banned since [year]; Information provided in IR/CQ including reference to national legislation.	Banned since [year]; Legal Reference: Act/regulation/decreree XX.	2020
			P/C	Banned since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	
6.8.	Res. 19/03 (5, Annex 1)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of mobulid rays	C	Banned since [year]; Information provided in IR/CQ including reference to national legislation.	Banned since [year]; Legal Reference: Act/regulation/decreree XX.	2020
			P/C	Banned since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	
6.9.	Res. 12/04 (5)	Report on progress of implementation of the FAO Guidelines and this Resolution	C	Has provided information on status of implementation of FAO guidelines and this Resolution.	Information on implementation of FAO guideline /this Resolution received [Date]	2020
			P/C	Has provided partial information on status of implementation of FAO guidelines and this resolution.	Partial information on implementation of FAO guidelines received [Date]	
			N/C	No information provided.	Mandatory report not provided	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	
6.10.	Res. 12/04 (3)	Data on interactions with marine turtles	C	Has provided information on interactions by species and by gears or has reported no interaction has occurred	Data received [Date]; Total of [XX] interactions reported in [year].	2019
			P/C	Has provided information on interactions aggregated, not for gears and species.	Data received [Date]; data not by gear and by species.	
			N/C	No information provided.	Mandatory data not provided	
			N/A	CPC is not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC coastal State and no vessels in the IOTC Record of authorised vessels.	
6.11.	Res. 12/04 (8)	Carry line cutters and de-hookers on board (Longliners)	C	Obligation in place since [year]; Information provided in IR/CQ including reference to national legislation.	Has indicated obligation is in place since [year]; Legal Reference: Act/regulation/decreree XX.	2020
			P/C	Obligation in place since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated obligation in place since [year] / No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have LL on the IOTC Record of authorised vessels or active	No LL operating in the Indian Ocean since [year]..	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
6.12.	Res. 12/04 (9)	Carry dip nets (Purse seiners)	C	Obligation in place since [year]; Information provided in IR/CQ including reference to national legislation.	Has indicated obligation is in place since [year]; Legal Reference: Act/regulation/decreree XX.	2020
			P/C	Obligation in place since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated obligation in place since [year] / No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have PS in the IOTC Record of authorised vessels or active	No PS operating in the Indian Ocean since [year].	
6.13.	Res. 12/06 (1 & 2)	Seabirds report	C	Has provided information on interactions by species, or has reported no interaction has occurred.	Data received [Date]. Total of [XX] interactions reported in [year].	2019
			P/C	Has provided information on interactions but aggregated by species.	Data received [Date]; data not by species.	
			N/C	No information provided	Mandatory data not provided	
			N/A	CPC does not have LL in the IOTC Record of authorised vessels or active	No LL operating in the Indian Ocean since [year].	
6.14.	Res. 12/06 (5)	Implementation of mitigation measures south of 25°S	C	Obligation in place since [year]; Information provided in IR/CQ including reference to national legislation.	Has indicated obligation is in place since [year]; Legal Reference: Act/regulation/decreree XX.	2020
			P/C	Obligation in place since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated obligation in place since [year] / No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have LL in the IOTC Record of authorised vessels or active or LL operating south of 25°S	No LL operating in the Indian Ocean or operating south of 25°S.	
6.15.	Res. 13/04 (7)	Data on interactions with Cetaceans (All gears)	C	Has provided interactions by gears and by species, or has reported no interaction has occurred	Data received [Date].	2019
			P/C	Has provided interactions but not by gears and/or by species	Data received [Date]; data not by species, not at IOTC standard.	
			N/C	Has not provided the data	No information provided.	
			N/A	CPC does not have vessels in the IOTC Record of authorised vessels	No vessel on the IOTC Record of authorised vessels or active in 2019	
6.16	Res. 13/04 (8)	Instances of Cetaceans encircled (PS only)	C	Has provided information on instances of cetaceans encircled by species	Data received [Date]. Total of [XX] instances reported in [year].	2020
			P/C	Has provided information on instances of cetaceans encircled but aggregated by species.	Data received [Date]; data not by species.	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC does not have PS in the IOTC Record of authorised vessels	No purse seiner on the IOTC Record of authorised vessels or active in 2019	
6.17.	Res 13/05 (7)	Data on interactions with Whale Sharks (All gears)	C	Has provided interactions by gears, or has reported no interaction has occurred	Data received [Date].	2019
			P/C	Has provided interactions but not by gears	Data received [Date]; data not at IOTC standard.	
			N/C	Has not provided the data.	No information provided.	
			N/A	CPC does not have vessels in the IOTC Record of authorised vessels	No vessel on the IOTC Record of authorised vessels or active in 2019	
6.18	Res 13/05 (8)	Instances Whale Sharks encircled	C	Has provided information on instances of Whale Sharks encircled	Data received [Date]. Total of [XX] instances reported in [year].	2020

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
		(PS only)	P/C	Has provided information on instances of Whale Sharks encircled, some information missing.	Data received [Date]; data not at IOTC standard.	
			N/C	No information provided.	Mandatory data not provided	
			N/A	CPC does not have PS in the IOTC Record of authorised vessels or active	No purse seiner on the IOTC Record of authorised vessels or active in 2019	
6.19.	Res. 18/02 (4)	Information on actions taken domestically to monitor catches of Blue Shark	C	CPC reports blue shark catch data. Information on actions provided in NR.	Information received [Date] (NR) Actions are: XXX	2019
			P/C	CPC reports blue shark catch data. Information provided in NR, conflicting information between NR & IR.	Information received [Date] (NR). conflicting information between IR & NR. Actions are: XXX	
			N/C	No information provided in NR/IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence	Does not have vessel operating in the IOTC Area of Competence	
6.20.	Res 18/05 (9)	Information on actions taken domestically to monitor catches & manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish	C	CPC reports billfish catch data. Information on actions provided in NR.	Information received [Date] (CQ/IR/NR) Actions are: XXX	2019
			P/C	CPC reports billfish catch data. Information provided in NR, conflicting information between IR & NR.	Information received [Date] (NR). conflicting information between IR & NR. Actions are: XXX	
			N/C	No information provided in NR.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence	Does not have vessel operating in the IOTC Area of Competence	
6.21	Res 18/05 (5)	Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length	C	Banned since [year]; Information provided in IR/CQ including reference to national legislation.	Banned since [year]; Legal Reference: Act/regulation/decree XX.	2020
			P/C	Banned since [year]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC coastal State and no vessel in the IOTC Record of authorised vessels.	CPC is not an IOTC coastal State and no vessel in the IOTC Record of authorised vessels.	
7. Illegal, Unreported and Unregulated (IUU) Vessels						
7.1.	Res. 18/03 (5)	IUU listing (at previous session)	C	CPC had no vessel listed at the previous session of the Commission	No vessel listed on IOTC IUU list in [year]	Listed in 2020
			P/C			
			N/C	CPC had vessel listed at the previous session of the Commission	Had [XX] vessels listed in [year]	
			N/A			
7.2.	Res. 07/01 (2)	Compliance by nationals (at previous session)	C	CPC had no nationals involved on vessels listed at the previous session of the Commission.	No nationals on board vessels listed on IOTC IUU list in [year].	Listed in 2020
			P/C			
			N/C	CPC had nationals involved on vessel listed at the previous session of the Commission.	Had [XX] nationals on vessels listed in [year].	
			N/A			

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
8. Transshipments						
8.1.	Res. 19/06 (23)	At sea transshipments – CPC report	C	Has provided the mandatory reports (quantity transhipped by species, list of LSTLVs and comments on the observer report).	Reports received [Date]	2019
		Flag State report concerning information on TRX at sea under the ROP	P/C	Has provided only one of the mandatory reports (quantity transhipped by species or list of LSTLVs or comments on the observer reports). Or Quantity declared and/or list of LSTLVs not matching information in the ROP database	Report received [Date]; Report on [XX] missing. Has reported total quantity transhipped [XX] T but ROP database records [YY] T. Has reported [XX] LSTLVs but ROP database records [XX] LSTLVs	
			N/C	Has not provided the mandatory reports (quantity transhipped by species, list of LSTLVs and comments on the observer reports).	Mandatory reports not provided.	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
8.2.	Res. 19/06 (Annexe 1, p. 6)	Transshipments in port report	C	Has provided the mandatory report: quantity transhipped by species by LSTLVs).	Reports received [Date]	2020
		Flag State report concerning information on TRX in foreign ports	P/C	Has provided the mandatory report but quantity transhipped is aggregated not by species, and/or aggregated by LSTLVs.	Report received [Date]; quantity not reported by species but aggregated and /or aggregated by LSTLVs. Information from other reporting requirement(s) indicate some vessels have conducted TRX in ports (e.g. Res 16/11). Source – [Country code] report [XX] T TRX in [Port Name].	
			N/C	CPC has not provided the mandatory report.	Mandatory report not provided. Information from other reporting requirement(s) indicate some vessels have conducted TRX in ports (e.g. Res 16/11). Source – [Country code] report [XX] T TRX in [Port Name].	
			N/A	CPC does not have vessels on the IOTC Record of authorised vessels and/or not active.	Does not have vessels on the IOTC Record of authorised vessels and/or not active	
8.3.	Res. 19/06 (7)	List of Authorised carrier vessels	C	Has provided a list of authorised carrier vessels, and all mandatory information provided	Last update [Date]	2020
			P/C	Has provided a list of authorised carrier vessels but some mandatory information missing	Last update [Date]; information not at IOTC standard; missing [e.g. GT]	
			N/C	CPC is participating in the ROP but has not provided a list of authorised carrier vessels	No list of carrier vessels provided	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
8.4.	Res. 19/06 (26)	Report on results of investigations on possible infractions	C	CPC has provided a response to all the possible infractions	Possible infractions: XX. Response received: XX.	2020
			P/C	CPC has not provided a response to all the possible infractions	Possible infractions: XX. Response received: YY.	
			N/C	CPC has not provided a response to the possible infractions	Possible infractions: XX. Response received: 0.	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
8.5.		ROP fee	C	CPC has paid the ROP fee	Received [Date].	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
	Res. 19/06 (Annex 4, p. 13)		P/C	CPC has paid the ROP fee but still outstanding	Received [Date]; has outstanding payment.	2020
			N/C	CPC has not paid the ROP fee	Has not paid the IOTC ROP fee.	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
9. Observers						
9.1.	Res. 11/04 (9)	Regional Observer Scheme (No. of vessels monitored and coverage by gear type)	C	CPC has provided the number. of vessels monitored and coverage by gear type	Had [XX] vessels active in 2019; Number of vessels monitored: [XX]; Coverage by gear type A [XX] %	2019
			P/C	CPC has provided the number. of vessels monitored and coverage by gear type, CPC has provided only one of the information: Number. of vessels monitored or coverage by gear type	Had [XX] vessels active in 2019; Has provided number of vessels monitored [XX] but no coverage provided. or Has provided coverage by gear type A [XX] % but number of vessel monitored not provided	
			N/C	CPC has not provided the number of vessels monitored and coverage by gear type,	Had [XX] vessels active in 2019; no information provided.	
			N/A	CPC has no vessel in the IOTC Record of authorised vessels or active	No vessel on the IOTC Record of authorised vessels or active in 2019.	
9.2.	Res. 11/04 (2)	5% Mandatory, at sea (all vessels) ²	C	CPC has provided coverage => 5%	Coverage by gear type A [XX] %	2019
			P/C	CPC has provided coverage but below 5%	Coverage by gear type A [XX] %	
			N/C	CPC has not provided information on coverage.	No information provided.	
			N/A	CPC has no vessel in the IOTC Record of authorised vessels or active	No vessel in the IOTC Record of authorised vessels or active in 2019.	
9.3.	Res. 11/04 (4)	5 % Phasing in Artisanal landings	C	CPC has provided data on landings and coverage => 5 %.	Coverage by gear type A [XX] %	2019
			P/C	CPC has provided data on landings and coverage < 5 %.	Coverage by gear type A [XX] %	
			N/C	CPC has not provided data on landing and information on coverage.	No information provided.	
			N/A	CPC is not an IOTC coastal State	Not an IOTC coastal State	
9.4.	Res. 11/04 (11)	Observer reports	C	CPC has provided observer reports	[XX] Observer reports provided	2019
			P/C	CPC has provided observer report but not according to the template or with substantial amount of information missing	[XX] Observer reports provided; not at IOTC standard	
			N/C	CPC has not provided observer reports	No observer report provided	
			N/A	CPC has no vessel in the IOTC Record of authorised vessels or active	No vessel on the IOTC Record of authorised vessels or active in 2019.	
10. Statistical document programme						
10.1.	Res. 01/06 (5)	1 st Semester report	C	CPC import BET and has provided the first semester report for 2020	Report received [Date]	1st semester 2020
			P/C	CPC import BET and has provided the first semester report for 2020, but some information missing	Report received [Date]; Not at IOTC standard	
			N/C	CPC import BET but has not provided the first semester report for 2020	Mandatory report not provided.	
			N/A	CPC does not import BET	Does not import BET.	
10.2.		2 nd Semester report	C	CPC import BET and has provided the second semester report for 2019	Report received [Date]	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
	Res. 01/06 (5)		P/C	CPC import BET and has provided the second semester report for 2019, but some information missing	Report received [Date]; Not at IOTC standard	2nd semester 2019
			N/C	CPC import BET but has not provided the second semester report for 2019	Mandatory report not provided.	
			N/A	CPC does not import BET.	Does not import BET.	
10.3.	Res. 01/06 (6)	Annual report	C	CPC has provided the annual report.	Report received [Date]	2019
			P/C	CPC has provided the annual report for 2019, but some information missing.	Report received [Date]; Not at IOTC standard	
			N/C	CPC has not provided the annual report for 2019	Mandatory report not provided.	
			N/A	CPC does not export BET, or does not have authorised or active LSTLV.	No authorised or active LSTLV in 2019	
10.4.	Res. 01/06 (2)	Information on authorised institutions and personnel	C	CPC has provided information on authorised institutions and personnel.	Last update [Date]	2020
			P/C	CPC has provided information on authorised institutions and personnel but some information missing.	Last update [Date]; Missing information [XX]	
			N/C	CPC has not provided information on authorised institutions and personnel.	Mandatory information not provided. Exported [XX] kg BET to [Country code].	
			N/A	CPC does not export BET, or does not have authorised or active LSTLV.	No authorised or active LSTLV in 2020.	
11. Port inspection						
11.1.	Res. 05/03 (8)	Port inspection programme	C	CPC has provided report on landings of foreign vessels in its ports	Report received [Date]	2019
			P/C	CPC has provided report on landings of foreign vessels in its ports; but some missing information, or aggregated by species or by vessel or gear	Report received [Date]; Missing information [XX]	
			N/C	CPC has not provided report on landings of foreign vessels in its ports	Mandatory report not provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise landings by FFV in its ports	Not an IOTC coastal State / does not authorise landings by FFV in its ports.	
11.2.	Res. 16/11 (5.1 & 19.1a)	List of designated ports	C	CPC has designated ports	Has designated [XX] ports: [Name]	2020
			P/C	CPC has designated ports, but some information missing	Report received [Date]; Missing information [XX]	
			N/C	CPC is receiving FFV in port, but has not designated ports	Has received FFV in port, but has not designated port	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise entry of FFV in its ports	Not an IOTC port State / does not authorise entry of FFV in its ports..	
11.3.	Res. 16/11 (5.1 & 19.1c)	Designated Competent Authority	C	Details of CA reported	Report received [Date]	2020
			P/C	Details of CA reported, but some information missing	Report received [Date]; Missing information [XX]	
			N/C	CPC is receiving FFV in its port, but has not designated a CA	Mandatory information not provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise entry of FFV in its ports	Not an IOTC port State / does not authorise entry of FFV in its ports..	
11.4.	Res. 16/11 (5.1 & 19.1b)	Prior notification periods	C	CPC has reported notification period	Report received [Date]	2020
			P/C	CPC has reported notification period, but not for all designated ports.	Missing notification period for port [XX]	
			N/C	CPC is receiving FFV in port, but has not defined notification period	Mandatory information not provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise entry of FFV in its ports	Not an IOTC port State / does not authorise entry of FFV in its ports.	
11.5.		Inspection report	C	CPC has provided inspection report	Source – e.g. IOTC-2019-CoC16-CQxx:	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
	Res. 16/11 (13.1)				Call in port: XX ; Foreign vessel inspected: YY ; LAN/TRX monitored: ZZ. XX reports provided in 2020.	2020
			P/C	CPC has provided inspection report but not at IOTC standard	Source -e.g. IOTC-2019-CoC16-CQxx: Call in port: XX ; Foreign vessel inspected: YY ; LAN/TRX monitored: ZZ. XX reports provided in 2020; not at IOTC standard (Annex 3 of Res 16/11).	
			N/C	CPC is receiving FFV in its port, but has not provided inspection report.	No inspection report provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise entry of FFV in its ports.	Not an IOTC port State / does not authorise entry of FFV in its ports.	
11.6.	Res. 16/11 (10.1)	At least 5% inspection of LAN or TRX	C	CPC has inspected 5% or more of LAN/TRX	Source - e.g. IOTC-2019-CoC16-CQxx: =>5% LAN/TRX inspected.	2020
			P/C	CPC has inspected less that 5% of LAN/TRX	Source - e.g. IOTC-2019-CoC16-CQxx: <5% LAN/TRX inspected.	
			N/C	CPC has not conducted inspection of LAN/TRX.	No information provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise entry of FFV in its ports.	Not an IOTC port State / does not authorise entry of FFV in its ports.	
11.7.	Res. 16/11 (7.3)	Denial of entry in port	C	CPC has provided information on denial.	Source – e.g. IOTC-2019-CoC16-CQxx: has reported [xx] or no foreign vessel was denied entry in port.	2020
			P/C	CPC has denied FFV entry to its port, but did not communicate the denial to the IOTC Secretariat in a timely manner.	Source – e.g. IOTC-2019-CoC16-CQxx: has reported [xx] FFV were denied entry into port.its port, but did not communicate the denial to the IOTC Secretariat.	
			N/C	CPC has not provided information on denial.	No information provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise entry of FFV in its ports.	Not an IOTC port State / does not authorise entry of FFV in its ports.	