



Report of the 4th Session of the IOTC Working Party on Implementation of Conservation and Management Measures

Held by video-conference, 15–17 February 2021

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Acronyms

CDS	Catch Documentation Scheme
CMM	Conservation and Management Measure (of the IOTC; Resolutions and Recommendations)
CoC	Compliance Committee
CPCs	Contracting Parties and Cooperating Non-Contracting Parties
EU	European Union
EEZ	Exclusive Economic Zone
FAD	Fish Aggregating Device
FAO	Food and Agriculture Organization of the United Nations
IOTC	Indian Ocean Tuna Commission
LSTLV	Large-scale Tuna Longline Vessel
MCS	Monitoring, Control and Surveillance
TBD	To be determined
VMS	Vessel Monitoring System
WP	Work Plan
WPICMM	Working Party on the Implementation of Conservation and Management Measures

HOW TO INTERPRET TERMINOLOGY CONTAINED IN THIS REPORT

The WPICMM Report has been written using the following terms and associated definitions so as to remove ambiguity surrounding how particular paragraphs should be interpreted.

Level 1: From a subsidiary body of the Commission to the next level in the structure of the Commission: RECOMMENDED, RECOMMENDATION: Any conclusion or request for an action to be undertaken, from a subsidiary body of the Commission (Committee or Working Party), which is to be formally provided to the next level in the structure of the Commission for its consideration/endorsement (e.g. from a Working Party to the Scientific Committee; from a Committee to the Commission). The intention is that the higher body will consider the recommended action for endorsement under its own mandate, if the subsidiary body does not already have the required mandate. Ideally this should be task specific and contain a timeframe for completion.

Level 2: From a subsidiary body of the Commission to a Contracting Party or Cooperating Non-Contracting Party (CPC), the IOTC Secretariat, or other body (not the Commission) to carry out a specified task:

REQUESTED: This term should only be used by a subsidiary body of the Commission if it does not wish to have the request formally adopted/endorsed by the next level in the structure of the Commission. For example, if a Committee wishes to seek additional input from a CPC on a particular topic, but does not wish to formalize the request beyond the mandate of the Committee, it may request that a set action be undertaken. Ideally this should be task specific and contain a timeframe for the completion.

Level 3: General terms to be used for consistency:

AGREED: Any point of discussion from a meeting which the IOTC body considers to be an agreed course of action covered by its mandate, which has not already been dealt with under Level 1 or level 2 above; a general point of agreement among delegations/participants of a meeting which does not need to be considered/adopted by the next level in the Commission's structure.

NOTED/NOTING: Any point of discussion from a meeting which the IOTC body considers to be important enough to record in a meeting report for future reference.

Any other term: Any other term may be used in addition to the Level 3 terms to highlight to the reader of the IOTC report, the importance of the relevant paragraph. However, other terms used are considered for explanatory/informational purposes only and shall have no higher rating within the reporting terminology hierarchy than Level 3, described above (e.g. **CONSIDERED**; **URGED**; **ACKNOWLEDGED**).

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EXECUTIVE SUMMARY					
The 4 th Session of the Indian Ocean Tuna Commission's (IOTC) Working Party on the Implementation of Conservation and Management Measures (WPICMM) was held by video-conference, from 15 to 17 February 2021. A total of 69 participants attended the Session. The meeting was opened by the Chairperson, Mr Roy Clarisse (Seychelles), who welcomed participants to the Session.					
The following is a subset of the recommendations from the WPICMM04, which are provided in full at Appendix 6:					
WPICMM04.01 (Para. 17) The WPICMM04 RECOMMENDED the fleet of Oman provide the result of their investigation with their response to the possible infraction to the IOTC Secretariat before the 15 th of March 2021.					
WPICMM04.02 (Para. 18). The WPICMM04 FURTHER RECOMMENDED that the IOTC Secretariat prepare a paper on the results of investigations that have not been provided (Oman) for WPICMM04 for the next Compliance Committee (CoC18).					
WPICMM04.03 (Para. 19) The WPICMM04 RECOMMENDED that the IOTC Secretariat prepare a paper on the outcomes of the assessments of the possible infractions presented to the WPICMM04, for the next Compliance Committee (CoC18).					
WPICMM04.04 (<u>Para. 20</u>) The WPICMM04 RECOMMENDED the CoC18 discusses the treatment of photographic evidence while responding to possible infractions.					
WPICMM04.05 (<u>Para. 21</u>) The WPICMM04 RECOMMENDED that the IOTC Secretariat prepare a paper on repeated possible infractions to the next WPICMM.					
WPICMM04.06 (Para. 28) Following assessment, the WPICMM04 RECOMMENDED :					
 Continuation of IOTC's compliance support missions, and data compliance support missions; 					
 Reminders from the IOTC Secretariat to CPCs on impending deadlines for reporting requirements at least one month in advance. 					
WPICMM04.07 (Para. 31)The WPICMM04 RECOMMENDED that item 9 of the Work Plan be deleted, since the timing of the availability of the draft IUU list precludes the WPICMM from performing the task in time for the CoC. Subject to approval by CoC18, the WPICMM04 FURTHER RECOMMENDED that two new items be added to the Work Plan (Appendix 4):					
 Review implementation of the yellowfin tuna catch limits (Res 19/01); and 					
 Review implementation of the regional observer scheme (Res 11/04). 					
WPICMM04.09 (Para. 37) The WPICMM04 RECOMMENDED that the IOTC Secretariat compile the Compliance Reports for the CoC18 using the assessment criteria as amended and endorsed by the WPICMM04, which can be accessed through the link in <u>Appendix 5.</u>					
 WPICMM04.10 (Para. 40) The WPICMM04 RECOMMENDED CPCs to provide comments, taking into consideration the discussions of the WPICMM04, to the European Union and the IOTC Secretariat on the proposal to amend Appendix V of the IOTC Rules of Procedure by the 15th of March 2021. 					

1. OPENING OF THE SESSION

 The 4th Session of the Indian Ocean Tuna Commission's (IOTC) Working Party on Implementation of Conservation and Management Measures (WPICMM) was held by video-conference, from 15 to 17 February 2021. A total of 69 participants attended the Session. The list of participants is provided at <u>Appendix 1</u>. The meeting was opened by the Chairperson of the WPICMM, Mr Roy Clarisse (Seychelles), who welcomed participants to the video-conference and thanked them for their presence for the fourth session of the WPICMM.

2. ADOPTION OF THE AGENDA AND ARRANGEMENTS FOR THE SESSION

2. The WPICMM04 **ADOPTED** the agenda provided in <u>Appendix 2</u>.

3. IMPLEMENTATION OF THE **WPICMM** WORK PLAN

- 3.1 Progress report on the implementation of the WPICMM work plan and on the recommendations of the WPICMM03
- 3. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-03</u> that describes the progress on the implementation of the WPICMM Work Plan and on the recommendations of the WPICMM03.
- 4. The WPICMM04 **NOTED** the presentation made by the IOTC Secretariat regarding the progress made in the implementation of the WPICMM Work Plan where:
 - 28 actions are pending implementation;
 - 24 actions are ongoing; and
 - 32 actions have been fully implemented.
- 5. Furthermore, the WPICMM04 **NOTED** the implementation of the recommendations that WPICMM03 made to the CoC17, where:
 - 4 recommendations are ongoing in terms of their implementation; and
 - 7 recommendations have been fully implemented.
- 3.2 Review the list of Large-scale Tuna Longline Vessels (LSTLVs)/carrier vessels presumed to have committed infractions against IOTC CMMs under the at-sea transhipment programme and recommend actions [component 10, sub-component 17.2 of WP]
- 6. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-04a</u> that provides a review of possible infractions detected under the Regional Observer Programme in 2020.
- 7. The WPICMM04 **NOTED** that a total of 110 deployments were approved in 2020; 22 of which were observed and 88 unobserved.
- 8. The WPICMM04 **NOTED** that for the year 2020, a total of 59 possible infractions were reported under the Programme. The WPICMM04 **FURTHER NOTED** that deployments of observers were suspended in late March 2020, due to travel restrictions caused by the COVID-19 pandemic. Consequently, this led to a reduction in the number of inspections of large-scale tuna longline vessels and of observations of at-sea transhipments.
- 9. The WPICMM04 **NOTED** that one CPC, Oman, has not submitted the results of their investigations for 2020, and **RECALLED** that Oman had already failed to provide a response for WPICMM to the possible infractions detected under the Regional Observer Programme in 2019.
- 10. The WPICMM04 **NOTED** that five fleets, China, Japan, Korea, Seychelles and Taiwan, Province of China, provided their responses before the deadline of 15th January 2021.
- 11. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-04b</u> that provides information on results of investigations received from the fleets on the possible infractions detected under the Regional Observer Programme in 2020.
- 12. The WPICMM04 **NOTED** that there were 41 responses that were assessed as "appropriate action taken by the fleet". There were two responses that were assessed as "not considered as possible infractions".

- 13. The WPICMM04 **NOTED** that the IOTC Secretariat has not assessed the possible infractions of four Japan LSTLVs and 11 Taiwan, Province of China LSTLVs.
- 14. The WPICMM04 **ASSESSED** that the responses be considered to have been appropriate because photographs are not mandatory when providing the result of an investigation related to a possible infraction.
- 15. The WPICMM04 **AGREED** that if the same possible infractions are observed on the same vessel more than once during the same deployment, they should be counted as one possible infraction if the observations have been adequately addressed and reported on.
- 16. The WPICMM04 **EXPRESSED** its concerns about the continued absence of some participating fleets from the meetings of the WPICMM and **ENCOURAGED** participation of all the concerned fleets to the WPICMM.
- 17. The WPICMM04 **RECOMMENDED** the fleet of Oman provide the result of their investigation with their response to the possible infraction to the IOTC Secretariat before the 15th of March 2021.
- 18. The WPICMM04 **FURTHER RECOMMENDED** that the IOTC Secretariat prepare a paper on the results of investigations that have not been provided (Oman) for WPICMM04 for the next Compliance Committee (CoC18).
- 19. The WPICMM04 **RECOMMENDED** that the IOTC Secretariat prepare a paper on the outcomes of the assessments of the possible infractions presented to the WPICMM04, for the next Compliance Committee (CoC18).
- 20. The WPICMM04 **RECOMMENDED** the CoC18 discusses the treatment of photographic evidence while responding to possible infractions.
- 21. The WPICMM04 **RECOMMENDED** that the IOTC Secretariat prepare a paper on repeated possible infractions to the next WPICMM.
- 22. The WPICMM04 **NOTED** the statement of India with regards to vessels that they suspect of carrying out IUU fishing in the IOTC Area of Competence. India's statement is provided in <u>Appendix 3</u>.

3.3 Assessment of CPCs on performance and implementation of CMMs [component 14, subcomponent 14.1 of WP]

- 23. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICM04-05</u> that provides participants of WPICMM04 with reports that outline assessments of the performance of CPCs and that provide recommendations on capacity building activities.
- 24. The WPICMM04 **NOTED** report <u>IOTC-2020-CoC17-03 Rev3</u> Summary report on the level of compliance and report <u>IOTC-2020-CoC17-10 Rev1</u> Summary report on Compliance Support Activities.
- 25. The WPICMM04 **NOTED** the benefits of capacity building efforts by the IOTC Secretariat, both on compliance and on data reporting.
- 26. The WPICMM04 **NOTED** that all compliance elements are weighted the same, whereas in reality some may be more important than others.
- 27. The WPICMM04 **NOTED** the opportunity for additional CPCs to participate in the ongoing pilot project to promote the implementation of the IOTC Regional Observer Scheme.
- 28. Following assessment, the WPICMM04 **RECOMMENDED**:
 - Continuation of IOTC's compliance support missions and data compliance support missions;
 - Reminders from the IOTC Secretariat to CPCs on impending deadlines for reporting requirements at least one month in advance.

3.4 Review and update of the WPICMM Work Plan

- 29. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-06</u> that provides an update on the status of the Work Plan, as at January 2021.
- 30. The WPICMM04 **CONSIDERED** the need to add additional items of importance to the Work Plan and **NOTED** the limitations that CPCs have in time and resources in addressing too many issues through the WPICMM.

- 31. The WPICMM04 **RECOMMENDED** that item 9 of the Work Plan be deleted, since the timing of the availability of the draft IUU list precludes the WPICMM from performing the task in time for the CoC. Subject to approval by CoC18, the WPICMM04 **FURTHER RECOMMENDED** that two new items be added to the Work Plan (Appendix 4):
 - Review the implementation of the yellowfin tuna catch limits (Res 19/01); and
 - Review the implementation of the regional observer scheme (Res 11/04).
- 32. The WPICMM04 **RECOMMENDED** that the WPICMM discuss the possibility of reviewing other Conservation and Management Measures (CMMs) in future WPICMM meetings.

4. REVIEW OF THE ASSESSMENT CRITERIA FOR THE 2021 CPC COMPLIANCE REPORTS

- 33. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-07</u> that introduced the new reporting requirements to be included in the Compliance Report for the next Compliance Committee.
- 34. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-07 Add1</u> that details the assessment criteria, including the newly added reporting requirements, and paper <u>IOTC-2021-WPICMM04-07 Add2</u> that provides the template for compiling the Compliance Report for the next Compliance Committee.
- 35. The WPICMM04 **DISCUSSED** the criteria to be applied for the compliance assessment for paragraphs 4 and 24 of Resolution 19/02.
- 36. The WPICMM04 **REQUESTED** that the IOTC Secretariat apply the following assessment criteria for paragraphs 4 and 24 of Resolution 19/02:

C: Compliant

Has always respected the limit of 300 FADs active per vessel during the reporting year

Has always provided daily information on active FADs

P/C: Partially compliant

Generally respected limit of 300 FADs active per vessel but has failed on one occasion provided that a justification is given.

Has generally provided daily information on active number of FADs active per vessel, except for one month and/or for one vessel, provided that a justification is given.

Has provided daily information on active FADs but not at IOTC standards.

N/C: Non-compliant

Has not respected the limit of 300 FADs active per vessel.

Has not provided daily information on active number of FADs.

37. The WPICMM04 **RECOMMENDED** that the IOTC Secretariat compile the Compliance Reports for the CoC18 using the assessment criteria as amended and endorsed by the WPICMM04, which can be accessed through the link in <u>Appendix 5</u>.

5. PROPOSAL TO AMEND APPENDIX V OF THE IOTC RULES OF PROCEDURE

- 38. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-08</u> that proposes to amend Appendix V of the IOTC Rules of Procedure.
- 39. The WPICMM04 **ACKNOWLEDGED** the work of the European Union in the proposal and **NOTED** that in the absence of a proper supporting/guiding framework, there may be a risk in some cases of a CPC being assessed as non-compliant and penalised twice over the same requirement.
- 40. The WPICMM04 **RECOMMENDED** CPCs to provide comments, taking into consideration the discussions of the WPICMM04, to the European Union and the IOTC Secretariat on the proposal to amend Appendix V of the IOTC Rules of Procedure by the 15th of March 2021.

6. PROGRESS OF WORK AND PLAN FOR 2021 OF THE VESSEL MONITORING SYSTEM (VMS) WORKING GROUP

- 41. The WPICMM04 **NOTED** the absence of a paper for this agenda item.
- 42. The WPICMM04 **NOTED** the Chair of the VMS Working Group had not participated in WPICMM03 nor the present WPICMM04 and **FURTHER NOTED** that the IOTC Secretariat was not aware if the Working Group had met.
- 43. The WPICMM04 **STRESSED** the importance of the Working Group's work and **EXPRESSED** serious concerns over the slow progress made thus far by the Working Group and its wish for the work to start as soon as possible, taking into account the Consultant's report and the proposals of the VMS Steering Group.
- 44. The WPICMM04 **RECOMMENDED** that the IOTC Secretariat write to the Chair of the Working Group to elicit his interest in steering the work of the VMS Working Group.

7. PROGRESS OF WORK AND PLAN FOR 2021 OF THE CATCH DOCUMENTATION SCHEME (CDS) WORKING GROUP

- 45. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-10 Rev1</u>, which provides progress of work and Terms of Reference for the Catch Documentation Scheme Working Group.
- 46. The WPICMM04 **ACKNOWLEDGED** the work done by the Catch Documentation Scheme Working Group and by its Chair.
- 47. The WPICMM04 **ENCOURAGED** members of the Catch Documentation Scheme Working Group to consider the nomination of its next Chair, considering that the current Chair will no longer be able to continue in the position after June 2021.
- 48. The WPICMM04 **NOTED** the next meeting of the Catch Documentation Scheme Working Group on 26th to 28th of April 2021, **INVITED** contributions for the agenda and **ENCOURAGED** CPCs to attend.

8. E-MARIS – PROGRESS OF WORK AND PRESENTATION OF THE APPLICATION (DEMO)

- 49. The WPICMM04 **NOTED** paper <u>IOTC-2021-WPICMM04-11 Rev1</u>, which provides the rationale, scope, organisation, current status and plan for the Electronic Monitoring and Reporting Information System (e-MARIS).
- 50. The WPICMM04 **NOTED** the IOTC Secretariat's presentation and **FURTHER NOTED** the demonstration by the consultants.
- 51. The WPICMM04 **ACKNOWLEDGED** the potential usefulness and power of the application and **THANKED** the IOTC Secretariat and the developers.
- 52. The WPICMM04 **NOTED** that e-MARIS will be able to cross-reference different requirements and prefill parts of certain documents such as the Compliance Questionnaire, the Implementation Report and the National Scientific Report, as appropriate and **FURTHER NOTED** that it will alert CPCs to gaps in submissions, and that further applications can be integrated.
- 53. The WPICMM04 **NOTED** that e-MARIS is essentially a reporting tool that will allow links with other IOTC systems, but it is not intended as a repository of all CPC data received and processed at the IOTC Secretariat.
- 54. The WPICMM04 **NOTED** that requirements and contents can easily be introduced into the e-MARIS, allowing for flexibility for new or amended reporting requirements.
- 55. The WPICMM04 **NOTED** that there was a one-year period foreseen for trial after delivery and before full roll out.
- 56. The WPICMM04 **RECOMMENDED** that trials of e-MARIS include both developed and developing CPCs to give sufficient time for them to be trained and become familiar with it.

9. ANY OTHER BUSINESS

9.1 Election of a Chairperson and a Vice-Chairperson for the next biennium

- 57. The WPICMM04 **THANKED** and **CONGRATULATED** the outgoing Chair, Mr Roy Clarisse, on his guidance over the four sessions of the WPICMM that he presided over.
- 58. **NOTING** the Rules of Procedure (2014), the WPICMM04 **ELECTED** Mr Benedict Kiilu (Kenya) to the position of Chairperson for the next biennium (2022 2023).
- 59. The WPICMM04 was unable to elect a Vice-Chairperson and **REQUESTED** the WPICMM05 to elect a Vice-Chair for the next biennium (2022 2023).

9.2 Date and place of the 5th and 6th Sessions of the Working Party on Implementation of CMMs

60. The WPICMM04 **AGREED** on dates for the meeting in 2022, and **FURTHER AGREED** that this be held virtually.

Future meeting schedule

	2022			2023		
Meeting	No.	Date	Host Country	No.	Date	Host Country
Working Party on Implementation of CMMs (WPICMM)	5 th	15-17 February 2022	Virtual	6 th	TBD	TBD

9.3 Review of the draft, and adoption of the Report of the Fourth Session of the Working Party on Implementation of CMMs

- 61. The WPICMM04 **RECOMMENDED** that the Compliance Committee consider the consolidated set of recommendations arising from WPICMM04, provided at <u>Appendix 6</u>.
- 62. The report of the 4th Session of the Working Party on the Implementation of Conservation and Management Measures (IOTC-2021-WPICMM04-R) was **ADOPTED** on 12 March 2021.

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COPERATING NON-CONTRACTING PARTIES SENEGAL Absent

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APPENDIX 2 ADOPTED AGENDA

AGENDA: FOURTH MEETING OF THE WORKING PARTY ON THE IMPLEMENTATION OF CONSERVATION AND MANAGEMENT MEASURES

V2 - 07 February 2020

Date: 15–17 February 2021

Location: Online

Platform: Zoom

Time: 1100–1500 hrs daily (Seychelles time)

Chair: Mr. Roy Clarisse (Seychelles)

Vice chair: Mr. Benedict Kiilu (Kenya)

- 1. OPENING OF THE SESSION (Chair)
- 2. ADOPTION OF THE AGENDA AND ARRANGEMENTS FOR THE SESSION (Chair)
- 3. ADMISSION OF OBSERVERS (Chair)
- 4. IMPLEMENTATION OF THE WPICMM WORKPLAN (Secretariat/Plenary)
 - 4.1 Progress report on the implementation of the WPICMM work plan and on the recommendations of the WPICMM03 (Secretariat)
 - 4.2 Review the list of Large-Scale Tuna Longline Vessels (LSTLVs)/carrier vessels presumed to have committed infractions against IOTC CMMs under the at-sea transhipment programme and recommend actions [component 10, sub-component 17.2 of WP] (Secretariat/Plenary)
 - 4.3 Assessment of CPCs on performance and implementation of CMMs [component 14, sub-component 14.1 of WP] (Secretariat/Plenary)
 - 4.4 Review and update of the WPICMM Work Plan (Secretariat/Plenary)
- 5. REVIEW OF THE ASSESSMENT CRITERIA FOR THE 2021 CPCs' COMPLIANCE REPORT (Secretariat/Plenary)
- 6. PROPOSAL TO AMEND APPENDIX V OF THE IOTC RULES OF PROCEDURE (European Union/Plenary)
- 7. PROGRESS OF WORK AND PLAN FOR 2021 OF THE VESSEL MONITORING SYSTEM (VMS) WORKING GROUP (VMS WG Chair/Plenary)
- 8. PROGRESS OF WORK AND PLAN FOR 2021 OF THE CATCH DOCUMENTATION SCHEME (CDS) Working Group (CDS WG Chair/Plenary)
- 9. E-MARIS PROGRESS OF WORK AND PRESENTATION OF THE APPLICATION (DEMO) (e-Maris development team/Plenary)

10. ANY OTHER BUSINESS (Chair)

- **10.1** Election of a Chairperson and a Vice-Chairperson for the next biennium (Chair/CPCs)
- 10.2 Date and place of the 5th and 6th Sessions of the WPICMM (Chair/CPCs)
- 10.3 Review of the draft, and adoption of the Report of the Fourth Session of the WPICMM (Chair)

APPENDIX 3 STATEMENT OF INDIA

[During WPICMM04 held on 15-17 Feb, 2021 through VC]

Dear Chair of the WPICMM,

The delegation of India wishes to place on record the observation of its national monitoring center regarding activities of large scale fishing vessels (LSFVs) in the high seas falling within the IOTC area of competence. While fishing activities within the national jurisdiction are monitored by the maritime and fishery agencies of the respective coastal states (CPCs), it is noticed that fishing activities in the areas beyond the national jurisdiction (ABNJ) that fall within the IOTC area of competence are being hardly monitored. The presence of large number of LSFVs are observed in the high seas of Indian Ocean Region (IOR), and majority of such fishing vessels are not in the IOTC list of authorized fishing vessels (AFVs), which is a matter of deep-concern. If we take an example of presence of such LSFVs for the Month of January, 2021, it is observed that there were 704 active LSFVs in the high seas of IOR (Arabian Sea, Indian Ocean & Bay of Bengal), out of it only 183 were AFVs. Similarly, 18 reefers were observed carrying out mid-sea meeting with LSFVs in IOR in Jan 21. Of these, only eight were found to be in the IOTC authorized vessels list of fish carriers [*the details of these LSFVs shall be shared by India with the IOTC Secretariat*]. Such ambiguous presence of unauthorized LSFVs in the IOTC area of competence undermines the objective of the IOTC Agreement. Besides, the possibilities of illegal, unreported and unregulated (IUU) fishing by these LSFVs in the IOTC area of competence may not be ruled out, as was observed from pattern of their movements, vessel-speed etc.

The IOTC Working Party on the implementation of conservation and management measures (WPICMM) constituted as per RESOLUTION 17/02 is *inter alia* mandated to examine Monitoring, Control and Surveillance (MCS) technical matters in order to provide the Compliance Committee with options for strengthening MCS; Review and assess the effectiveness and practical aspects of implementation of CMMs adopted by the Commission in order to identify deficiencies and implementation constraints faced by CPCs, and to recommend options for amendments; Develop a harmonized assessment criteria to identify vessels presumed to have engaged in IUU fishing activities; Monitor the development of, and recommend further actions for the IOTC list of vessels presumed to have engaged in IUU fishing activities; (LSTLVS)/carrier vessels presumed to have committed infractions of IOTC CMMs.

In view of the above mentioned mandate and role of the WPICMM, it is suggested that an enabling regional mechanism for information sharing and high sea monitoring may be worked out by the WPICMM to prevent, deter and eliminate IUU fishing from the high seas in the IOTC area of competence. The effectiveness and practical aspects of implementation of concerned IOTC CMMs may be reviewed. In case a new CMM is required for the purpose, the same may also be considered. The WPICMM may consider including the above item in its Work Plan for future meetings.

APPENDIX 4 REVISED WORK PLAN OF THE WPICMM

The WIPCMM Work Plan reviewed by the WPICMM04 is available HERE

APPENDIX 5 Assessment Criteria

The assessment criteria reviewed by the WPICMM04 are available HERE

Compliance report template for the CoC18 reviewed by the WPICMM04 is available HERE

APPENDIX 6

CONSOLIDATED SET OF RECOMMENDATIONS ARISING FROM WPICMM04

- WPICMM04.01 (Para. 17) The WPICMM04 **RECOMMENDED** the fleet of Oman provide the result of their investigation with their response to the possible infraction to the IOTC Secretariat before the 15th of March 2021.
- WPICMM04.02 (<u>Para. 18</u>). The WPICMM04 **FURTHER RECOMMENDED** that the IOTC Secretariat prepare a paper on the results of investigations that have not been provided (Oman) for WPICMM04 for the next Compliance Committee (CoC18).
- WPICMM04.03 (Para. 19) The WPICMM04 **RECOMMENDED** that the IOTC Secretariat prepare a paper on the outcomes of the assessments of the possible infractions presented to the WPICMM04, for the next Compliance Committee (CoC18).
- WPICMM04.04 (Para. 20) The WPICMM04 **RECOMMENDED** the CoC18 discusses the treatment of photographic evidence while responding to possible infractions.
- WPICMM04.05 (Para. 21) The WPICMM04 **RECOMMENDED** that the IOTC Secretariat prepare a paper on repeated possible infractions to the next WPICMM.
- WPICMM04.06 (Para. 28) Following assessment, the WPICMM04 RECOMMENDED:
 - Continuation of IOTC's compliance support missions, and data compliance support missions;
 - Reminders from the IOTC Secretariat to CPCs on impending deadlines for reporting requirements at least one month in advance.
- WPICMM04.07 (Para. 31) The WPICMM04 **RECOMMENDED** that item 9 of the Work Plan be deleted, since the timing of the availability of the draft IUU list precludes the WPICMM from performing the task in time for the CoC. Subject to approval by CoC18, the WPICMM04 **FURTHER RECOMMENDED** that two new items be added to the Work Plan (Appendix 4):
 - Review implementation of the yellowfin tuna catch limits (Res 19/01); and
 - Review implementation of the regional observer scheme (Res 11/04).

WPICMM04.08 (Para. 32) The WPICMM04 **RECOMMENDED** that the WPICMM discuss the possibility of reviewing other Conservation and Management Measures (CMMs) in future WPICMM meetings.

- WPICMM04.09 (Para. 37) The WPICMM04 **RECOMMENDED** that the IOTC Secretariat compile the Compliance Reports for the CoC18 using the assessment criteria as amended and endorsed by the WPICMM04, which can be accessed through the link in <u>Appendix 5</u>.
- WPICMM04.10 (<u>Para. 40</u>) The WPICMM04 **RECOMMENDED** CPCs to provide comments, taking into consideration the discussions of the WPICMM04, to the European Union and the IOTC Secretariat on the proposal to amend Appendix V of the IOTC Rules of Procedure by the 15th of March 2021.
- WPICMM04.11 (Para. 44) The WPICMM04 **RECOMMENDED** that the IOTC Secretariat write to the Chair of the Working Group to elicit his interest in steering the work of the VMS Working Group.
- WPICMM04.12 (Para. 56) The WPICMM04 **RECOMMENDED** that trials of e-MARIS include both developing and developing CPCs to give sufficient time for them to be trained and become familiar with it.