

PROGRESS REPORT ON THE IMPLEMENTATION OF THE WPICMM WORK PLAN AND ON THE RECOMMENDATIONS OF THE WPICMM04

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PURPOSE

To provide participants at the WPICMM05 with an update on the progress made during the 2021/2022 intersessional period on:

- 1) implementing the work plan of the Working Party on Implementation of Conservation and Management Measures (WPICMM) since its adoption at the previous meeting and to provide recommendations for update of activities and implementation timelines,
- 2) the recommendations of the WPICMM04, which were endorsed by the Compliance Committee (CoC18).

BACKGROUND

At the 4th Session of the WPICMM, participants agreed on a series of actions to be taken by CPCs and the IOTC Secretariat on a range of issues which were captured in the Work Plan.

The WPICMM04 made 12 recommendations for the consideration of the Compliance Committee (CoC18).

DISCUSSION

IMPLEMENTATION OF THE WORK PLAN OF THE WPICMM

The column on the far right, of the below table, present the status of implementation of each activity as of 15 January 2022.

1	Examine all aspects of CPCs technical implementation of CMMs and recommending ways to enhance the level of implementation	
1.1	Produce dashboard of compliance with CMMs for all CPCs and have this online	Status
1.1.1	Create dashboard showing compliance with CMMs from national reports and put on secured part of the IOTC website so it is visible to all CPCs	<p>[On going] This activity is being implemented under the e-MARIS project. Four IT experts have been recruited by SWIOFISH2 WB Project.</p> <p>The e-MARIS project is currently in the Phase II which is expected to produce the following deliverables. The timelines below include only major milestones and do not describe the work leading to them:</p> <ul style="list-style-type: none"> • June 2021: e-MARIS version 1.0 delivered to IOTC Secretariat (as per current Work Plan). • September 2021: Kick-off of Phase 2. • October-December 2021: Pilot 1 –IOTC Secretariat and selected CPC testing, feedback and usage review. • March-May 2022: Pilot 2 – testing open to IOTC Secretariat and all interested CPCs, feedback and usage review.

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		• August 2022: e-Maris official rollout.
1.1.2	CPCs to add information to dashboard by reporting deadlines	[On going] This activity is being implemented under the e-MARIS project.
1.1.3	Rank the CMMs/reporting requirements by priority (i.e. which are most critical to report upon)	[Implemented] Analyses provided in Consultant's report, IOTC-2019-WPICMM02-Compliance review.
1.1.4	Define a weighting scheme to the P/C assessment of the reporting requirements	[Implemented] Analyses provided in Consultant's report, IOTC-2019-WPICMM02-Compliance review.
1.2	Prioritise CMMs with lowest implementation levels to determine common issues with compliance	Status
1.2.1	Use CPCs Compliance Assessment results to determine common challenges and difficulties with compliance	[Implemented] Considered in document IOTC-2019-WPICMM02-04 and Consultant's report IOTC-2019-WPICMM02-Compliance review.
1.2.2	Follow up with interviews with CPCs to gather more detail	[Implemented] CPCs provided more details during WPICMM02
1.2.3	Recommend a plan to improve compliance could include; specific training events, amendments to reporting requirements, data analysis assistance	[Pending] WPICMM05 to make recommendations.
2	Examine Monitoring, Control and Surveillance (MCS) technical matters in order to provide the Compliance Committee with options for strengthening MCS	
2.1	<i>Improve IOTC MCS scheme</i>	Status
2.1.1	Review CPCs national MCS scheme and current MCS practices	[Implemented] Considered in paper IOTC-2019-WPICMM02-05 and consultant report IOTC-2019-WPICMM02-VMS study & IOTC-2019-WPICMM02-MCS study. [Pending] Recruitment of consultant to review CPCs national MCS scheme and current MCS practices.
2.1.2	Review existing IOTC MCS tools	[Implemented] Considered in paper IOTC-2019-WPICMM02-05 and consultant report IOTC-2019-WPICMM02-VMS study & IOTC-2019-WPICMM02-MCS study.
2.1.3	Review upcoming MCS reports comprising of reports on VMS and CDS	[Implemented] Report presented at WPICMM02 for review.
2.1.4	Recommendation that the VMS and CDS study reports are submitted to the WPICMM	[Implemented] Report presented at WPICMM02 for review.
2.1.4	Make recommendations on these reports for the Compliance Committee	[Implemented] WPICMM02 made recommendations.
3	Review the reporting requirements contained within CMMs in order to harmonize and streamline	
3.1	<i>Review reporting requirements in all CMMs</i>	Status
3.1.1	Assess and compare reporting requirements for all CMMs in other relevant RFMOs and harmonize	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
3.1.2	Undertake an assessment of existing IOTC reporting requirement in order to streamline the reporting by CPCs	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
3.1.3	Consider the report at WPICMM	[Implemented] Consultant report IOTC-2019-WPICMM02-Compliance review to be reviewed by WPICMM02
3.1.4	Provide recommendation to harmonize and streamline reporting requirements	[Implemented] WPICMM02 made recommendations.
4	Develop a methodology for the assessment of implementation by CPCs, for producing the Country Compliance Reports provided annually to the Compliance Committee and flag States	
4.1	<i>Determine significance of each CMM using a ranking measure</i>	Status

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4.1.1	Rank the reporting requirements of CMMs by priority (i.e. which are most critical to report upon)	[Implemented] Considered in paper IOTC-2019-WPICMM02-07 and consultant report IOTC-2019-WPICMM02-Compliance review.
4.1.2	Attribute a co-efficient to determine rank importance	[Implemented] Considered in paper IOTC-2019-WPICMM02-07 and consultant report IOTC-2019-WPICMM02-Compliance review.
4.1.2	WPICMM consider the report of the Secretariat to provide recommendations to the Compliance Committee	[Implemented] WPICMM02 made recommendations.
5	Review and assess the effectiveness and practical aspects of implementation of CMMs adopted by the Commission in order to identify deficiencies and implementation constraints faced by CPCs, and to recommend options for amendments	
5.1	<i>Determine which CMMs are overdue on assessment or no longer valid</i>	Status
5.1.1	Secretariat provides paper on validity of CMMs to the WPICMM	[Pending] Postponed to WPICMM06.
5.1.2	Provide recommendations to the Compliance Committee	[Pending] WPICMM06 to make recommendations to the Compliance Committee.
5.2	<i>Conduct reviews</i>	Status
5.2.1	Review each CMM using dashboard and reports	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
5.2.2	Use CPCs compliance reports from part 1 to identify constraints	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
5.2.3	Analyse baseline data compared to current data to review effectiveness.	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
5.2.4	Provide recommendations to the Compliance Committee	[Implemented] WPICMM02 made recommendations.
6	Propose actions to address deficiencies in implementation	
6.1	<i>Capacity building</i>	Status
6.1.1	Identify weaknesses of CPCs in capacity and ability to comply with reporting requirements.	[Pending] Postponed to WPICMM06.
6.1.2	Recommend training events by IOTC compliance officers to CPCs with most need (should be regular)	[On going] Training under the external assistance of SWIOFISH2 WB Project.
6.1.3	Recommend exchange programmes to increase skills in CPCs	[On going] PSM exchange implemented since 2019 (SWIOFISH2). On hold due to Covid 19.
6.1.4	Recommend the establishment of a permanent capacity building mechanism	[Pending] Postponed to WPICMM06.
6.1.5	Recommend outreach to fishing captains/masters to educate on CMMs and to gather information on problems with implementation	[Pending] Postponed to WPICMM06.
6.2	<i>Increase funding opportunities of CPCs</i>	Status
6.1.2	Identify funding sources from potential donors to facilitate opportunities for funding capacity building	[On going] SWIOFISH2 WB Project, EU Grant, tuna ABNJ project and WWF.
7	Development of minimum regional standards for implementation of CMMs	
7.1	<i>Assess CPCs implementation capacity for CMMs</i>	Status
7.1.1	Develop a tool and collect data from CPCs on their current implementation (status/procedures and capacity) of CMMs	[On going] This activity is being implemented under the e-MARIS project.
7.1.2	Analyze data and produce reporting requirements from all CPCs for implementation of CMMs	[On going] This activity is being implemented under the e-MARIS project.
7.2	<i>Prepare the minimum IOTC standards</i>	Status
7.2.1	Identify CMMs where IOTC standards have not been introduced and propose recommendation for inclusion of IOTC standard for CMMs	[Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.

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7.2.2	Provide recommendation to the Compliance Committee (CoC) on Resolution(s) to amend	[Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.
7.2.3	Develop minimum regional standards for implementation of CMMs	[Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.
7.2.4	Request clarification to the Compliance Committee on the development of minimum regional standards for implementation of CMMs	[Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.
8	Develop a harmonized assessment criteria to identify vessels presumed to have engaged in illegal, unreported and unregulated (IUU) fishing activities	
8.1.1	Establish a baseline for IUU fishing activities based on international recommendations	[Implemented] Considered in paper IOTC-2019-WPICMM02-09 and consultant report IOTC-2019-WPICMM02-Compliance review.
8.1.2	Gather information from CPCs on their National legislation on how IUU - fishing vessels determined against the Resolution 18/03	[Pending] Defer to 2023 (Priority medium).
8.1.3	Review the assessment criteria for identifying vessel presumed to have engaged in IUU fishing activities	[Pending] Defer to 2023 (Priority medium).
8.1.4	Request clarification to the Compliance Committee on the Development of a harmonized assessment criteria for identifying vessel presumed to have engaged in IUU fishing activities	[Implemented] Consultant report IOTC-2019-WPICMM02-Compliance review.
9	Monitor the development of, and recommend further actions for the IOTC list of vessels presumed to have engaged in illegal, unreported and unregulated (IUU) fishing activities, including where requested by the Compliance Committee or involved CPCs, a review of the evidence to be presented, where such evidence can be made available to the WPICMM	
9.1	<i>Actions for the IOTC list of vessels presumed to have engaged in illegal, unreported and unregulated (IUU)</i>	Status
9.1.1	Review of the evidence to be presented, where such evidence can be made available to the WPICMM	[Pending] Timing issue between the WPICMM and availability of draft IUU list.
9.1.2	Recommend actions for the CoC on the list of vessels presumed to have engaging in IUU fishing activities, including where requested by the Compliance Committee or involved CPCs,	[Pending] Timing issue between the WPICMM and availability of draft IUU list.
9.1.3	Monitor the development of recommended actions on the vessels that have been committed IUU	[Pending] Timing issue between the WPICMM and availability of draft IUU list.
10	Monitor the development of, and recommend actions for the list of Large Scale Tuna Longline Vessels (LSTLVs)/carrier vessels presumed to have committed infractions of IOTC CMMs, as recorded by observers deployed under the at-sea transshipment programme	
10.1	<i>List of possible infractions under the ROP</i>	Status
10.1.1	Review of the evidence to be presented on vessels presumed to have committed the infractions	[On going] Presented in paper IOTC-2022-WPICMM05-04.
10.1.2	Request for further evidence from the CPCs on the list of vessels presumed to have committed infractions	[On going] Presented in paper IOTC-2022-WPICMM05-04.
10.1.3	Recommend actions for the CoC on the list of vessels presumed to have committed infractions	[On going] Presented in paper IOTC-2022-WPICMM05-04.
10.1.4	Monitor the development of recommended actions on the vessels that have committed infractions.	[On going] Presented in paper IOTC-2022-WPICMM05-04.
10.1.5	Recommend that the list of possible infraction under the ROP is presented to the WPICMM	[On going] Presented in paper IOTC-2022-WPICMM05-04.
11	Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems	
11.1	<i>Design and implementation of national MCS systems</i>	Status

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11.1.1	Define minimum MCS requirement for relevant CMMs	[Implemented] Considered in paper IOTC-2019-WPICMM02-05a and b and consultant report IOTC-2019-WPICMM02-VMS, MCS, CDS study.
11.1.2	CPCs to provide a report/paper on their national MCS programs	[Implemented] Paper IOTC-2020-WPICMM03-05.
11.1.3	Draft a ToR for evaluation and formulation of CPCs national MCS programs (New action WIPICMM03)	[Pending] Postponed to WPICMM06.
11.1.4	Evaluation of CPCs national MCS programs against the minimum requirement for CMMs	[Implemented] Paper IOTC-2020-WPICMM03-05 - Template national MCS report.
11.1.5	Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems based on their capacity and need	[Implemented] Paper IOTC-2020-WPICMM03-05 - Template national MCS report.
11.1.6	Refine the minimum requirement taking into account the result of the evaluation in 11.1.3 (New action WIPICMM03)	[Implemented] Considered under paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.
12	Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of enforcement actions to ensure compliance with IOTC CMMs	
12.1	<i>Assist CPCs in the design and implementation of enforcement actions</i>	Status
12.1.1	Develop ToRs for assessment study of CPCs capacities on design and implementation of enforcement actions by the WPICMM	[Pending] Postponed to WPICMM06.
12.1.2	Conduct baseline capacity assessment of CPCs on design and implementation of enforcement actions	[Pending] Postponed to WPICMM06.
12.1.3	Assessment of the report by the WPICMM	[Pending] Postponed to WPICMM06.
12.1.4	Submission of recommendations to the Compliance Committee (CoC)	[Pending] Postponed to WPICMM06.
13	Development of regional capacity building mechanisms to assist CPCs to meet the regional minimum terms and conditions or standards for implementation of the CMMs	
13.1	<i>Regional capacity building mechanisms</i>	Status
13.1.1	Development of the Minimum Terms and Conditions/ Standards by the WPICMM	[Pending] Postponed to WPICMM06.
13.1.2	Submission of the Draft Minimum Terms and Conditions to the Compliance Committee	[Pending] Postponed to WPICMM06.
13.1.3	Draft the terms of reference for the regional capacity building mechanism	[Pending] Postponed to WPICMM06.
13.1.4	Submission of the ToRs to the Compliance Committee	[Pending] Postponed to WPICMM06.
14	Provide recommendations for the strengthening of the implementation of CMMs and capacity building activities, including compliance support missions, regional/national training courses and workshops, to be funded under the special fund for capacity building or extra budgetary contributions	
14.1	<i>Strengthening of the implementation of CMMs and capacity building activities</i>	Status
14.1.1	Develop ToRs for the assessment of CPCs on performance and capacity on implementation of CMMs and capacity building activities	[Implemented] For assessment paper IOTC-2021-WPICMM04-04 - Assessment criteria Compliance Mission and support materials (e.g. CMMS manuals, Implementation Sheets)
14.1.2	Conduct baseline assessment of CPCs on performance and capacity on implementation of CMMs and capacity building activities	[Implemented] For assessment paper IOTC-2021-WPICMM04-04 - Assessment criteria Compliance Mission and support materials (e.g. CMMS manuals, Implementation Sheets).
14.1.3	Assessment of the report by the WPICMM	[Implemented] Paper IOTC-2021-WPICMM04-05 Assessment of CPCs on performance and implementation of CMMs.
14.1.4	Submission of recommendations to the Compliance Committee	[Pending] WPICMM05 to provide recommendations on capacity building and training interventions to the CoC19.
14.1.5	Recommendation for Capacity building and training interventions based on needs and gaps identified by Secretariat from the previous country mission reports	[On going] For example compliance missions and compliance support materials

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		(https://iote.org/compliance/capacity-building-compliance)
15	Develop recommendations and guidelines for a schedule of sanctions for non-compliance with IOTC CMMs for consideration by the CPCs and the Commission	
15.1	<i>Schedule of sanctions for non-compliance with IOTC CMMs</i>	Status
15.1.1	Develop ToRs for a Comparative assessment of existing sanctioning systems in other organizations	[On going] Under WPICMM05. Paper IOTC-2022-WPICMM05-03_Add1 – ToR Schedule of sanctions
15.1.2	Conduct assessment of existing sanctioning systems in other organizations	[Pending] Postponed to WPICMM06.
15.1.3	Assessment of the report and provision of recommendations by the WPICMM	[Pending] Postponed to WPICMM06.
15.1.4	Submission of the report to the Compliance Committee	[Pending] Postponed to WPICMM06.
16	Review compliance with data reporting obligations by CPCs and recommend actions for implementation	
16.1	<i>Data reporting obligations by CPCs</i>	Status
16.1.1	Preparation of report by the Secretariat on levels of compliance with data reporting obligations	[Pending] Postponed to WPICMM06.
16.1.2	Assessment of the report and provision of recommendations by the WPICMM	[Pending] Postponed to WPICMM06.
16.1.3	Submission of the recommendations to the Compliance Committee	[Pending] Postponed to WPICMM06.
17	Review of the glossary of definitions and key terms used in IOTC Resolutions	
17.1	<i>Glossary of definitions and terms</i>	Status
17.1.1	CPCs to review the terms and definitions and provide comments on each definition	[On going] Paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. CoC17.35 (Para 133) The CoC RECOMMENDED that work on the glossary of definitions and key terms to be used in IOTC Resolutions be suspended and that the relevance of this exercise be reconsidered by the Compliance Committee once the work on the legal scrubbing is completed. CoC17.36 (Para 134) The CoC RECOMMENDED amendments to the timeline of the roadmap (Appendix 8/ Circular-2021-05) to conduct the legal scrubbing for consideration of the Commission for adoption.
17.1.2	Secretariat to produce a consolidated list or comments received by CPCs on the Glossary	[On going] Considered in paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. See CoC17 recommendations under item 17.1.1
17.1.3	WPICMM to provide a draft final glossary	[On going] Considered in paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. See CoC17 recommendations under item 17.1.1
17.1.4	Submission of the recommendations to the Compliance Committee regarding the Glossary	[On going] Paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. See CoC17 recommendations under item 17.1.1
17.2	<i>Legal scrubbing of IOTC active Resolutions</i>	Status
17.2.1	Submission of the recommendations to the Compliance Committee to conduct a Legal scrubbing of IOTC active Resolutions and draft a ToR	[Implemented] Submitted to CoC15, endorsed by Commission.
17.2.2	WPICMM to finalise the ToR for the purpose to conduct a legal scrubbing of the IOTC Resolutions	[Implemented] Considered in paper IOTC-2020-WPICMM03-07 - Legal scrubbing IOTC Resolutions.

18	Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process	
18.1	<i>Identify reporting and implementation obligations</i>	Status
18.1.1	EU to provide a draft list of compliance requirements not included in the compliance assessment process	[On going] Considered in paper IOTC-2022-WPICMM05-07 - Include requirements for Compliance assessment. EU to provide comprehensive proposal.
18.1.2	Review of the list by the WPICMM	[On going] Presented in paper IOTC-2022-WPICMM05-7 - Include requirements for Compliance assessment.
18.1.3	Submission of the recommendations to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process.	[On going] WPICMM05 to provide recommendation(s) to the Compliance Committee.
19	Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process	
19.1	<i>Review of other CMMs</i>	Status
19.1.1	Consider possibility of expanding the implementation review items in the WPICMM (currently limited to review of at-sea transshipment under item 10) to other CMMs. As a trial basis, WPICMM05 will review implementation of yellowfin tuna catch limit (Resolution 19/01) and scientific observer (Resolution 11/04). WPICMM05 will also discuss possibility of conducting the review for other CMMs in WPICMM06 and on for more comprehensive facilitation of CMMs implementation. (New action WIPICMM04)	[On going] Under WPICMM05. For Resolution 21/01 (19/01): Circular 2022-04 1. CPCs are invited to submit comments on their interpretations of Res21/01 para 14a and Res19/01 para 13b, in writing to iotc-secretariat@fao.org by 31 January 2. The Working Party on the Implementation of Conservation and Management Measures (WPICMM) that is meeting 16-18 February will discuss the matter and provide guidance to the Compliance Committee, who will in turn provide advice to the Commission. The comments received from CPCs will be compiled in a document and provided to the WPICMM as soon as possible after 1 February. 3. The matter will be concluded by the Commission at S26 in May. For Resolution 11/04: Considered in paper IOTC-2021-WPDCS17-10_Rev2 (UPDATE ON THE IMPLEMENTATION OF THE IOTC REGIONAL OBSERVER SCHEME)
19.1.2	After review of other CMMs, WPICMM make recommendation(s) to the Compliance Committee (New action WIPICMM04).	[On going] Under WPICMM05 for resolutions 21/01 and 11/04.

IMPLEMENTATION OF RECOMMENDATIONS OF THE WPICMM04

The CoC18 reviewed the Recommendations of the WPICMM04:

IOTC-2021-CoC18-R, Paragraph 132. The CoC **RECOMMENDED** the endorsement of the consolidated set of recommendations arising from the WPICMM04 meeting.

DECISIONS OF THE COMMISSION RELATED TO THE WORK OF THE COMPLIANCE COMMITTEE

CONSOLIDATED SET OF RECOMMENDATIONS ARISING FROM WPICMM04

Recommendations of WPICMM04 approved by the Commission (S25)	Progress of implementation
WPICMM04.01 (Para. 17) The WPICMM04 RECOMMENDED the fleet of Oman provide the result of their	WPICMM04.01 [Implemented]. Paper to CoC 18.

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<p>investigation with their response to the possible infraction to the IOTC Secretariat before the 15th of March 2021.</p>	<p>IOTC-2021-CoC18-07b Summary report on possible infractions observed under the Regional Observer Programme in 2020.</p>
<p>WPICMM04.02 (Para. 18). The WPICMM04 FURTHER RECOMMENDED that the IOTC Secretariat prepare a paper on the results of investigations that have not been provided (Oman) for WPICMM04 for the next Compliance Committee (CoC18).</p>	<p>WPICMM04.02 [Implemented]. Paper to CoC 18. IOTC-2021-CoC18-07b Summary report on possible infractions observed under the Regional Observer Programme in 2020.</p>
<p>WPICMM04.03 (Para. 19) The WPICMM04 RECOMMENDED that the IOTC Secretariat prepare a paper on the outcomes of the assessments of the possible infractions presented to the WPICMM04, for the next Compliance Committee (CoC18).</p>	<p>WPICMM04.03 [Implemented]. Paper to CoC 18. IOTC-2021-CoC18-07b Summary report on possible infractions observed under the Regional Observer Programme in 2020.</p>
<p>WPICMM04.04 (Para. 20) The WPICMM04 RECOMMENDED the CoC18 discusses the treatment of photographic evidence while responding to possible infractions.</p>	<p>WPICMM04.04 [Implemented]. IOTC-2021-CoC18-RE, Para 133: The CoC RECOMMENDED that the provision of photographs intended to provide clarifications on possible infractions observed under the transshipment regional observer programme, should be done in line with domestic law on confidentiality. The CoC FURTHER RECOMMENDED that photographs submitted for such purpose shall not be placed in the public part of the IOTC website..</p>
<p>WPICMM04.05 (Para. 21) The WPICMM04 RECOMMENDED that the IOTC Secretariat prepare a paper on repeated possible infractions to the next WPICMM.</p>	<p>WPICMM04.05 [Implemented]. Paper to WPICMM05. IOTC-2022-WPICMM05-04_Add1 Report on repeated possible infractions observed under the Regional Observer Programme in 2021.</p>
<p>WPICMM04.06 (Para. 28) Following assessment, the WPICMM04 RECOMMENDED:</p> <ul style="list-style-type: none"> Continuation of IOTC's compliance support missions, and data compliance support missions; Reminders from the IOTC Secretariat to CPCs on impending deadlines for reporting requirements at least one month in advance. 	<p>WPICMM04.06 [Implemented]. IOTC's compliance support missions: Comoros, Kenya, Seychelles (PSM), Yemen (Postponed to 2022) Data compliance support missions: Somalia, Indonesia (Paper IOTC-2021-SC24-05) Reminder sent to CPCs for Resolutions 19/04, 14/05, 15/01, 19/06</p>
<p>WPICMM04.07 (Para. 31) The WPICMM04 RECOMMENDED that item 9 of the Work Plan be deleted, since the timing of the availability of the draft IUU list precludes the WPICMM from performing the task in time for the CoC. Subject to approval by CoC18, the WPICMM04 FURTHER RECOMMENDED that two new items be added to the Work Plan (Appendix 4):</p> <ul style="list-style-type: none"> Review implementation of the yellowfin tuna catch limits (Res 19/01); and Review implementation of the regional observer scheme (Res 11/04). 	<p>WPICMM04.07 [Implemented]. Two items included in the WPICMM Work Plan under component 19 - Other tasks as assigned by the Compliance Committee or Commission</p>
<p>WPICMM04.08 (Para. 32) The WPICMM04 RECOMMENDED that the WPICMM discuss the possibility of reviewing other Conservation and Management Measures (CMMs) in future WPICMM meetings.</p>	<p>WPICMM04.08 [Pending]. To be discussed at WPICMM05. Included in WPICMM Work Plan under Component 19.</p>

<p>WPICMM04.09 (Para. 37) The WPICMM04 RECOMMENDED that the IOTC Secretariat compile the Compliance Reports for the CoC18 using the assessment criteria as amended and endorsed by the WPICMM04, which can be accessed through the link in Appendix 5.</p>	<p>WPICMM04.09 [Implemented]. Compliance Reports compile for the CoC18 using the assessment criteria as amended and endorsed by the WPICMM04.</p>
<p>WPICMM04.10 (Para. 40) The WPICMM04 RECOMMENDED CPCs to provide comments, taking into consideration the discussions of the WPICMM04, to the European Union and the IOTC Secretariat on the proposal to amend Appendix V of the IOTC Rules of Procedure by the 15th of March 2021.</p>	<p>WPICMM04.10 [Implemented]. CPCs to provide comments. Proposal to amend Appendix V of the IOTC Rules of Procedure published at CoC18 paper IOTC-2021-COC18-11 Proposal to amend Appendix V of the IOTC Rules of Procedure</p>
<p>WPICMM04.11 (Para. 44) The WPICMM04 RECOMMENDED that the IOTC Secretariat write to the Chair of the Working Group to elicit his interest in steering the work of the VMS Working Group.</p>	<p>WPICMM04.11 [Implemented]. Letter to the Chair of the VMS Working Group “IOTC REF: 2021-44” sent on 18 March 2021. Response received on Friday, March 26, 2021</p>
<p>WPICMM04.12 (Para. 56) The WPICMM04 RECOMMENDED that trials of e-MARIS include both developing and developing CPCs to give sufficient time for them to be trained and become familiar with it.</p>	<p>WPICMM04.12 [Ongoing]. Pilot 1 training conducted in November 2021. Pilot 2 training plan for 2022.</p>

RECOMMENDATION/S

That the WPICMM05:

- **NOTE** the progress made in implementing the Work Plan and recommendations of the 4th WPICMM.
- **NOTE** that the Work Plan terminates in 2022 and consider extending it.
- Discuss and **RECOMMEND** to the Compliance Committee a plan to improve compliance, which could include: specific training events, amendments to reporting requirements and data analysis assistance.
(WPICMM Work Plan Component 1 Examine all aspects of CPCs technical implementation of CMMs and recommending ways to enhance the level of implementation – Action 1.2.3).
- Discuss and **RECOMMEND** to the Compliance Committee on capacity building and training interventions.
(WPICMM Work Plan Component 14 Provide recommendations for the strengthening of the implementation of CMMs and capacity building activities, including compliance support missions, regional/national training courses and workshops, to be funded under the special fund for capacity building or extra budgetary contributions – Action 14.1.4).
- Discuss and **RECOMMEND** to the Compliance Committee the ToRs for a Comparative assessment of existing sanctioning systems in other organisations.
(WPICMM Work Plan Component 15 Develop recommendations and guidelines for a schedule of sanctions for non-compliance with IOTC CMMs for consideration by the CPCs and the Commission)
- Discuss and **RECOMMEND** to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process.
(WPICMM Work Plan Component 18 Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process – Action 18.1.3).
- Discuss and **RECOMMEND** to the Compliance Committee the possibility of reviewing other Conservation and Management Measures (CMMs) in future WPICMM meetings.



(WPICMM Work Plan Component 19 Other tasks as assigned by the Compliance Committee or Commission – Action 19.1.1).