PROGRESS REPORT ON THE IMPLEMENTATION OF THE WPICMM WORK PLAN AND ON THE RECOMMENDATIONS OF THE WPICMM05

PREPARED BY: IOTC SECRETARIAT¹ **LAST UPDATED: 25 JANUARY 2023**

IOTC-2023-WPICMM06-03

PURPOSE

To provide participants at the WPICMM06 with an update on the progress made during the 2022/2023 intersessional period on:

- 1) implementing the work plan of the Working Party on Implementation of Conservation and Management Measures (WPICMM) since its adoption at the previous meeting and to provide recommendations for update of activities and implementation timelines,
- 2) the recommendations of the WPICMM05, which were endorsed by the Compliance Committee (CoC19).

BACKGROUND

At the 5th Session of the WPICMM, participants agreed on a series of actions to be taken by CPCs and the IOTC Secretariat on a range of issues which were captured in the Work Plan.

The WPICMM05 made 13 recommendations for the consideration of the Compliance Committee (CoC19).

DISCUSSION

IMPLEMENTATION OF THE WORK PLAN OF THE WPICMM

The column on the far right, of the below table, present the status of implementation of each activity as of 15 January 2023.

1	1 Examine all aspects of CPCs technical implementation of CMMs and recommending ways to enhance the levi implementation	
1.1	Produce dashboard of compliance with CMMs for all CPCs and have this online	Status
1.1.1	Create dashboard showing compliance with CMMs from national reports and put on secured part of the IOTC website so it is visible to all CPCs	[Implemented] e-MARIS application: https://emaris.iotc.org/
1.1.2	CPCs to add information to dashboard by reporting deadlines	[Implemented] e-MARIS application: https://emaris.iotc.org/
1.1.3	Rank the CMMs/reporting requirements by priority (i.e. which are most critical to report upon)	[Implemented] Analyses provided in Consultant's report, IOTC-2019-WPICMM02-Compliance review.
1.1.4	Define a weighting scheme to the P/C assessment of the reporting requirements	[Implemented] Analyses provided in Consultant's report, IOTC-2019-WPICMM02-Compliance review.
1.2	Prioritise CMMs with lowest implementation levels to determine common issues with compliance	Status
1.2.1	Use CPCs Compliance Assessment results to determine common challenges and difficulties with compliance	[Implemented] Considered in document IOTC-2019-WPICMM02-04 and Consultant's report IOTC-2019-WPICMM02-Compliance review.
1.2.2	Follow up with interviews with CPCs to gather more detail	[Implemented] CPCs provided more details during WPICMM02

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1.2.3	Recommend a plan to improve compliance could include; specific	[Pending] WPICMM06 to make	
	training events, amendments to reporting requirements, data analysis assistance	recommendations.	
2	Examine Monitoring, Control and Surveillance (MCS) technical matters in order to provide the Compliance Committee with options for strengthening MCS		
2.1	Improve IOTC MCS scheme	Status	
2.1.1	Review CPCs national MCS scheme and current MCS practices	[Implemented] Considered in paper IOTC-2019-WPICMM02-05 and consultant report IOTC-2019-WPICMM02-VMS study & IOTC-2019-WPICMM02-MCS study.	
2.1.2	Review existing IOTC MCS tools	[Implemented] Considered in paper IOTC-2019-WPICMM02-05 and consultant report IOTC-2019-WPICMM02-VMS study & IOTC-2019-WPICMM02-MCS study.	
2.1.3	Review upcoming MCS reports comprising of reports on VMS and CDS	[Implemented] Report presented at WPICMM02 for review.	
2.1.4	Recommendation that the VMS and CDS study reports are submitted to the WPICMM	[Implemented] Report presented at WPICMM02 for review.	
2.1.4	Make recommendations on these reports for the Compliance Committee	[Implemented] WPICMM02 made recommendations.	
3	Review the reporting requirements contained within CMMs in order to harmonize and streamline		
3.1	Review reporting requirements in all CMMs	Status	
3.1.1	Assess and compare reporting requirements for all CMMs in other relevant RFMOs and harmonize	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.	
3.1.2	Undertake an assessment of existing IOTC reporting requirement in order to streamline the reporting by CPCs	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.	
3.1.3	Consider the report at WPICMM	[Implemented] Consultant report IOTC-2019- WPICMM02-Compliance review to be reviewed by WPICMM02	
3.1.4	Provide recommendation to harmonize and streamline reporting requirements	[Implemented] WPICMM02 made recommendations.	
4	Develop a methodology for the assessment of implementation by CPCs, for producing the Country Compliance Reports provided annually to the Compliance Committee and flag States		
4.1	Determine significance of each CMM using a ranking measure	Status	
4.1.1	Rank the reporting requirements of CMMs by priority (i.e. which are most critical to report upon)	[Implemented] Considered in paper IOTC-2019-WPICMM02-07 and consultant report IOTC-2019-WPICMM02-Compliance review.	
4.1.2	Attribute a co-efficient to determine rank importance	[Implemented] Considered in paper IOTC-2019-WPICMM02-07 and consultant report IOTC-2019-WPICMM02-Compliance review.	
4.1.2	WPICMM consider the report of the Secretariat to provide recommendations to the Compliance Committee	[Implemented] WPICMM02 made recommendations.	
5	Review and assess the effectiveness and practical aspects of implementation of CMMs adopted by the Commission in order to identify deficiencies and implementation constraints faced by CPCs, and to recommend options for		
	amendments		
5.1	Determine which CMMs are overdue on assessment or no longer valid	Status	
5.1.1	Secretariat provides paper on validity of CMMs to the WPICMM	[Pending] Postponed to WPICMM07.	
		[Pending] WPICMM07 to make	
5.1.2	Provide recommendations to the Compliance Committee	recommendations to the Compliance Committee.	





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5.2.1	Review each CMM using dashboard and reports	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
5.2.2	Use CPCs compliance reports from part 1 to identify constraints	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
5.2.3	Analyse baseline data compared to current data to review effectiveness.	[Implemented] Considered in paper IOTC-2019-WPICMM02-06 and consultant report IOTC-2019-WPICMM02-Compliance review.
5.2.4	Provide recommendations to the Compliance Committee	[Implemented] WPICMM02 made recommendations.
6	Propose actions to address deficiencies in implementation	
6.1	Capacity building	Status
6.1.1	Identify weaknesses of CPCs in capacity and ability to comply with reporting requirements.	[Pending] Postponed to WPICMM07.
6.1.2	Recommend training events by IOTC compliance officers to CPCs with most need (should be regular)	[On going] Training under the external assistance of SWIOFISH2 WB Project.
6.1.3	Recommend exchange programmes to increase skills in CPCs	[On going] PSM exchange implemented since 2019 (SWIOFISH2). On hold due to Covid 19.
6.1.4	Recommend the establishment of a permanent capacity building mechanism	[Pending] Postponed to WPICMM07.
6.1.5	Recommend outreach to fishing captains/masters to educate on CMMs and to gather information on problems with implementation	[Pending] Postponed to WPICMM07.
6.2	Increase funding opportunities of CPCs	Status
6.1.2	Identify funding sources from potential donors to facilitate opportunities for funding capacity building	[On going] SWIOFISH2 WB Project, EU Grant, tuna ABNJ project and WWF.
7	Development of minimum regional standards for implementation of CM	Ms
7.1	Assess CPCs implementation capacity for CMMs	Status
7.1.1	Develop a tool and collect data from CPCs on their current implementation (status/procedures and capacity) of CMMs	[Implemented] e-MARIS application: https://emaris.iotc.org/
7.1.2	Analyze data and produce reporting requirements from all CPCs for implementation of CMMs	[Implemented] e-MARIS application: https://emaris.iotc.org/
7.2	Prepare the minimum IOTC standards	Status
7.2.1	Identify CMMs where IOTC standards have not been introduced and	[Implemented] Considered in paper IOTC-
	propose recommendation for inclusion of IOTC standard for CMMs	2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.
7.2.2	Provide recommendation to the Compliance Committee (CoC) on Resolution(s) to amend	IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC- 2019-WPICMM02-08 and consultant report
7.2.2	Provide recommendation to the Compliance Committee (CoC) on	IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC- 2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC- 2019-WPICMM02-08 and consultant report
	Provide recommendation to the Compliance Committee (CoC) on Resolution(s) to amend	IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-
7.2.3	Provide recommendation to the Compliance Committee (CoC) on Resolution(s) to amend Develop minimum regional standards for implementation of CMMs Request clarification to the Compliance Committee on the development of minimum regional standards for implementation of CMMs Develop a harmonized assessment criteria to identify vessels presumed	IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.
7.2.3	Provide recommendation to the Compliance Committee (CoC) on Resolution(s) to amend Develop minimum regional standards for implementation of CMMs Request clarification to the Compliance Committee on the development of minimum regional standards for implementation of CMMs	IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review. [Implemented] Considered in paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.





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8.1.3	Review the assessment criteria for identifying vessel presumed to have engaged in IUU fishing activities	[Pending] Defer to 2024 (Priority medium).	
8.1.4	Request clarification to the Compliance Committee on the Development of a harmonized assessment criteria for identifying vessel presumed to have engaged in IUU fishing activities	[Implemented] Consultant report IOTC-2019-WPICMM02-Compliance review.	
9	Monitor the development of, and recommend further actions for the IOTC list of vessels presumed to have engaged in illegal, unreported and unregulated (IUU) fishing activities, including where requested by the Compliance Committee of involved CPCs, a review of the evidence to be presented, where such evidence can be made available to the WPICMM		
9.1	Actions for the IOTC list of vessels presumed to have engaged in illegal,	defice can be made available to the WPICIVIIVI	
J.1	unreported and unregulated (IUU)	Status	
9.1.1	Review of the evidence to be presented, where such evidence can be made available to the WPICMM	[Pending] Timing issue between the WPICMM and availability of draft IUU list.	
9.1.2	Recommend actions for the CoC on the list of vessels presumed to have engaging in IUU fishing activities, including where requested by the Compliance Committee or involved CPCs,	[Pending] Timing issue between the WPICMM and availability of draft IUU list.	
9.1.3	Monitor the development of recommended actions on the vessels that have been committed IUU	[Pending] Timing issue between the WPICMM and availability of draft IUU list.	
10	Monitor the development of, and recommend actions for the list of Larg vessels presumed to have committed infractions of IOTC CMMs, as recontranshipment programme		
10.1	List of possible infractions under the ROP	Status	
10.1.1	Review of the evidence to be presented on vessels presumed to have committed the infractions	[On going] Presented in paper IOTC-2022-WPICMM05-04 and at WPICMM06.	
10.1.2	Request for further evidence from the CPCs on the list of vessels presumed to have committed infractions	[On going] Presented in paper IOTC-2022-WPICMM05-04 and at WPICMM06.	
10.1.3	Recommend actions for the CoC on the list of vessels presumed to have committed infractions	[On going] Presented in paper IOTC-2022-WPICMM05-04 and at WPICMM06.	
10.1.4	Monitor the development of recommended actions on the vessels that have committed infractions.	[On going] Presented in paper IOTC-2022-WPICMM05-04 and at WPICMM06.	
10.1.5	Recommend that the list of possible infraction under the ROP is presented to the WPICMM	[On going] Presented in paper IOTC-2022-WPICMM05-04 and at WPICMM06.	
11	Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems		
11.1	Design and implementation of national MCS systems	Status	
11.1.1	Define minimum MCS requirement for relevant CMMs	[Implemented] Considered in paper IOTC-2019-WPICMM02-05a and b and consultant report IOTC-2019-WPICMM02-VMS, MCS, CDS study.	
11.1.2	CPCs to provide a report/paper on their national MCS programs	[Implemented] Paper IOTC-2020-WPICMM03-05.	
11.1.3	Draft a ToR for evaluation and formulation of CPCs national MCS programs (New action WIPCMM03)	[Pending] Postponed to WPICMM07.	
11.1.4	Evaluation of CPCs national MCS programs against the minimum requirement for CMMs	[Implemented] Paper IOTC-2020-WPICMM03- 05 - Template national MCS report.	
11.1.5	Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems based on their capacity and need	[Implemented] Paper IOTC-2020-WPICMM03- 05 - Template national MCS report.	
11.1.6	Refine the minimum requirement taking into account the result of the evaluation in 11.1.3 (New action WIPCMM03)	[Implemented] Considered under paper IOTC-2019-WPICMM02-08 and consultant report IOTC-2019-WPICMM02-Compliance review.	





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12	Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of enforcement actions to ensure compliance with IOTC CMMs		
12.1	Assist CPCs in the design and implementation of enforcement actions	Status	
12.1.1	Develop ToRs for assessment study of CPCs capacities on design and implementation of enforcement actions by the WPICMM	[Pending] Postponed to WPICMM07.	
12.1.2	Conduct baseline capacity assessment of CPCs on design and implementation of enforcement actions	[Pending] Postponed to WPICMM07.	
12.1.3	Assessment of the report by the WPICMM	[Pending] Postponed to WPICMM07.	
12.1.4	Submission of recommendations to the Compliance Committee (CoC)	[Pending] Postponed to WPICMM07.	
13	Development of regional capacity building mechanisms to assist CPCs to conditions or standards for implementation of the CMMs	meet the regional minimum terms and	
13.1	Regional capacity building mechanisms	Status	
13.1.1	Development of the Minimum Terms and Conditions/ Standards by the WPICMM	Pending Postponed to WPICMM07.	
13.1.2	Submission of the Draft Minimum Terms and Conditions to the Compliance Committee	[Pending] Postponed to WPICMM07.	
13.1.3	Draft the terms of reference for the regional capacity building mechanism	[Pending] Postponed to WPICMM07.	
13.1.4	Submission of the ToRs to the Compliance Committee	[Pending] Postponed to WPICMM07.	
14	Provide recommendations for the strengthening of the implementation of CMMs and capacity building activities, including compliance support missions, regional/national training courses and workshops, to be funded under the special fund for capacity building or extra budgetary contributions		
14.1	Strengthening of the implementation of CMMs and capacity building activities	Status	
14.1.1	Develop ToRs for the assessment of CPCs on performance and capacity on implementation of CMMs and capacity building activities	[Implemented] For assessment paper IOTC- 2021-WPICMM04-04 - Assessment criteria Compliance Mission and support materials (e.g. CMMS manuals, Implementation Sheets)	
14.1.2	Conduct baseline assessment of CPCs on performance and capacity on implementation of CMMs and capacity building activities	[Implemented] For assessment paper IOTC-2021-WPICMM04-04 - Assessment criteria Compliance Mission and support materials (e.g. CMMS manuals, Implementation Sheets).	
14.1.3	Assessment of the report by the WPICMM	[Implemented] Paper IOTC-2021-WPICMM04- 05 Assessment of CPCs on performance and implementation of CMMs.	
14.1.4	Submission of recommendations to the Compliance Committee	[Pending] WPICMM06 to provide recommendations on capacity building and training interventions to the CoC19.	
14.1.5	Recommendation for Capacity building and training interventions based on needs and gaps identified by Secretariat from the previous country mission reports	[On going] For example compliance missions and compliance support materials (https://iotc.org/compliance/capacity-building-compliance)	
15	Develop recommendations and guidelines for a schedule of sanctions for consideration by the CPCs and the Commission	or non-compliance with IOTC CMMs for	
15.1	Schedule of sanctions for non-compliance with IOTC CMMs	Status	
15.1.1	Develop ToRs for a Comparative assessment of existing sanctioning systems in other organizations	[Implemented] Under WPICMM05. Paper IOTC-2022-WPICMM05-03_Add1 – ToR Schedule of sanctions	
15.1.2	Conduct assessment of existing sanctioning systems in other organizations	[Implemented] Under WPICMM06. Paper IOTC-2023-WPICMM06-03_Add1 – Schedule of sanctions Consultant report	
15.1.3	Assessment of the report and provision of recommendations by the WPICMM	[On going] WPICMM06 to provide recommendations on Paper IOTC-2023-WPICMM06-03_Add1 – Schedule of sanctions Consultant report	





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15.1.4	Submission of the report to the Compliance Committee	[On going] WPICMM06 to provide	
		recommendations on Paper IOTC-2023-	
		WPICMM06-03_Add1 – Schedule of sanctions	
		Consultant report	
16	Review compliance with data reporting obligations by CPCs and recomm	end actions for implementation	
16.1	Data reporting obligations by CPCs	Status	
16.1.1	Preparation of report by the Secretariat on levels of compliance with data reporting obligations	[Pending] Postponed to WPICMM07.	
16.1.2	Assessment of the report and provision of recommendations by the WPICMM	[Pending] Postponed to WPICMM07.	
16.1.3	Submission of the recommendations to the Compliance Committee	Pending Postponed to WPICMM07.	
17	Review of the glossary of definitions and key terms used in IOTC Resolut	ions	
17.1	Glossary of definitions and terms	Status	
17.1.1	CPCs to review the terms and definitions and provide comments on	[On going] Paper IOTC-2020-WPICMM03-06 -	
	each definition	Review glossary terms definitions. CoC17.35 (Para 133) The CoC RECOMMENDED that work on the glossary of definitions and key terms to be used in IOTC Resolutions be suspended and that the relevance of this exercise be reconsidered by the Compliance Committee once the work on the legal scrubbing is completed. CoC17.36 (Para 134) The CoC RECOMMENDED amendments to the timeline of the roadmap (Appendix 8/ Circular-2021-05) to conduct the legal scrubbing for consideration of the Commission for adoption.	
17.1.2	Secretariat to produce a consolidated list or comments received by CPCs on the Glossary	[On going] Considered in paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. See CoC17 recommendations under item 17.1.1	
17.1.3	WPICMM to provide a draft final glossary	[On going] Considered in paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. See CoC17 recommendations under item 17.1.1	
17.1.4	Submission of the recommendations to the Compliance Committee regarding the Glossary	[On going] Paper IOTC-2020-WPICMM03-06 - Review glossary terms definitions. See CoC17 recommendations under item 17.1.1	
17.2	Legal scrubbing of IOTC active Resolutions	Status	
17.2.1	Submission of the recommendations to the Compliance Committee to conduct a Legal scrubbing of IOTC active Resolutions and draft a ToR	[Implemented] Submitted to CoC15, endorsed by Commission.	
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17.2.2	WPICMM to finalise the ToR for the purpose to conduct a legal scrubbing of the IOTC Resolutions	[Implemented] Considered in paper IOTC- 2020-WPICMM03-07 - Legal scrubbing IOTC Resolutions.	
18	Review compliance requirements to identify reporting and implementat		
13	Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process		
18.1	Identify reporting and implementation obligations	Status	
18.1.1	EU to provide a draft list of compliance requirements not included in the	[On going] Considered in paper IOTC-2022-	
10.1.1	compliance assessment process	WPICMM05-07 - Include requirements for Compliance assessment. EU to provide comprehensive proposal.	
L		Lo to provide comprehensive proposal.	





Review of the list by the WPICMM	[On going] Presented in paper IOTC-2022- WPICMM05-7 - Include requirements for Compliance assessment.
Submission of the recommendations to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process.	[On going] WPICMM05 to provide recommendation(s) to the Compliance Committee.
Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process	
Review of other CMMs	Status
Consider possibility of expanding the implementation review items in the WPICMM (currently limited to review of at-sea transhipment under item 10) to other CMMs. As a trial basis, WPICMM05 will review implementation of yellowfin tuna catch limit (Resolution 19/01) and scientific observer (Resolution 11/04). WPICMM05 will also discuss possibility of conducting the review for other CMMs in WPICMM06 and on for more comprehensive facilitation of CMMs implementation. (New action WIPCMM04)	Implemented Under WPICMM05 / COC19 and S26. For 21/01 (19/01): Circular 2022-04 1. CPCs are invited to submit comments on their interpretations of Res21/01 para 14a and Res19/01 para 13b, in writing to iotcsecretariat@fao.org by 31 January 2. The Working Party on the Implementation of Conservation and Management Measures (WPICMM) that is meeting 16-18 February will discuss the matter and provide guidance to the Compliance Committee, who will in turn provide advice to the Commission. The comments received from CPCs will be compiled in a document and provided to the WPICMM as soon as possible after 1 February. 3. The matter will be concluded by the Commission at S26 in May. For 11/04: Considered in paper IOTC-2021-WPDCS17-10_Rev2 (UPDATE ON THE IMPLEMENTATION OF THE IOTC REGIONAL OBSERVER SCHEME)
After review of other CMMs, WPICMM make recommendation(s) to the Compliance Committee (New action WIPCMM04).	[On going] Under WPICMM06 for new resolutions.
	Submission of the recommendations to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process. Review compliance requirements to identify reporting and implementat Compliance Assessment Process Review of other CMMs Consider possibility of expanding the implementation review items in the WPICMM (currently limited to review of at-sea transhipment under item 10) to other CMMs. As a trial basis, WPICMM05 will review implementation of yellowfin tuna catch limit (Resolution 19/01) and scientific observer (Resolution 11/04). WPICMM05 will also discuss possibility of conducting the review for other CMMs in WPICMM06 and on for more comprehensive facilitation of CMMs implementation. (New action WIPCMM04)

IMPLEMENTATION OF RECOMMENDATIONS OF THE WPICMM05

The CoC19 reviewed the Recommendations of the WPICMM05:

<u>IOTC-2022-CoC19-R</u>, Paragraph 164. The CoC19 RECOMMENDED the Commission endorse the recommendations of the WPICMM05.





DECISIONS OF THE COMMISSION RELATED TO THE WORK OF THE COMPLIANCE COMMITTEE

STATUS OF IMPLEMENTATION OF THE CONSOLIDATED SET OF RECOMMENDATIONS ARISING FROM THE WPICMM05

Recommendations of WPICMM05 endorsed by the Compliance Committee (CoC19) and approved by the Commission (S26)	Progress of implementation
WPICMM05.01 (Para. 15) The WPICMM05 RECOMMENDED that Compliance Committee 19 review and endorse the Terms of Reference recorded in Appendix 3.	WPICMM05.01 [Implemented]. IOTC-2022-CoC19-R[E] Para 160. The CoC19 NOTED IOTC-2022-WPICMM05-R_Rev1 with a consolidated set of recommendations in its Appendix 6 and ENDORSED the recommendations.
WPICMM05.02 (Para. 42). The WPICMM05 RECOMMENDED that Compliance Committee 19 endorse the Work Plan reviewed by the WPICMM05 (Appendix 4).	WPICMM04.02 [Implemented]. IOTC-2022-CoC19-R[E] Para 160. The CoC19 NOTED IOTC-2022-WPICMM05-R_Rev1 with a consolidated set of recommendations in its Appendix 6 and ENDORSED the recommendations.
WPICMM05.03 (Para. 53) The WPICMM05 RECOMMENDED the Compliance Committee consider and discuss the assessment criteria for partial compliance with 9.2 and 9.3, as well as whether assessment would be waived for Compliance Committee 20.	WPICMM04.03 [Implemented]. IOTC-2022-CoC19-R[E] Para 162. The CoC19 NOTED no objection that Resolution 11/04 not be assessed for the year 2021 at CoC20. 166. The CoC19 RECOMMENDED that the Commission (S26) approve that Resolution 11/04 not be assessed for the year 2021 at CoC20.
WPICMM05.04 (Para. 63) The WPICMM05 NOTED that the European Union will present an updated proposal to the Compliance Committee and RECOMMENDED that the Compliance Committee consider it in its deliberations.	WPICMM05.04 [Implemented]. CoC19 Agenda item 12. Proposal to amend Appendix V of the IOTC Rules of Procedure (Terms of Reference and Rules of Procedure of the Compliance Committee).
WPICMM05.05 (Para. 70) The WPICMM05 RECOMMENDED that the Compliance Committee and the Commission provide feedback to the VMSWG.	WPICMM05.05 [Implemented]. IOTC-2022-CoC19-R[E] Para 172. The CoC19 RECOMMENDED the Commission endorse the recommendations of both the CDSWG and the VMSWG.
WPICMM05.06 (Para. 74) The WPICMM05 RECOMMENDED that the Compliance Committee endorse the draft Terms of Reference.	WPICMM05.06 [Implemented]. IOTC-2022-CoC19-R[E] Para 172. The CoC19 RECOMMENDED the Commission endorse the recommendations of both the CDSWG and the VMSWG.
WPICMM05.07 (Para. 83) The WPICMM05 RECOMMENDED that the Compliance Committee consider that the submission of a proposal for an IOTC CDS strategy be postponed to 2023.	WPICMM05.07 [Implemented]. CDS strategy drafted by Chair/Secretariat. Presented at 8 th meeting of CDSWG.
WPICMM05.08 (Para. 84) The WPICMM05 RECOMMENDED that the Compliance Committee and the Commission provide feedback to the CDSWG.	WPICMM05.08 [Implemented]. IOTC-2022-CoC19-R[E] Para 172. The CoC19 RECOMMENDED the Commission endorse the recommendations of both the CDSWG and the VMSWG.
WPICMM05.09 (Para. 91) The WPICMM05 RECOMMENDED that the e-MARIS application will have entered into implementation for the next compliance assessment of the 2023 Compliance Committee meeting (CoC20) and FURTHER RECOMMENDED that those CPCs encountering problems be allowed to continue using the paper system for Compliance Committee 20.	WPICMM05.09 [Implemented]. E-MARIS application is in production and available at https://emaris.iotc.org/



WPICMM05.10 (Para. 97) The WPICMM05 RECOMMENDED that report IOTC-2022-WPICMM05-11 and a summary of impediments be presented to Compliance Committee 19 to give CPCs an opportunity for further comment and FURTHER RECOMMENDED the Compliance Committee reconsider the application of Resolution 19/04 with respect to the information on beneficial owners.

WPICMM05.10 [Implemented].

Paper circulated to CPCs and presented at WPICMM06 IOTC-2022-CoC19-R[E] Para 22. The CoC19 RECOMMENDED

that: c. The IOTC Secretariat provide within six months an analysis highlighting problems and possible solutions on the implementation of Resolution 19/04 to guide CPCs on its possible review.

IOTC CIRCULAR 2022-52: ANALYSES RELATED TO THE IMPLEMENTATION OF RESOLUTION 19/04 – IOTC RECORD OF AUTHORISED VESSELS

Sent: 29 November 2022.

WPICMM05.11 (Para. 101) The WPICMM05 ENDORSED the proposals of the legal scrubbing and RECOMMENDED Compliance Committee 19 be presented the version of the legal scrubbing, where suggested changes opposed by CPC are removed.

WPICMM05.11 [Implemented].

IOTC-2022-CoC19-R[E] 141. The CoC19 RECOMMENDED that the Commission consider adopting the results of the legal scrubbing in two packages of Resolutions over its Sessions in 2023 and 2024.

WPICMM05.12 (Para. 114) The WPICMM05 RECOMMENDED the Compliance Committee and the Commission clarify paragraph 14 of Resolution 21/01 to read that over-catch be allowed to be split over two years.

WPICMM05.12 [Implemented].

IOTC-2022-CoC19-R[E] Para 165. The CoC19 RECOMMENDED that the Commission clarify paragraph 14 of Resolution 21/01 to read that over-catch be allowed to be split over two years.

WPICMM05.13 (Para. 123) The WPICMM05 RECOMMENDED that the Compliance Committee consider the consolidated set of recommendations arising from WPICMM05, provided at Appendix 6.

WPICMM05.13 [Implemented].

IOTC-2022-CoC19-R[E] Para 160. The CoC19 NOTED IOTC-2022-WPICMM05-R_Rev1 with a consolidated set of recommendations in its Appendix 6 and ENDORSED the recommendations.

RECOMMENDATION/S

That the WPICMM06:

- **NOTE** the progress made in implementing the Work Plan:
 - 24 actions are pending implementation (28 @ WPICMM04),
 - 19 actions are ongoing in terms of their implementation and (23 @ WPICMM04);
 - 43 actions have been fully implemented (36 @ WPICMM04).
- NOTE the progress made in implementing the Recommendations of the 5th WPICMM:
 - The 13 Recommendations have been fully implemented.
- Discuss and **RECOMMEND** to the Compliance Committee a plan to improve compliance, which could include: specific training events, amendments to reporting requirements and data analysis assistance.

(WPICMM Work Plan Component 1 Examine all aspects of CPCs technical implementation of CMMs and recommending ways to enhance the level of implementation – Action 1.2.3).

- Discuss and **RECOMMEND** to the Compliance Committee on capacity building and training interventions.
 - (WPICMM Work Plan Component 14 Provide recommendations for the strengthening of the implementation of CMMs and capacity building activities, including compliance support missions, regional/national training courses and workshops, to be funded under the special fund for capacity building or extra budgetary contributions Action 14.1.4).
- Discuss and **RECOMMEND** to the Compliance Committee the Consultant report "Sanctions, penalties and incentives to promote compliance in the Indian Ocean Tuna Commission (IOTC)".





(WPICMM Work Plan Component 15 Develop recommendations and guidelines for a schedule of sanctions for non-compliance with IOTC CMMs for consideration by the CPCs and the Commission)

- Discuss and **RECOMMEND** to the Compliance Committee how to resume the work on the glossary of definitions and key terms used in IOTC Resolutions:

(WPICMM Work Plan Component 17 Review of the glossary of definitions and key terms used in IOTC Resolutions).

- o Consider the following options and build a road map to progress the work on the glossary:
 - creation of a small working group;
 - intersessional work by correspondence.
- Discuss and RECOMMEND to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process.

(WPICMM Work Plan Component 18 Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process – Action 18.1.3).

- Discuss and **RECOMMEND** to the Compliance Committee the possibility of reviewing other Conservation and Management Measures (CMMs) in future WPICMM meetings.

(WPICMM Work Plan Component 19 Other tasks as assigned by the Compliance Committee or Commission – Action 19.1.1).