

## Summary report on assessment criteria for the Compliance Report for CoC20

PREPARED BY: IOTC SECRETARIAT<sup>1</sup>, 15 FEBRUARY 2023

### PURPOSE

To encourage participants at the Working Party on the Implementation of Conservation and Management Measures (WPICMM) to review the assessment criteria to be used to compile the Compliance Report of CPCs, for CoC20.

### BACKGROUND

At the [12<sup>th</sup> Session of the IOTC Compliance Committee](#), the following recommendation was made:

[IOTC–2015–CoC12–R](#) – Paragraph 47. *The CoC **RECOMMENDED** that the IOTC Secretariat provide CPCs with the assessment criteria to understand the process of how the Compliance Reports are compiled, including information on the year being assessed for each requirement.*

### DISCUSSION

Following the recommendation of the Compliance Committee, the IOTC Secretariat is pleased to provide document [IOTC-2023-WPICMM06-06 Add1](#) [English & French], the assessment criteria to be used for the compilation of the Compliance Reports for the 2023 meeting. We would like to invite the WPICMM06 to provide comments/observations on the assessment criteria.

We wish to bring to the attention of WPICMM06 that eight reporting requirements have been introduced in the Compliance Report which relates to Resolutions adopted in 2019 and 2021, but were not being assessed previously, as indicated to the IOTC Secretariat, or were not included in the Compliance Report of the last CoC:

- Reference 2.28: Resolution 19/04 (Paragraph 11 & 12) - Report on review of flag State internal actions & measures, punitive actions and sanctions on flag vessels on the RAV
- Reference 3.12: Resolution 21/01 (Paragraph 26) - List of vessels fishing for yellowfin tuna.
- Reference 6.22: Resolution 19/05 (Paragraph 1) - Retention of target tuna species (YFT/SKJ/ BET) on board.
- Reference 6.23: Resolution 19/05 (Paragraph 2) - Retention of non-target species on board.
- Reference 6.24: Resolution 19/03 (Paragraph 8) - Data on interactions with Mobulid rays.

*It is noted that paragraph 8 of Resolution 19/03 requests CPCs to report information and data collected on interactions with mobulid rays. It is also noted that mobulid rays are included in ANNEX II of Resolution 15/01 as optional species to be recorded. An amendment of the Resolution 15/01 would be required to precise that data on mobulid rays should be recorded by species, and mobulid rays should be moved from the category of optional species to the other species reporting category to be consistent with Resolution 19/03.*

- Reference 11.8: Resolution 16/11 (Paragraph 9.3) - Denial of use of port.
- Reference 11.9: Resolution 16/11 (Paragraph 9.4) - Withdrawal of denial of use of port.
- Reference 11.10: Resolution 16/11 (Paragraph 15.1) - Report vessel engaged in IUU fishing following an inspection.

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In the absence of call for fund for the Regional Observer Programme (ROP), the reporting requirement referenced 8.5 below shall be assessed for the CoC20 as Not Applicable (N/A), in the context of Resolution 22/02:

- Reference 8.5: Resolution 22/02 (Annexe 4, p. 13) - ROP fee (No call for fund in 2022)

If there is a call for fund in before the CoC20 the reporting requirement referenced 8.5 shall be assessed for the CoC20 in accordance with the assessment criteria. Letters for call for fund will be sent by email and will be uploaded in the e-MARIS application – Requirement number 8.5 – Section “Information from the IOTC Secretariat”.

**[VALID FOR ROP PARTICIPATING FLEETS ONLY]**

These eight reporting requirements are highlighted in **yellow** for ease of reference in the document [IOTC-2023-WPICMM06-06 Add1](#) and [IOTC-2023-WPICMM06-06 Add2](#).

**RECOMMENDATIONS**

That the WPICMM06:

- 1) **NOTE** documents IOTC–2023–WPICMM06–06, IOTC-2026-WPICMM06-06 Add1, which describes the new assessment criteria added to the 2023 Compliance Report, and IOTC-2023-WPICMM06-06 Add2, template of the Compliance Report for CoC20.
- 2) **NOTE** that the assessment criteria are also available in the [e-MARIS application](#) for each individual requirement of the Compliance assessment campaign 2023 (CoC20).
- 3) **NOTE** the inconsistency between Resolution 15/01 and Resolution 19/03 related to Mobulid rays and consider to Recommend to the CoC20 to encourage the Commission to amend the Resolution 15/01 to precise that data on mobulid rays should be recorded by species, and mobulid rays should be moved from the category of optional species to the other species reporting category to be consistent with the Resolution 19/03.
- 4) **FINALISE AND ENDORSE** the assessment criteria and the template of the Compliance Report, for the compliance assessment process in 2023 (CoC20).
- 5) **AGREE** that the IOTC Secretariat compile the Compliance Reports with the assessment criteria endorsed by the WPICMM06 and further note that the assessment will be carried out in the [e-MARIS application](#).