
ANALYSIS BY THE IOTC SECRETARIAT OF INDONESIA'S PILOT PROJECT FOR MONITORING AT SEA TRANSHIPMENTS

Date: 19 April 2023

PURPOSE

In accordance to paragraph 26 of IOTC Resolution 22/02, the IOTC Secretariat is required to provide the Compliance Committee with an analysis on whether Indonesia's pilot project for monitoring at-sea transhipments offers the same level of assurances as those provided by IOTC Regional Observer Programme.

BACKGROUND

The Commission first agreed in 2017 that a national observer programme may be used by Indonesia instead of the regional programme for transhipment by large-scale fishing vessels, as established by Resolution 17/06. It was further agreed that the Indonesia transhipment programme was to be set up in consultation with the IOTC Secretariat, as a two-year pilot project. The results of the project, including data collection, reports and the effectiveness of the project were to be examined in 2019 by the IOTC Compliance Committee on the basis of a report prepared by Indonesia and analysis by the IOTC Secretariat. However, Indonesia informed the 2019 meeting of the Compliance Committee that it was unable to implement the pilot project as the concerned regulation was still being developed and that Indonesia will propose a rescheduling for the pilot project to start in 2019.

At its twenty-third Session, in 2019, the Commission received a proposal from Indonesia to amend Resolution 18/06, which had superseded Resolution 17/06, in order to reschedule Indonesia's pilot project for a national at-sea transhipment programme. The proposal was duly approved as Resolution 19/06 by the Commission, with the condition that the re-scheduling of the two-year pilot project be done in consultation with the IOTC Secretariat and that a report be submitted for the consideration of the Compliance Committee's session of 2021. The 18th Session of the Compliance Committee, in 2021, noted that the IOTC Secretariat was unable to carry out an in-depth analysis of the pilot project due to a lack of information and collaboration on the pilot project between Indonesia and the IOTC Secretariat. The Compliance Committee further noted that due to these limitations the IOTC Secretariat was unable to ascertain if the pilot project offered the same level of assurances as those provided by the IOTC regional observer programme.

At its twenty-fifth Session, in 2021, various proposals were received to amend Resolution 19/06, amongst which there was a proposal brought by Indonesia to reschedule the pilot project, to be started in 2021 and examined in 2023.

Throughout the various extensions of the pilot project, the Commission requested that the results of the project, including data collection, reports and the effectiveness of the project shall be examined by the IOTC Compliance Committee on the basis of a report prepared by Indonesia and analysis by the IOTC Secretariat. The Commission further requested that, in its analysis, the IOTC Secretariat shall include whether the programme offers the same level of assurances as those provided by ROP and that it shall also explore the feasibility of obtaining an IMO number for the concerned carrier vessels.

DISCUSSIONS AND RESULTS OF THE ANALYSES

The analyses of the Secretariat is based on the documents received by Indonesia on 14 April 2023, the two meetings and the exchanges conducted in 2021 and 2022.

On 14 April 2023, Indonesia has provided the documents listed below with regards to the pilot project 2021/2022. Other complementary documents are listed in Annex 2:

- A biannual report on at sea-transhipment 2021/2022, *IOTC-2023-CoC20-04c Add1*
- Decision - Director General of capture fisheries - number 25 of 2021 about monitors on fishing vessels and fish transporting vessels, *IOTC-2023-CoC20-04c Add2*.

Two meetings took place with Indonesia:

- one virtual meeting on 19/10/2021 with 17 officials from Indonesia,
- in Seychelles in the margin of the Compliance Committee 19.

The IOTC ROP templates were provided to Indonesia (from 25.10.2021 to 08.11.2021). The exchange of the document “Indonesia – Two years pilot project 2021 / 2023, IOTC Regional Observer Programme (ROP) to monitor transshipment at sea (Resolution 21/02), Standards - administrative and operational requirements” was produced to structure the assistance and the exchange between Indonesia and the IOTC Secretariat. The structure and content of the document is presented at annex 1. The content of last column constitutes the exchanges between the IOTC Secretariat and Indonesia, currently updated with the status of implementation of the pilot project as of the date of production of this report. The schedule of exchanges is presented at annex 3.

Analysis of Indonesia's Pilot project on at sea transshipments

The IOTC Secretariat is unable to offer an independent assessment of how effective and whether the programme offers the same level of assurances as those provided by the ROP, based on document IOTC-2023-CoC20-04c_Add1, IOTC-2023-CoC20-04c_Add2, only.

The comparison conducted between the ROP implementation by the Consortium and the pilot project by Indonesia for the 18 items show that the pilot project as implemented by Indonesia does not meet several of the standards (administrative and operational requirements) of the ROP. The comparison and the “Standards - administrative and operational requirements” are presented in detail in Annex 1.

A summary of the Annex 1 is presented in the table1 below, where there are:

- Seven (7) items that do not meet the standard (administrative and operational requirements) of the ROP, as implemented by the Consortium and the IOTC Secretariat.
- Six (6) items meet partially the standard (administrative and operational requirements) of the ROP, as implemented by the Consortium and the IOTC Secretariat.
- Five (5) items meet the standard (administrative and operational requirements) of the ROP, as implemented by the Consortium and the IOTC Secretariat.

Table1: Assessment of the pilot project implemented by Indonesia.

ITEM No.	REQUIREMENTS	ASSESSMENTS	Indonesia's comment (Provided on 19/04/2023)
	AUTHORISED CARRIER VESSELS (CV)	Meets ROP standard	
1	Fleets provide list of CVs authorized to receive tuna and tuna-like species and sharks	<u>YES</u>	
2	Establishes/signs MoU with owner/operator of CVs.	<u>PARTIAL</u>	
	OBSERVER		
3	Observers training.	<u>PARTIAL</u>	
4	Secretariat's approval of observers. Secretariat's maintains list of approved observers.	<u>NO</u>	Indonesia has conducted a consultation with the IOTC Secretariat regarding the standards of administrative and operational requirements on the Indonesia's two years pilot project 2021 / 2023 on 19 October 2021. Indonesia has submitted the list of observers which deployed on the carrier vessels listed in the pilot project on 30 December 2021.
5	Certified medical fitness for working on CVs.	<u>PARTIAL</u>	
	DEPLOYMENT OF OBSERVERS.		

ITEM No.	REQUIREMENTS	ASSESSMENTS	Indonesia's comment (Provided on 19/04/2023)
6	Deployment request approved by the Secretariat.	<u>NO</u>	
7	Plan and deploy observer onboard CVs.	<u>PARTIAL</u>	
8	Secretariat informed of updates of transshipment schedule.	<u>NO</u>	Indonesia has informed the IOTC Secretariat that there was no updates of transshipment schedule through the Indonesia's feedback on the comments of the IOTC Secretariat in the ROP administrative and operational requirements
FROM THE OBSERVER ONBOARD CV			
9	Observer checks/inspects each fishing vessel and monitor the transshipment operations, in accordance with Annex IV of Resolution 21/02 and Resolution 22/02.	<u>PARTIAL</u>	
10	Submission of 5 days reports	<u>NO</u>	
REPORTING BY FISHING VESSELS			
11	Pre-transshipment notification.	<u>YES</u>	
12	Owner/Operator/Master of fishing vessel submits transshipment declaration.	<u>YES</u>	
DURING THE CV TRIP REPORTING OF CARRIER VESSELS			
13	Owner/Operator/Master of CV submits transshipment declaration to flag State and Secretariat	<u>NO</u>	The transshipment declaration of the CVs are in the same form of transshipment declaration of the fishing vessels which has submitted to the IOTC Secretariat on 14 April 2023
14	Owner/Operator/Master of CV submits landing declaration.	<u>YES</u>	
REPORTING OBLIGATIONS OBSERVERS REPORTS			
15	Submission of observer report compiling the information collected, in accordance with the paragraphs 5 of Annex IV of Resolution 21/02 and Resolution 22/02, to Secretariat.	<u>NO</u>	Indonesia has submitted the observer trip reports for 2021 and 2022 completely to the IOTC Secretariat on 14 April 2023
16	Database is maintained.	<u>NO</u>	Indonesia is currently on the progress to develop an ROP database
17	Possible infractions are highlighted.	<u>PARTIAL</u>	
FLEETS ANNUAL REPORTING OBLIGATIONS			
18	Annual report on the implementation of the pilot project.	<u>YES</u>	

RECOMMENDATIONS

That the Compliance Committee:

1. **REVIEW** documents *IOTC-2023-CoC20-04c_Rev1* and *IOTC-2023-CoC20-04c Add1* and *IOTC-2023-CoC20-04c Add2*.
2. **PROVIDE** advice to the Commission on whether the Pilot project should be extended or integrated into the IOTC's regular Regional Observer Programme for monitoring at-sea transshipments.

Annex 1: Checklist of the ROP requirements, workflow and actions required by Indonesia in order to meet the standard of the IOTC ROP.

The status of implementation is presented in the last column, as of the date of production of this report.

	REQUIREMENTS	WHEN/F REQUENC Y	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
	AUTHORISED CARRIER VESSELS (CV)					
1	Fleets provide list of CVs authorized to receive tuna and tuna-like species and sharks	Any time changes to CVs list occurs	To update the record of CV <i>Conditions applies: Replacement of those wooden carrier vessels are only permitted if the material of substitute vessel shall remain wooden and the carrying capacity or fish hold volume not larger than the vessel (s) being replaced. In such case, the authorisation of the replaced wooden vessel shall be immediately revoked.</i>	<i>Template of the form list of CVs authorized to receive tuna and tuna-like species and sharks is available on the IOTC web site. "Each CPC shall submit, electronically where possible, to the IOTC Executive Secretary the list of the carrier vessels that are authorised to receive at-sea transshipments from its LSTLVs in the IOTC area of competence." The requirements are described at Annex A, https://www.iotc.org/sites/default/files/documents/compliance/report_template/Res_19_06_-_Record_of_authorized_carrier_vessels_E.xlsx</i>	12 IDN CVs are listed at Annex V of Resolution 21/02 17 IDN CVs are listed at Annex VI of Resolution 22/02. IDN promptly notify the IOTC ES of any addition, deletion, modification of its Record of CVs. Four of the 12 CVs are not in the IOTC Record of Authorised Carrier Vessels. <i>Conditions applies: Replacement of those wooden carrier vessels are only permitted if the material of substitute vessel shall remain wooden and the carrying capacity or fish hold volume not larger than the vessel (s) being replaced. In such case, the authorisation of the replaced wooden vessel shall be immediately revoked.</i>	<u>ROP implementation Consortium/Secretariat - exchange and timeliness:</u> Not applicable. <u>26/11/2021:</u> IDN submitted the updated list of Indonesian wooden carrier vessels in Annex V of the Resolution 21/02 to the IOTC Secretariat. The update was the replacement of some vessels listed in Annex V [KMC-102 been replaced with Bandar Nelayan-271; Perintis Jaya-89 been replaced with Perintis Jaya- 36; United-XVII been replaced with Bandar Nelayan-519]. <u>15/09/2022:</u> update of the CV list submitted to IOTC Secretariat. <u>26/11/2022:</u> List revised. 14/04/2023: last update of the CV list. <u>Status:</u> List of CV provided and updated by Indonesia. All CVs with IMO numbers.
2			No action required.			<u>ROP implementation Consortium/Secretariat - exchange and timeliness:</u>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
	Consortium establishes/signs MoU with owner/operator of CV.	Any time a new CV joins the ROP.		<i>Structure of the MoU can be provided to IDN, if required.</i>	IDN establishes/signs MoU with owners/operators of CVs. IDN Provide template MoU to Secretariat.	Secretariat receives MOU templates from the Consortium and when MoU are updated. Consortium has MoU with all CV operators. 28/10/2021: Template MOU sent to IDN 31/12/2021: IDN: MoU with owners/operators of CVs is in the Attachment 3. SEC: Attachment 3, " Attachment 3. Ministerial Decree Number 9 Year 2021 concerning Observer" made of 1 document, a ministerial decree from 2021 listing persons (observers). Document in Bahasa, Secretariat unable to understand the exact nature/ content of the document. IDN issues license to CV to receive TRX from limited list of FV. IDN to report on such arrangement in details. IDN Licenses issued to CV yearly. Status: License to CV in place of MoU.
	OBSERVER					
3	IOTC ROP observers are trained by the Consortium.	Whenever there is a need to train new observers.	Approval of the training manual by the Secretariat.	<i>Training manual can be provided to Indonesia, if required.</i>	IDN observers shall be trained at, at least one of the tuna-RFMO regional observer programme standards. IDN to provide to provide its training manual to the Secretariat, for approval.	ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat receives observer training manual, approved by Secretariat. Consortium conducts training when new observers are needed (2 to 3 training per year). Secretariat approves observer before new recruit are trained. 28/10/2021: IOTC training manual sent to IDN 31/12/2021: Training module provided, 4 documents describe modules of a training, documents dated 2013. Document in Bahasa, Secretariat unable to understand exact nature/ content of the document. 31/12/2022: Training modules in English provided. Status: IDN Observers not trained by Consortium, no training manual provided instead training module provided, training at national level.
4	Secretariat approves IOTC ROP observers. Secretariat maintain a list of approved IOTC ROP observers.	Any time new observers are to be trained.	Secretariat review CVs for approval. Once approved and trained, the observer will be included on the ROP observer list. Secretariat to maintain a list of approved observers. (Res. 21/02 annex IV, paragraph 3).	None	IDN to provide prospective observers' curriculum vitae (CV) to Secretariat. IDN train and certify observers	ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat receives observer training manual, approved by Secretariat. Consortium conducts training when new observers are needed (1 or 2 training per year). Secretariat approves observer before recruit are trained. Secretariat maintain list of IOTC ROP observers. 28/10/2021: List of prospective observers provided. No observers' curriculum vitae (CV) provided. IDN to update the list when new observers are trained and to provide observers' curriculum vitae to Secretariat. 31/12/2022: List of prospective observers along with the CV. 14/04/2023: IDN submits annual reports on transshipment for 2021 and 2022. The annual reports state that 16 and 18 national observers were deployed on-board IDN's CV to monitor transshipments at sea in 2021 and 2022, respectively.

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
						<p>14/04/2023: IDN provides observer training certificates for 2021 and 2022. The number of observer training certificates received is of 4 for 2021, and 8 for 2022.</p> <p>14/04/2023: IDN provides observer CVs for 2021 and 2022. The number of observer CVs received is of 6 for 2021, and 9 for 2022.</p> <p>Status: List of observers and CV provided not according to the procedure with the Consortium (Column Action by Secretariat). Training certificates and CVs not received for all the observers.</p>
5	Prospective observer certified medically fit for work.	Any time, there are new recruits	No action required.	None	IDN provides medical certificate of prospective observers to Secretariat	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat approves observer before recruit are trained based.</p> <p>28/10/2021: List of prospective observers with medical certificate provided.</p> <p>31/12/2022: IDN provided an excel file listing the 15 observers.</p> <p>14/04/2023: IDN provides observers' health certificates for 2021 and 2022. For 2021, IDN provided 1 health certificate, 2 COVID19 vaccination certificates and 3 other documents in Bahasa. For 2022, IDN provided 1 health certificate, 2 COVID19 vaccination certificates and 2 other documents in Bahasa. The Secretariat is unable to understand the exact nature/ content of the documents in Bahasa.</p> <p>Status: Observers certified medically fit for work. Health certificates not received for all observers.</p>
	DEPLOYMENT OF OBSERVERS.					
6	The deployment of observer onboard carrier vessels must be REQUESTED BY FLEETS and be approved by the Secretariat.	Any time a fleet wishes to have an observer deployed on board CVs to monitor transhipment.	Secretariat verifies that carrier vessel is authorised to receive transhipment from the fleet's fishing vessels (IOTC Record of authorised carrier vessels). Secretariat verifies that fishing vessels planning to tranship are duly entered in the IOTC Record of Vessels. a. Secretariat approves observer deployment and forward "Approval to Deploy Observer" form to the ROP Consortium. Secretariat	<p><i>Template of the form request for observer deployment can be provided as an excel file named.</i></p> <p><i>The procedure for requesting observer deployment is described at Annex B.</i></p>	Indonesia submit "request for observer deployment" to Secretariat. Completed form to be sent to IOTC_transhipment@fao.org a. Secretariat approves observer deployment and forward "Approval to Deploy Observer" form to IDN. Secretariat assigns deployment number in following format "IDN_XXX/2021".	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat received 107 Request for observers deployment from the fleets in 2022 for 51 deployments. Deployment request are received regularly every weeks and approved by Secretariat.</p> <p>28/10/2021: The template forms "request for observer deployment" provided to IDN.</p> <p>31/12/2021: There are no completed forms "request for observer deployment" in the Attachment 7.</p> <p>The attachment 7 is made of 4 letters addressed to IDN government. Document in Bahasa, Secretariat unable to understand the exact nature/ content of the document. Letters are from companies of the CV to IDN for the purpose of issuing license to tranship. No list of fishing vessels is present in the letters.</p> <p>14/04/2023: LETTER OF ASSIGNMENT FOR OBSERVER 2021 and LETTER OF ASSIGNMENT FOR OBSERVER 2022 provided by IDN.</p> <p>Status: Secretariat is not receiving Request for observer deployments from IDN according to the operational procedure of the ROP.</p>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
			<p>assigns deployment number "XXX/2021".</p> <p>b. Do not approve observer deployment and inform fleet of reason(s) for not approving observer deployment e.g. carrier vessel not authorized, fishing vessels not authorized, etc.</p>		<p>b. Do not approve observer deployment and inform IDN of reason(s) for not approving observer deployment e.g. carrier vessel not authorized, fishing vessels not authorized, etc.</p>	<p>Secretariat cannot verify that the fishing vessel(s) are registered on the IOTC Record of Authorised Vessels.</p>
7	<p>Consortium plan and deploy observer onboard CVs.</p>	<p>Any time a fleet wishes to deploy an ROP observer on board CVs to monitor transshipment.</p>	<p>No action required.</p>	<p><i>Template of the form pre-sea inspection of carrier vessel can be provided to IDN, if required.</i></p>	<p>Once IDN receives an official approval for an observer deployment from the Secretariat the observer is arranged/deployed by IDN.</p> <p>IDN observer conducts pre-sea inspection of carrier vessel (safety inspection):</p> <p>a. Observer board carrier vessel if pre-sea inspection is satisfactory.</p> <p>b. Boarding of carrier vessel is abandoned if pre-sea inspection is not satisfactory.</p>	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat received 107 Request for observers deployment from the fleets in 2022 for 51 deployments. All CV are inspected for safety purpose before each deployment.</p> <p>08/11/2021: Preboarding/pre-sea inspection form provided to Indonesia.</p> <p>31/12/2021: Regarding the pre-sea inspection of carrier vessel (safety inspection), most of the carrier vessels in Indonesia have the safety equipment on board except the life boats since the capacity of wooden vessels is not big enough to place the life boats.</p> <p>14/04/2023: Pre-sea inspection of carrier vessel (safety inspection) provided for 2022 (3), none in 2021.</p> <p>Status: Secretariat has received pre-sea inspection form completed for 3 CV in 2022 from Indonesia for 51 deployments in 2021/2022.</p>
8	<p>Fleets updates transshipment schedule and</p>	<p>Anytime there is a change in tranship</p>	<p>Secretariat verifies that the fishing vessel(s) newly added are registered on the IOTC Record of Authorised Vessels.</p>	<p><i>Multiple REVISED TRANSHIPMENT SCHEDULE can be submitted during the Carrier Vessels' trip,</i></p>	<p>Should the transshipment schedule originally submitted to the Secretariat be revised, IDN</p>	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat received 107 Request for observers deployment from the fleets in 2022 for 51 deployments. Updates transshipment schedule are received regularly every weeks by Secretariat.</p>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
	inform Secretariat/ Consortium.	ment schedule.		<p><i>they must all follow the same format. The latest revised transshipment schedule supersedes those prior to it and the dates on the latest transshipment schedule will be used.</i></p> <p><i>The procedure for submitting revised transshipment schedules is described at Annex B.</i></p>	<p>informs the Secretariat of any changes in transshipment plan.</p> <p>IDN submit a revised transshipment schedule (by using the original request for observer deployment) to Secretariat. Completed form to be sent to IOTC_transshipment@fao.org</p>	<p>08/11/2021: Original request for observer deployment form and REVISED TRANSHIPMENT SCHEDULE form provided to Indonesia.</p> <p>31/12/2021: IDN: there was no change in the transshipment schedule.</p> <p>Status: Secretariat is not receiving Request for observer deployments from IDN according to the operational procedure of the ROP, nor is receiving updates transshipment schedule. Secretariat cannot that the fishing vessel(s) (newly added) are registered on the IOTC Record of Authorised Vessels.</p>
FROM THE OBSERVER ONBOARD CV						
9	Observer check/inspects each fishing vessel and monitor the transshipment operations, in accordance with Annex IV of Resolution 21/02 and Resolution 22/02.	For each transshipment operation	No action required	<p><i>Template of the observer forms can be provided to IDN, if required.</i></p>	<p>IDN ensures on debriefing all forms are collected and processed.</p> <p><i>Note: Consortium is providing photographs as part of their check/inspection monitoring duties</i></p>	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat received 50 Observer report (One deployment cancelled) from Consortia in 2022 (51 deployments). Secretariat is receiving observer reports regularly after each deployment according to the operational procedure of the ROP and in accordance with paragraphs 5 of Annex IV of Resolution 21/02 and Resolution 22/02 and within 20 days from the end of the period of observation in accordance with the paragraphs 5.b) x) of Annex IV of Resolution 21/02 and Resolution 22/02. Observer check/inspects each fishing vessel and monitor the transshipment operations, in accordance with Annex IV of Resolution 21/02 and Resolution 22/02.</p> <p>08/11/2021: template of the boarding report on fishing vessel for inspection/checks provided to Indonesia.</p> <p>31/12/2021: IDN: Currently, the related working units are in the coordination to develop the debriefing mechanism which meet the requirements/standard. IDN asked to report on the mechanism of CHECK/INSPECT the FV according to annex IV.</p> <p>Status: No information provided on the mechanism of CHECK/INSPECT the FV according to annex IV. 2021 Observer report received 30/06/2022; 17 Observer trip reports received on 30.01.2023 and 10 observer trip reports on 14/04/2023, for the year 2022. Secretariat is not receiving observer reports regularly after each</p>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
						deployment according to the operational procedure of the ROP and according to annex IV.
10	Observer submit 5 days report to the Consortium.	Every five days, thereafter.	No action required	5 days report template can be provided to IDN, if required. All submissions to IOTC.transshipment@fao.org	IDN receives and processes the 5 days reports. IDN submit 5 days report to Secretariat.	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat received 5 days report every week from Consortiums since the operation of the programme in 2009 (7501 reports; 854 in 2021; 656 in 2022).</p> <p>08/11/2021: template of 5 days report (Form R2) provided to Indonesia. IDN explain in detail the constraints in communication from the CV. IDN: Currently, the communication from the CV using Radio HF SSB (High Frequency Single Sideband). The submission of the observer report conducted when the fishing vessels has landed at the fishing port.</p> <p>Status: No 5 days reports provided by Indonesia.</p>
REPORTING BY FISHING VESSELS						
11	Before every transshipment, Owner/Operator/ Master of fishing vessel submit pre-transshipment notification to flag State.	At least 24 hours in advance of an intended transshipment.	No action required.	Format: Transshipment Declaration form (Annex III of Resolution 21/02 and Resolution 22/02).	IDN receives, acknowledges, and processes data.	<p>IDN: Currently, the information of pre-transshipment notification conducted by using Radio HF SSB (High Frequency Single Sideband). There is no specific format to record the information.</p> <p>Status: Implemented according to explanation of Indonesia above.</p>
12	After every transshipment, Owner/Operator/ Master of fishing vessel submit transshipment declaration to flag State.	Not later than 15 days after transshipment	No action required.	Format: Transshipment Declaration form (Annex III of Resolution 21/02 and Resolution 22/02).	IDN receives, acknowledges, and processes the data.	<p>IDN: Currently, the submission of the transshipment declaration conducted when the fishing vessels has landed at the fishing port.</p> <p>Status: Implemented according to explanation of Indonesia above.</p>
DURING THE CV TRIP REPORTING OF CARRIER VESSELS						
13	After every transshipment, Owner/Operator/Master of CV submit a transshipment	Within 24 hours of the completion of	Secretariat receives, acknowledges, and processes the Transshipment Declaration.	Format: Transshipment Declaration form (Annex III of Resolution 21/02)	IDN receives, acknowledges, and processes the Transshipment Declaration.	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat receives Transshipment Declarations from the CV in accordance with the Resolution 21/02 and Resolution 22/02, within 24 Hours of the completion of transshipment. 1667 transshipment operation in 2022 for 1667 Transshipment declaration received, acknowledged, and processed.</p>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
	declaration to flag State and Secretariat	transshipment		<i>and Resolution 22/02).</i>		<p><u>IDN:</u> Currently, the submission of the transshipment declaration conducted when the vessels has landed at the fishing port.</p> <p><u>SEC:</u> Ensure the TD is sent/provided to IDN and Secretariat. CV to have TD on board. Complete 1 TD by TRX when at sea. When CV reach port, TDs are sent to SEC and IDN.</p> <p><u>IDN:</u> Currently, the submission of the transshipment declaration conducted when the vessels has landed at the fishing port. The transshipment declaration is in the attachment 6.</p> <p>14/04/2023: Transshipment observer reports from Indonesian national observers for 2021 and 2022, included a total of 382 and 412 transshipment events, respectively.</p> <p>Status: 27 TD received for 2021 and 65 TD for 2022 on 14/04/2023. No TD received within 24 hours of the completion of transshipment, no TD received when vessel has landed. No TD received for all transshipment events reported.</p>
14	Before landing of CV, Owner/Operator/Master of CV submit landing declaration to the State where landing takes place.	48 hours before landing	No action required.	<i>Format: Transshipment Declaration Form (Annex III of Resolution 21/02 and Resolution 22/02).</i>	IDN receives, acknowledges and processes the Landing Declaration.	Status: Landings in Indonesia. 27 TD received for 2021 and 65 TD for 2022 on 14/04/2023. No TD received when vessel has landed.
REPORTING OBLIGATIONS OBSERVERS REPORTS						
15	Consortium provides the observer report compiling the information collected, in accordance with the paragraphs 5 of Annex IV of Resolution 21/02 and Resolution	Within 20 days from the end of the period of observation in accordance with the paragraphs 5.b) x) of Annex	Secretariat receives, acknowledges, and processes the observer report. a. Secretariat provides comments to Consortium, if any, then Consortium provide revised version, etc... b. Secretariat approves observer report including highlighting possible infraction(s)	<i>The template observer report can be provided as a word document, if required</i> <i>The following file naming of observer report must be applied: Format: Report IDN_Ref_XXX-YY_Carrier vessel name</i>	IDN submit the observer report to Secretariat. Secretariat review the report approve or revert to IDN with comments.	<p>ROP implementation Consortium/Secretariat - exchange and timeliness:</p> <p>Secretariat received 50 Observer report (One deployment cancelled) from Consortium in 2022 (51 deployments). Secretariat is receiving observer reports regularly after each deployment according to the operational procedure of the ROP and in accordance with paragraphs 5 of Annex IV of Resolution 21/02 and Resolution 22/02 and within 20 days from the end of the period of observation.</p> <p>Status: No observer report received within 20 days from the end of the period of observation. 202021 Observer report (24) received 30/06/2022; 2022, Observer trip reports, 17 received on 30.01.2023 and 10 received on 14/04/2023. Secretariat is not receiving observer reports regularly after each deployment according to the operational procedure of the ROP and in accordance with paragraphs 5 of Annex IV of Resolution 21/02 and Resolution 22/02.</p>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
	22/02, to Secretariat.	IV of Resolution 21/02 and Resolution 22/02.	and notify the concerned fleet/s.	<i>Example: Report_IDN_Ref_008-21_MUTIARA 36</i>		
16	An ROP database is maintained by the Consortium.	<i>Data from the ROP is imported into the database after each observer deployment.</i> A copy of the database is provided to the Secretariat at regular intervals/available in the Cloud.	Retrieve copy of the database and replace outdated version, in a local server.		IDN submit a copy of its observer database to Secretariat and provide regular updates thereafter.	<p>ROP implementation Consortium/Secretariat - exchange and timeliness: Secretariat received on average update every month of the ROP database maintained by the Consortium. Since the operation of the programme in 2009, the Secretariat has received 278 update of the ROP DB (20 updates / year).</p> <p>IDN: Since currently the observer database is still under maintaining, we will submit a copy of the database when it's ready.</p> <p>Status: Secretariat is not receiving database from IDN.</p>
17	When possible infractions are highlighted, Secretariat provides the observer report and the possible infraction(s) form to the FLEETS	As soon as possible, results of the investigation must be provided, but no	Secretariat receives, acknowledges, and processes the response related to the results of the investigation; Secretariat include possible infraction(s) in the WPICMM meeting document.		IDN receives, acknowledges, and processes the possible infraction(s). IDN provide the results of the investigation to Secretariat	<p>Status: 2021 Observer report (24) received 30/06/2022; 2022, Observer trip reports, 17 received on 30.01.2023 and 10 received on 14/04/2023. Secretariat is not receiving observer reports regularly after each deployment according to the operational procedure of the ROP and in accordance with the paragraphs 5 of Annex IV of Resolution 21/02 and Resolution 22/02. No possible infraction highlighted in Observer reports provided.</p>

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
		later than 15 January.				
	FLEETS ANNUAL REPORTING OBLIGATIONS					
18	Fleets provides yearly information on the implementation of the ROP, during the previous year.	Deadline 15 September	Secretariat receives, acknowledges, and processes the reports. Secretariat include assessment in the Compliance report of concerned fleets for the Compliance Committee.	<i>The template reports are available on the IOTC web site: "Each CPCs shall submit the quantities of species transshipped during the previous year, Each CPCs shall submit the list of LSTV's registered in the IOTC Record of which have transshipped during the previous year"</i> https://www.iotc.org/sites/default/files/documents/compliance/report_templates/Res_19_06_-_Reporting_template_on_at_sea_transshipment_E.xlsx <i>"Each CPCs shall submit a comprehensive report assessing the content and conclusions of the reports from the observers assigned to the carrier vessels which have received</i>	IDN submit report on the list of Fishing vessels registered in the IOTC Record of Fishing Vessels which have transshipped during the previous year. IDN submit report on quantity by species transshipped the previous year. IDN submit comprehensive report assessing the content and conclusions of the reports of the observers assigned to carrier vessels which have received transshipment from their fishing vessels.	Status: Reports received by the deadline of 15 September

	REQUIREMENTS	WHEN/FREQUENCY	ACTION BY SECRETARIAT	COMMENTS	ACTION BY INDONESIA/SECRETARIAT	IMPLEMENTATION STATUS
				<p><i>transhipment from your LSTLV's."</i> https://www.iotc.org/sites/default/files/documents/compliance/report_templates/Res_19_06_-_National_Report_On_Transhipment_E.docx</p>		

Annex 2 – complementary documents provided by Indonesia on 14/04/2023.

For the year 2021:

- 6 observer CV,
- 23 letters of assignments,
- 1 medical health certificate, 2 COVID-19 vaccination certificates,
- 24 observer trip reports,
- 4 training certificates,
- 27 transshipment declarations,
- Annual transshipment at sea report for the year 2021,
- List of 6 authorised CVs dated 15/09/2022,
- List of 395 Fishing vessels having transhipped in 2021 the quantity of 5,303, Tons.

For the year 2022:

- 9 observer CV,
- 12 letters of assignments,
- 1 medical health certificate, 2 COVID-19 vaccination certificates,
- 27 observer trip report,
- 3 pre boarding safety inspection report,
- 8 training certificates
- 65 transshipment declarations
- Annual transshipment at sea report for the year 2022
- List of 17 authorised CVs dated 14/04/2023
- List of 155 Fishing vessels having transhipped in 2022 the quantity of 5,555 Tons

Annex 3 – Summary of exchanges with Indonesia 2021/2022.

- 08/07/2021: Executive Secretary sent letter IOTC REF: 2021-151 and the document to Indonesia,
- 19/10/2021 - Zoom meeting with 17 officials from Indonesia,
- 25/10/2021 – Documents “ROP administrative and operational requirements FOR REMARKS IDN” provided to Indonesia for completion,
- 25/10/2021 to 08.11.2021 - IOTC ROP templates were provided to Indonesia,
- 30/12/2021 - IDN provide response to the document “ Indonesia – Two years pilot project 2021 / 2023, IOTC Regional Observer Programme (ROP) to monitor transshipment at sea (Resolution 21/02), Standards - administrative and operational requirements”,
- Meeting during CoC19,
- 26/06/2022 – Secretariat provide feedback and document “ Indonesia – Two years pilot project 2021 / 2023, IOTC Regional Observer Programme (ROP) to monitor transshipment at sea (Resolution 21/02), Standards - administrative and operational requirements”; following meeting at CoC19,
- 30/06/2022: Reception of 12 Obs trip reports for the year 2021,
- 15/09/2022: Submission of list of CV by IDN, revised 21.09.2022,
- 06/12/2022: IDN provide response to the document “ Indonesia – Two years pilot project 2021 / 2023, IOTC Regional Observer Programme (ROP) to monitor transshipment at sea (Resolution 21/02), Standards - administrative and operational requirements” and provided the following documents: Attachment 1. Previous_Tonnage Certificate_Golden Tuna 99; Attachment 2. Current_Tonnage Certificate_Golden Tuna 99; Attachment 3. Ministerial Decree Number 9 Year 2021 concerning Observer (In Bahasa); Attachment 4. Training modules for observers (In Bahasa); Attachment 5. IDN Observer Transshipment Active List (15 Observers); Attachment 6. The list of prospective observers which had had the medical certificate (15 Observers); Attachment 7. Request for Observer Deployment (4 Letters in Bahasa dated 29.06.2021, 12.08.2021, 29.10.2021, 18.11.2021, not according to the excel template provided to IDN),
- 30/01/2023 Reception of 17 Observer trip reports for the year 2022.