



IOTC-2023-CoC20-CR01[E] IOTC Compliance Report for: Australia Report produced on: 04 April 2023 - 03:50

1. Implementation obligations

Req.	Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
1.1	Article X Agreement (2022)	Report of Implementation	Session -60d 9/3/2023	С	С	L	С	Received 10.03.2023. All sections completed.	
1.2	Rules of P. (4.1) (2022)	Compliance questionnaire	13/2/2023	С	С	С	С	Received 13.02.2023. All sections/questions applicable completed.	
1.3	SC04 (111) (- 2021)	National Scientific Report	20/11/2022	С	С	С	С	Report received 20.11.2022. IOTC-2022-SC25-NR01	
1.4	Commission (\$17, 52) (2022)	Response to Feedback letter	9/3/2023	С	С	L	С	Received 14.03.2023. Had 15 Compliance issues. All responded.	

2. Management Standards

Req nº	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current time- liness	Current assessment	Official observations	CPC remarks
2.1	Res. 19/04 (11.c & 17.c) (2022)	Certificates of registration & valid authorisation to fish/tranship on board	13/2/2023	С	С	С	С	AUS: Legal Reference: Australian Fisheries Management Act 1991. Statutory Fishing Right (SFR)	
2.2	Res. 19/04 (18) (2022)	Marking of vessels	9/3/2023	С	С	С	С	Legal Reference: Western Skipjack General Fishing Conditions 01/07/2018 to 30/06/2023 and Western Tuna and Billfish Statutory Fishing Rights General Conditions 2022 - 2023.	
2.3	Res. 19/04 (19.a & b) (- 2022)	Marking of gears	9/3/2023	С	С	С	С	Legal Reference: Australian Fisheries Manage- ment Act 1991and Western Tuna and Billfish Statutory Fishing Rights General Conditions 2022 - 2023.	
2.4	Res. 19/04 (20) (2022)	Fishing logbook on board, bound, consecutive numbered pages, 12 months recording	9/3/2023	С	С	С	С	Legal Reference: Australian Fisheries Management Act 1991and Western Tuna and Billfish Statutory Fishing Rights General Conditions 2022 - 2023.	
2.5	Res. 19/04 (6) (2022)	Official authorisation to fish outside National Jurisdictions (ATF)	13/2/2023 Since 15/02/2014	С	С	С	С	Update received 13.02.2023: For ATF T&C, Competent authority, official stamp. ATF template dated 12.02.2014.	
2.6	Res. 19/04 (3.b & c) (- 2022)	IMO number for eligible vessels	13/2/2023 Since 01/01/2016	С	С	С	С	Has 46 eligible vessels on the Record of Authorised Vessels and 46 with IMO numbers. Has 4 non-eligible vessels on the Record of Authorised Vessels and reported 4 non-eligible vessels.	
2.7	Res. 15/01 (4) (2022)	Official national fishing logbooks	13/2/2023 Since 15/02//2016	С	С	С	С	Received 12.02.2014 for PS/LL/HL. Has declared on 27.09.2022: All logbook templates provided on 12.02.2014 are for for vessels over 24 metres length overall and those under 24 metres fishing outside the EEZ of Australia, and for vessels less than 24 metres operating within the EEZ of Australia.	

2.8	Res. 17/07 (2) / PAK: 12/12 (2) (- 2022)	Ban on large-scale driftnets in IOTC Area	9/3/2023	С	С	С	С	Received 13.02.2023. Banned since 1991by national legislation. Legal Reference: Fisheries Management Act 1991-s13.
2.9	Res. 17/07 (6) / PAK: 12/12 (5) (- 2022)	MCS actions related to large-scale driftnets in IOTC Area	9/3/2023	С	С	С	С	Received: 13.02.2023. MCS actions applicable to Flag & Foreign vessels: Controlling of flag & foreign vessels at licensing, Inspection at sea (EEZ) foreign & flag vessels, Inspection at sea (High sea) flag vessels, Inspection in port flag & foreign vessels.
2.10	Res. 19/02 (21) & 19/04 (19.c) (2022)	Marking of FADs	13/2/2023 Since 31/12/2013	NA	NA	N/A	N/A	Australia does not use FADs in IOTC fisheries.
2.11	Res. 19/02 (12) (2023)	FADs management plan	13/2/2023 Since 31/12/2013	NA	NA	N/A	N/A	For 2023 no purse seine vessels / supply vessels be registered on the IOTC Record of authorised vessels and fishing on Drifting Fish Aggregating Devices.
2.12	Res. 19/02 (16) (2022)	Progress report on implementation of FADs management plan	13/2/2023	NA	NA	N/A	N/A	In 2022 no purse seine vessels / supply vessels on the IOTC Record of authorised vessels and fishing on Drifting Fish Aggregating Devices.
2.13	Res. 16/07 (1&2) (2022)	Prohibition of surface and submerged artificial lights to attract fish	13/2/2023 Since 27/09/2016	С	С	С	С	Has indicated banned by Western Skipjack General Fishing Conditions 01/07/2018 to 30/06/2023, but text of paras. 9, 10 & 11 pro- vided make no specific reference to lights. Australia reports that it prohibits the use of FADs within part of the IOTC Area of compe- tence via the Western Skipjack Tuna Fishing Conditions. FADs are defined as 'an object or group of objects, of any size, that has or has not been deployed, that is living or non-living, including but not limited to buoys, floats, net- ting, webbing, plastics, bamboo, logs and whale sharks floating on or near the surface of the water that fishvmay associate with. This does not include equipment authorised by this con- cession.' Australia reports that it considers the prohibition of FADs to include a prohibition of

								the use of surface or submerged lights, and that Australian-flagged fishing vessels authorised to fish in the IOTC area of competence do not deploy or use artificial lights (submerged or surface) in fishing operations. Australia reports it is updating its fishing conditions in 2023 to expressly prohibit the use of surface and submerged artificial lights to attract fish in all Australian waters within the IOTC Area. The prohibition should also apply to the high seas.	
2.14	Res. 16/08 (1) (2022)	Prohibition of aircrafts & unmanned aerial vehicles	13/2/2023 Since 27/09/2016	С	С	С	С	Banned since 2017 by terms and conditions of authorisation to fish, No 11.	
2.15	Res. 21/01 (15) (2021)	CPC subject to catch reductions, over-catch: report corrective actions taken to adhere to prescribed catch levels	9/3/2023	NA	NA	N/A	N/A	Not subject to yellowfin catch reduction in 2021.	
2.16	Res. 21/01 (12) / OMN IDN IRN MDG SOM: 19/01 (12) / IND: 18/01 (7) (- 2022)	Report methods for achieving YFT catch reductions	9/3/2023	NA	NA	N/A	N/A	Australia has implemented this Resolution by determining a 2,000t Total Allowable Catch under the Western Tuna and Billfish Fishery Management Plan for 2022-2023	
2.17	Res. 21/01 (20) / 0MN IDN IRN MDG SOM: 19/01 (18) (2023)	Purse seiners served by supply vessel in 2023	Before 1/1/2023	NA	NA	N/A	N/A	Has 9 purse seine vessels, no supply vessel in the IOTC Record of authorised vessels. IOTC-2022-SC25-NR01 - 2.2 Purse seine fleet: The purse seine fleet has fluctuated from 5–14 vessels since 1998 (Table 1). The purse seine vessels vary in length from 20 to 45 m and target southern bluefin tuna (SBT; Thunnus maccoyii) for farm cage grow-out. There were seven active SBT vessels in 2021.	
2.18	Res. 21/01 (18) / OMN IDN IRN MDG SOM: 19/01 (16) / IND: 18/01 (3c) (- 2022)	Status/Plan reducing the use of supply vessels	9/3/2023	NA	NA	N/A	N/A	Has 9 purse seine vessels, no supply vessel in the IOTC RAV. IOTC-2022-SC25-NR01 - 2.2 Purse seine fleet: The purse seine fleet has fluctuated from 5–14 vessels since 1998. The purse seine vessels vary in length from 20 to 45 m and target southern bluefin tuna (SBT; Thunnus maccoyii) for farm cage grow-out. There were seven active SBT vessels in 2021.	

2.19	Res. 21/01 (5-15) / OMN IDN IRN MDG SOM: 19/01 (5-15) / IND: 18/01 (3-6) (2021)	Catch limits - Nominal catch of YFT in 2021	9/3/2023 Since 03/10/2017	NA	NA	N/A	N/A	Australia has implemented this Resolution by determining a 2,000t Total Allowable Catch under the Western Tuna and Billfish Fishery Management Plan for 2022-2023.	
2.20	Res. 21/01 (21-24) / OMN IDN IRN MDG SOM: 19/01 (20/23) (- 2022)	Gillnet - Report level of implementa- tion of paragraphs 21-23	9/3/2023	NA	NA	N/A	N/A	Received 09.03.2023. No gillnet fishing vessels registered on the IOTC Record of Authorized Vessels in 2022.	
2.21	Res. 18/07 (1) (2022)	Report actions taken to implement reporting obligations & improve data collection of catches	9/3/2023	С	С	С	С	Received 10.03.2023 e-MARIS. Actions detailed in IOTC-2023-CoC20-IR01.	
2.22	Res 11/02 (6) (2022)	Reports observations of damaged data buoys	9/3/2023	С	С	С	С	Nil Report - No report received from flag vessels in 2022	
2.23	Res 11/02 (2) (2022)	Prohibition from intentionally fishing within 1 nautical mile of or interact- ing with data buoy	13/2/2023 Since 2011	С	С	С	С	Received 13.02.2023. Banned since 2011. Legal Reference: Western Tuna and Billfish Fishery Statutory Fishing Right Conditions and Western Skipjack Tuna Fishery General Conditions 01/07/2018 to 30/06/2023.	
2.24	Res 11/02 (3) (2022)	Prohibition from taking on board data buoy	13/2/2023 Since 2011	С	С	С	С	Received 13.02.2023. Banned since 2011. Legal Reference: Western Tuna and Billfish Fishery Statutory Fishing Right Conditions.	
2.25	Res. 13/04 (2) (2022)	Prohibition of intentionally setting purse seine net around cetacean	13/2/2023 Since 2013. For PS	С	С	С	С	Received 13.02.2023. Has vessels operating in the IOTC Area of Competence in 2022. Banned since 2012. Prohibition implemented by ATF T&C with force of law. Legal Reference: Western Tuna and Billfish Fishery Statutory Fishing Right Conditions 2022 and Western Skipjack	

								Tuna Fishery General Conditions 01/07/2018 to 30/06/2023.	
2.	26 Res. 13/05 (2) (2022)	Prohibition of intentionally setting purse seine net around whale shark	13/2/2023 Since 2013. For PS	С	С	С	С	Banned since 2012 updated 2022. Legal reference: Western Tuna and Billfish Statutory Fishing Right General Conditions 2022 para 47; Western Skipjack General Fishing Conditions 01/07/2018 to 30/06/2023 para 36	
2.	27 Res. 19/03 (2) (2022)	Prohibition of intentionnally setting any gear type on mobulid rays	13/2/2023 Since 2019. All gears	С	С	С	С	Banned since 2012 updated 2022. Legal reference: Western Tuna and Billfish Statutory Fishing Right General Conditions 2022 para 36	
2.	28 Res. 19/04 (11&12) (- 2022)	Report on review of flag State inter- nal actions & measures, punitive ac- tions and sanctions on flag vessels on the RAV				С	С	Received 09.03.2023. Has conducted review of internal actions/measures, punitive actions & sanctions. Report provided for all paragraphs 11.	

3. Reporting on Vessels

Req.	Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
3.1	Res. 10/08 (1) (2022)	List of Active vessels	15/2/2023	С	С	L	С	Received 17.02.2023. Has 53 vessels on the Record of authorised vessels and 2 vessels active in 2022.	
3.2	Res. 19/07 (8) (2022)	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	28/2/2023	NA	NA	N/A	N/A	Has confirmed there is no charter agreement in 2022.	
3.3	Res. 19/07 (4.1) (2022)	Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	13/2/2023 Within 15 days before fishing	NA	NA	N/A	N/A	Received 13.02.2023. Has confirmed there is no charter agreement in 2022.	
3.4	Res. 19/07 (4.2) (2022)	Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	13/2/2023 Within 15 days before fishing	NA	NA	N/A	N/A	Received 13.02.2023. Has confirmed there is no charter agreement in 2022.	
3.5	Res. 19/07 (6) (2022)	Start, suspension, resumption and termination of the fishing operations of chartering agreement	13/2/2023 Since 2018	NA	NA	N/A	N/A	Received 13.02.2023. Has confirmed there is no charter agreement in 2022.	
3.6		List of Authorized vessels 24 metres in length overall or more	13/2/2023 Since 01/07/2003	С	С	С	С	Last update: 15/02/2023. All mandatory information provided.	
3.7	Res. 19/04 (3) (2022)	List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	13/2/2023 Since 01/07/2006	С	С	С	С	Last update: 15/02/2023. All mandatory information provided.	
3.8	Res. 14/05 (1) (2022)	List of foreign vessels licensed in EEZ	15/2/2023 Since 01/07/2006	NA	NA	N/A	N/A	Does not license foreign flag vessels to fish in the EEZ for species managed by the IOTC in the IOTC area of competence.	

3.9	Res. 14/05 (6) (2022)	Foreign vessels denied a licence	15/2/2023 Since 01/07/2006	NA	NA	N/A	N/A	Does not license foreign flag vessels to fish in the EEZ for species managed by the IOTC in the IOTC area of competence.	
3.10	Res. 14/05 (3&5) (2022)	Access Agreement information	13/2/2023 Since 26/02/2015	NA	NA	N/A	N/A	No CPC-CPC agreement in 2022.	
3.11	Res. 14/05 (7,8) (2022)	Official coastal State fishing License	13/2/2023 Since 14/01/2014	NA	NA	N/A	N/A	Does not license foreign flag vessels to fish in the EEZ for species managed by the IOTC in the IOTC area of competence. No CPC-CPC agreement in 2022.	
3.12	Res. 21/01 (26) (2022)	List of vessels fishing for yellowfin tuna	15/2/2023			С	С	Received 30.03.2023. Has reported 2 vessels (on the RAV) fishing for yellowfin tuna in the IOTC area of competence in 2022 (Identical to the active vessels list reported under Res 10/08). Has history of YFT catch from 2021 to 2017 for the artisanal fisheries, no vessel reported. Has declared that: "None of the vessels that fished for YFT were coastal or artisanal vessels" in 2022.	

4. Vessel Monitoring System

Red n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous as- sessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
4.1	(2022)	Adoption VMS for all vessels > 24 m, and for vessels < 24 m fishing outside flag State's EEZ	13/2/2023 Since 01/07/2007	С	С	L		Received 13.02.2023. Had 2 active vessels in 2021, has reported 14 vessels fitted with VMS in 2021.	
4.2	Res. 15/03 (12) (2021)	VMS report on implementation & technical failures	30/6/2022	L	С	L	С	Received 13.02.2023. No technical failures reported.	
4.3	Res. 15/03 (2) (2021)	VMS implementation plan	13/2/2023 Since 30/04/2016	NA	NA	N/A	N/A	VMS adopted and coverage is 100%.	

5.Mandatory statistical requirement – Flag State CPCs

n° n°) (year) timeliness sessment liness sessment	Req.	Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous as- sessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
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Nominal catch

5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	С	NC	L	С	Received 22.11.2022; Data provided by IOTC standards.
5.2	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	С	NC	L	С	Received 22.11.2022; Data provided by IOTC standards.
5.3	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	С	NC	L	С	Received 22.11.2022; Data provided by IOTC standards.
5.4	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Discards	30/6/2022			L	С	Received 22.11.2022 and 31.03.2023; Data provided by IOTC standards
5.5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Report on zero catches matrix	30/6/2022	С	С	L	С	Received 22.11.2022; Data provided by IOTC standards.

Catch & Effort

5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	С	NC	L	P/C	partially provided by IOTC standards. Line fisheries are grouped together while they should be	Australia will assess how fisheries are currently grouped and, if possible, will split catch and effort data in the future.
5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	С	PC	L	С	Received 22.11.2022; Data provided by IOTC standards.	
5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	С	NC	L	С	Received 22.11.2022; Data provided by IOTC standards. Note that the purse seiners are missing from the IOTC Active Vessel List for 2021.	

Size frequency

5.9	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	NC	NC	L	N/C	Data not provided. According to the form 1RC, AUS caught and retained 12 t of SBF and 236 t of COM with trolling lines and 1 t of COM with handlines in 2021.	These data are not currently available.
5.1	0 Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	С	PC	L	С	Received 22.11.2022: Data only concern SBF and were provided by CCSBT standards.	
5.1	1 Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	С	PC	L	С	Received 22.11.2022: Data provided by IOTC standards for ALB, BET, MLS, SWO, and YFT; data provided by CCSBT standards for SBF.	

Fish Aggregating Devices (FAD)

5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Supply vessels	30/6/2022	NA	NA	N/A	N/A	No supply vessels on the IOTC Record of Fishing Vessels in 2021.	
5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Days at sea by supply vessels	30/6/2022	NA	NA	N/A	N/A	No supply vessels on the IOTC Record of Fishing Vessels in 2021.	
5.1	4 Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	FADs set by type	30/6/2022	NA	NA	N/A	N/A	Purse seine fishery does not use drifting FADs.	
5.1	5 Res. 19/02 (4.24) (2022)	Number of active FAD	Before 1st of month	NA	NA	N/A	N/A	Purse seine fishery does not use drifting FADs.	

6.Implementation of mitigation measures and bycatch of non-IOTC species

Req.	Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous as- sessment	Current time- liness	Current con- tent	Official observations	CPC remarks
6.1	Res. 17/05 (6) (2021)	Sharks nominal catch data	30/6/2022	С	С	L	С	Received 22.11.2022; Data provided by IOTC standards.	
6.2	Res. 17/05 (6) (2021)	Sharks catch & effort data	30/6/2022	NC	NC	L	С	Received 22.11.2022; Data provided by IOTC standards.	
6.3	Res. 17/05 (6) (2021)	Sharks size frequency data	30/6/2022	С	PC	L	С	Received 22.11.2022; No size data required as retained catches less than 1 t for each shark species.	
6.4	Res. 17/05 (3) (2022)	Prohibition on sharks finning	13/2/2023 Since 03/10/2017	С	С	С	С	Received 13.02.2023. Has vessels operating in the IOTC Area of Competence in 2022. Banned since 2012. Prohibition implemented by national legislation and ATF T&C with force of law. Legal Reference: Fisheries Management Regulations 2019, Western Tuna and Billfish Fishery Statutory Fishing Right Conditions 2022-2023 and Western Skipjack Tuna Fishery General Conditions 2022-2023.	
6.5	Res. 12/09 (2) (2022)	Prohibition on thresher sharks - all species of family <i>Alopiidae</i>	13/2/2023 Since 07/07/2010	С	С	С	С	Banned by Western Tuna and Billfish Statu- tory Fishing Right General Conditions 2023; Western Skipjack General Fishing Conditions 0107/2018 to 30/06/2023 Art 25	
6.6	Res. 13/06 (3) (2022)	Prohibition on oceanic whitetip sharks (Carcharhinus longimanus)	13/2/2023 Since 14/08/2013	С	С	С	С	Western Tuna and Billfish Statutory Fishing Right General Conditions 2022-2023 Art. 44	
6.7	Res. 19/03 (3) (2022)	Prohibition to retain onboard, tran- ship, land, store mobulid rays	13/2/2023 Since 29/10/2019	С	С	С	С	Banned by Western Tuna and Billfish Statutory Fishing Right General Conditions 2023, Art. 36	
				С	С				

6.8	Res. 19/03 (5, Annex 1) (- 2022)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live re- lease handling procedures of mob- ulid rays	13/2/2023 Since 29/10/2019			С	С	Banned by Western Tuna and Billfish Statutory Fishing Right General Conditions 2023, Art. 29
6.9	Res. 12/04 (5) (2022)	Report on progress of implementa- tion of Res. 12/04	9/3/2023 Since 29/10/2019	С	С	С	С	Received 09.03.2023. Has provided information on progress of implementation of Resolution 12/04.
6.10	Res. 12/04 (3) (2021)	Data on interactions with marine turtles	30/6/2022 Since 29/10/2019	С	PC	L	С	Received 22.11.2022; Total of 5 interactions reported in 2021.
6.11	Res. 12/04 (8) (2022)	Longliner carry & employ line cutters & de-hookers on board	13/2/2023 Since 06/08/2009	С	С	С	С	Obligation in Western Tuna and Billfish Statutory Fishing Right General Conditions 2022-2023
6.12	Res. 12/04 (9) (2022)	Purse seiners carry & employ dip nets on board	13/2/2023 Since 06/08/2009	С	NC	N/A	N/A	As per response to feedback letter: The purse seine vessels on the IOTC Register of Authorised Vessels were not active in 2020 (or in recent years).
6.13	Res. 12/06 (1 & 2) (2021)	Data on seabirds interactions	30/6/2022 Since 06/08/2009	С	С	L	С	Received 22.11.2022; Total of 10 interactions reported in 2021.
6.14	Res. 12/06 (5) (2022)	Implementation of mitigation measures south of 25°S	13/2/2023 Since 01/11/2010	С	С	С	С	Has longline vessels in the IOTC Record of Authorised Vessels operating south of 25 °S. Obligation implemented through T&C of the ATF. Legal reference: Western Tuna and Bill- fish Statutory Fishing Right General Conditions 2022-2023.
6.15	Res. 13/04 (7) (2021)	Data on interactions with Cetaceans	30/6/2022 (All gears)	С	NC	N/A	N/A	Exempted from reporting to IOTC, have national legislation for protecting cetaceans (EPBC Act).
6.16	Res. 13/04 (8) (2022)	Instances of Cetaceans encircled	9/3/2023 (For PS)	С	С	С	С	Has purse seiners in the IOTC Area of Competence. No encirclement reported. Obligation implemented by national legislation. Legal framework: Fisheries Management Reg-

								ulations 2019n and Western Skipjack Tuna Fishery General Conditions 2018-2023	
6.17	Res 13/05 (7) (2021)	Data on interactions with Whale Sharks	30/6/2022 (All gears)	С	NC	N/A	N/A	Exempted from reporting to IOTC, have national legislation for protecting whale sharks (Environment Protection and Biodiversity Conservation Act 1999). IOTC-2022-SC25-NR01: "Whale sharks are protected by Australian law (EPBC Act)".	
6.18	Res 13/05 (8) (2022)	Instances Whale Sharks encircled	9/3/2023 (For PS)	С	С	С	С	Nil Report - No encirclement reported by flag purse seine fishing vessels in 2022. Legal reference: Fisheries Management Regulations 2019, Division 13, Art. 69, 70, 71 & 72, and Western Skipjack Tuna Fishery General Condi- tions 2018-2023	
6.19	Res 18/02 (4) (2021)	Information on actions taken do- mestically to monitor catches of Blue Shark	20/11/2022 (SC)	С	С	С	С	Information provided 20.11.2022. Actions: Fishing log on board, EMS. IOTC-2022-SC25-NR01: Data submitted to meet the data reporting requirements outlined in the resolution. In the Australian EEZ, a long-line shark trip limit of 20 sharks per vessels per trip applies. Longline vessels undertaking single jurisdiction high seas trips may apply for a permit to retain 100 sharks per fishing trip, of which only 80 can be blue sharks. Research pertaining to the conservation of sharks has been conducted by Australia and reported to the IOTC (e.g. Hindmarsh 2007; Ward et al. 2007; Ward & Hall 2009; Patterson, Hansen & Larcombe 2014). 5.2.3 Per IOTC Resolution 18.02, paragraph 4, Australian operators may not take more than 80 blue sharks per trip. The number of sharks taken are monitored via compulsory logbooks and 100% electronic monitoring coverage of the longline fleet.	
6.20	Res 18/05 (9) (2021)	Information on actions taken do- mestically to monitor catches & manage fisheries of Striped Mar- lin, Black Marlin, Blue Marlin and Indo-pacific Sailfish	20/11/2022 (SC)	С	С	С	С	Information provided 20.11.2022. Actions: Fishing log on board, EMS. IOTC-2022-SC25-NR01: Catch and effort data prescribed in Resolution 15/01 are collected in daily fishing logbooks for the Australian longline and purse seine vessels operating in the IOTC area of competence. Catch and effort data are also recorded in daily fishing logbooks for relevant fisheries managed by Western Australia that operate in the IOTC area	

								of competence. Commercial fisheries in Australia are not permitted to keep black or blue marlin. Catch of striped marlin in the WTBF is very low (~1 t in 2020). 6.6 Actions taken to monitor catches and manage fisheries for striped marlin, black marlin, blue marlin and Indo-Pacific sailfish: Per Resolution 18.05 paragraph 9, Australian operators in the WTBF are not permitted to land black or blue marlin and must report any interactions with these species. Since 2015, there have been four sailfish reported through the WTBF compulsory logbooks and these were not retained. Striped marlin are subject to quota management in WTBF. In 2021, < 1 t striped marlin was caught in the IOTC Area of Competence (Table 2a). The number of billfish taken are monitored via logbooks and electronic monitoring coverage of the longlined fleet. 6.6 Actions taken to monitor catches and manage fisheries for striped marlin, black marlin, blue marlin and Indo-Pacific sailfish. Per Resolution 18.05 paragraph 9, Australian operators in the WTBF are not permitted to land black or blue marlin and must report any interactions with these species. Since 2015, there have been four sailfish reported through the WTBF compulsory logbooks and these were not retained. Striped marlin are subject to quota management in WTBF. In 2021, < 1 t striped marlin was caught in the IOTC Area of Competence (Table 2a). The number of billfish taken are monitored via logbooks and electronic monitoring coverage of the longlined fleet.	
6.21	Res 18/05 (5) (2022)	Prohibition to retain on board, tran- ship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length	13/2/2023 Since 04/10/2018	С	С	С	С	Banned since 2012 updated 2022. Legal reference: Western Tuna and Billfish Statutory Fishing Right General Conditions 2022 para. 32; Western Skipjack General Fishing Conditions 01/07/2018 to 30/06/2023 para. 23	
6.22	Res 19/05 (1) (2022)	Retention of target tuna species (YFT/SKJ/ BET) on board	13/2/2023 Since 29/10/2019 (PS)			С	С	Received 13.02.2023. Has purse seiners operating in the IOTC Area of Competence in 2022. Banned since 2019. Prohibition implemented by ATF T&C with force of law. Legal Reference: Western Skipjack Tuna Fishery General Conditions 2022-2023.	
6.23	Res 19/05 (2) (2022)	Retention of non target species on board	13/2/2023 Since 29/10/2019			С	С	Received 13.02.2023. Has purse seiners operating in the IOTC Area of Competence in 2022. Banned since 2019. Prohibition implemented	

			(PS)				by ATF T&C with force of law. Legal Reference: Western Skipjack Tuna Fishery General Conditions 01/07/2018 to 30/06/2023.	
6.24	Res 19/03 (8) (2021)	Data on interactions with mobulid rays	30/6/2022 (All gears)		L	С	Received 2023-03-31; Data provided by IOTC standards.	

7. Illegal, Unreported and Unregulated (IUU) Vessels

Req n°	. Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous as- sessment	Current time- liness	Current con- tent	Official observations	CPC remarks
7.1	Res. 18/03 (5 & 18) (2022)	IUU listing	13/2/2023	С	С	С		IOTC-2022-S26-R: No vessel listed on IOTC IUU list in 2022.	
7.2	Res. 07/01 (2) (2022)	Compliance by nationals	13/2/2023	С	С	С		No nationals on board vessels listed on IOTC IUU list in 2022.	

8. Transhipments

Req n°	Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
8.1	Res. 22/02 (28) (2021)	At sea transhipments – CPC report	Before 15/9/2022	NA	NA	N/A	N/A	IOTC-2022-CoC19-04a - Has not participated in the IOTC ROP in 2021.	
8.2	Res. 22/02 (Annex 1, p.6) (2022) [Annex 2 p7 MDV]	Transhipments in port report	9/3/2023	NA	NA	N/A	N/A	Received 13.02.2023. Flag LSTLVs did not tranship in foreign ports in 2022.	
8.3	Res. 22/02 (8&9) (2022)	List of Authorised carrier vessels	13/2/2023 Since 01/07/2008	NA	NA	N/A	N/A	Has not participated in the IOTC ROP in 2022.	
8.4	Res. 22/02 (31) (2022)	Report on results of investigations on possible infractions	15/1/2023	NA	NA	N/A	N/A	Has not participated in the IOTC ROP in 2022.	
8.5	Res. 22/02 (Annex 4, p.13) (2022)	Payment ROP fee (Call for fund 03/03/2022)	1/4/2022	NA	NA	N/A	N/A	Has not participated in the IOTC ROP in 2022.	

9. Observers [NOT TO BE ASSESSED FOR THE YEAR 2021 AT THE COMPLIANCE COMMITTEE 20]

Req.	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
9.1	(2021)	Regional Observer Scheme (No. of vessels monitored and coverage by gear type)	9/3/2023	NA	NA	Not assessed	Not As- sessed	N/A	
9.2	Res. 11/04 (2) (2021)	5% Mandatory, at sea (All vessels)	13/2/2023 Since 2013	NA	NA	Not assessed	Not As- sessed	N/A	
9.3	Res. 11/04 (4) (2021)	5% Artisanal landings	13/2/2023 Since 2013	NA	NA	Not assessed	Not As- sessed	N/A	
	Res. 11/04 (11) (2021)	Observer reports	13/2/2023 150 days after trip	NA	NA	Not assessed	Not As- sessed	N/A	

10. Statistical document programme

Req.	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous as- sessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
10.1	Res. 01/06 (5) (2022)	1st Semester report (2022)	1/10/2022	L	С	С	С	Report received 20.09.2022. 2 imports (1,243.50 kg Fresh) from NZL.	
10.2	Res. 01/06 (5) (2021)	2nd Semester report (2021)	1/4/2022	L	С	С	С	Report received 07.02.2022. 1 import (185kg Fresh) from NZL.	
10.3	Res. 01/06 (6) (2021)	Annual report (2021)	9/3/2023	NA	NA	N/A		Australia does not export or re-export BET subject to Resolution 01/06.	
10.4	. ,	Information on authorised institu- tions and personnel	13/2/2023 Since 01/07/2022	С	С	С	С	Last update: 13.02.2023. All mandatory information provided.	

11. Port inspection

Req.	Source (para nº) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current time- liness	Current as- sessment	Official observations	CPC remarks
11.1	Res. 05/03 (8) (2021)	Port inspection programme : foreign vessels landings	1/7/2022	NA	NA	N/A	N/A	No landing of IOTC Species in my ports in 2021.	
11.2	Res. 16/11 (5.1 & 19.1a) (2022)	List of designated ports	13/2/2023 Since 31/12/2010	С	С	С	С	Received in 30.07.2012. Has designated 62 ports; Competente Authority: Australian Fisheries Management Authority; Period Advance Notice: 24H. No update for 2022. No update for 2022.	
11.3	Res. 16/11 (5.1 & 19.1c) (2022)	Designated competent Authority	13/2/2023 Since 31/12/2010	С	С	С	С	Received in 30.07.2012. Has designated 62 ports; Competente Authority: Australian Fisheries Management Authority; Period Advance Notice: 24H. No update for 2022. No update for 2022.	
11.4	Res. 16/11 (5.1 & 19.1b) (2022)	Prior notification periods	13/2/2023 Since 31/12/2010	С	С	С	С	Received in 30.07.2012. Has designated 62 ports; Competente Authority: Australian Fisheries Management Authority; Period Advance Notice: 24H. No update for 2022. No update for 2022.	
11.5	Res. 16/11 (13.1) (2022)	Port inspection reports	13/2/2023 3 days after inspection	NA	NA	N/A	N/A	Received: 13.02.2023. Has declared: no port call, no foreign vessel inspected in 2022.	
11.6	Res. 16/11 (10.1) (2022)	At least 5% inspection of LAN / TRX	13/2/2023 Since 01/03/2011	NA	NA	N/A	N/A	Received: 13.02.2023. Has declared: no port call, no foreign vessel inspected in 2022.	
11.7	Res. 16/11 (7.3) (2022)	Denial of entry in port	13/2/2023 Since 01/03/2011	NA	NA	N/A	N/A	Received: 13.02.2023. Has declared: no port call, no foreign vessel inspected, no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022.	

11	.8 Res. 16 (9.3) (2	·	13/2/2023 Since 01/03/2011		N/A	N/A	Received: 13.02.2023. Has declared: no port call, no foreign vessel inspected, no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022.	
1-	.9 Res. 16 (9.4) (2	·	13/2/2023 Since 01/03/2011		N/A	N/A	Received: 13.02.2023. Has declared: no port call, no foreign vessel inspected in 2022, no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022	
1	.1(Res. 16 (15.1) (13/2/2023 Since 01/03/2011		N/A	N/A	Received 13.02.2023. Has declared no port call & no inspection in 2022, no clear grounds for believing that vessel has engaged in IUU fishing/fishing related activities following an inspection in port.	

Current issues on the level of implementation by **Australia** of IOTC Conservation and Management Measures identified for discussion in the CoC20 in 2023

Having reviewed the 2022 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Repeated compliance issues:

e-Maris CR Ref (1)	Compliance Issue	Current status (2022)	Previous status (2021)
5.6	Has not reported catch and effort for coastal fisheries to IOTC standard, as required by Resolution 15/02. Data partially provided by IOTC standards. Line fisheries are grouped together while they should be split for each coastal fishery.	P/C	N/C
5.9	Has not reported size frequency for coastal fisheries, as required by Resolution 15/02. According to the form 1RC, AUS caught and retained 12 t of SBF and 236 t of COM with trolling lines and 1 t of COM with handlines in 2021.	N/C	N/C
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^{1 :} Requirement reference number of the Compliance report and e-MARIS

Non-repeated compliance issues:

e-Maris CR Ref (1)	Compliance Issue	Current status (2022)	Previous status (2021)
		-	-
		-	-
		-	-
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		-	-
		-	-

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Repeated compliance issues:	2
Non repeated compliance issues:	0

^{1 :} Requirement reference number of the Compliance report and e-MARIS