

1. Implementation obligations

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
1.1	Article X Agreement (2022)	Report of Implementation	Session -60d 9/3/2023	C	C	C	P/C	Received 09.03.2023. One section applicable not completed (Res 01/06 Big eye annual report).	<p>It was not possible to carry out the examination of the export data within the deadline this year because the report of the import data was not made available sufficient time in advance.</p> <p>The deadline to examine the export data was 9 March 2023, however the report on the import data was provided on 23 February, only 10 working days before the deadline.</p> <p>As a comparison, in 2022, the deadline to examine the export data was 17 March 2022 and the report on the import data was provided on 16 February, therefore 20 working days before the deadline.</p> <p>Furthermore, the export CPC does not necessarily know where the fish are exported to as the "import section" is filled by the importer. The level of aggregation of the report on the import data makes it difficult to double check against the export certificates. It would be easier and quicker for the export CPC to receive the disaggregated</p>

									import data, so each import can be checked against the individual export certificates as needed. Considering all the above, the complexity of the task and the particularly short amount of time given this year, the EU is still currently analysing the import data and will submit the report when available.
1.2	Rules of P. (4.1) (2022)	Compliance questionnaire	13/2/2023	C	C	L	C	Received 14.02.2023 and 04.04.2023: All applicable sections completed.	The missing sections relates to obligations for which the deadline was after 13 February and therefore it was legitimate that those sections were not yet filled. For example, requirement 2.4 <i>List of vessels fishing for yellowfin tuna</i> was due on 15 February 2023. Section 2.5 Control of domestic vessels was due with the implementation report on 9 March. However, in the compliance questionnaire, due for the 13 February, it was asked if those were already submitted. The Compliance Questionnaire was formally resubmitted with all elements.
1.3	SC04 (111) (-2021)	National Scientific Report	20/11/2022	C	C	L	C	Received 21.11.2022	
1.4	Commission (S17, 52) (2022)	Response to Feedback letter	9/3/2023	C	C	C	C	Received 09.03.2023. Had 11 Compliance issues, all responded.	

2. Management Standards

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
2.1	Res. 19/04 (11.c & 17.c) (2022)	Certificates of registration & valid authorisation to fish/tranship on board	13/2/2023	C	C	L	C	Legal reference: Article 23 of Regulation (EU) 2022/2343.	
2.2	Res. 19/04 (18) (2022)	Marking of vessels	9/3/2023	C	C	C	C	Legal reference: Article 6 of the Commission Implementing Regulation (EU) No 404/2011.	
2.3	Res. 19/04 (19.a & b) (-2022)	Marking of gears	9/3/2023	C	C	C	C	Legal reference: Article 11 of the Commission Implementing Regulation (EU) No 404/2011.	
2.4	Res. 19/04 (20) (2022)	Fishing logbook on board, bound, consecutive numbered pages, 12 months recording	9/3/2023	C	C	C	C	Legal reference: Articles 14 and 15 of Council Regulation (EC) No. 1224/2009.	
2.5	Res. 19/04 (6) (2022)	Official authorisation to fish outside National Jurisdictions (ATF)	13/2/2023 Since 15/02/2014	C	C	C	C	Updated: 07.04.2022 & 02.05.2022 for EU ITA & EU FRA	
2.6	Res. 19/04 (3.b & c) (-2022)	IMO number for eligible vessels	13/2/2023 Since 01/01/2016	C	C	C	C	Has 115 eligible vessels on the Record of Authorised Vessels and 115 with IMO numbers. Has 0 non-eligible vessels on the Record of Authorised Vessels and reported 0 non-eligible vessels.	
2.7	Res. 15/01 (4) (2022)	Official national fishing logbooks	13/2/2023 Since 15/02//2016	C	C	C	C	Received 07.10.2022 for FRA, 12.02.2014 for PRT, 08.09.2021 for ESP, 04.04.2023 for ITA. Has declared: The logbook template of EU-FRA is used by all vessels measuring more than 12 meters LOA, regardless of the area in which they fish (inside and outside the EEZ). The logbook template permits to declare where the fishery took place – inside or outside the EEZ. There is no distinction made	EU-ITA logbook was sent to the IOTC Secretariat through eMaris on 04/04/2023. All other logbooks were provided already

								for vessels of more or less than 24 meters LOA. <u>Legal reference:</u> Article 14 of Council Regulation (EC) 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy.
2.8	Res. 17/07 (2) / PAK: 12/12 (2) (-2022)	Ban on large-scale driftnets in IOTC Area	9/3/2023	C	C	C	C	Received 17.02.2023. Banned since 1998, by national legislation. Legal Reference: Regulation (EU) 2019/1241 of the European Parliament and of the Council of 20 June 2019 on the conservation of fisheries resources and the protection of marine ecosystems through technical measures.
2.9	Res. 17/07 (6) / PAK: 12/12 (5) (-2022)	MCS actions related to large-scale driftnets in IOTC Area	9/3/2023	C	C	C	C	MCS actions received 03.03.2023. Actions : [Controlling of flag vessels at licensing, Inspection at sea (EEZ) of flag vessels, Inspection in port of flag vessels]. Legal Reference: Since 1998, Council Regulation 1239/98 of 8 June 1998 amending Regulation 894/97 laying down certain technical measures for the conservation of fishery resources, which has since been repealed and replaced by Regulation (EU) 2019/1241, has banned the use of driftnet (all size) to catch tuna species. Council Regulation 1224/2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy, provides that inspections in port or at sea shall check the legality of the fishing gear used for the targeted species - Article 74 Conduct of inspections.
2.10	Res. 19/02 (21) & 19/04 (19.c) (2022)	Marking of FADs	13/2/2023 Since 31/12/2013	C	C	C	C	Legal Reference: Article 216(2) of the Treaty on the Functioning of the European Union.
2.11	Res. 19/02 (12) (2023)	FADs management plan	13/2/2023 Since 31/12/2013	C	PC	C	C	<u>Received 09.03.2023.</u> 2023 DFAD management plan provided for EU-ESP & EU-FRA. Has declared: <i>The FAD management plan of EU-FRA also contain the management plan for EU-ITA, which vessel Torre Italia is under the operational management of a French purse seine operator.</i> <u>Legal reference:</u> Article 9 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying

								down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence.	
2.12	Res. 19/02 (16) (2022)	Progress report on implementation of FADs management plan	13/2/2023	C	C	P/C	C	Received 09.03.2023 & 04.04.2023. Progress report on 2022 DFAD management plan provided for EU-FRA, EU ITA, EU-ESP. Has declared: The progress report of EU-FRA also contain the progress report for EU-ITA, which vessel Torre Italia is under the operational management of a French purse seine operator.	EU-ESP progress report was sent to the IOTC Secretariat through eMaris on 04/04/2023.
2.13	Res. 16/07 (1&2) (2022)	Prohibition of surface and submerged artificial lights to attract fish	13/2/2023 Since 27/09/2016	C	C	C	C	Source E-MARIS: Banned since 2015, Legal Reference: Article 11 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
2.14	Res. 16/08 (1) (2022)	Prohibition of aircrafts & unmanned aerial vehicles	13/2/2023 Since 27/09/2016	C	C	C	C	Source E-MARIS: Banned since 2016, Legal Reference: Article 8 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
2.15	Res. 21/01 (15) (2021)	CPC subject to catch reductions, over-catch: report corrective actions taken to adhere to prescribed catch levels	9/3/2023	C	C	N/A	N/A	Not subject to yellowfin catch reduction in 2021.	
2.16	Res. 21/01 (12) / OMN IDN IRN MDG SOM: 19/01 (12) / IND: 18/01 (7) (-2022)	Report methods for achieving YFT catch reductions	9/3/2023	C	C	C	C	Close monitoring of the EU catch limits, allocation of individual quotas and data are cross checked.	

2.17	Res. 21/01 (20) / OMN IDN IRN MDG SOM: 19/01 (18) (2023)	Purse seiners served by supply vessel in 2023	Before 1/1/2023	C	C	C	C	Reports received: Submission for EU ESP on 19.12.2022, Submission for EU FRA on 15.12.2022, Submission for EU ITA: No information received on association of supply vessel to their 1 purse seiner (as was the case for 2022).	
2.18	Res. 21/01 (18) / OMN IDN IRN MDG SOM: 19/01 (16) / IND: 18/01 (3c) (-2022)	Status/Plan reducing the use of supply vessels	9/3/2023	C	C	C	C	Received: 29.11.2017.	
2.19	Res. 21/01 (5-15) / OMN IDN IRN MDG SOM: 19/01 (5-15) / IND: 18/01 (3-6) (2021)	Catch limits - Nominal catch of YFT in 2021	9/3/2023 Since 03/10/2017	C	C	C	N/C	Purse seine fleet: Reference year 2014: 91,405 MT. Reference year catch limit revised due to over-catches in 2017-2019. 2021 catch limit: 73,945 MT. 2021 catch: 75,208 MT. 1.7 % over 2021 catch limit.	An internal procedure has been launched to payback the excess of YFT catches of the period 2017-2019 which was to be deducted in 2021. The complete deduction has not yet been done due to delays in the internal legal procedure. Since the overcatch of 2017, the EU has never infringed its baseline limit in the rebuilding plan and has reduced its catches, de facto already paying back significant part of the 2017 overcatch. The EU shall payback the totality of the overcatch due, including potential penalties due to consecutive overcatch.
2.20	Res. 21/01 (21-24) / OMN IDN IRN MDG SOM: 19/01 (20/23) (-2022)	Gillnet - Report level of implementation of paragraphs 21-23	9/3/2023	NA	NA	N/A	N/A	Received 09.03.2023. No gillnet fishing vessels registered on the IOTC Record of Authorized Vessels in 2022.	

2.21	Res. 18/07 (1) (2022)	Report actions taken to implement reporting obligations & improve data collection of catches	9/3/2023	C	C	C	C	Received 03.03.2023 (e-MARIS). Actions detailed in IOTC-2023-CoC20-IR06 & IOTC-2022-SC25-NR06. Legal reference: Regulation 2022/2343 of the European Parliament & the Council of 23/11/2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, Article 51(5): Member States shall submit to the Commission 75 days before the annual meeting of the IOTC information for the preceding calendar year, containing the information on actions taken to implement their reporting obligations for all IOTC fisheries, including shark species caught in association with IOTC fisheries, in particular the steps taken to improve their data collection for direct and incidental catches. The Commission shall compile the information in a Union implementation report and send it to the IOTC Secretariat.
2.22	Res 11/02 (6) (2022)	Reports observations of damaged data buoys	9/3/2023	C	C	C	C	Nil Report - No report received from flag vessels in 2022
2.23	Res 11/02 (2) (2022)	Prohibition from intentionally fishing within 1 nautical mile of or interacting with data buoy	13/2/2023 Since 2011	C	C	C	C	Source E-MARIS: Banned since 2011. Legal Reference: Article 5 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.
2.24	Res 11/02 (3) (2022)	Prohibition from taking on board data buoy	13/2/2023 Since 2011	C	C	C	C	Source E-MARIS: Banned since 2011. Legal Reference: Article 5 (3) of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.
2.25	Res. 13/04 (2) (2022)	Prohibition of intentionally setting purse seine net around cetacean	13/2/2023 Since 2013. For PS	C	C	C	C	Source E-MARIS: Banned since 2013. Legal Reference: Article 20 of Regulation (EU) 2022/2343 of the European Parliament and

								of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
2.26	Res. 13/05 (2) (2022)	Prohibition of intentionally setting purse seine net around whale shark	13/2/2023 Since 2013. For PS	C	C	C	C	Source E-MARIS: Banned since 2013. Legal Reference: Article 19 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
2.27	Res. 19/03 (2) (2022)	Prohibition of intentionally setting any gear type on mobulid rays	13/2/2023 Since 2019. All gears	C	C	C	C	Source E-MARIS: Banned since 2020, Legal Reference: Article 18 (1) of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
2.28	Res. 19/04 (11&12) (-2022)	Report on review of flag State internal actions & measures, punitive actions and sanctions on flag vessels on the RAV	9/3/2023			L	C	Received 24.03.2023. Has conducted review of internal actions/measures, punitive actions & sanctions. Report provided for all paragraphs 11 & items 1) actions & measures, 2) punitive actions & 3) sanctions.	

3. Reporting on Vessels

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
3.1	Res. 10/08 (1) (2022)	List of Active vessels	15/2/2023	C	C	C	C	Received 14.02.2023. Has 115 vessels on the Record of authorised vessels and 65 vessels active in 2022.	
3.2	Res. 19/07 (8) (2022)	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	28/2/2023	NA	NA	N/A	N/A	Has confirmed there is no charter agreement in 2022.	
3.3	Res. 19/07 (4.1) (2022)	Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	13/2/2023 Within 15 days before fishing	NA	NA	N/A	N/A	No chartering agreement in 2022.	
3.4	Res. 19/07 (4.2) (2022)	Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	13/2/2023 Within 15 days before fishing	NA	NA	N/A	N/A	No chartering agreement in 2022.	
3.5	Res. 19/07 (6) (2022)	Start, suspension, resumption and termination of the fishing operations of chartering agreement	13/2/2023 Since 2018	NA	NA	N/A	N/A	No chartering agreement in 2022.	
3.6	Res. 19/04 (3) (2022)	List of Authorized vessels 24 metres in length overall or more	13/2/2023 Since 01/07/2003	C	PC	C	C	Last update in 2022 30 December . 16 EU-FRA, 53 EU-ESP (52 FV and 1 Carrier), 19 EU-PRT, 1 EU-ITA, 1 EU-NLD, 3 EU-LTU.	
3.7	Res. 19/04 (3) (2022)	List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	13/2/2023 Since 01/07/2006	C	PC	C	C	Last update in 2022 30 December	
3.8	Res. 14/05 (1) (2022)	List of foreign vessels licensed in EEZ	15/2/2023 Since 01/07/2006	C	C	C	C	Received 15.03.2023 has issued 10 license in 2022.	

3.9	Res. 14/05 (6) (2022)	Foreign vessels denied a licence	15/2/2023 Since 01/07/2006	C	C	C	C	Received 14.02.2023. No foreign vessel was denied a license in 2022.
3.10	Res. 14/05 (3&5) (2022)	Access Agreement information	13/2/2023 Since 26/02/2015	C	C	C	C	Received 14.02.2023. CPC-CPC agreement: SYC/EU Mayotte. Legal reference: Article 216(2) of the Treaty on the Functioning of the European Union, "AGREEMENT between the European Union and the Republic of the Seychelles on access for fishing vessels flying the flag of the Seychelles to waters and marine biological resources of Mayotte, under the jurisdiction of the European Union".
3.11	Res. 14/05 (7,8) (2022)	Official coastal State fishing License	13/2/2023 Since 14/01/2014	C	C	C	C	Received 11.02.2015, Updated 14.02.2023 for Competent Authority details, Official stamp of the Competent Authority, Template of the coastal State fishing license, Terms and conditions of the coastal State fishing license.
3.12	Res. 21/01 (26) (2022)	List of vessels fishing for yellowfin tuna	15/2/2023	████████	████████	P/C	C	Received 14.02.2023 vessels fishing YFT: EU ITA 1 FRA 132 ESP 25; & received 28.02.2023: vessels fishing YFT EU PRT 2.

4. Vessel Monitoring System

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
4.1	Res. 15/03 (1) (2022)	Adoption VMS for all vessels > 24 m, and for vessels < 24 m fishing outside flag State's EEZ	13/2/2023 Since 01/07/2007	C	C	C	C	VMS adopted since 2001. Coverage is 100 %, 67 vessels (Active), in 2022. Legal Reference: COUNCIL REGULATION (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy - Article 9 Vessel monitoring system, Indent 2 & 6.	
4.2	Res. 15/03 (12) (2021)	VMS report on implementation & technical failures	30/6/2022	PC	C	L	C	Received 13.07.2022 EU FRA ESP; 25.07.2022 EU PRT 29.07.2022 EU ITA. EU ITA: Had 1 active vessel in 2021, has reported 1 vessel fitted with VMS in 2021. EU FRA: Had 32 active vessels in 2021, has reported 36 vessels fitted with VMS in 2021. EU ESP: Had 29 active vessels in 2021, has reported 44 vessels fitted with VMS in 2021. EU PRT : Had 2 active vessels in 2021, has reported 20 vessels fitted with VMS in 2021.	
4.3	Res. 15/03 (2) (2021)	VMS implementation plan	13/2/2023 Since 30/04/2016	NA	NA	N/A	N/A	VMS adopted and coverage is 100%.	

5.Mandatory statistical requirement – Flag State CPCs

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
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Nominal catch

5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	L	C	C	C	Received 21.06.2022; Data provided by IOTC standards.	
5.2	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	L	C	C	C	Received 21.06.2022; Data provided by IOTC standards.	
5.3	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	PC	PC	L	P/C	Received 27.07.2022; Data not provided by IOTC standard for one EU fleet.	We are working with the IOTC to potentially correct discrepancies.
5.4	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Discards	30/6/2022	██████	██████	L	N/C	Received 21.06.2022 and 27.07.2022; The data of the fleets who reported discards (EU-ESP, EU-FRA) were inconsistent with data submitted in RC and DR forms; Data not provided for EU-ITA and EU-PRT fleets.	For the discrepancies of relating to EU-FRA, after verification, it seems that all the data are reported. The error may be due to an issue of data extraction. We will follow up with the IOTC Secretariat, on this issue and other discrepancies, to improve data coherence. Observer data could not be collected due to the absence of observer on board during the COVID crisis for EU-PRT. EU-PRT data are also reported through the logbook. There

									was no data to be reported for the year 2021. Data call has been launched for the missing data of the one vessel of one fleet (EU-ITA).
5.5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Report on zero catches matrix	30/6/2022	PC	PC	P/C	N/C	Received 21.06.2022 and 27.07.2022; Data not provided or not reported by IOTC standards.	We are working with the IOTC to retrieve missing data and correct discrepancies.

Catch & Effort

5.6	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	L	NC	C	P/C	Received 21.06.2022; Data not provided for all the fleet strata.	Effort data of LLCO in EU-MYT were not fully stored in the database and available at the data call's deadline. The EU plans to submit a complete set of data.
5.7	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	L	PC	L	P/C	Received 27.07.2022; Data not provided for all the species/fleet strata (EU-ESP PS fisheries).	Data call has been launched to retrieve the missing data.
5.8	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	L	C	L	P/C	Received 27.07.2022; Data not provided for all the species/fleet strata (EU-ESP and EU-PRT).	Observer data could not be collected due to the absence of observer on board during the COVID crisis for EU-PRT. Data call has be launched for the rest of the missing data.

Size frequency

5.9	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4),	Coastal fisheries	30/6/2022	L	PC	C	P/C	Received 21.06.2022; Data not provided by IOTC standards. Less than 1 fish per metric t for most reported species.	Local national authorities of EU-FRA are working on improving field trip samples.
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	19/02 (4, 22 & 24) (2021)								
5.10	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided by IOTC standards. Less than 1 fish per metric t for most reported species.	The EU is working with the IOTC Secretariat on identifying missing data and will launch a data call if data are retrievable.
5.11	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided by IOTC standards. Less than 1 fish per metric T for most reported species; SF data not provided by EU-PRT.	Observer data could not be collected due to the absence of observer on board during the COVID crisis for EU-PRT.

Fish Aggregating Devices (FAD)

5.12	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Supply vessels	30/6/2022	L	C	P/C	C	Received 21.06.2022 and 27.07.2022; Data provided by IOTC standards.	
5.13	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Days at sea by supply vessels	30/6/2022	L	C	P/C	C	Received 21.06.2022 and 27.07.2022; Data provided by IOTC standards.	
5.14	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	FADs set by type	30/6/2022	L	C	P/C	C	Received 21.06.2022 and 27.07.2022; Data provided by IOTC standards.	
5.15	Res. 19/02 (4.24) (2022)	Number of active FAD	Before 1st of month	C	PC	P/C	P/C	Received with a cumulative delay of 53 days over the months of Jan-Oct 2022; Data provided by IOTC standards; 43 instances of excess of the daily limit of 300 buoys for EU-FRA.	The 43 instances of excess of the daily limit of 300 buoys were due to two technical difficulties due to the servers Marine Instruments and Satlink in April and then October 2022.

6. Implementation of mitigation measures and bycatch of non-IOTC species

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current content	Official observations	CPC remarks
6.1	Res. 17/05 (6) (2021)	Sharks nominal catch data	30/6/2022	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata.	Sampling in coastal artisanal fisheries (EU-MYT) is facing difficulties in particular in species identification of sharks. Local national authorities are assessing potential improvement to be meeting this requirement.
6.2	Res. 17/05 (6) (2021)	Sharks catch & effort data	30/6/2022	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata.	Data call has been launched for the missing data of the one vessel of one fleet (EU-ITA)
6.3	Res. 17/05 (6) (2021)	Sharks size frequency data	30/6/2022	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata.	Data call has been launched for the missing data of the one vessel of one fleet (EU-ITA)
6.4	Res. 17/05 (3) (2022)	Prohibition on sharks finning	13/2/2023 Since 03/10/2017	C	C	C	C	Source E-MARIS: Banned since 2003. Legal Reference: Article 3 of Council Regulation (EC) No 1185/2003 of 26 June 2003 on the removal of fins of sharks on board vessels, later amended by regulation (EU) No 605/2013 of the European Parliament and of the Council of 12 June 2013.	
6.5	Res. 12/09 (2) (2022)	Prohibition on thresher sharks - all species of family <i>Alopiidae</i>	13/2/2023 Since 07/07/2010	C	C	C	C	Implemented since 2011 under Article 24 of Council Regulation (EU) No 57/2011 and prohibited under Article 17 of Regulation (EU) 2022/2343	
6.6	Res. 13/06 (3) (2022)	Prohibition on oceanic whitetip sharks (<i>Carcharhinus longimanus</i>)	13/2/2023 Since 14/08/2013	C	C	C	C	Implemented since 2011 under Article 24 of Council Regulation (EU) No 57/2011 and prohibited under Article 16 of Regulation (EU) 2022/2343	

6.7	Res. 19/03 (3) (2022)	Prohibition to retain onboard, tranship, land, store mobulid rays	13/2/2023 Since 29/10/2019	C	C	C	C	Implemented since 2020 under Council Regulation (EU) No 2020/123 and prohibited under Article 18(2) of Regulation (EU) 2022/2343	
6.8	Res. 19/03 (5, Annex 1) (- 2022)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of mobulid rays	13/2/2023 Since 29/10/2019	C	C	C	C	Legal reference: Article 18 (3) of Regulation (EU) 2022/2343. There is no reference to the precise handling procedures (including certain prohibitions) that shall be implemented and followed, as detailed in Annex 1 to IOTC Resolution 19/03.	
6.9	Res. 12/04 (5) (2022)	Report on progress of implementation of Res. 12/04	9/3/2023 Since 29/10/2019	C	C	C	C	Received 07.03.2023. Report on progress on implementation of the Resolution 12/04 and also the FAO guideline fully completed for all sections applicable.	
6.10	Res. 12/04 (3) (2021)	Data on interactions with marine turtles	30/6/2022 Since 29/10/2019	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata.	Observer data (samplings/discard) could not be collected due to the absence of observer on board during the COVID crisis for fleets from which the data is missing (EU-MYT and EU-PRT). EU-PRT data are also reported through the logbook. There was no data to be reported for the year 2021. We will be working with the IOTC Secretariat for discrepancies of PS fleets. After our verification, all these individuals are indeed on the 1DI forms. The data in the NR are consistent with the ST09. The error may be due to incorrect data extraction by the IOTC.
6.11	Res. 12/04 (8) (2022)	Longliner carry & employ line cutters & de-hookers on board	13/2/2023 Since 06/08/2009	C	C	C	C	Source E-MARIS: Banned since 2012. Legal Reference: Article 21 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	

6.12	Res. 12/04 (9) (2022)	Purse seiners carry & employ dip nets on board	13/2/2023 Since 06/08/2009	C	C	C	C	Source E-MARIS: Banned since 2012. Legal Reference: Article 21 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
6.13	Res. 12/06 (1 & 2) (2021)	Data on seabirds interactions	30/6/2022 Since 06/08/2009	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata.	Observer data (samplings/discard) could not be collected due to the absence of observer on board during the COVID crisis for fleets from which the data is missing (EU-MYT and EU-PRT). EU-PRT data are also reported through the logbook. There was no data to be reported for the year 2021.
6.14	Res. 12/06 (5) (2022)	Implementation of mitigation measures south of 25°S	13/2/2023 Since 01/11/2010	C	C	C	C	Source E-MARIS: Banned since 2012. Legal Reference: Article 22 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.	
6.15	Res. 13/04 (7) (2021)	Data on interactions with Cetaceans	30/6/2022 (All gears)	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata and some discrepancies found between mandatory statistical data and NR reported by EU-FRA.	Observer data (samplings/discard) could not be collected due to the absence of observer on board during the COVID crisis for fleets from which the data is missing (EU-MYT and EU-PRT). EU-PRT data are also reported through the logbook. There was no data to be reported for the year 2021.

									We will be working with the IOTC Secretariat for discrepancies of EU-FRA segment of the PS fleet. After our verification, all these individuals are indeed on the 1DI forms. The data in the NR are consistent with the ST09. The error may be due to incorrect data extraction by the IOTC.
6.16	Res. 13/04 (8) (2022)	Instances of Cetaceans encircled	9/3/2023 (For PS)	L	C	C	C	Has purse seiners in the IOTC Area of Competence. No encirclement reported. Obligation implemented by national legislation. Legal Reference: Article 20 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence.	
6.17	Res 13/05 (7) (2021)	Data on interactions with Whale Sharks	30/6/2022 (All gears)	L	PC	P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata and some discrepancies found between mandatory statistical data and NR reported by EU-FRA.	Observer data (samplings/discard) could not be collected due to the absence of observer on board during the COVID crisis for fleets from which the data is missing (EU-MYT and EU-PRT). EU-PRT data are also reported through the logbook. There was no data to be reported for the year 2021. We will be working with the IOTC Secretariat for discrepancies of PS fleets. After our verification, all these individuals are indeed on the 1DI forms. The data in the NR are consistent with the ST09. The error may be due to incorrect data extraction by the IOTC.
6.18	Res 13/05 (8) (2022)	Instances Whale Sharks encircled	9/3/2023 (For PS)	L	C	C	C	Nil Report received on 09.03.23 - No encirclement reported by flag purse seine fishing vessels in 2022.	

6.19	Res 18/02 (4) (2021)	Information on actions taken domestically to monitor catches of Blue Shark	20/11/2022 (SC)	L	C	L	C	Information received 21.11.2022 (e-MARIS). Actions are: Logbook onboard and observers on board.
6.20	Res 18/05 (9) (2021)	Information on actions taken domestically to monitor catches & manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish	20/11/2022 (SC)	L	C	L	C	Report received 21.11.2022. Actions: Fishing logbook (ERS) on board & Observer on board fishing vessels. IOTC-2022-SC25-NR06: 6.6 Actions taken domestically to monitor the catches of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish: Regarding species identification, onboard observers and captains are distributed with IOTC billfish identification cards, as well as other materials such as those prepared by the national institute. Regarding data recording, all catches of all species, including marlins, are recorded and reported in electronic logbooks. Billfish have no special catch reporting regulations and catches of this species must be reported by ERS as for the rest of the species. In addition, all catches, including retained species, live and dead discards, are recorded in the observer program and duly reported in electronic form to the IOTC Secretariat within the set deadlines. This includes all billfish species when caught. Attempts to develop an abundance index based on catches per unit effort are underway.
6.21	Res 18/05 (5) (2022)	Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length	13/2/2023 Since 04/10/2018	C	C	C	C	Source E-MARIS: Banned since 2018. Legal Reference: Article 6 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.
6.22	Res 19/05 (1) (2022)	Retention of target tuna species (YFT/SKJ/ BET) on board	13/2/2023 Since 29/10/2019 (PS)			C	C	Received 10.02.2023. Banned by national legislation. Legal Reference: Article 4 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence.

6.23	Res 19/05 (2) (2022)	Retention of non target species on board	13/2/2023 Since 29/10/2019 (PS)			C	C	Received 11.02.2023. Banned since 2010, by national legislation. Legal Reference: Article 216(2) Treaty on the functioning of the EU and Article 4 of Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence.	
6.24	Res 19/03 (8) (2021)	Data on interactions with mobulid rays	30/6/2022 (All gears)			P/C	P/C	Received 21.06.2022 and 27.07.2022; Data not provided for all the fleet strata.	Observer data (samplings/discard) could not be collected due to the absence of observer on board during the COVID crisis for fleets from which the data is missing (EU-MYT and EU-PRT). EU-PRT data are also reported through the logbook. There was no data to be reported for the year 2021.

7. Illegal, Unreported and Unregulated (IUU) Vessels

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current content	Official observations	CPC remarks
7.1	Res. 18/03 (5 & 18) (2022)	IUU listing	13/2/2023	C	C	C	C	IOTC-2022-S26-R: No vessel listed on IOTC IUU list in 2022.	
7.2	Res. 07/01 (2) (2022)	Compliance by nationals	13/2/2023	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2022.	

8. Transhipments

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
8.1	Res. 22/02 (28) (2021)	At sea transhipments – CPC report	Before 15/9/2022	NA	NA	L	N/A	Not participating in the IOTC ROP.	
8.2	Res. 22/02 (Annex 1, p.6) (2022) [Annex 2 p7 MDV]	Transhipments in port report	9/3/2023	C	PC	C	P/C	Received 09.03.2023. For EU-ESP, EU-FRA and EU-ITA only. No information provided for EU-PRT. e-PSM shows port entries for TRX purpose for 2 Portuguese vessels.	The two mentioned "transhipments" are believed to be misreported landings from the port authority of the country in which the operations took place. In our record, those operations are noted as landing. We are clarifying the issue with the IOTC Secretariat. The EU will submit new elements to support this ahead of the Compliance Committee.
8.3	Res. 22/02 (8&9) (2022)	List of Authorised carrier vessels	13/2/2023 Since 01/07/2008	NA	NA	L	C	Last update 30.12.2022. All mandatory information provided.	
8.4	Res. 22/02 (31) (2022)	Report on results of investigations on possible infractions	15/1/2023	NA	NA	N/A	N/A	Not participating in the IOTC ROP.	
8.5	Res. 22/02 (Annex 4, p.13) (2022)	Payment ROP fee (Call for fund 03/03/2022)	1/4/2022	NA	NA	L	N/A	Not participating in the IOTC ROP.	

9. Observers [NOT TO BE ASSESSED FOR THE YEAR 2021 AT THE COMPLIANCE COMMITTEE 20]

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
9.1	Res. 11/04 (9) (2021)	Regional Observer Scheme (No. of vessels monitored and coverage by gear type)	9/3/2023	NA	NA	Not assessed	Not Assessed	N/A	
9.2	Res. 11/04 (2) (2021)	5% Mandatory, at sea (All vessels)	13/2/2023 Since 2013	NA	NA	Not assessed	Not Assessed	N/A	
9.3	Res. 11/04 (4) (2021)	5% Artisanal landings	13/2/2023 Since 2013	NA	NA	Not assessed	Not Assessed	N/A	
9.4	Res. 11/04 (11) (2021)	Observer reports	13/2/2023 150 days after trip	NA	NA	Not assessed	Not Assessed	N/A	

10. Statistical document programme

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
10.1	Res. 01/06 (5) (2022)	1st Semester report (2022)	1/10/2022	C	C	C	C	Report received 29.09.2022 and updated 16.12.2022. Direct Imports and Re-exports (890T) from CPV, ECU,EU-FRA, EU-ESP, KEN, MUS, SYC, TZA.	
10.2	Res. 01/06 (5) (2021)	2nd Semester report (2021)	1/4/2022	C	C	C	C	Report received 31.03.2022. Direct Imports and Re-exports (1,038T) from CPV, ECU, KEN, MDG, MUS, MYS, SYC, LKA.	
10.3	Res. 01/06 (6) (2021)	Annual report (2021)	9/3/2023	L	C	L	P/C	Information provided in e-MARIS. Mandatory report not provided.	<p>It was not possible to carry out the examination of the export data within the deadline this year because the report of the import data was not made available sufficient time in advance.</p> <p>The deadline to examine the export data was 9 March 2023, however the report on the import data was provided on 23 February, only 10 working days before the deadline.</p> <p>As a comparison, in 2022, the deadline to examine the export data was 17 March 2022 and the report on the import data was provided on 16 February, therefore 20 working days before the deadline.</p> <p>Furthermore, the export CPC does not necessarily know where the fish are exported to as the "import section" is filled by the importer. The level of aggregation of the report on the import data makes it difficult to double check against the export certificates. It would be easier and quicker for the export CPC to receive the</p>

									disaggregated import data, so each import can be checked against the individual export certificates as needed. Considering all the above, the complexity of the task and the particularly short amount of time given this year, the EU is still currently analysing the import data and will submit the report when available.
10.4	Res. 01/06 (2) (2022)	Information on authorised institutions and personnel	13/2/2023 Since 01/07/2022	C	C	C	C	Last update 13.10.2022. All mandatory information provided.	

11. Port inspection

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
11.1	Res. 05/03 (8) (2021)	Port inspection programme : foreign vessels landings	1/7/2022	NA	NA	N/A	N/A	Received 09.03.2023. <u>Has declared</u> : No landing of IOTC Species in my ports in 2021. <u>e-PSM data</u> : 28 port calls for landing purpose (Cargo Freezer MDG flag - not in the RAV/RCV). Port calls made by one vessel carrying fish that have been previously landed (Res 16/11 para 3.1.b).	
11.2	Res. 16/11 (5.1 & 19.1a) (2022)	List of designated ports	13/2/2023 Since 31/12/2010	C	C	C	C	Received 03.02.2023: Has declared no change to the designated port provided the 14.01.2011 & 29.07.2011 (Port de Pointe des Galets (Reunion)). Competente Authority: Centre régional opérationnel de surveillance et de sauvetage (CROSS A Etel); Period Advance Notice: 72H.	
11.3	Res. 16/11 (5.1 & 19.1c) (2022)	Designated competent Authority	13/2/2023 Since 31/12/2010	C	C	C	C	Received 03.02.2023: Has declared no change to the designated port provided the 14.01.2011 & 29.07.2011 (Port de Pointe des Galets (Reunion)). Competente Authority: Centre régional opérationnel de surveillance et de sauvetage (CROSS A Etel); Period Advance Notice: 72H.	
11.4	Res. 16/11 (5.1 & 19.1b) (2022)	Prior notification periods	13/2/2023 Since 31/12/2010	C	C	C	C	Received 03.02.2023: Has declared no change to the designated port provided the 14.01.2011 & 29.07.2011 (Port de Pointe des Galets (Reunion)). Competente Authority: Centre régional opérationnel de surveillance et de sauvetage (CROSS A Etel); Period Advance Notice: 72H.	
11.5	Res. 16/11 (13.1) (2022)	Port inspection reports	13/2/2023 3 days after inspection	NA	NA	N/A	N/A	Port calls made by one cargo vessel carrying fish that have been previously landed. Contradiction CQ/e-PSM. <u>Received 13.02.2023</u> : Has declared for 2022: 32(CV) 1(SP) port calls (32 for LAN), no denial of entry no denial of use of port, 1 foreign vessels inspected, inspection reports: 0 submitted by e-PSM/email. LAN/TRX monitored 32. <u>e-PSM data</u> : 25(CV) port calls (MDG) (23 for LAN, 8 for TRX), no inspection report submit-	

								ted by e-PSM/email, 0% monitored/inspected, no monitoring forms provided. Use partially e-PSM.
11.6	Res. 16/11 (10.1) (2022)	At least 5% inspection of LAN / TRX	13/2/2023 Since 01/03/2011	NA	NA	N/A	N/A	Port calls made by one cargo vessel carrying fish that have been previously landed. Contradiction CQ/e-PSM. <u>Received 07.02.2023:</u> Has declared for 2022: 32(CV) 1(SP) port calls (32 for LAN), no denial of entry no denial of use of port, 1 foreign vessels inspected, inspection reports: 0 submitted by e-PSM/email. LAN/TRX monitored 32. <u>e-PSM data:</u> 25(CV) port calls (MDG) (23 for LAN, 8 for TRX), no inspection report submitted by e-PSM/email, 0% monitored/inspected, no monitoring forms provided. Use partially e-PSM.
11.7	Res. 16/11 (7.3) (2022)	Denial of entry in port	13/2/2023 Since 01/03/2011	C	C	C	C	Received 07.02.2023: Has declared: no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022. e-PSM data: 25 port calls. Legal reference: Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence.
11.8	Res. 16/11 (9.3) (2022)	Denial of use of port	13/2/2023 Since 01/03/2011	████████	████████	C	C	Received 10.02.2023: Has declared: no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022. e-PSM data: 25 port calls.
11.9	Res. 16/11 (9.4) (2022)	Withdrawal of denial of use of port	13/2/2023 Since 01/03/2011	████████	████████	C	C	Received 07.02.2023: Has declared: no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022. e-PSM data: 25 port calls.
11.10	Res. 16/11 (15.1) (2022)	Report vessel engaged in IUU fishing following an inspection	13/2/2023 Since 01/03/2011	████████	████████	C	C	Received 03.02.2023: Nil report - Had port calls, has inspected vessels, has declared no clear grounds for believing that vessel has engaged in IUU fishing/fishing related activities following an inspection in port in 2022.

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1 : Requirement reference number of the Compliance report and e-MARIS

Non-repeated compliance issues:

e-Mar CR Ref (1)	Compliance Issue	Current status (2022)	Previous status (2021)
1.1	Has provided the mandatory report, implementation report, some sections not completed, as required by the Commission.	P/C	C
2.19	Has not implemented the catch limit, Nominal catch of YFT, as required by Resolution 21/01.	N/C	C
5.4	<i>N'a pas déclaré les Rejets, tel que requis par la Résolution 15/02.</i>	N/C	-
5.8	<i>N'a pas déclaré la prise et effort pour les pêcheries palangrières aux normes de la CTOI, tel que requis par la Résolution 15/02.</i>	P/C	C
6.24	Has not reported data on interactions with mobulid rays at IOTC standard, missing/conflicting information, as required by Resolution 19/03.	P/C	-
10.3	Information provided in e-MARIS. Mandatory report not provided.	P/C	C
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1 : Requirement reference number of the Compliance report and e-MARIS

Repeated compliance issues:	16
Non repeated compliance issues:	6