

## 1. Implementation obligations

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
1.1	Article X Agreement (2022)	Report of Implementation	Session -60d 9/3/2023	L	PC	C	C	Received 09.03.2023. All applicable sections completed.	True
1.2	Rules of P. (4.1) (2022)	Compliance questionnaire	13/2/2023	L	C	L	C	Received 14.02.2023. All sections/questions applicable completed.	True
1.3	SC04 (111) (-2021)	National Scientific Report	20/11/2022	NC	NC	L	C	Received 20.11.2022	True
1.4	Commission (S17, 52) (2022)	Response to Feedback letter	9/3/2023	NC	NC	C	C	Received 09.02.2023. Had 26 Compliance issues, all responded.	True

## 2. Management Standards

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
2.1	Res. 19/04 (11.c & 17.c) (2022)	Certificates of registration & valid authorisation to fish/tranship on board	13/2/2023	L	C	C	C	IOTC-2022-CoC19-CR12. Legal reference: Fisheries management & development act 35/2016, section 31.	True
2.2	Res. 19/04 (18) (2022)	Marking of vessels	9/3/2023	C	C	C	C	Legal reference: Fisheries Management and Development Act 2016, Section 98.	True
2.3	Res. 19/04 (19.a & b) (-2022)	Marking of gears	9/3/2023	C	C	C	C	IOTC-2022-CoC19-CR12. Legal reference: Fisheries Management and Development Act 2016, Section 94.	True
2.4	Res. 19/04 (20) (2022)	Fishing logbook on board, bound, consecutive numbered pages, 12 months recording	9/3/2023	C	C	C	C	IOTC-2022-CoC19-CR12. Legal reference: Fisheries Management and Development Act 2016, Section 100.	True
2.5	Res. 19/04 (6) (2022)	Official authorisation to fish outside National Jurisdictions (ATF)	13/2/2023 Since 15/02/2014	C	PC	C	C	Update received 04.11.2022: For Competent authority & ATF template. Official stamp dated 09.11.2019.	True
2.6	Res. 19/04 (3.b & c) (-2022)	IMO number for eligible vessels	13/2/2023 Since 01/01/2016	C	C	C	C	Has 7 eligible vessels on the Record of Authorised Vessels and 7 with IMO numbers.	True
2.7	Res. 15/01 (4) (2022)	Official national fishing logbooks	13/2/2023 Since 15/02//2016	C	C	P/C	P/C	Received 19.06.2020 for PS & LL. Interactive data browser, catches for IOTC species: Coastal fisheries for PS/Line/GN/LL. No fishing logbook reported for coastal vessels (PS/Line/GN/LL) less than 24 metres operating within the EEZ (Res 15/01 para 11).	True
2.8	Res. 17/07 (2) / PAK: 12/12 (2) (-2022)	Ban on large-scale driftnets in IOTC Area	9/3/2023	L	C	C	P/C	Received 14.02.2023. Banned since 2020, by national law. Legal Reference: Gazette Notice No.3406. This Gazette gives notice that Resolution 17/07 has been recognized by Kenya for	True

								purposes of the Act, however, the transcription of the text in its Schedule corresponds to Resolution 12/12 to prohibit the use of large-scale driftnets on the high seas in the IOTC area, only binding on Pakistan.	
2.9	Res. 17/07 (6) / PAK: 12/12 (5) (-2022)	MCS actions related to large-scale driftnets in IOTC Area	9/3/2023	NC	NC	C	C	MCS actions received 09.03.2023. Actions : [Controlling of flag & foreign vessels at licensing, Inspection at sea (EEZ/high seas) flag & foreign vessels, Inspection in port flag & foreign vessels, Control/ban large-scale driftnet sale]. Legal Reference: Fisheries Management & Development Act 2016 - Section 9. Functions of Service.	True
2.10	Res. 19/02 (21) & 19/04 (19.c) (2022)	Marking of FADs	13/2/2023 Since 31/12/2013	L	PC	N/A	N/A	In 2022 , no purse seine vessels / supply vessels have used FADs	True
2.11	Res. 19/02 (12) (2023)	FADs management plan	13/2/2023 Since 31/12/2013	NA	NA	N/A	N/A	For 2023 no purse seine vessels / supply vessels be registered on the IOTC Record of authorised vessels and fishing on Drifting Fish Aggregating Devices.	True
2.12	Res. 19/02 (16) (2022)	Progress report on implementation of FADs management plan	13/2/2023	NC	NC	N/A	N/A	In 2022 no purse seine vessels / supply vessels on the IOTC Record of Authorised Vessels and fishing on Drifting Fish Aggregating Devices. No 2022 FADs management plan was implemented & submitted to the IOTC Secretariat.	True
2.13	Res. 16/07 (1&2) (2022)	Prohibition of surface and submerged artificial lights to attract fish	13/2/2023 Since 27/09/2016	C	C	C	C	Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no no. Vol. CXXII-No 83-1.pdf pages 1854- 1865	True
2.14	Res. 16/08 (1) (2022)	Prohibition of aircrafts & unmanned aerial vehicles	13/2/2023 Since 27/09/2016	C	C	C	C	Legal reference: Fisheries management & development act35/2016, section 31 Publication of the the CMMs in the gazette notice no no. Vol. CXXII-No 83-1.pdf pages 1854-1865	True
2.15	Res. 21/01 (15) (2021)	CPC subject to catch reductions, over-catch: report corrective actions taken to adhere to prescribed catch levels	9/3/2023	NA	NA	N/A	N/A	Not subject to yellowfin catch reduction.	True

2.16	Res. 21/01 (12) / OMN IDN IRN MDG SOM: 19/01 (12) / IND: 18/01 (7) (-2022)	Report methods for achieving YFT catch reductions	9/3/2023	NA	NA	N/A	N/A	Not subject to yellowfin catch reduction.	True
2.17	Res. 21/01 (20) / OMN IDN IRN MDG SOM: 19/01 (18) (2023)	Purse seiners served by supply vessel in 2023	Before 1/1/2023	NA	NA	N/A	N/A	Kenya has no PS/SP vessels on the IOTC Record of authorised vessels.	True
2.18	Res. 21/01 (18) / OMN IDN IRN MDG SOM: 19/01 (16) / IND: 18/01 (3c) (-2022)	Status/Plan reducing the use of supply vessels	9/3/2023	NA	NA	N/A	N/A	Has 10 PS vessels and no Supply Vessel on the IOTC Record of Authorised Vessels.	True
2.19	Res. 21/01 (5-15) / OMN IDN IRN MDG SOM: 19/01 (5-15) / IND: 18/01 (3-6) (2021)	Catch limits - Nominal catch of YFT in 2021	9/3/2023 Since 03/10/2017	NA	NA	N/A	N/A	Not subject to yellowfin catch reduction.	True
2.20	Res. 21/01 (21-24) / OMN IDN IRN MDG SOM: 19/01 (20/23) (-2022)	Gillnet - Report level of implementation of paragraphs 21-23	9/3/2023	NA	NA	N/A	N/A	Received 09.03.2023. No gillnet fishing vessels registered on the IOTC Record of Authorized Vessels in 2022.	True
2.21	Res. 18/07 (1) (2022)	Report actions taken to implement reporting obligations & improve data collection of catches	9/3/2023	L	C	C	C	Received 09.03.2023 e-MARIS. Actions detailed in IOTC-2023-CoC20-IR12.	True

2.22	Res 11/02 (6) (2022)	Reports observations of damaged data buoys	9/3/2023	L	C	C	C	Nil Report - No report received from flag vessels in 2022	True
2.23	Res 11/02 (2) (2022)	Prohibition from intentionally fishing within 1 nautical mile of or interacting with data buoy	13/2/2023 Since 2011	NC	NC	C	P/C	Received 11.02.2023. Has not implemented the ban.	True
2.24	Res 11/02 (3) (2022)	Prohibition from taking on board data buoy	13/2/2023 Since 2011	NC	NC	C	P/C	Received 11.02.2023. Has not implemented the ban.	True
2.25	Res. 13/04 (2) (2022)	Prohibition of intentionally setting purse seine net around cetacean	13/2/2023 Since 2013. For PS	NC	NC	N/A	N/A	Received 11.02.2023. CPC does not have PS vessels operating in the IOTC Area of Competence.	True
2.26	Res. 13/05 (2) (2022)	Prohibition of intentionally setting purse seine net around whale shark	13/2/2023 Since 2013. For PS	NC	NC	N/A	N/A	No PS vessel operating in the IOTC Area of Competence	True
2.27	Res. 19/03 (2) (2022)	Prohibition of intentionally setting any gear type on mobulid rays	13/2/2023 Since 2019. All gears	C	C	C	C	Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no no. Vol. CXXII-No 83-1.pdf pages 1854-1865	True
2.28	Res. 19/04 (11&12) (-2022)	Report on review of flag State internal actions & measures, punitive actions and sanctions on flag vessels on the RAV	9/3/2023			L	C	Received 04.04.2023. Report in e-MARIS for all paragraphs 11 alinea and for all items 1) actions & measures, 2) punitive actions & 3) sanctions.	Not very clear why the current assessment since there were no events warranting any action on vessels, as indicated in the report on the requirement for Resolution 19/04.

## 3. Reporting on Vessels

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
3.1	Res. 10/08 (1) (2022)	List of Active vessels	15/2/2023	C	PC	C	C	Received 13.02.2023. Has 7 vessels on the Record of authorised vessels and 6 vessels active in 2022.	True
3.2	Res. 19/07 (8) (2022)	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	28/2/2023	NA	NA	N/A	N/A	Received 04.02.2023: Has declared there is no charter agreements in 2022. Legal reference: GAZETTE NOTICE NO. 3412 of 8th May 2020 - Section 31 of the Fisheries Management and Development Act 2016, The Fisheries Management and Development Act – Recognition of International Conservation and Management Measures.	True
3.3	Res. 19/07 (4.1) (2022)	Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	13/2/2023 Within 15 days before fishing	NA	NA	N/A	N/A	Received 17.02.2023. Has confirmed there is no charter agreement in 2022.	True
3.4	Res. 19/07 (4.2) (2022)	Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	13/2/2023 Within 15 days before fishing	NA	NA	N/A	N/A	Received 11.02.2023. Has confirmed there is no vessel charter nor charter agreement in 2022.	True
3.5	Res. 19/07 (6) (2022)	Start, suspension, resumption and termination of the fishing operations of chartering agreement	13/2/2023 Since 2018	NA	NA	N/A	N/A	Received 11.02.2023. Has confirmed there is no vessel charter nor charter agreement in 2022.	True
3.6	Res. 19/04 (3) (2022)	List of Authorized vessels 24 metres in length overall or more	13/2/2023 Since 01/07/2003	C	PC	C	P/C	<i>Last Update 25.08.22. Mandatory information missing: Total volume of fish hold(s) (in m3).</i>	True
3.7	Res. 19/04 (3) (2022)	List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	13/2/2023 Since 01/07/2006	NA	NA	N/A	N/A	No vessels <24m on the IOTC Record of Authorised Vessels in 2022.	True

3.8	Res. 14/05 (1) (2022)	List of foreign vessels licensed in EEZ	15/2/2023 Since 01/07/2006	C	C	N/A	N/A	Received 11.02.2023 Does not license foreign flag vessels to fish in the EEZ for species managed by the IOTC in the IOTC area of competence in 2022.	True
3.9	Res. 14/05 (6) (2022)	Foreign vessels denied a licence	15/2/2023 Since 01/07/2006	C	C	N/A	N/A	Received 14.02.2023 Does not license foreign flag vessels to fish in the EEZ for species managed by the IOTC in the IOTC area of competence in 2022.	True
3.10	Res. 14/05 (3&5) (2022)	Access Agreement information	13/2/2023 Since 26/02/2015	NA	NA	N/A	N/A	Received 11.02.2023. No CPC-CPC agreement in 2022.	True
3.11	Res. 14/05 (7,8) (2022)	Official coastal State fishing License	13/2/2023 Since 14/01/2014	NA	NA	C	C	Received 15.04.2015 & 04.11.2022 for Competent Authority details, Official stamp of the Competent Authority, Template of the coastal State fishing license, Terms and conditions of the coastal State fishing license.	True
3.12	Res. 21/01 (26) (2022)	List of vessels fishing for yellowfin tuna	15/2/2023	██████████	██████████	C	P/C	Received 13.02.2023. Has 6 vessels (on the RAV) fishing for yellowfin tuna in the IOTC area of competence in 2022. Has history of YFT catch from 2021 to 2016 for the artisanal fisheries, no vessel reported.	True

## 4. Vessel Monitoring System

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
4.1	Res. 15/03 (1) (2022)	Adoption VMS for all vessels > 24 m, and for vessels < 24 m fishing outside flag State's EEZ	13/2/2023 Since 01/07/2007	C	C	C	C	VMS adopted since 2016. Coverage is 100 %, 7 vessels, in 2022. Legal Reference: Fisheries Management and Development Act (FMDA), 2016 - Section 157. (1) The Director-General may establish and operate vessel monitoring systems for purposes of monitoring, control and surveillance, and managing the operations of fishing vessels under this Act.	True
4.2	Res. 15/03 (12) (2021)	VMS report on implementation & technical failures	30/6/2022	L	C	C	C	Report received 28.06.2022	True
4.3	Res. 15/03 (2) (2021)	VMS implementation plan	13/2/2023 Since 30/04/2016	NA	NA	N/A	N/A	VMS adopted & coverage is 100%.	True



## 5.Mandatory statistical requirement – Flag State CPCs

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
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### Nominal catch

5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	NC	NC	L	N/C	Mandatory data not provided.	True
5.2	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	L	C	L	N/C	Mandatory data not provided.	True
5.3	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	L	PC	L	P/C	Received 20.09.2022; Data partially provided by IOTC standards.	True
5.4	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Discards	30/6/2022	██████	██████	N/C	N/C	Received 20.09.2022; Empty form; mandatory data not provided.	True
5.5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Report on zero catches matrix	30/6/2022	L	C	L	P/C	Received 20.09.2022; Data partially provided by IOTC standards.	True

### Catch & Effort

5.6	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	NC	NC	L	N/C	Received 20.09.2022; Data not provided by IOTC standards; The submitted data are replica of 2019 data.	True
5.7	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	L	C	L	N/C	Mandatory data not provided.	True
5.8	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	L	C	L	P/C	Received 20.09.2022; Data not provided by IOTC standards.	True

## Size frequency

5.9	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Coastal fisheries	30/6/2022	NC	NC	N/C	N/C	Received 20.09.2022; Data not provided by IOTC standards. The submitted data are replica of 2019 data.	True
5.10	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Surface fisheries: PS, BB, GN	30/6/2022	L	C	L	N/C	Mandatory data not provided.	True
5.11	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Longline fisheries	30/6/2022	NC	NC	L	N/C	Mandatory data not provided.	True

## Fish Aggregating Devices (FAD)

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5.12	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Supply vessels	30/6/2022	NA	NA	L	N/C	Mandatory data not provided.	True
5.13	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	Days at sea by supply vessels	30/6/2022	NA	NA	L	N/C	Mandatory data not provided.	True
5.14	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2021)	FADs set by type	30/6/2022	L	C	L	N/C	Received 20.09.2022; Data not provided by IOTC standards.	True
5.15	Res. 19/02 (4.24) (2022)	Number of active FAD	Before 1st of month	NA	NA	C	N/C	Received 20.09.2022; Data not provided by IOTC standards.	True

## 6. Implementation of mitigation measures and bycatch of non-IOTC species

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current content	Official observations	CPC remarks
6.1	Res. 17/05 (6) (2021)	Sharks nominal catch data	30/6/2022	NC	NC	N/C	N/C	Mandatory data not provided.	True
6.2	Res. 17/05 (6) (2021)	Sharks catch & effort data	30/6/2022	L	PC	L	N/C	Received 20.09.2022; Data not provided by IOTC standards; The submitted data are replica of 2019 data.	True
6.3	Res. 17/05 (6) (2021)	Sharks size frequency data	30/6/2022	NC	NC	L	N/C	Received 20.09.2022; Data not provided by IOTC standards.;The submitted coastal data are replica of 2019 data; No SF data submitted for industrial fisheries.	True
6.4	Res. 17/05 (3) (2022)	Prohibition on sharks finning	13/2/2023 Since 03/10/2017	C	C	C	C	Received 11.02.2023. Banned by national legislation. Legal References: Fisheries Management and Development Act (FMDA), 2016 and Gazette notice Vol. CXXII—No. 83 of 8th May 2020.	True
6.5	Res. 12/09 (2) (2022)	Prohibition on thresher sharks - all species of family <i>Alopiidae</i>	13/2/2023 Since 07/07/2010	C	C	C	C	Banned since 2020. Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no no. Vol. CXXII-No 83-1.pdf pages 1854-1865,	True
6.6	Res. 13/06 (3) (2022)	Prohibition on oceanic whitetip sharks ( <i>Carcharhinus longimanus</i> )	13/2/2023 Since 14/08/2013	NC	NC	N/C	N/C	Not to be found in the Gazette Notice referred to.	True
6.7	Res. 19/03 (3) (2022)	Prohibition to retain onboard, tranship, land, store mobulid rays	13/2/2023 Since 29/10/2019	C	C	C	C	Banned since 2020. Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no no. Vol. CXXII-No 83-1.pdf pages 1854-1865,	True

6.8	Res. 19/03 (5, Annex 1) (-2022)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of moblid rays	13/2/2023 Since 29/10/2019	C	C	C	C	Banned since 2020. Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no no. Vol. CXXII-No 83-1.pdf pages 1854-1865	True
6.9	Res. 12/04 (5) (2022)	Report on progress of implementation of Res. 12/04	9/3/2023 Since 29/10/2019	L	C	C	C	Received 09.03.2023. Report on progress on implementation of the Resolution 12/04 provided.	True
6.10	Res. 12/04 (3) (2021)	Data on interactions with marine turtles	30/6/2022 Since 29/10/2019	L	C	L	C	Received 20.09.2022; Data provided by IOTC standards.	True
6.11	Res. 12/04 (8) (2022)	Longliner carry & employ line cutters & de-hookers on board	13/2/2023 Since 06/08/2009	C	C	C	C	Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no. 3414 Vol. CXXII-No 83-1.pdf pages 1854-1865,	True
6.12	Res. 12/04 (9) (2022)	Purse seiners carry & employ dip nets on board	13/2/2023 Since 06/08/2009	C	C	C	C	Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no. 3414 Vol. CXXII-No 83-1.pdf pages 1854-1865,	True
6.13	Res. 12/06 (1 & 2) (2021)	Data on seabirds interactions	30/6/2022 Since 06/08/2009	L	C	L	C	Received 20.09.2022; Data provided by IOTC standards.	True
6.14	Res. 12/06 (5) (2022)	Implementation of mitigation measures south of 25°S	13/2/2023 Since 01/11/2010	C	C	C	C	Has indicated obligation in place and implemented by national legislation. Legal Reference: Section 31 of the Fisheries Management and Development Act (FMDA), 2016 and Gazette notice Vol. CXXII—No. 83 of 8th May 2020.	True
6.15	Res. 13/04 (7) (2021)	Data on interactions with Cetaceans	30/6/2022 (All gears)	L	C	L	C	Received 20.09.2022; Data provided by IOTC standards.	True
6.16		Instances of Cetaceans encircled	9/3/2023	NA	NA	N/A	N/A		True

	Res. 13/04 (8) (2022)		(For PS)					Has no purse seine vessels operating in the IOTC area of competence in 2022. Exempted from reporting to IOTC, have national legislation for protecting cetaceans. Legal Reference: Fisheries Management and Development Act 2016.	
6.17	Res 13/05 (7) (2021)	Data on interactions with Whale Sharks	30/6/2022 (All gears)	L	C	L	C	Received 20.09.2022; Data provided by IOTC standards.	True
6.18	Res 13/05 (8) (2022)	Instances Whale Sharks encircled	9/3/2023 (For PS)	NA	NA	N/A	N/A	No PS vessel operating in the IOTC Area of Competence in 2022.	True
6.19	Res 18/02 (4) (2021)	Information on actions taken domestically to monitor catches of Blue Shark	20/11/2022 (SC)	NC	NC	L	C	Received 20.11.2022	True
6.20	Res 18/05 (9) (2021)	Information on actions taken domestically to monitor catches & manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish	20/11/2022 (SC)	NC	NC	L	C	Information received 20.11.2022. Actions are: Logbook onboard and observers onboard	True
6.21	Res 18/05 (5) (2022)	Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length	13/2/2023 Since 04/10/2018	C	C	C	C	Banned since 2020. Legal reference: Fisheries management & development act 35/2016, section 31 Publication of the the CMMs in the gazette notice no. 3408 Vol. CXXII-No 83-1.pdf pages 1854-1865	True
6.22	Res 19/05 (1) (2022)	Retention of target tuna species (YFT/SKJ/ BET) on board	13/2/2023 Since 29/10/2019 (PS)			N/A	N/A	Received 11.02.2023. Has no PS vessels on the IOTC Record of Authorised Vessels.	True
6.23	Res 19/05 (2) (2022)	Retention of non target species on board	13/2/2023 Since 29/10/2019 (PS)			N/A	N/A	Received 11.02.2023. Has no PS vessels on the IOTC Record of Authorised Vessels.	True
6.24	Res 19/03 (8) (2021)	Data on interactions with mobulid rays	30/6/2022 (All gears)			L	P/C	Received 20.09.2022; Data not provided by IOTC standards. The submitted LLCO CE which	True



## 7. Illegal, Unreported and Unregulated (IUU) Vessels

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current content	Official observations	CPC remarks
7.1	Res. 18/03 (5 & 18) (2022)	IUU listing	13/2/2023	C	C	C	C	IOTC-2022-S26-R: No vessel listed on IOTC IUU list in 2022.	True
7.2	Res. 07/01 (2) (2022)	Compliance by nationals	13/2/2023	L	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2022.	True



## 8. Transhipments

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
8.1	Res. 22/02 (28) (2021)	At sea transhipments – CPC report	Before 15/9/2022	C	C	C	C	Nil reports received 14.09.2022	True
8.2	Res. 22/02 (Annex 1, p.6) (2022) [Annex 2 p7 MDV]	Transhipments in port report	9/3/2023	L	C	N/A	N/A	Received 14.03.2023. Has LSTVs on the IOTC Record of Authorised Vessels in 2022. Flag LSTVs did not tranship in foreign ports in 2022.	True
8.3	Res. 22/02 (8&9) (2022)	List of Authorised carrier vessels	13/2/2023 Since 01/07/2008	NC	NC	N/A	N/A	Does not have carrier vessels on the Record of carrier vessels and not participating in the IOTC regional observer programme (ROP) to monitor transhipment at sea in 2022.	True
8.4	Res. 22/02 (31) (2022)	Report on results of investigations on possible infractions	15/1/2023	NA	NA	N/A	N/A	No possible infraction. Legal reference: Fisheries Management and Development Act No. 35 of 2016, Section 107: Requirements for transhipment.	True
8.5	Res. 22/02 (Annex 4, p.13) (2022)	Payment ROP fee (Call for fund 03/03/2022)	1/4/2022	NA	NA	C	C	Participating in the IOTC regional observer programme (ROP) to monitor transhipment at sea. Call for fund 03 March 2022. Payment received.	True

## 9. Observers [NOT TO BE ASSESSED FOR THE YEAR 2021 AT THE COMPLIANCE COMMITTEE 20]

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
9.1	Res. 11/04 (9) (2021)	Regional Observer Scheme (No. of vessels monitored and coverage by gear type)	9/3/2023	NA	NA	Not assessed	Not Assessed	N/A	True
9.2	Res. 11/04 (2) (2021)	5% Mandatory, at sea (All vessels)	13/2/2023 Since 2013	NA	NA	Not assessed	Not Assessed	N/A	True
9.3	Res. 11/04 (4) (2021)	5% Artisanal landings	13/2/2023 Since 2013	NA	NA	Not assessed	Not Assessed	N/A	True
9.4	Res. 11/04 (11) (2021)	Observer reports	13/2/2023 150 days after trip	NA	NA	Not assessed	Not Assessed	N/A	True

## 10. Statistical document programme

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
10.1	Res. 01/06 (5) (2022)	1st Semester report (2022)	1/10/2022	NA	NA	N/A	N/A	BET is not imported.	True
10.2	Res. 01/06 (5) (2021)	2nd Semester report (2021)	1/4/2022	NA	NA	L	C	Nil report received 05.04.2022	True
10.3	Res. 01/06 (6) (2021)	Annual report (2021)	9/3/2023	L	C	C	C	Mandatory report received 09.03.2023.	True
10.4	Res. 01/06 (2) (2022)	Information on authorised institutions and personnel	13/2/2023 Since 01/07/2022	C	C	C	C	<i>Last update 04.11.2022</i>	True

# 11. Port inspection

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous assessment	Current timeliness	Current assessment	Official observations	CPC remarks
11.1	Res. 05/03 (8) (2021)	Port inspection programme : foreign vessels landings	1/7/2022	NA	NA	C	C	Nil report received 29.06.2022	True
11.2	Res. 16/11 (5.1 & 19.1a) (2022)	List of designated ports	13/2/2023 Since 31/12/2010	C	C	C	C	Update received 12.09.2020: Has designated 4 ports: Mombasa, Malindi, Lamu, Shimoni; Competente Authority: Kenya Fisheries Service; Period Advance Notice: 48H. No update for 2022.	True
11.3	Res. 16/11 (5.1 & 19.1c) (2022)	Designated competent Authority	13/2/2023 Since 31/12/2010	C	C	C	C	Update received 12.09.2020: Has designated 4 ports: Mombasa, Malindi, Lamu, Shimoni; Competente Authority: Kenya Fisheries Service; Period Advance Notice: 48H. No update for 2022.	True
11.4	Res. 16/11 (5.1 & 19.1b) (2022)	Prior notification periods	13/2/2023 Since 31/12/2010	C	C	C	C	Update received 12.09.2020: Has designated 4 ports: Mombasa, Malindi, Lamu, Shimoni; Competente Authority: Kenya Fisheries Service; Period Advance Notice: 48H. No update for 2022.	True
11.5	Res. 16/11 (13.1) (2022)	Port inspection reports	13/2/2023 3 days after inspection	L	PC	N/A	N/A	Has declared no port call & no inspection report provided in 2022.	True
11.6	Res. 16/11 (10.1) (2022)	At least 5% inspection of LAN / TRX	13/2/2023 Since 01/03/2011	NA	NA	N/A	N/A	Has declared no port call & no inspection report provided in 2022.	True
11.7	Res. 16/11 (7.3) (2022)	Denial of entry in port	13/2/2023 Since 01/03/2011	C	C	C	C	Has declared: no denial of entry, no denial of use of port in 2022. e-PSM data: 5 port calls (2 for landing purpose (LKA)).	True

11.8	Res. 16/11 (9.3) (2022)	Denial of use of port	13/2/2023 Since 01/03/2011	████████	████████	C	C	Has declared: no denial of entry, no denial of use of port in 2022. e-PSM data: 5 port calls (2 for landing purpose (LKA)).	True
11.9	Res. 16/11 (9.4) (2022)	Withdrawal of denial of use of port	13/2/2023 Since 01/03/2011	████████	████████	C	C	Has declared: no denial of entry, no denial of use, no withdrawal of denial of use of port in 2022. e-PSM data: 5 port calls (2 for landing purpose (LKA)).	True
11.10	Res. 16/11 (15.1) (2022)	Report vessel engaged in IUU fishing following an inspection	13/2/2023 Since 01/03/2011	████████	████████	C	C	Received 11.02.2023. Nil report - Had port calls, has inspected vessels, has declared no clear grounds for believing that vessel has engaged in IUU fishing/fishing related activities following an inspection in port in 2022.	True

# Current issues on the level of implementation by **Kenya** of IOTC Conservation and Management Measures identified for discussion in the CoC20 in 2023

Having reviewed the 2023 Compliance Report for KENYA, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

## Repeated compliance issues:

e-Marlis CR Ref (1)	Compliance Issue	Current status (2022)	Previous status (2021)
2.23	Has not transposed the prohibition of intentionally fishing within 1 nautical mile of or interacting with data buoys, as required by Resolution 11/02.	P/C	N/C
2.24	Has not transposed the prohibition of taking on board a data buoy, as required by Resolution 11/02.	P/C	N/C
3.6	Has not provided all information related to the List of Authorized vessels > 24 metres, Mandatory information missing (Total volume of fish holds in m3), as required by Resolution 19/04.	P/C	P/C
5.1	Has not reported nominal catch for coastal fisheries, as required by Resolution 15/02.	N/C	N/C
5.3	Has not reported nominal catch for longline fisheries to IOTC standard, as required by Resolution 15/02: data partially provided to IOTC standards.	P/C	P/C
5.6	Has not reported catch and effort for coastal fisheries, as required by Resolution 15/02: the submitted data are a replica of 2019 data.	N/C	N/C
5.9	Has not reported size frequency for coastal fisheries, as required by Resolution 15/02. The submitted data are a replica of 2019 data.	N/C	N/C
5.11	Has not reported size frequency for longline fisheries, as required by Resolution 15/02.	N/C	N/C
6.1	Has not provided nominal catch data on sharks to IOTC standard (mandatory data not provided), as required by Resolution 17/05.	N/C	N/C
6.2	Has not provided catch and effort data on sharks to IOTC standards (mandatory data not provided), as required by Resolution 17/05. The submitted data are a replica of 2019 data.	N/C	P/C
6.3		N/C	N/C

	Has not provided size frequency data on sharks to IOTC standard (mandatory data not provided), as required by Resolution 17/05. The submitted coastal data are replica of 2019 data; no SF data submitted for industrial fisheries.		
6.6	Has not transposed into national legislation the Prohibition on oceanic whitetip sharks, no legal reference provided, as required by Resolution 13/06. Not to be found in the Gazette Notice referred to.	N/C	N/C
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1 : Requirement reference number of the Compliance report and e-MARIS

## Non-repeated compliance issues:

e-Marlis CR Ref (1)	Compliance Issue	Current status (2022)	Previous status (2021)
2.7	Has not provided for all fleets/gears, as required by Resolution 15/01: No fishing logbook reported for coastal vessels (PS/Line/GN/LL) less than 24 metres operating within the EEZ.	P/C	C
2.8	Has not transposed into national legislation the prohibition on large-scale driftnets in the IOTC Area as required by Resolution 17/07: Gazette Notice 3406 has Resolution 12/12 in its schedule.	P/C	C
3.12	Has not provided all information related to the list of vessels fishing for YFT, as required by Resolution 21/01.	P/C	N/A
5.2	Has not reported nominal catch for surface fisheries, as required by Resolution 15/02.	N/C	C
5.4	Has not reported Discards, as required by Resolution 15/02: empty form; mandatory data not provided.	N/C	N/A
5.5	Has reported the zero catches matrix not to IOTC standard, as required by Resolution 18/07.	P/C	C
5.7	Has not reported catch and effort for surface fisheries, as required by Resolution 15/02.	N/C	C

5.8	Has not reported catch and effort for longline fisheries to IOTC standard, as required by Resolution 15/02.	P/C	C
5.10	Has not reported size frequency for surface fisheries, as required by Resolution 15/02.	N/C	C
5.12	Has not reported information on Supply vessels, as required by Resolution 19/02.	N/C	N/A
5.13	Has not reported days at sea by supply vessels, as required by Resolution 19/02.	N/C	N/A
5.14	Has not reported, FADs set by type, as required by Resolution 19/02. Data not provided to IOTC standards.	N/C	C
5.15	Has not reported, Number of active FAD, as required by Resolution 19/02. Data not provided to IOTC standards.	N/C	N/A
6.24	Has not reported data on interactions with mobulid rays at IOTC standard, missing/conflicting information, as required by Resolution 19/03. The submitted LLCO CE which contained mobulids species are replica of 2019 data.	P/C	N/A
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1 : Requirement reference number of the Compliance report and e-MARIS

<b>Repeated compliance issues:</b>	12
<b>Non repeated compliance issues:</b>	14