

Associative Behavior-Based abundance Index (ABBI) for western Indian Ocean skipjack tuna (*Katsuwonus pelamis*) obtained from echosounder buoys data.

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ABSTRACT

This paper presents the abundance estimates of skipjack tuna (*Katsuwonus pelamis*) using the Associative Behavior-Based abundance Index (ABBI). By taking advantage of the associative behavior of species around floating objects (FOBs) and acoustic data collected by echosounder buoys used in the tropical tuna purse seine fishery, the ABBI approach provides direct and effort-independent estimates of tropical tuna abundance. Its implementation in the western Indian Ocean for skipjack has shown that the decline in abundance of this species observed since 2018 is shifting towards a stabilization trend of abundance around 2013 levels from 2020 onwards.

Keywords: *Abundance Index; Associative behavior; FADs; Skipjack tuna*

1. INTRODUCTION

Defined as man-made floating objects, specifically designed to attract and concentrate tunas, DFADs are typically equipped with tracking technology (GPS) and echosounder buoys to remotely detect the associated tuna biomass and their location (Lopez *et al.*, 2014). DFADs have considerably increased the catchability of tropical tuna species, notably skipjack tuna, and are considered as one of the most important changes that have contributed to the increase in the efficiency of purse seiners (Fonteneau *et al.*, 2013). However, the non-random nature of this fishing method has significantly complicated the estimation of fishing effort in the purse seine fishery and, consequently, the standardization of CPUE abundance indices from purse-seine catches obtained of tropical tuna associated with DFADs.

Recently, the availability to scientists of new data obtained from electronic tagging and/or echosounder buoys has allowed the development of alternative methods for deriving abundance

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indices for tropical tuna populations (Capello *et al.*, 2016; Santiago *et al.*, 2020; Baidai *et al.*, 2021). Within this perspective, this work addresses the population assessment of skipjack tuna in the western Indian Ocean, based on a dedicated methodology which exploits the associative behavior of this species, quantified using data from echosounder buoys attached to DFADs and electronic tagging experiments, in order to derive direct and effort-independent abundance estimates: the Associative Behavior-Based abundance Index (ABBI).

2. MATERIALS AND METHODS

2.1. Model definition

Due to their associative behaviour, the total abundance of tropical tunas (N), calculated at a given time (t) in a given area, results from the sum of the two components of their population: the associated one (X_a), *i.e.* the tuna schools associated with floating objects, and the unassociated one, *i.e.* free-swimming schools of tunas (X_u).

$$N(t) = X_a(t) + X_u(t) \quad (1)$$

Within a given study region and time period, the average associated tuna population (\widehat{X}_a) can be estimated as follows:

$$\widehat{X}_a = \widehat{m}\widehat{f}\widehat{p} \quad (2)$$

Where \widehat{m} is the average tuna biomass estimated under FOBs occupied by tuna aggregation, \widehat{f} represents the average proportion of FOBs with tuna aggregations and \widehat{p} the average number of FOBs in the region of interest.

Capello *et al.*, (2016) demonstrated that the ratio between the average size of the associated component to the total population can be estimated by measuring the uninterrupted period of time that tunas spend either associated with, or disassociated from a FOB, *i.e.*, the average continuous residence time (CRT) and the average continuous absence time (CAT):

$$\frac{\widehat{X}_a}{\widehat{N}} = \frac{CRT}{CRT + CAT} \quad (3)$$

Considering Equations (2-3), the total tuna population within an area can be estimated as:

$$\widehat{N} = \widehat{m}\widehat{f}\widehat{p} \left(1 + \frac{CAT}{CRT} \right) \quad (4)$$

Furthermore, considering Equations (1 – 2) and (4), the free-swimming population (X_u) can be expressed from the following relation:

$$\hat{X}_u = \frac{CAT}{CRT} \hat{m} \hat{f} \hat{p} \quad (5)$$

2.2. Study area and period

The study area extended over the western Indian Ocean, between latitudes 10° S and 10° N and covered longitudes located between the eastern African coasts and 70° E (Figure 1). The abundance estimates were conducted in between 2013 and 2019, using a spatio-temporal stratification of 10°×10° and quarter-year (Figure 1).

2.3. Field data

2.3.1. Estimated number of floating objects (\hat{p})

The estimation of the number of FOBs in each of the time-area units followed two different approaches. From 2013 to 2019, it was assessed from the number of buoys equipping the DFADs deployed by the French tuna purse seine fleet ($n_{french\ buoys}$), and two raising factors. The ratio between DFADs deployed by French and Spanish purse-seiners fleets (R_1), provided from 2010 to the end of 2017, by Katara *et al.* (2018), allowed estimates of the total number of DFADs. The missing ratios for the 2018 and 2019 were estimated using the average ratio over the year 2017, based on the assumption of a relative stabilization in the exploitation of buoys between the different fleets after this period (limitation measures on the number of buoys operated by tuna purse-seiners in the Indian Ocean: IOTC Resolutions 15/08 and 17/08).

The total number of FOBs in each strata was then derived from the ratios R_2 of DFADs encountered by observers on-board French tuna seiners, relative to other floating objects (referred herein as LOGs) consisting of natural (marine mammals, trees, etc.) or artificial (debris from human activities) floating objects found in the open ocean that are not constructed/deployed by tuna fishers (Figure 2A).

$$\hat{p}_{[2013-2019]} = n_{french\ buoys} (1 + R_1) (1 + R_2) \quad (6)$$

This ratio was derived from observers' data collected through the Data Collection Framework (Reg 2017/1004 and 2016/1251) funded by both IRD and the European Union since 2005, and OCUP (“Observateur Commun Unique et Permanent”), an industry-funded program coordinated by ORTHONGEL since 2014, with an overall average coverage rate of about 50%

over the years 2013 to 2017 (Goujon *et al.*, 2017). The observer data include the date, time, and location of the main activities of the vessel (e.g. fishing sets, installation or modification of FOBs, and searching for FOBs). For every activity occurring on a FOB, the type of operation (e.g. deployment, removal, and observation of a FOB) and the type of object (DFAD or LOG) are reported.

From 2020 to 2021, the estimation of FOBs number have benefited from the recent availability of buoy data from tuna purse-seine vessels provided by the IOTC Secretariat (IOTC, 2022). This dataset consist of the monthly mean of the number of operational buoys for each $1^\circ \times 1^\circ$ cell of the Indian Ocean, used as a proxy for DFAD number. DFAD number were summed over 10° cells and averaged to the quarter-year temporal resolution. FOB numbers were calculated using DFAD number and data recorded by scientific observers onboard French purse seine vessels (2014-2019). Using observers data, and the methodology developed in Dupaix *et al.* (2021), we calculated a mean monthly ratio (R_3):

$$R_3 = \frac{n_{LOG}}{n_{DFAD}} \quad (7)$$

with n_{LOG} and n_{DFAD} the number of LOG and DFAD observations respectively. The ratio was then used to calculated the number of FOBS per 10° cell which was used to calculate the number of FOBs over 2020-2021 as follows:

$$\hat{p}_{[2020-2021]} = n_{DFAD}(1 + R_3) \quad (8)$$

Figure 2B shows the time series of the estimated number of FOBs in the different spatial strata considered in the study area.

2.3.2. FOB-associated average tuna biomass (\hat{m})

The average biomasses of skipjack (size category under 10 kg) around a FOB were derived from purse seine catch-per-set data reported in the vessel logbooks of the French fleet (Table 1). FOB-associated catches-per-set reported in vessel logbooks were corrected using a dedicated procedure referred to as levels 1 and 2 of the T3 processing (Bach *et al.*, 2018; Duparc *et al.*, 2018; Depetris and Lebranchu, 2020). Level 1 adjusts the catch-per-set values declared in vessel logbooks using landing notes, to improve the accuracy of catch estimates provided by

skippers. Level 2 estimates the species and size compositions of FOB sets based on port sampling data

Since landing notes were available for all fishing trips, Level 1 was applied to correct the reported catch-per-set of all FOB sets recorded in vessel logbook data. Level 2, on the other hand, was applied only to the FOB sets conducted during the fishing trips that were sampled at landing. These FOB sets are referred to as “sampled FOB sets”.

Species compositions (i.e., percentages of catches by species and size category in the sampled FOB sets) were averaged by stratum, with a minimum threshold of 20 available sampled sets per strata. Where species composition values were missing for a given stratum, they were generated using their corresponding estimated marginal means (aka least-squares means), in a reference grid as described by Lenth (2016). The reference grid consists of the set of all combinations of predictor levels (i.e. the time-area strata) and estimated marginal means were the prediction values from the species composition models. We assessed the species composition of sets using a zero-one-inflated Beta regression model, in which the likelihood was fitted with frequentist inference (Rigby *et al.*, 2019). An equal weight of one were used for all observations, assuming representativeness in each stratum considering the sample size. The proportion of the target species in the set obtained from the sampling programs formed the response variable, while the year, quarter and spatial strata were predictors. All predictors were used to model the mean, variance, zero-inflated and one-inflated components of the model. Model selections were performed on each model component using a Generalized Akaike Information Criterion. Diagnostics of the selected models were checked: the normalized quantile residuals against the fitted values and the case number (i.e. index number), together with their kernel density estimate and a normal Q-Q plot (Figure 3a).

Finally, the average biomasses of skipjack associated with a FOB (\hat{m}) were calculated for each stratum by multiplying the average catch-per-set of all FOBs (including both sampled and not-sampled sets, all adjusted through the level 1 of the T3 processing) by the average species composition. Only the strata with at least 20 FOB sets (including both sampled and not-sampled sets) were considered.

The catch and species composition data provided by the Ob7 were collected under the Data Collection Framework (Reg. 2017/1004 and 2016/1251) funded by IRD and the European Union. The figure 4 provides the time series of the FOB-associated biomasses obtained from this protocol, for each of the three species, across the various spatial strata considered.

2.3.3. Proportion of inhabited FOBs (\hat{f})

Acoustic data collected by the Marine Instruments M3I buoys were translated into presence/absence of a tuna aggregation, using a machine learning algorithm (Baidai *et al.*, 2020), that was shown to provide good accuracies (85%) in the Indian Ocean. The first sections of presence or absence occurring at the beginning of the FAD trajectories were excluded from the analysis as they may result from the colonization period of the DFAD during which the DFAD-tuna system is not yet at equilibrium, or potentially from classification errors related to the operation on the buoy (Baidai *et al.*, 2020).

Daily presence/absence data were then used to derive the proportion of FOBs inhabited by a tuna aggregation (f). This was expressed as the number of DFADs (equipped by an M3I buoy) classified as inhabited by a tuna aggregation, divided by the total number of M3I buoys at a daily scale. A threshold of at least 10 available buoys per day and space-time unit was considered for the calculation of the daily proportion of inhabited FOBs. Table 1 provides the average daily numbers of available M3I buoys used over the study area. Quarterly averages of the proportion of inhabited FOBs were then calculated. Because an accurate species discrimination from these acoustic data was not possible, these values were corrected with the occurrence of skipjack tuna in the FOB-associated tuna aggregations, according to Equation (6):

$$f(SKJ) = f\eta(SKJ) \quad (9)$$

where $\eta(SKJ)$ represents the ratio between the number of DFAD-catches with a biomass of skipjack tuna relative to the total number of positive DFAD catches (considering only DFAD catches with a total biomass greater than or equal to 1 tonne).. This ratio was estimated on a quarterly basis, within each grid cell, using the sampling data raised to the catch per set. A minimum number of 20 available sampling data per strata was considered for the ratio calculation. Missing occurrence values for a given stratum were estimated from a binomial model using year, quarter and spatial strata as predictors (Figure 3b). The time series of the estimated proportions of FOBs inhabited by skipjack tuna are presented in the figure 5.

2.3.4. Continuous residence time of skipjack tuna (CRT)

Tuna CRTs have been shown to vary according to their species, size (Ohta et Kakuma, 2005; Robert *et al.*, 2012, Rodriguez et al. 2017) and FOB density (Pérez *et al.*, 2020). Nevertheless,

numerous studies across all tropical oceans have shown that the magnitude of these variations remains relatively small for the three tuna species and the life stages considered in this work (Dagorn *et al.*, 2007; Matsumoto *et al.*, 2014, 2016; Tolotti *et al.*, 2020; Govinden *et al.*, 2021). Considering this characteristic, a constant CRT value was assumed for skipjack tuna in all spatial and temporal strata. The value was provided by Govinden *et al.* (2021), who measured an average CRT at DFADs for skipjack tunas of 4.58 ± 4.78 days.

2.3.5. Continuous absence time of skipjack tuna (CAT)

At the time of the study, only CRTs were experimentally measured for the three species on DFADs. However, acoustic tagging experiments conducted in arrays of anchored Fish Aggregating Devices (AFADs) showed that CATs decrease for decreasing distances among AFADs, due to an increased AFAD encounter rate by tuna at higher AFAD densities (Pérez *et al.*, 2020). Based on these findings, the following Ansatz relating the average CAT to the number of FOBs (\hat{p}) was used:

$$CAT = \frac{1}{\phi \hat{p}} \quad (10)$$

where ϕ is a parameter that depends on the probability of associating to one of the estimated \hat{p} FOBs. To assess the sensitivity of the ABBI to ϕ values, a range of $2e-05$ and $6e-05$ that produces CAT values consistent with the findings from acoustic tagging studies (Robert *et al.*, 2013; Rodriguez-Tress *et al.*, 2017; Pérez *et al.*, 2020) and total catches in the study area, was considered for the abundance assessments.

2.4. Abundance estimates

Abundance estimates were conducted considering a spatio-temporal stratification of 10° /quarter. In each $10^\circ \times 10^\circ$ grid cell, the associated, free-swimming and total skipjack abundance was calculated following respectively the Equations (2), (5) and (4). An average quarterly index was then estimated for the whole study area, considering the average over the spatial strata with available data for the same period, for each population components. Relative abundance indices for the different components are also provided, using the first quarter of the year 2013 (the first year with available data) as reference and different values of ϕ (for the total population).

3. RESULTS AND DISCUSSION

3.1. *Time series of abundance of skipjack tuna in the western Indian Ocean*

Figures 6, 7 and 8 show the abundance estimates per 10°/quarter of the associated, free-swimming and total skipjack tuna populations, respectively. Figure 9 presents the quarterly average estimates, calculated over the whole study area, of the absolute and relative abundance of the total skipjack population and its associated and free-swimming components. They reveal that globally both components of the skipjack population follow roughly similar trajectories throughout the study period. The result also highlighted a gradual decline in the abundance of skipjack tuna since 2018, stabilizing around the 2013 reference levels onwards 2020.

The variation of the ϕ values used for the free-swimming and the total population did not change the trends of the estimated biomass qualitatively. Indeed, when examined in relative terms, the ABBI showed very low sensitivity to the values of the parameter (ϕ) used in setting the ranges of CAT. However, the variability of the absolute ABBI estimates remained closely linked to the ranges of CAT used.

From a set of descriptive metrics of the associative behaviour of tunas around floating objects (namely residence and absence times) and the occupancy rate of these objects by tuna aggregations, the ABBI approach thus provided direct, effort-independent and absolute abundance indices for skipjack tuna in the Western Indian Ocean. However, data collection remain one of the main challenges hindering its implementation. For instance, current collection of tuna continuous residence times (CRT) is usually related to short-term projects, and remains limited to specific oceanic regions and periods. Similarly, although technological means exist to measure the continuous absence time (CAT) of tuna, there are still several technical and logistical challenges to overcome before it can be consistently assessed over the large oceanic scales covered by DFADs (Dagorn *et al.*, 2007; Robert *et al.*, 2012, 2013; Rodriguez-Tress *et al.*, 2017). Additional efforts for regular and large-scale electronic tagging programs would be critical to provide a better understanding of the associative behavior of tunas, and to carry out accurate assessments of their populations based on the ABBI methodology.

Here the ABBI framework illustrates the important contribution that unconventional data sources and technologies such as electronic tagging and echosounder buoys can make towards improving the inputs in fish stock assessments. To date, the data required for this approach are mainly devoted to either improve general knowledge on the ecology of tuna species (behavioural metrics) or for commercial (acoustic monitoring of FADs deployed by purse

seiners) or regulatory purposes (monitoring the number of FADs by regional fisheries management organizations). The possibility to derive abundance indices from these data using alternative approaches to CPUE-based methods could support future developments of dedicated data collection programs, and help improve tropical tuna stock assessments, and thus fisheries management.

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Table 1: Number of fishing sets and buoys used to estimate the average biomasse of skipjack tuna and the proportions of floating objects with tuna aggregations, respectively. The “FOB sets” column indicates the total number of fishing sets on floating objects (FOBs) from the logbook data corrected with the T3 process. The “sampled FOB sets” column indicates the number of sampled fishing sets used to estimate the species compositions and occurrences in associated FOB aggregations. “M3I buoy count” and “Total buoy count” represent the daily average number of French M3I buoys and total number of French buoys in the study area by quarter.

Year	Quarter	FOB sets	Sampled FOB sets	M3I Buoy Count	Total buoy count
2013	Q1	171	49	329	333
2013	Q2	247	88	349	363
2013	Q3	406	112	496	550
2013	Q4	505	155	377	509
2014	Q1	321	78	328	578
2014	Q2	229	54	451	831
2014	Q3	472	130	517	927
2014	Q4	405	85	666	1102
2015	Q1	139	19	633	927
2015	Q2	154	16	1000	1338
2015	Q3	360	70	1335	1620
2015	Q4	476	91	1498	1738
2016	Q1	334	67	1718	1941
2016	Q2	279	34	1710	1876
2016	Q3	531	116	1414	1541
2016	Q4	507	104	1376	1468
2017	Q1	283	32	2069	2223
2017	Q2	402	93	1717	2324
2017	Q3	529	132	2022	2841
2017	Q4	424	130	1925	2528
2018	Q1	547	143	1911	2366
2018	Q2	427	150	2004	2494
2018	Q3	539	200	2064	2690
2018	Q4	506	193	2184	2866
2019	Q1	426	138	1980	2807
2019	Q2	217	45	1780	2485
2019	Q3	428	97	1783	2507
2019	Q4	589	165	1722	2589
2020	Q1	594	143	1508	2447
2020	Q4	444	36	903	1630
2021	Q1	359	65	758	1411
2021	Q2	442	139	686	1571
2021	Q3	430	111	775	1854
2021	Q4	519	44	517	1421

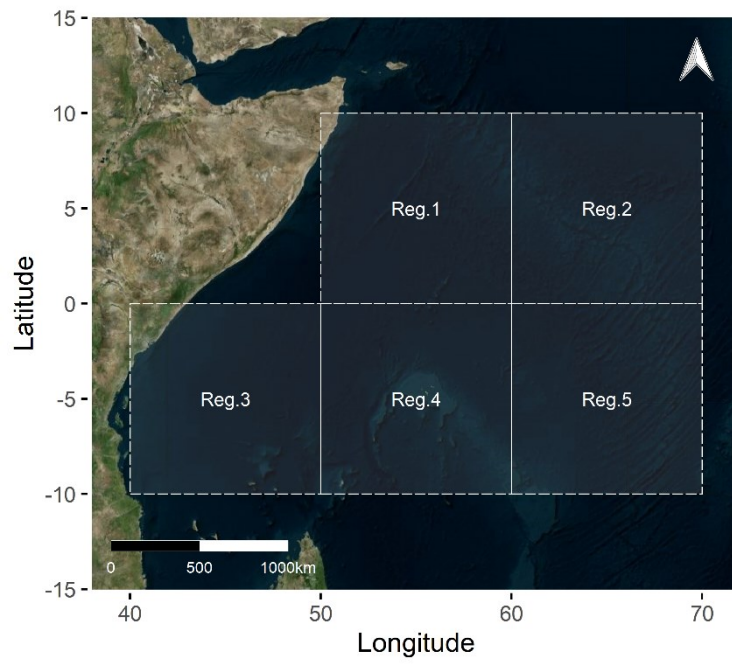


Figure 1: Spatial stratification of the study area

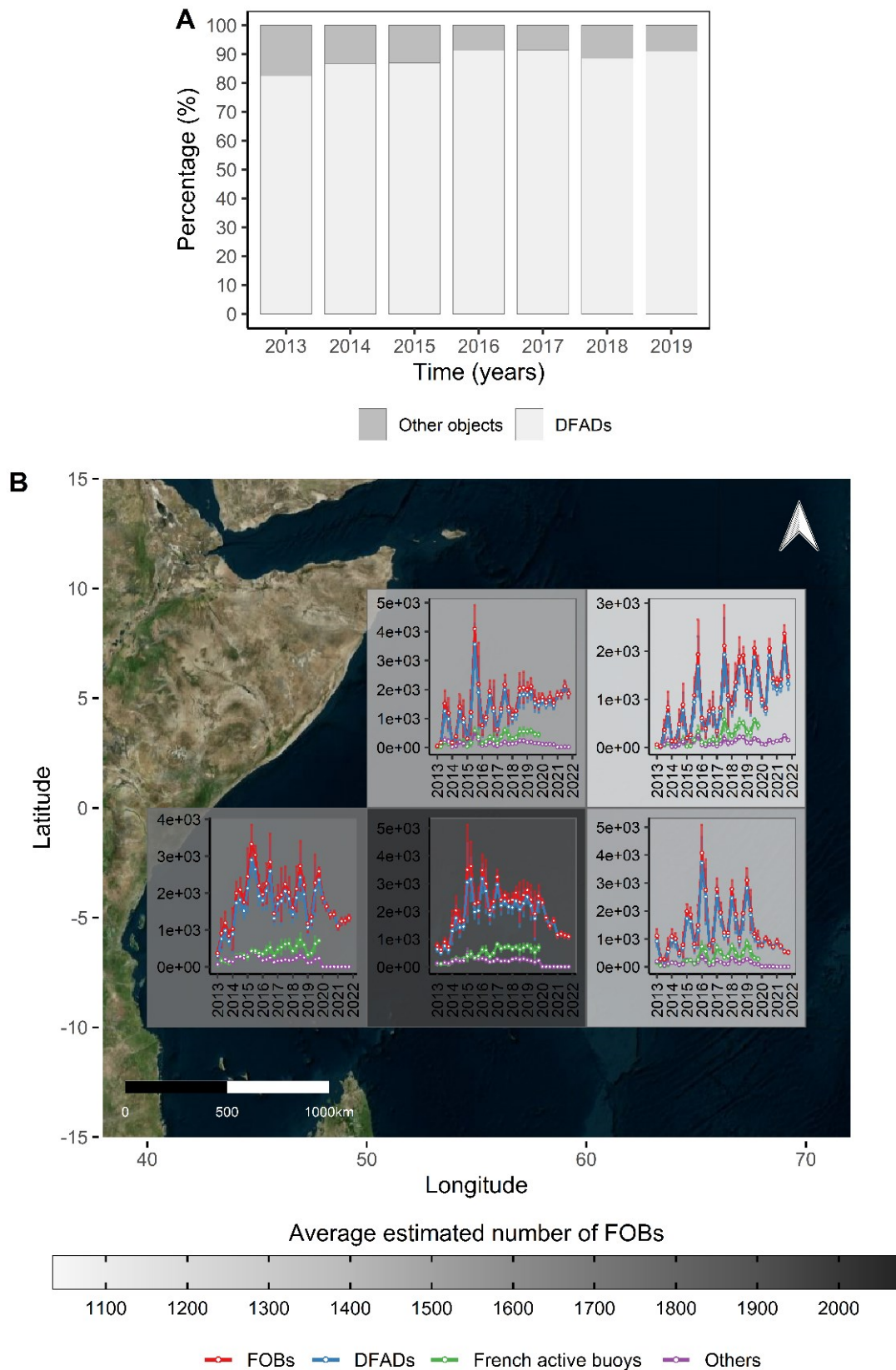


Figure 2: Estimates of the number of floating objects in the study area. **(a)**, Percentage of drifting fish aggregating devices (DFADs) and other types of natural and artificial objects (Other objects, also referred to as LOGs in the text) reported by observers on board French tuna purse-seiners. **(b)** Quarterly averages of the daily number of active buoys in the French fleet, the estimated numbers of drifting fish aggregating devices (DFADs), the other objects (Others, also referred to as LOGs in the text), and the estimated total number of floating objects (FOBs =

DFADs + LOGs) by $10^\circ \times 10^\circ$ spatial strata in the western Indian Ocean. The background colors indicate the average number of FOBs calculated from 2013 to 2021 in each spatial stratum.

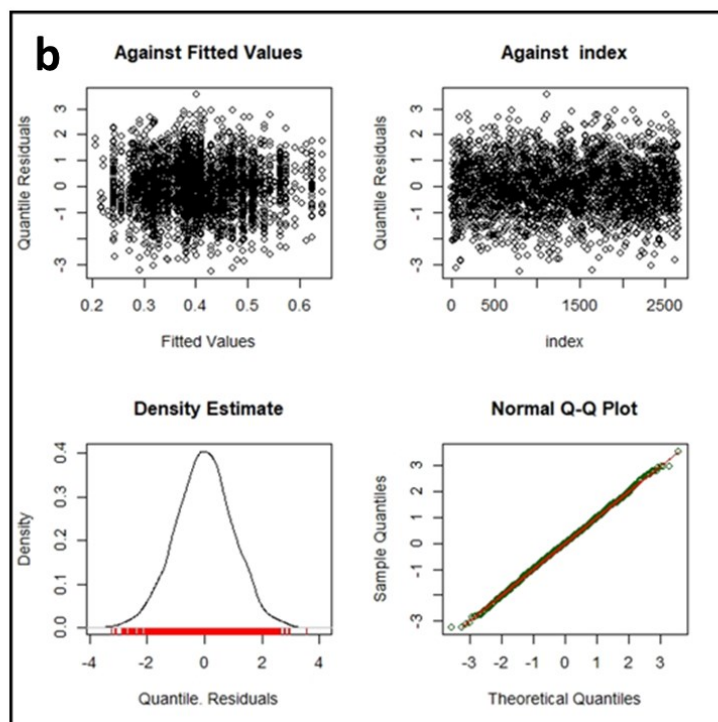
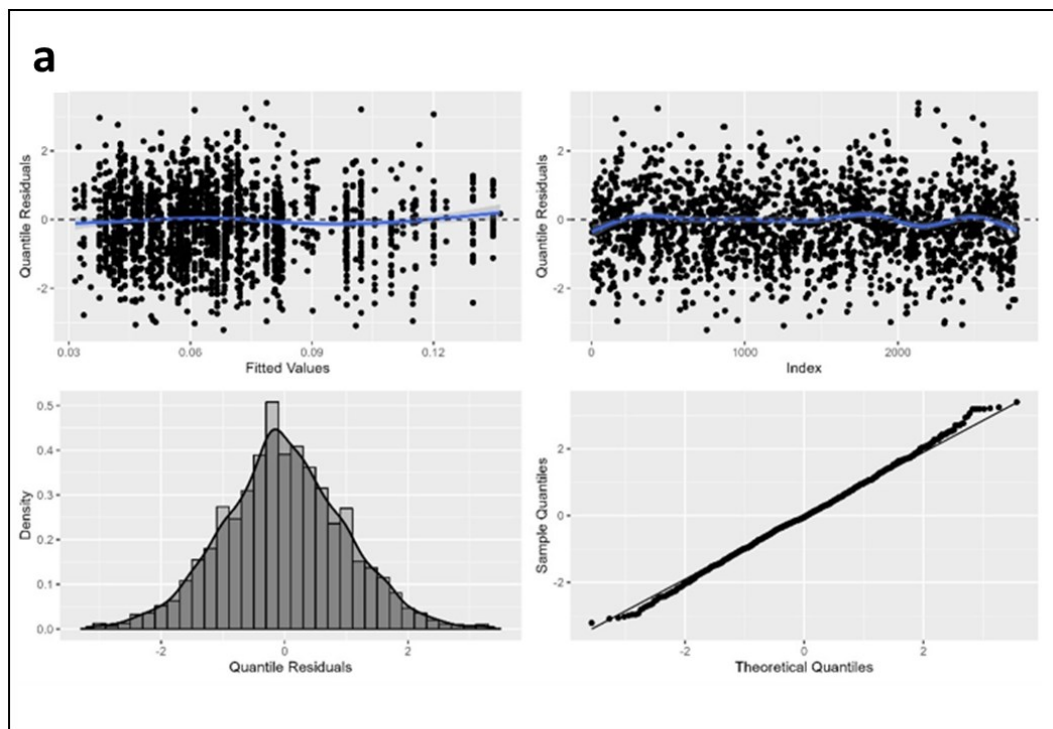


Figure 3: Residual diagnostic figures. (a) Zero and one-inflated beta models used to estimate missing composition values for skipjack tuna, (b) Binomial model used to estimate missing occurrence values for skipjack tuna.

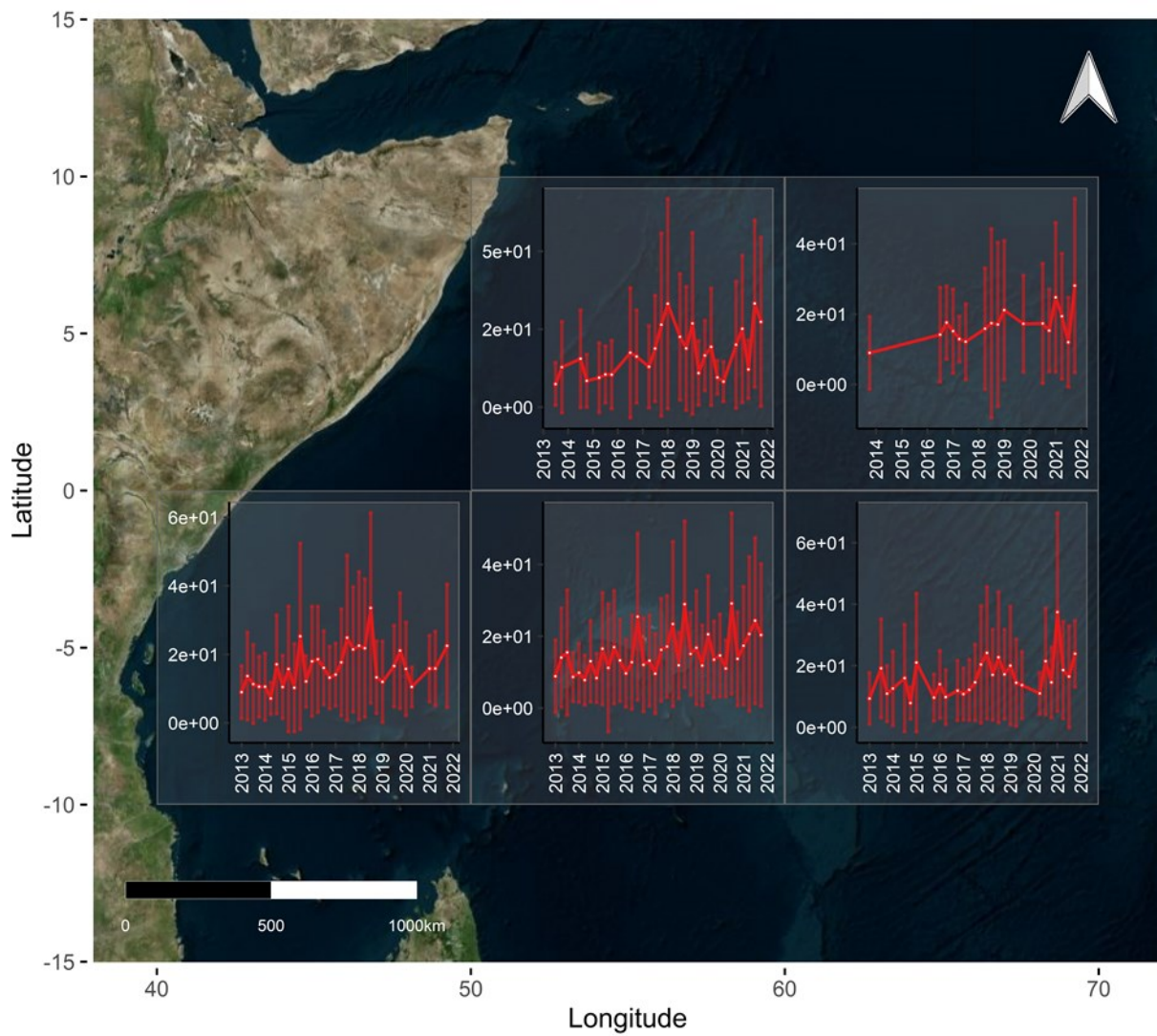


Figure 4: Quarterly averages of FOB-associated biomasses (in tonnes) skipjack tuna per FOB set by $10^\circ \times 10^\circ$ spatial strata in the western Indian Ocean. The background colors indicate the average biomass calculated from 2013 to 2019 in each spatial stratum.

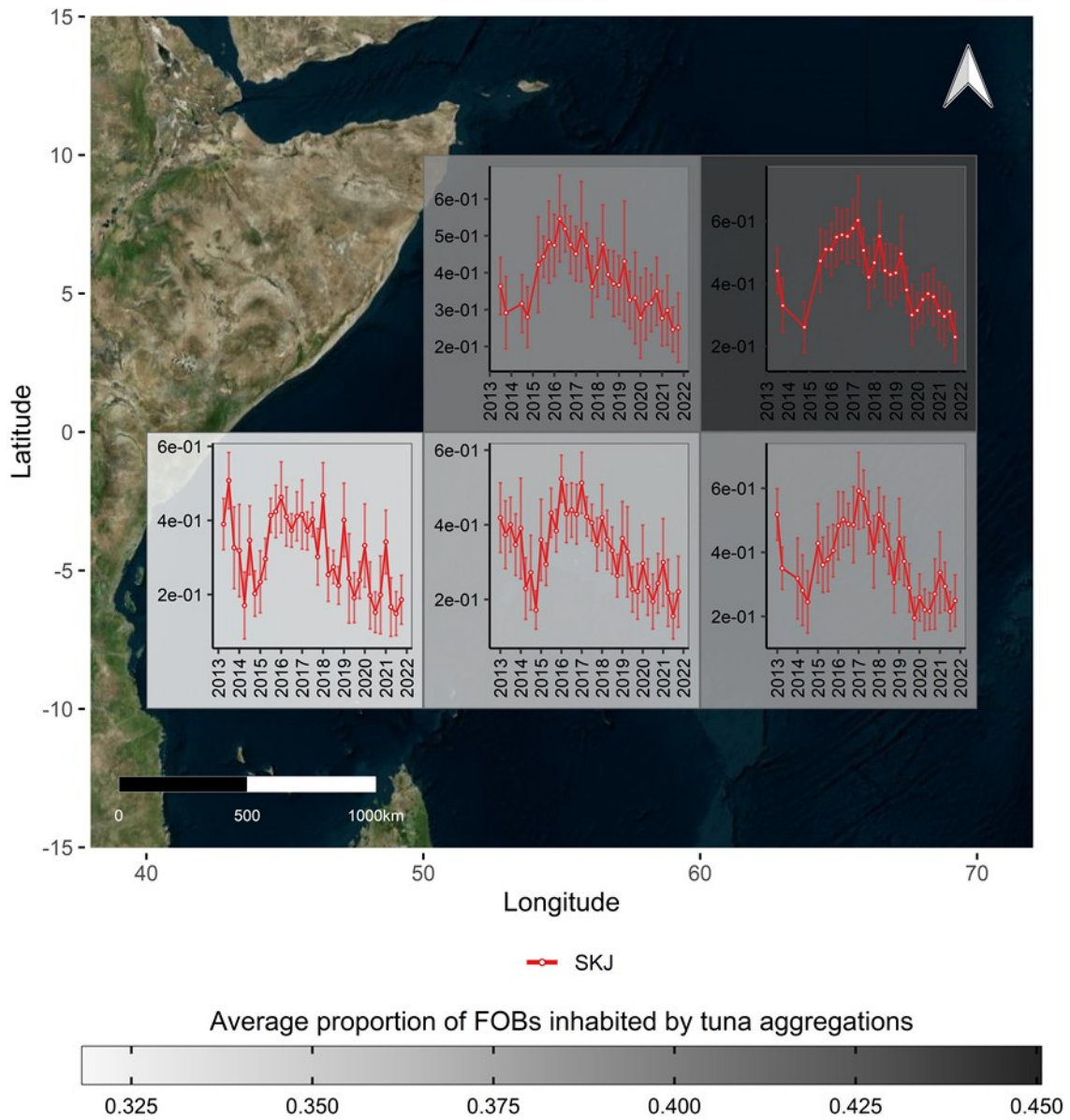


Figure 5: Quarterly averages of the daily proportion of FOBs inhabited by skipjack tuna by $10^{\circ} \times 10^{\circ}$ spatial strata in the western Indian Ocean. The background colors indicate the average proportion of FOBs with tuna aggregations greater than 1 tonne (all three species) from 2013 to 2019 in each spatial stratum.

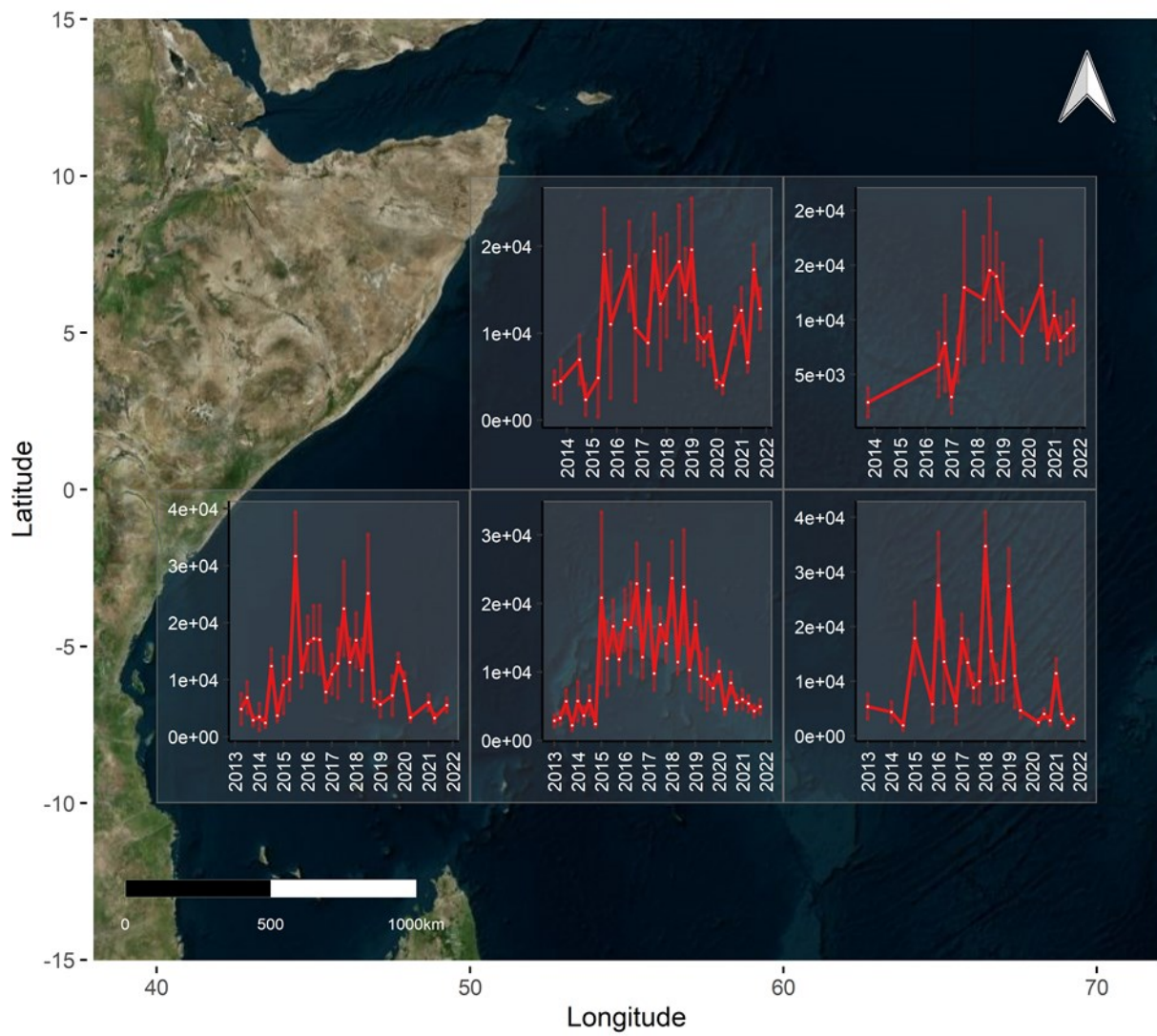


Figure 6: Quarterly estimates of the abundance of the associated component of skipjack tuna population by $10^{\circ} \times 10^{\circ}$ spatial strata in the western Indian Ocean.

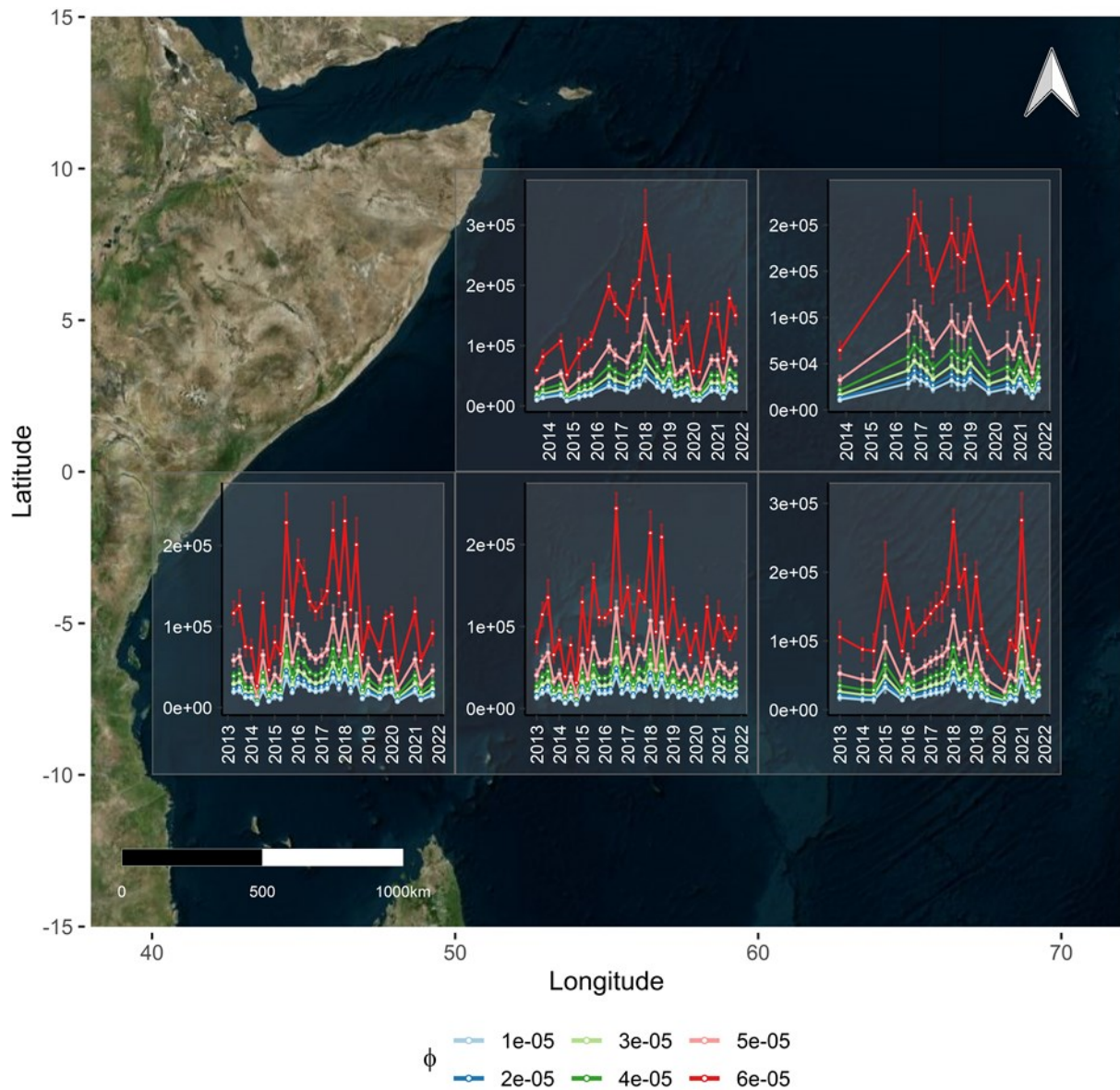


Figure 7: Quarterly estimates of the abundance of the free-swimming component of skipjack tuna population by $10^\circ \times 10^\circ$ spatial strata in the western Indian Ocean.

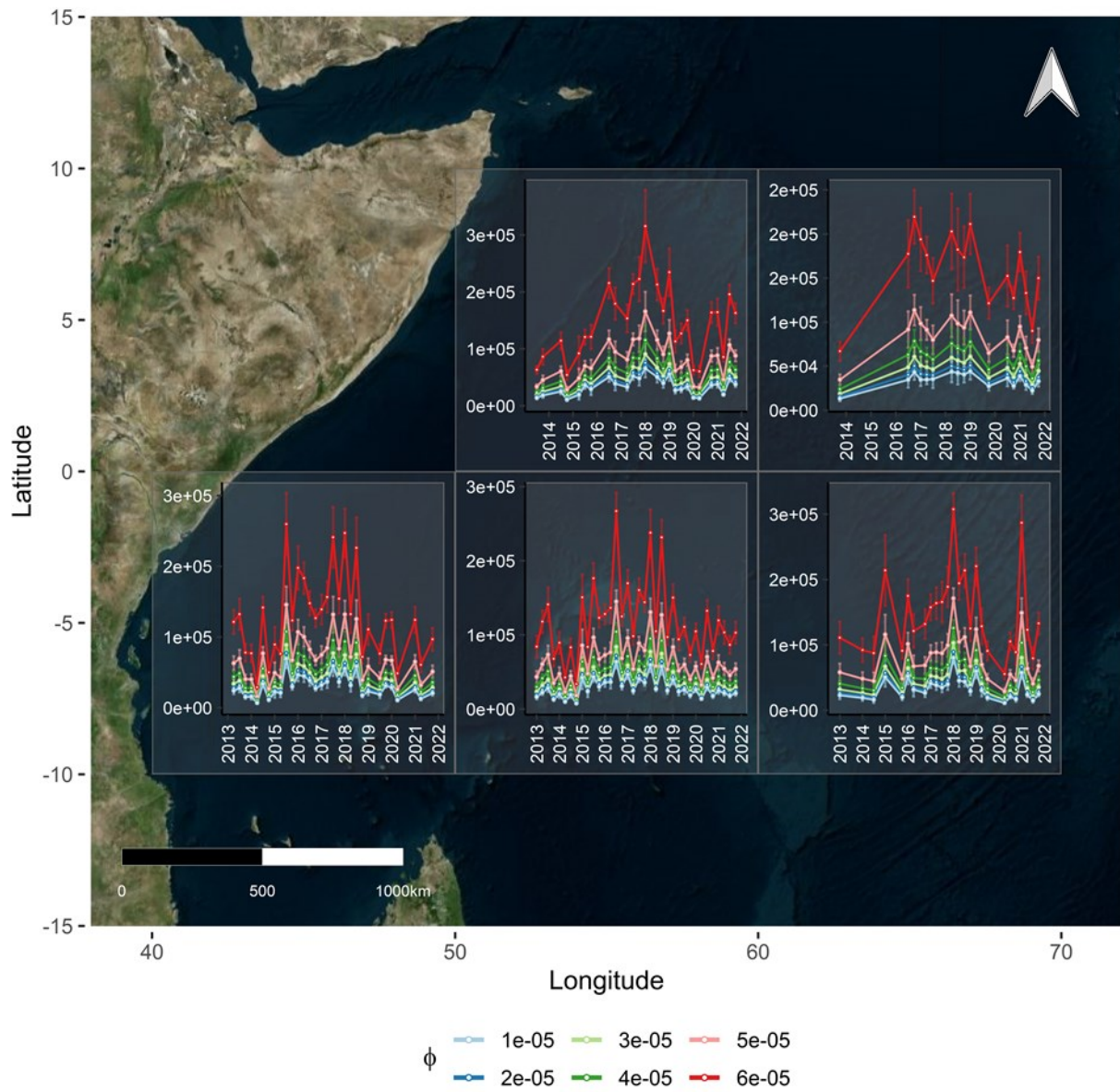


Figure 8: Quarterly estimates of the abundance of the skipjack tuna population by $10^\circ \times 10^\circ$ spatial strata in the western Indian Ocean.

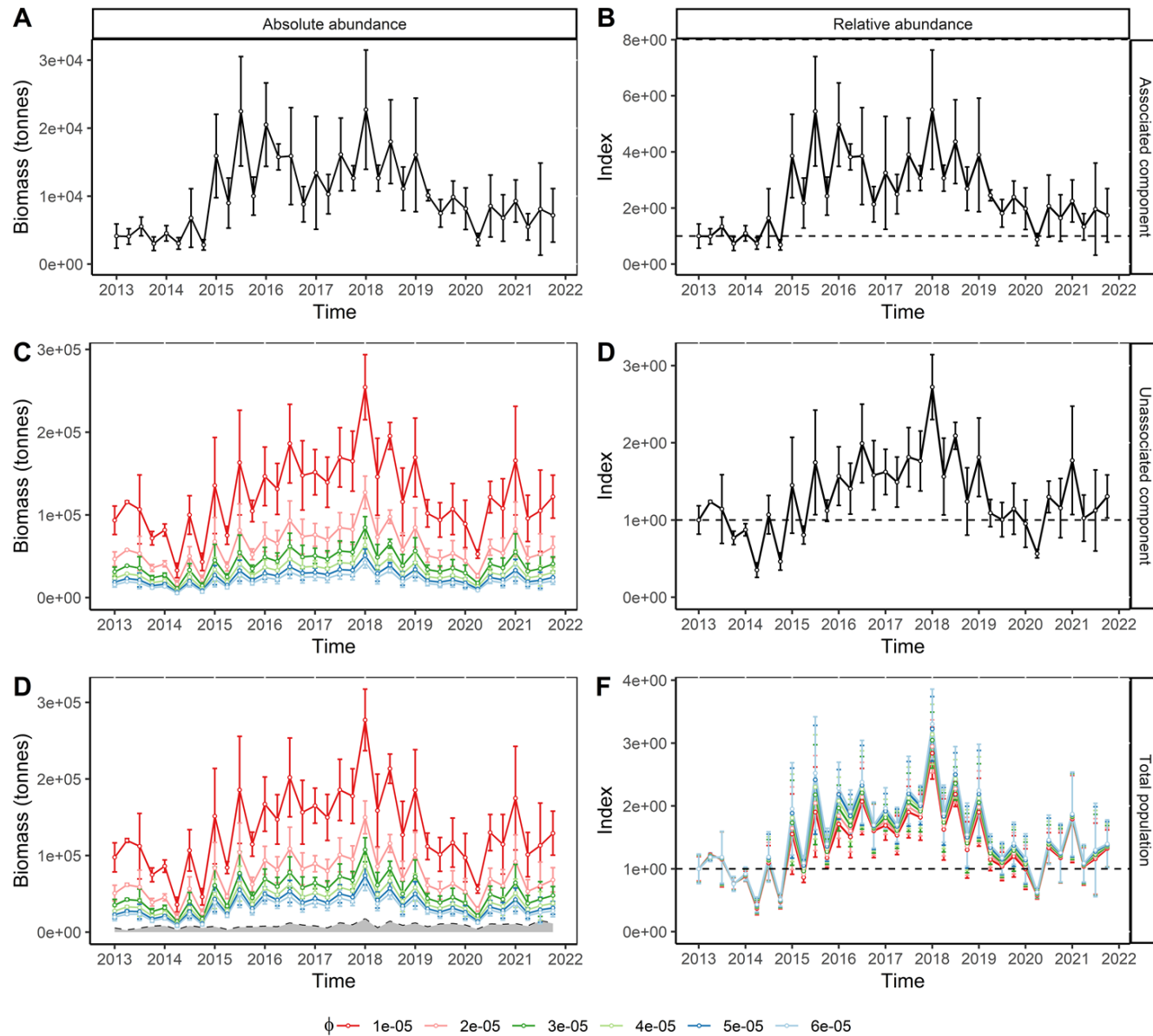


Figure 9: Abundance estimates from the Associative Behavior Based abundance Index (ABBI) for the different population component (associated and unassociated) of skipjack tuna in the western Indian Ocean. (A) Absolute and (B) relative abundance estimates of the associated component. (C) Absolute and (E) relative abundance estimates of the unassociated component. (E) Absolute and (F) relative abundance estimates of the total skipjack population