Population structure of swordfish across the ICCAT/IOTC management boundary

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Abstract

South Africa is a member of the Indian Ocean Tuna Commission (IOTC) and International Commission for the Conservation of Atlantic Tuna (ICCAT), the two regional fisheries management organisations that are responsible for the management of large pelagic fishes in the Indian and the Atlantic oceans, respectively. The 20°E longitudinal line represents the artificial reporting and management boundary between these two organisations, but it remains uncertain if the artificial indeed reflects a biological meaningful separation of populations of large pelagic fishes. The broadbill swordfish Xiphias gladius is a circumglobally distributed apex predator in temperate pelagic waters and an important target of longline fisheries in all major oceans. Previous studies confirmed genetic differentiation between the Atlantic and Indian Ocean stocks but there is no agreement on the direction of gene flow and where, or indeed if, a population boundary exists. Eleven microsatellite loci were included in this study of the fine scale population structure of swordfish caught in South African waters. Despite the poor quality of old DNA samples, muscle material of 267 swordfish around the entire range of South Africa's coastline was utilised. A map of admixture proportions indicated a potential admixture zone between 14°E and 27°E. Gene flow and migration seem to occur in both directions, but weak differentiation suggests that the Indian Ocean and Atlantic Ocean contain separate stocks which return to their ocean of origin to reproduce. Due to passive drift of larvae and active dispersal of adults, swordfish would be prone to admixture and genetic homogenisation. The swordfish represents one of several species that occur in stocks not only straddling the 20°E reporting boundary, but with significant annual fluctuations in catch reporting resulting from slight changes in distribution across this boundary. Further studies need to be undertaken to ensure this phenomenon does not affect stock assessments of these species on either side of this boundary.

Introduction

Swordfish stock structure has been inferred by catch rates, catch-at-age and catch-at-length data within ocean basins such as the Atlantic (Neilson *et al.*, 2007) and Pacific (Hinton and Alvarado Bremer, 2007); and by tag recapture studies (García-Cortés *et al.*, 2003; Holdsworth *et al.*, 2007), growth parameters (Tserpes and Tsimenides, 1995; Arocha and Lee, 1996) and spawning areas (Amorim and Arfelli, 1980; Arocha and Lee, 1996).

Various studies, mostly on broader geographical scales, have included investigations into the population structure of swordfish between the Indian and Atlantic oceans.. The early study by Chow *et al.* (1997) reported that Indian Ocean samples were not significantly different from the samples of South Atlantic and Pacific oceans. Subsequent studies detailed genetic differentiation between the Indian and South Atlantic oceans (Alvarado Bremer *et al.*, 1999; Chow and Takeyama, 2000; Kotoulas *et al.*, 2006; Alvarado Bremer *et al.*, 2007). According to Chow and Takeyama (2000), the penetration by Indo-Pacific swordfish into the Atlantic seemed negligible and the spawning grounds of the Atlantic and Indo-Pacific were separated. Similarly, Alvarado Bremer *et al.* (2007) concluded that there was likely historical gene flow from the Indo-Pacific to the Atlantic, though currently the gene flow was restricted.

A more recent study by Muths *et al.* (2013) conducted on a relatively regional geographical scale compared 177 samples collected at the Cape of Good Hope and Namibia (*i.e.* Atlantic) with 812 samples from the SWIO region using mitochondrial DNA (ND2) and 19 microsatellite loci. The SWIO samples with the closest proximity to the Atlantic samples were from Mozambique (n=115) and South Madagascar (n=228). The overall value of F-statistics for ND2 sequences confirmed that the Atlantic and Indian Ocean swordfish represented two distinct genetic stocks. Indo-Pacific differentiation was also significant but lower than that observed between the Atlantic and Indian Ocean. The co-occurrence of two genetic clades, previously only one in the Atlantic, could be explained by unidirectional gene flow from the Indo-Pacific into the South Atlantic. The fact that a second clade was now observed in the Indian Ocean (at the low frequency of 2% but in all the Indian Ocean areas) seems to suggest that a flux of Atlantic swordfish into the Indian Ocean could also occur. The results of their study had management implications for the tRFMOs to consider. Our study utilised the same microsatellite markers and extends on findings through a large sampling effort on a finer sampling scale along the entire South African coastline.

. This work attempts to address whether genetic stock differentiation, based on microsatellite data, exists for swordfish caught off the South African coastline straddling the management border (20°E) of the Indian and Atlantic oceans.

Materials and Methods

For swordfish 63 microsatellite markers exist (Benson *et al.*, 2009), 51 of which have been characterised in publications (Reeb *et al.*, 2003; Kasapidis *et al.*, 2009; Bradman *et al.*, 2011). Since swordfish microsatellite makers have been established and used in previous studies, this type of marker was deemed suitable for this study.

Sample design

Observers onboard pelagic longline vessels collected 602 swordfish muscle samples throughout 2005. Samples were collected around South Africa's coastline from -24° to -38° S and 10° to 35°E, sampling the Indian and Atlantic oceans; and were stored in 70% ethanol. The catch location was recorded, and the sex identified in all samples (Figure 1).



Figure 1. Catch location of all samples collected by onboard observers in 2005.

The management boundary at 20°E that separates the Indian Ocean and Atlantic Ocean stocks was initially used to assign samples to localities, referred to as Scenario 1 (Figure 2, i). Scenario 1 was used for marker performance and genetic diversity analyses. Samples caught west and east of the 20°E boundary are classified West (<20°E) and East (>20°E), respectively.

Putative population scenarios

The individuals were subsequently grouped into 3 additional population scenarios based on the longitude geographic parameter to vary the potential stock boundaries and compare the results of population differentiation and assignment tests (Figure 2, ii-iv).

Scenario 2. West (<17°E), South (17°E -30°E), East (>30°E) Scenario 3. West (<17°E), East (>17°E) Scenario 4. West (<30°E), East (>30°E)

The boundary lines were chosen to reflect the westerly extent of the Agulhas Current Retroflection at 17°E and the westerly extent of the Mozambique Channel at 30°E. The number of samples per scenario is included in Table 2. Sample filtering has been subsequently applied based on the quality of the sample for genetics analyses. The final number of individuals is indicated in the Results.

	Scenario	Scenario code	Number of individuals
1	West (<20°E)	W20	73
I	East (>20°E)	E20	194
	West (<17°E)	W17	62
2	South (17-30°E)	South	180
	East (>30°E)	E30	25
2	West (<17°E)	W17	62
3	East (>17°E)	E17	205
4	West (<30°E)	W30	242
4	East (>30°E)	E30	25

Table 2. Number of individuals per scenario included in analyses.



Comparison of DNA extraction methods

Due to the age of the samples, the ethanol in the sample vials evaporated over time and all samples were desiccated. Three commercial extraction kits, SureFood® PREP, Qiagen DNeasy® mericon Food Kit, Qiagen DNeasy® Blood & Tissue Kit, and a modified Cetyltrimethyl ammonium bromide (CTAB) extraction protocol (Saghai-Maroof *et al.*, 1984) were used to test the efficiency of extracting DNA from desiccated tissue samples. Efficiency was based on DNA quantity (ng/µl) and DNA purity (260/280 nm ratio and 260/230 nm ratio of absorbance). Ratios of 1.8 and 2.0-2.2, respectively, are generally accepted as values for "pure" DNA (T042 Technical Bulletin). The DNA quantity and purity were measured using the Nanodrop® ND-1000 spectrophotometer.

The four extraction methods were tested on 10 samples (A to J). Since there was limited time in which to process 602 samples, a kit rather than the CTAB method was the preferred option for extractions. Three of the 10 samples, of varying DNA yield, that were extracted with the DNeasy[®] Blood and Tissue Kit were tested for PCR success and to determine the minimum DNA yield threshold for PCR success.

Markers A3 and A8, two markers of varying size range (bp), were chosen to test for PCR success of desiccated samples.

DNA extraction

After testing and found to be the most optimal, DNA extractions for all the 602 samples were conducted with the DNeasy[®] Blood and Tissue Kit. The Quick-Start Protocol can be found at <u>www.qiagen.com/handbooks</u>. Samples of muscle (25 mg) were incubated for one hour at 56°C with 180 μ l Buffer ATL and 20 μ l proteinase K. In separate repeated steps of spinning in the centrifuge for 1-3 minutes at 8,000 – 14,000 rpm, 200 μ l Buffer AL, 200 μ l ethanol, 500 μ l Buffer AW1 and 500 μ l Buffer AW2 were added to the sample with DNeasy Mini spin columns. The DNA was eluted in 100 μ l Buffer AE. The DNA product was stored at -20°C until further use.

Preparation of primers

Initially, 3 microsatellites markers (Xg66, Xg144, Xg166) were chosen from Reeb *et al.* (2003) and 16 (A3, A4, A7, A8, A10, A113, A115, B6, B108, B112, C4, C7, C8, C10, D2B, D11) from Bradman *et al.* (2011), for this study. The potential multiplex groups of markers were arranged by considering the annealing temperature and size (bp) of the marker, and the fluorescent dye for the forward primer selected accordingly when ordering the primers (Blue- FAM, Yellow- NED, Red- PET or Green- VIC). The primers were resuspended in MilliQ water to create a 100 mM stock solution. Primers were placed on a shaker for 1-2 hours before storing at -20°C. The stock solutions were diluted into 10 mM working solutions.

Primer optimisation

The annealing temperatures of the markers as detailed by Reeb *et al.* (2003) and Bradman *et al.* (2011) were used to test the success of the PCR protocol on the available thermal cycler machines. One sample of relatively good DNA quantity (84 ng/µl) was used to test PCR amplification success through singleplex reactions. PCR amplification was performed in a volume of 10 µL with 50 ng of template DNA, 10 µM of each primer, 1 x Go*Taq* Flexi Buffer (Promega), 200 µM of dNTPs; 1 mM MgCl₂ and 0.5 units of *Taq* polymerase. The PCR was attained by a denaturation step of 5 minutes at 95°C, and continued with 35 cycles containing a 30 second denaturation segment at 95°C, a 45 second annealing segment at the optimum temperature, and a 30 second elongation segment at 72°C. The final elongation step was 10 minutes at 72°C.

Gel electrophoresis

Success of single reaction PCR amplification at the aforementioned annealing temperatures was tested through agarose gel electrophoresis. A gel was made with 1x TBE buffer, 2% agarose powder and 1-2 μ l ethidium bromide (for DNA staining) and placed in an electrophoresis bath containing 1x TBE buffer. Four microliters of PCR product and 2 μ l of 5x DNA loading buffer were loaded onto the gel, with one lane dedicated to the 500 bp size standard, and run for 1 hour at ~120V until the dye front reached ¾ of the total length of the gel. The gel was visualised by the ethidium bromide staining and UV-light exposure.

Capillary electrophoresis

The PCR products were amplified separately and electrophoresed in four multiplex panels to test the success of electrophoresis on pooled PCR products. Capillary electrophoresis of PCR products with a GeneScan 600 LIZ[®] size standard (Applied Biosystems) was conducted on an ABI 3730xl DNA Analyzer at the Central Analytical Facilities, Stellenbosch University.

Allele scoring

Allele scoring was conducted manually with the aid of Peak Scanner Software 2 (Applied Biosystems). Automatic binning of the alleles was performed with the program FLEXIBIN (Amos *et al.*, 2007).

DNA quantity thresholds for singleplex and multiplex PCR

To determine the DNA quantity threshold for PCR success in multiplex reactions, groups of multiplex PCR reactions were tested with varying numbers of markers per reaction over a range of DNA quantities. The multiplex reactions were tested on samples of DNA quantity >20 ng/µl. The KAPA2G Fast Multiplex PCR Kit was used for multiplex reactions in a 10 µl reaction volume with 50 ng template DNA, 0.2 µM of each primer and 1X 2X KAPA2G Fast Multiplex Mix (containing 3 mM MgCl₂ at 1X).

Four multiplex reactions of 4- and 5-markers per reaction (three reactions with four markers each and one reaction with five markers = 19 markers) were tested on five samples ranging in DNA quantity of between 27 and 112 ng/ μ l (27, 37, 75, 84 and 112 ng/ μ l). Six multiplex groups of 2- and 3-markers per

reaction (two reactions with two markers each and five reactions with three markers each = 19 markers) per reaction were tested on three samples ranging in DNA quantity of between 20 and 35 ng/µl (20, 26 and 35 ng/µl). The characteristics of the markers included in this study are listed in Table 3.

Locus	Motif sequence	Ta°C	Size range (bp)	Primers (5' - 3')
A3	(GACA)6	58	95-115	F: CAGTCGGGCGTCATCAAGTGAACCATCAGCGGCTCCT ⁺ R: GTTTCATCCTTGACTGGCACCTCCG
A4	(GACA)6	62	240-288	F: CAGTCGGGCGTCATCAGGGCAAGTAGATAACAGAATTA ⁺ R: GTTTCTTAGCCCATCACCCAATCCATCGT
A7	(GACA)6	62	271-283	F: CAGTCGGGCGTCATCAAGCAGACTCTGAGCCAAGTGCAA† R: GTTTCTTCATCACCAATCAGCCACC
A8	(GTCT)7	58	222-238	F: GTTTCTTGCCCTTGCCTGGAG ⁺ R: CAGTCGGGCGTCATCAGTGTTGGCAGGTGGTCTGGAG
A10	(CAGA)10	58	349-369	F: CAGTCGGGCGTCATCAGATTAAGGCAGCGGAGTCGAG [†] R: GTTTCTTCGCTGGCAAGGCATTAGTTCAG
A113	(TCTG)6	54	212-226	F: GTTTCTTTCGCTGACAGACTTTACGACA† R: CAGTCGGGCGTCATCAATCAGCTTCCAGGACAACACA
A115	(ACAG)8	58	379-495	F: CAGTCGGGCGTCATCAGCAAATGTGTTTAGCCGGAGA† R: GTTTCTTTCCTGAATGGCAGTAATTGTG
B6	(GGAT)6	52	244-258	F: GTTTCTTGTGTACAGGATAACCGTCTTT ⁺ R: CAGTCGGGCGTCATCAAGGGCAGTCAATTAGGTAGGC
B108	(CCAT)13	58	168-226	F: CAGTCGGGCGTCATCATTCAGTTTGTTGGCAGTTATT + R: GTTTCTTCCATCCAGCCCTCCACTTATT
B112	(GATG)15	50	206-254	F: CAGTCGGGCGTCATCAGTTTATGTCAGCACAAGCACC [†] R: GTTTCTTCTGCAAGTTTCACCGTTTCTA
C4	(TAGA)12	56	448-500	F: GTTTCTTATCCGTCTCAGAGCAACTGGC ⁺ R: CAGTCGGGCGTCATCACTCTTAGTGACCCACGGGAAT
С7	(GATA)18	56	216-260	F: GTTTCGGAACGCACATGCAGAGCTTA† R: CAGTCGGGCGTCATCATTGGTCAAAGCTGCTCATATC
C8	(CTAT)22	58	152-236	F: CAGTCGGGCGTCATCACCTTCAATGTAGAGATGGCAGG ⁺ R: GTTTCAAATGTCGGTGGAGCTGTGGACAGA
C10	(CATA)14	52	194-270	F: CAGTCGGGCGTCATCAAATGGAGACTGCGATTAAGAT ⁺ R: GTTTCTTCAGTCTTTCTGCCATAACTCA
D2B	(CAGT)8	58	157-185	F: CAGTCGGGCGTCATCAAAGCAACAACATTGTCTTCTG ⁺ R: GTTTCTGGCGTGAACGTGGCTCAATCC
D11	(TCAG)7	54	233-245	F: AGTCGGGCGTCATCAATGCAGGATTCCGCTGACCAGT ⁺ R: GTTTCTTTGGATGTGGATATACGGCACC
Xg66	(CA)11	52	140-150	F: TTTTCACCTTGTCAGTGTGCG ⁺ R: ACAGACGTATACAACCACCTG
Xg166	(CAA)7	52	140-150	F: GTGAGTCATGTGTCAGTGTGG ⁺ R: CCTCTGCCTGAAATACTTCAG
Xg144	(GGA)7	52	130	F: TTCCACTCATACCTCTGTCATC ⁺ R: ACCACATCCATTATAGCATGTTG

Table 3. Characteristics of the 19 microsatellite loci used in this study. Markers A10, A115, C4 were excluded from the study due to poor amplification success.

+Indicates fluorescent primer

Sample filtering criteria

A series of criteria were applied to remove individuals and markers that had poor amplification success. A threshold of 60% amplification success per individual was applied. All samples with 10 or more markers (from total of 16) that had amplified were kept in the dataset. Of these remaining samples, a threshold of 80% amplification success per marker was applied. All markers with more than 80% amplification success were kept in the dataset for the analyses.

Genetic diversity

Data were organised in Microsoft Excel and prepared for input into the analysis software packages. Departures from neutrality of loci were tested using LOSITAN (Antão *et al.*, 2008) with 50,000 simulations, "neutral" mean F_{ST} , confidence intervals of 95% and a false discovery rate (FDR) of 0.1 with the infinite alleles model (IAM). LOSITAN detects loci under selection through an F_{ST} -outlier detection method when compared to calculated global F_{ST} values expected under neutrality.

Indices of genetic diversity were calculated in Arlequin 3.5.2.1. (Excoffier and Lischer, 2010), and included average number of alleles per locus (A), observed heterozygosity (H_0) and expected heterozygosity (H_E). The allele frequencies were calculated per locus and are included in Appendix B. To compare levels of polymorphism across loci, the polymorphic information content (PIC) of each marker for each of the two populations was estimated from observed allele frequencies (Botstein *et al.*, 1980). The PIC statistic is a function of both allele number and frequency and, therefore, is a better estimator of discriminatory power than is the number of alleles alone (Anderson and Karel, 2014). The PIC was calculated in CERVUS 3.0.7 (Kalinowski *et al.*, 2007). The allelic richness (R_s), which is a standardized index of the mean number of alleles per locus irrespective of sample size, was calculated with Fstat 2.9.3.2 (Goudet, 2001).

The coefficient of genetic differentiation (F_{ST} , among population variation), the inbreeding coefficient (F_{IS} , within population variation) and the overall fixation index (F_{IT} , total population variation) were estimated through the estimator of Weir and Cockerham (1984) using Genepop 4.2 (Raymond and Rousset, 1995) with numerical resampling by bootstrapping (1,000 times) and jack-knife procedures in order to estimate confidence intervals and the significance of the values. Positive F_{IS} values

demonstrate an excess of homozygotes (positive correlation between homologous allele) or conversely, a deficiency of heterozygotes, relative to the Hardy-Weinberg model. This could be due to inbreeding, hence the label. F_{ST} values in the range of 0.0 to 0.05 may indicate little genetic differentiation, whereas values of F_{ST} above 0.25 indicate large genetic differentiation (Wright, 1978; Hartl and Clark, 1997).

Departures from HWE and linkage disequilibrium (LD) were tested for each site at each locus using Genepop 4.2 (Raymond and Rousset, 1995) with 10,000 burn-in steps, and 500 batches of 5,000 Monte Carlo Markov Chain (MCMC) steps per batch. Statistical significance was assessed at each locus before and after sequential Bonferroni correction (Rice, 1989). In cases where observed genotype frequencies deviated significantly from HWE expectations, the program MICRO-CHECKER 2.2.3 (Van Oosterhout *et al.*, 2004) was used to infer the most probable cause of the HWE departures: null alleles (Pemberton *et al.*, 1995), stuttering during the PCR amplification and large allele dropout (Wattier *et al.*, 1998). When a locus revealed evidence of null alleles, the frequency of null alleles (p_n) was estimated by averaging estimations derived from three independent methods (Chakraborty *et al.*, 1992; Brookfield, 1996; Van Oosterhout *et al.*, 2006).The following population differentiation and population assignment tests were conducted on the four putative population scenarios (Figure 2).

Population differentiation

Population differentiation was evaluated for each population scenario (i to iv) with pairwise F_{st} tests executed in Arlequin 3.5.2.1 (Excoffier and Lischer, 2010) with 1,000 permutations and a significance level of 0.05. Fisher's exact test of genic (allele distribution) and genotypic (genotype distribution) distributions between pairs of populations in each scenario (i-iv) was conducted with Genepop 4.2 (Raymond and Rousset 1995; Rousset 2008) as an indication of population differentiation, with 1,000 burn-in steps, and 100 batches of 1,000 MCMC steps per batch. To assess variation within and among populations, a locus-by-locus and population specific analysis of molecular variance (AMOVA, Excoffier *et al.*, 1992) was conducted in Arlequin 3.5.2.1 (Excoffier and Lischer, 2010). The test was run among populations (F_{sT}) and among individuals within populations (F_{is}) for each population scenario (i-iv). For all calculations, significance was assessed by 1,000 random permutations.

Population assignment

Genepop 4.2 (Raymond and Rousset, 1995) was used to test for isolation-by-distance (IBD) in Scenario II, the only scenario where levels of geographic distance is applicable with the East and West separated by the South, by plotting F_{ST} / (1 – F_{ST}) (*i.e.* genetic distance) against the geographic distance between sampling sites, with a Mantel test (1,000 permutations of the data). This provided a one-tailed p-value for significance of the matrix correlation and a corresponding R^2 . The Mantel test was carried out to examine whether the genetic distances between population pairs were linearly related to their geographical distances. The geographic position of each sampling block was specified as the coordinates at the mid-point of each sampling block, and F_{ST} values previously calculated in Genepop 4.2 were used.

First generation migrants, *i.e.* individuals born in a population other than the one in which they were sampled, were identified with GeneClass 2.0 (Paetkau *et al.*, 2004; Piry *et al.*, 2004) with a likelihood-based test statistic, *Lhome/Lmax. Lhome*, the likelihood of finding a given individual in the population in which it was sampled, and *Lhome/Lmax*, the ratio of *Lhome* to the greatest likelihood among all sampled populations. The Bayesian criterion of Rannala and Mountain (1997) in combination with the resampling method of Paetkau *et al.* (2004) was used to determine the critical value of the test statistic beyond which individuals were assumed to be migrants. Paetkau *et al.* (2004)'s probability computation of individual genotypes coming from each locality was calculated with a Markov chain (MC) resampling procedure by comparing individual genotypes to 1,000 simulated individuals per locality, and if the value was below P < 0.05, the individual was 'rejected' from that population. The frequency-based simulation method introduced by Paetkau *et al.* (2004) was selected as it is more representative of real population processes than other methods (*e.g.* Rannala and Mountain, 1997; Cornuet *et al.*, 1999) which have been shown to produce an inflated rate of type I errors (Paetkau *et al.*, 2004; Piry *et al.*, 2004). Nei's (1972) standard genetic distance (*Ds*) for each population scenario was calculated in Genetix 4.05 (Belkhir *et al.*, 2004).

Three-dimensional factorial correspondence analysis (3D-FCA) was performed with Genetix 4.05 (Belkhir *et al.*, 2004) to explore population divisions and relationships of swordfish, independent from a prior knowledge of their relationships. This analysis places all individuals in a hyperspace which has as many dimensions as there are alleles at different loci. The algorithm looks for independent (orthogonal) directions or eigenvectors in this hyperspace along which the inertia is maximum. The eigenvectors determine a series of axes, and, by convention, the first axis is the one that has the highest contribution to the total inertia (Belkhir *et al.*, 2004).

A model-based Bayesian clustering algorithm was undertaken using STRUCTURE 2.3.4 (Pritchard *et al.*, 2000) to determine the number of genetic discrete populations (*K*) with the highest posterior probability based on the microsatellite genotypes. The simulated *K* values ranged from 1 to 10. Twenty independent runs were implemented for each specific *K*-value in order to verify the consistency of the results. The simulations were conducted assuming an admixture model with correlated allele frequencies, which is considered as the superior model for detecting structure among closely related populations (Falush *et al.*, 2003; Hubisz *et al.*, 2009). MCMC consisted of 100,000 burn-in iterations followed by 100,000 iterations. STRUCTURE was selected because it performs well at low levels of population differentiation (Latch *et al.*, 2006). Structure Harvester (Earl and von Holdt, 2012) was used to determine the optimal number of clusters (*K*), independent of the prior population allocation per individual, by obtaining the mean posterior probability of the data (L(K)) and the *ΔK* approach of Evanno *et al.* (2005). CLUMPP (Jakobsson and Rosenberg, 2007) aligned and averaged cluster assignments across replicate analyses from STRUCTURE utilising the greedy algorithm, while DISTRUCT 1.1 (Rosenberg, 2007) provided a visual representation of the aligned cluster assignments. Results from STRUCTURE were compared with the results from TESS 2.3.1. (Durand *et al.*, 2009).

TESS 2.3.1. (Durand *et al.*, 2009) implements Bayesian clustering for spatial population genetic studies, including simultaneous analysis from geographical data (Chen *et al.*, 2007). The method is based on a hierarchical mixture model where the prior distribution on admixture proportions (admixture model) is defined as a Hidden Gaussian Random Field (HGRF, admixture model) on a spatial individual network (tessellation). The program seeks population structure from individual multilocus genotypes sampled at distinct geographical locations without assuming predefined populations (Durand *et al.*, 2009). The conditional autoregressive (CAR) Gaussian admixture model was run in TESS, with the suggested burn-in period of 10,000 replicates and 50,000 MCMC iterations (Durand *et al.*, 2009) was run 20 times for each number of clusters from *K*max = 2 to *K*max = 10. For each value of *K*max, the deviance information criterion (DIC) was computed. The ideal cluster number was chosen according to when the DIC values reached a plateau. The estimated admixture coefficients was averaged for the runs from the chosen *K*max using the software CLUMPP. The resulting averaged Q matrix was visualized in DISTRUCT 1.1 (Rosenberg, 2007).

The TESS estimations of admixture proportions were displayed spatially with the tessplot function from "plot.admixture.r" (<u>http://membres-timc.imag.fr/Olivier.Francois/admix_display.html</u>) with the R packages *maps* and *fields* that interpolates expected admixture proportions on every point on a grid and displays it as a probability surface, known as universal kriging (Durand *et al.*, 2009).

Effective population size

The effective population size (N_e) is an essential parameter that informs about the sustainable management and conservation of exploited species (O'Leary *et al.*, 2013). It determines how vulnerable populations are to losing genetic diversity due to genetic drift, and consequently, it assesses their responsiveness and adaptation capabilities (Laconcha *et al.*, 2015). The software NEESTIMATOR 2.01 (Do *et al.*, 2014) was used to determine N_e with the Linkage Disequilibrium and the Heterozygote excess methods.

Marker power

Finally, WHICHLOCI 1.0 was used to test the power of the markers to assign individuals to their current assigned populations by using allelic frequencies with 95% accuracy. An allele frequency differential was used following methods described in Shriver *et al.* (1997) to rank loci. The Whichloci (Banks *et al.*, 2003) method is a resampling technique that generates simulated populations from observed allele frequencies in experimental samples, and then assigns experimental individuals to populations on the basis of the likelihood of an individual's genotype in each population. The software employs an empirical method for determining which combination of loci most likely provides a predefined population assignment power for individuals as well as statistical bounds on the performance of any particular group of loci. The log odds (LOD) level of assignment stringency was set at LOD = 1.

Results

Comparison of DNA extraction methods

The results of the four extraction methods tested on 10 samples (A to J) indicated that the 260/230 nm ratio was <0.7 for all extraction methods, possibly due to ethanol contamination in the samples. The CTAB extraction method had the highest DNA yield (average 247.9 ng/µl) and best average 260/280 nm ratio (1.97). The DNeasy[®] Blood and Tissue Kit achieved an average DNA yield of 26.6 ng/µl (\pm 20.77 ng/µl s.d.) and an average 260/280 nm ratio of 1.47. The SureFood[®] PREP kit had a higher average yield than the DNeasy[®] Blood and Tissue Kit (56.6 ng/µl) but with large deviation around the mean (115.2 ng/µl). The DNeasy[®] mericon Food Kit had the lowest DNA yield of 4.4 ng/µl.

The PCR success of markers A3 and A8 tested on three samples (F, G, J) extracted with the DNeasy Blood and Tissue kit are indicated in Figure 3. Sample J had the lowest DNA yield (18.1 ng/µl) and neither of the markers amplified. Sample F (24.06 ng/µl) and Sample G (84.38 ng/µl) amplified successfully. At this stage the minimum threshold for singleplex PCR success was assumed to be at around 20 ng/µl, and the DNeasy[®] Blood and Tissue Kit was deemed suitable for the project.



Figure 3. PCR of markers A3 and A8 on samples F, G and J extracted using the DNeasy[®] Blood and Tissue Kit. The 500bp DNA size standard is indicated.

DNA quantity thresholds for singleplex and multiplex PCR

The average DNA quantity of all samples was 35.73 ng/µl, average 260/280 nm ratio was 1.58 and the average 260/230 nm ratio was 0.53. The singleplex reactions had a success threshold of 20 ng/µl, which removed 164 samples (27.7%) from the project that were <20 ng/µl. Samples with >37 ng/µl had the greatest 4- and 5-marker multiplex PCR amplification success based on genotyping results. A DNA threshold of multiplex reactions with 4- and 5-markers per reaction was set at DNA quantity >70 ng/µl. The sample with >35 ng/µl DNA amplified and genotyped successfully with 2- and 3-marker multiplex groups. Therefore, samples were divided into three sets of PCR reactions; 1) singleplex reactions with samples 20-34 ng/µl (180 samples, 41% of the remaining samples), 2) multiplex reactions of 2- or 3- markers per reaction on samples 35-69 ng/µl (205, 47% of the remaining samples), and 3) multiplex reactions of 4- or 5- markers per reaction on samples >70 ng/µl (53, 12% of the remaining samples).

Through the process of optimising primers and the singleplex and multiplex PCR reactions, it was realised that the microsatellites A10, A115 and C4 (the three longest markers) consistently struggled to amplify with inconsistent amplification success with samples of highest DNA quantity. For this reason it was therefore decided to remove these three markers from the study. In general, the desiccated state of the muscle samples adversely affected the template DNA quantity and quality and overall amplification and genotyping success. Amplification was therefore successfully conducted on only 438 of the original 602 samples.

Sample filtering criteria

Once the filtering criteria had been applied per individual and per marker the final dataset consisted of 267 individuals (194 Indian Ocean (>20°E) and 73 Atlantic Ocean (<20°E)) (Figure 4) and 11 markers. The sex ratio was skewed with 181 females and 86 males in the dataset. The markers that were removed due to poor amplification success were A3, A4, C7, D11 and Xg66.



Figure 4. Geographic catch locations of individuals used in the final dataset.

Statistical analyses

Genetic diversity

 F_{ST} -outlier analysis of the 11 loci on all individuals indicated that none of the loci were under selection (Figure 5). The 11 microsatellite loci varied in the number of alleles (5 – 25, mean = 11.4), observed heterozygosity (0. 13 – 0.89, mean = 0.62) and expected heterozygosity (0. 22 – 0.94, mean = 0.69) among populations (Table 4). The number of individuals genotyped in E20 ranged from 155 to 189 (median 176) and in W20 ranged from 57 to 69 (median 64). This was due, in part, to variation in the number of individuals collected from the two areas (Table 2) but may also be related to tissue degradation that prevented successful PCR amplification of both alleles in many individuals. Both populations had the same PIC value of 0.66. Allelic richness was 8.57 in the East and 8.31 in the West. Allelic frequencies of the 11 loci for E20 and W20 can be seen in Appendix B. The F_{ST} measure of - 0.00001 is an indication of minimal to no detectable genetic differentiation between the two populations. The positive F_{15} and F_{1T} values for all loci combined indicate heterozygosity deficiency in the total population (Table 5).



Figure 5. Identification of candidate loci under selection inferred from F_{ST} outlier analysis (P < 0.05) of 11 microsatellite markers.

Table 4. Summary statistics for microsatellite DNA variability in swordfish. Number of individuals (N),
number of alleles (A), observed heterozygosity (H_0), expected heterozygosity (H_E), the Polymorphic
Information Content (PIC) and allelic richness (R _s) was calculated for each locus per population (East
(>20°E) and West (<20°E)).

	Ì	N		A	H	lo	Ŀ	le	P	IC	R	ls .
	E2 0	W2 0	E20	W20	E20	W20	E20	W20	E20	W20	E20	W20
					0.	0.	0.	0.	0.	0.		
A8	189	67	7	5	21	13	26	22	25	21	4.01	2.63
A7	176	62	7	5	0.43	0.39	0.48	0.43	0.45	0.40	4.68	4.75
A113	176	65	7	5	0.55	0.58	0.65	0.66	0.62	0.61	5.58	4.98
B6	155	62	7	6	0.56	0.65	0.55	0.60	0.50	0.54	5.94	5.00
B108	180	67	16	12	0.65	0.67	0.79	0.81	0.77	0.79	10.61	9.40
B112	177	61	13	12	0.77	0.79	0.87	0.88	0.86	0.85	9.15	9.60
C8	168	64	25	23	0.85	0.89	0.93	0.94	0.93	0.93	17. 21	17. 11
C10	163	61	20	20	0.82	0.84	0.91	0.92	0.90	0.91	14.35	18. 24
D2B	181	69	11	7	0.71	0.68	0.76	0.76	0.72	0.72	7.10	5.00
Xg166	181	65	11	7	0.62	0.69	0.63	0.67	0.60	0.63	6.88	4.84
Xg144	158	57	15	10	0.59	0.63	0.74	0.77	0.70	0.73	8.80	9.89
Averag e			12. 6	10.1 8	0.61	0.63	0.69	0.70			8.58	8.31

Locus	F _{IS}	F_{ST}	F _{IT}
A8	0. 2461	0.0008	0. 2467
A7	0.1108	-0.0026	0. 1085
A113	0. 1445	-0.0001	0.1444
B6	-0.0401	0.0014	-0.0386
B108	0. 1806	-0.0002	0. 1805
B112	0. 1156	-0.0029	0.113
C8	0.0782	-0.0025	0.0759
C10	0.0976	-0.0007	0.097
D2B	0.0754	-0.0043	0.0714
Xg166	0.0085	0.0067	0.0151
Xg144	0. 1972	0.0058	0. 2019
All	0. 1055	-0.0001	0. 1054

Table 5. Average Weir and Cockerman's F-statistics (F_{IS}, F_{IT}, F_{ST}) per locus among populations, E20 and W20.

Significant departures from HWE were observed in 14 of 22 single locus exact tests (Table 6) and all deviations except one (B6) were towards heterozygote deficiencies in either one or both of the populations (Table 4). Loci B6, B112, C8 and C10 were in HWE for the West and D2B and Xg166 for the East and West. Five (A8, A113, B108, C10, Xg144) of the nine loci that departed from HWE displayed null alleles and homozygote excess, and of those all except one locus (Xg144) underwent stuttering during PCR amplification (Table 7). These results indicate that true null alleles (*i.e.* alleles that failed to amplify because of base substitutions or deletions in PCR priming sites flanking microsatellite arrays) (O'Reilly *et al.*, 2004) could have been the cause of departures from HWE in only one of the nine loci. There was evidence of 16 of the 55 loci pairs with significant (Initial α = 0.05) LD before and after Bonferroni correction (Table 8). Of the loci pairs with significant LD, 13 included loci with null alleles (A8, A113, B108, C10, Xg144).

Locus	E20	W20
A8	0.000**	0.000**
A7	0.000**	0.040*
A113	0.000**	0.013*
B6	0.001**	-
B108	0.000**	0.017*
B112	0.004*	-
C8	0.027*	-
C10	0.002*	-
D2B	-	-
Xg166	-	-
Xg144	0.000**	0.000**

Table 6. Statistical significant departures from HWE per locus, p-value (initial α =0.05) was assessed before (*) and after (**) sequential Bonferroni correction.

Table 7. The results of MICRO-CHECKER testing for the presence of homozygote excess, stuttering during PCR amplification, large allele dropout and null alleles for the 11 loci from East (> $20^{\circ}E$) and West (< $20^{\circ}E$). na = not applicable.

Locus	Homozygote excess	Stuttering	Large allele dropout	Null alleles	Null frequency
A7	N	N	N	N	na
A8	Y	Y	N	Y	0.30
A113	Y	Y	N	Y	0.18
B6	N	N	N	N	na
B108	Y	Y	N	Y	0.22
B112	N	N	N	N	na
C8	N	N	N	N	na
C10	Y	Y	N	Y	0.20
D2B	N	N	N	N	na
XG144	Y	N	N	Y	0.21
XG166	N	N	N	N	na

Table 8. Loci pairs with significant linkage disequilibrium (LD), p-value (initial α =0.05) was assessed before (*) and after (**) sequential Bonferroni correction. Loci with null alleles are indicated with ^. S.E. = standard error.

Population	Locus #1	Locus #2	P-Value	S.E.
	A8^	B6	0.000**	0.00
	A113^	B108^	0.021*	0.01
	A113^	C8	0.041*	0.02
	B6	C8	0.0165*	0.01
	B108^	C8	0.005*	0.01
East (>20°E)	B108^	C10^	0.021*	0.01
	B112	C10^	0.049*	0.02
	C8	D2B	0.000**	0.00
	A113^	Xg166	0.035*	0.02
	C8	Xg166	0.037*	0.02
	Xg166	Xg144^	0.000**	0.00
	A7	A113^	0.042*	0.01
	B108^	C8	0.027*	0.02
West (<20°E)	B112	C10^	0.008*	0.00
	A7	Xg144^	0.046*	0.01
	C10^	Xg144^	0.024*	0.01

Population differentiation

The joint null hypothesis of no heterogeneity between population pairs for any locus was rejected in 4 of 6 tests of genic differentiation (Table 9). Exact tests of genic differentiation between population pairs showed that significant heterogeneity was detected of the W17 and W20 populations with other locations. Pairwise F_{ST} indicated significant population structuring for scenario 2 between W17 and E30, and for scenario 4, between W30 and E30 (Table 10). AMOVA results indicated negligible heterogeneity between populations in every scenario with F_{ST} values between 0 and 0.007 (P between 0.45 and 0.94), likewise for genetic differentiation among individuals (F_{IS} between -0.04 and 0.018 (Table 11). Most of the time, negative variance component values indicate an absence of genetic structure (Schneider *et al.*, 2000). This result is concordant with the genotypic differentiation tests.

Table 9. Probability values of genic differentiation (lower diagonal) and genotypic differentiation (upper diagonal) tests for each population scenario. Significant p-values (<0.05) is indicated by an asterisk *.

		Scena	ario 1	Scenar	rio 2		Scenario 3		Scenario 4	
		East (>20°E)	West (<20°E)	East (>30°E)	South (17- 30°E)	West (<17°E)	East (>17°E)	West (<17°E)	East (>30°E)	West (<30°E)
Scenario 1	East (>20°E)	-	0.13							
Scenario 1	West (<20°E)	0.01*	-							
	East (>30°E)			-	0.21	0.05				
Scenario 2	South (17-30°E)			0.17	-	0.18				
	West (<17°E)			0.02*	0.04*	-				
Scenario 3	East (>17°E)						-	0.15		
Section 5	West (<17°E)						0.02*	-		
Scenario 4	East (>30°E)								-	0.09
	West (<30°E)								0.08	-

Table 10. Pairwise F_{st} (lower diagonal) and corresponding significance values (upper diagonal) (*p<0.05) for the four population scenarios.

		Scen	ario 1		Scenario 2		Scena	ario 3	Scena	rio 4
		East (>20°E)	West (<20°E)	East (>30°E)	South (17- 30°E)	West (<17°E)	East (>17°E)	West (<17°E)	East (>30°E)	West (<30°E)
Scenario 1	East (>20°E)	-	0.51							
Sechario 1	West (<20°E)	-0.0003	-							
	East (>30°E)			-	0.12	0.04*				
Scenario 2	South (17-30°E)			0.00373	-	0.54				
	West (<17°E)			0.0067	-0.0004	-				
Scenario 3	East (>17°E)						-	0.49		
Sechario 5	West (<17°E)						0.00004	-		
Scenario 4	East (>30°E)								-	0.03*
Sechario 4	West (<30°E)								0.00558	-

Table 11. Analysis of molecular variance (AMOVA) among populations for the four population scenarios.

	Genetic Structure	Variance	% of total	Fixation index
		component		
				Fst = 0.000 (P =
	Among populations	-0.00074	-0.02	0.98)
Seconaria 1	Among individuals within nonulations	0.02420	1 16	Fis = 0.018 (P =
Scenario I	Among individuals within populations	-0.03429	-1.10	0.85)
				Fit = 0.008 (P =
	Within individuals	2.99813	101. 18	0.86)
				Fst = 0.00149 (P =
	Among populations	0.00442	0. 15	0.94)
Seenaria 2		0.02675	1 24	Fis = -0.01241 (P =
Scenario 2	Among individuals within populations	-0.03075	-1. 24	0.87)
				Fit = -0.01090 (P =
	Within individuals	2.99813	101.09	0.85)
	American	0.0000	0.01	Fst = 0.00010 (P =
	Among populations	0.0003	0.01	0.95)
Scopario 2	Among individuals within populations	0.02460	1 17	Fis = 0.00010 (P =
Scenario S	Among individuals within populations	-0.03409	-1.17	0.88)
	Within individuals	2 00912	101 16	Fit = -0.01160 (P =
		2.99013	101.10	0.86)
	Among non-ulations	0.01702	0.57	Fst = 0.00572 (P =
	Among populations	0.01703	0.57	0.45)
Scopario 4	Among individuals within populations	0.02750	1 26	Fis = -0.01270 (P =
Scenario 4	Among individuals within populations	-0.03739	-1.20	0.89)
	Within individuals	2 00813	100.69	Fit = -0.00691 (P =
		2.33013	100.05	0.87)

Population assignment

Nei's (1972) Standard Distance (*Ds*) was calculated per scenario and resulted in the largest genetic distance between the populations of scenario 3, W17 and E17, and scenario 2, W17 and E30 (Table 12). The Mantel regression test indicated a lack of correlation between genetic divergence and geographic distance ($R^2 = 0.2969$, P = 0.54) across populations in scenario 2 (Figure 6). The rate at which individuals correctly assign to their sampled locality can also be used as an assessment of population genetic structure (Manel *et al.*, 2005). Scenarios 1 and 3 had the highest percentage of

migrants (8.23% and 6.37%, respectively), and the misassignments (*i.e.* migrants) were individuals that had originated in neighbouring East (>17°E and >20°E) populations and were caught in the West (<17°E) (Table 13). A summary across population scenarios indicates that on average 5.8% of migrants originating from the South and East were caught in the West, 1.5% of migrants originating from the South were caught in the West and East, and 1.62% of migrants from the West and South were caught in the East.

Three-dimensional factorial correspondence analyses (3D-FCA) explained 100.00% of the overall variation in scenarios 1, 3 and 4, and 54.45% of the variation in scenario 2, with additional separation noticeable on the second axis. Every scenario displayed considerable overlap of individuals from each population, and the boundary between proposed populations was not clear, with admixture zones present (Figure 7).



Figure 6. Isolation-by-distance (IBD) plotting the genetic distance $(F_{ST} / (1 - F_{ST}))$ against the geographic distance (km) for E30, South and W17.

		Scenario 1	Scena	rio 2	Scenario 3	Scenario 4
		East (>20°E)	South (17-30°E)	East (>30°E)	East (>17°E)	East (>30°E)
Scenario 1	West (<20°E)	0.013				
Scenario 2	East (>30°E)		0.033			
	West (<17°E)		0.016	0.044		
Scenario 3	West (<17°E)				0.044	
Scenario 4	West (<30°E)					0.036

Table 12. Nei's (1972) genetic distance *Ds* for scenarios 1 to 4.

Table 13. The results of the detection of migrants tests conducted in GeneClass 2.0, for each population scenario.

			Correct populatio	n					
	Assigned population	West (<20°E)	East (>20°E)					
	West (<20°E)			17					
Scenario 1	East (>20°E)	5							
	Total number of migrants:		22						
	Percentage migrants:		8.23%						
	Assigned population	West (<17°E)	South (17-30°E)	East (>30°E)					
Scenario 2	West (<17°E)		2	0					
	South (17-30°E)	1		0					
Scenario 2	East (>30°E)	1	6						
	Total number of migrants:	10							
	Percentage migrants:		3.75%						
	Assigned population	West (<17°E)	East (>17°E)					
	West (<17°E)			14					
Scenario 3	East (>17°E)	3							
	Total number of migrants:	17							
	Percentage migrants:	6.37%							
	Assigned population	West (<30°E)	East (>30°E)						
	West (<30°E)		0						
Scenario 4	East (>30°E)	12							
	Total number of migrants:	12							
	Percentage migrants:	4.49%							





STRUCTURE performs well at low levels of population differentiation (Latch *et al.* 2006) and may be able to detect structure in this data if it is present. Calculation of ΔK , a measure of the second order rate of change in the likelihood of *K* (Evanno *et al.*, 2005), from the STRUCTURE output produced a modal value of the statistic at *K* = 6 (Figure 8a). While the largest value of ΔK was at *K* = 4, a second mode was present at *K* = 6. In cases where STRUCTURE finds clustering solutions with similar probabilities at different values of *K*, the lowest value is typically the most accurate (Pritchard *et al.*, 2000; Pritchard and Wen, 2004). The expectation was two clusters of swordfish since there are two recognised stocks, the South Atlantic and the Indian Ocean.

While Evanno's ΔK method seeks to detect the uppermost hierarchical level of population structure, the method is less reliable at lower levels of genetic differentiation and may incorrectly estimate *K* (Waples and Gaggiotti, 2006). Therefore, the estimation of *K* using the *ad hoc* evaluation of mean posterior probabilities from multiple analyses of *K*, *i.e.* L(*K*) (Pritchard *et al.*, 2000), appears to be more appropriate in this instance than the ΔK approach (Evanno *et al.*, 2005). The mean posterior probabilities of L(*K*) indicated *K* = 4 (Figure 8b).

Genetic clustering between W20 and E20 was not visually detected in the Bayesian clustering analysis averaged in CLUMPP and displayed by DISTRUCT for K = 2, 3 or 4 (Figure 9). The proportion clustering membership (Q) did not produce patterns concordant with the geographic boundary. In K = 2, individuals with majority cluster 1 (yellow) Q are found across the geographic locations.

The model-based clustering results in TESS indicated the existence of four clusters based on the lowest DIC value (Figure 10). Average membership coefficients of each individual to either K=2, 3 or 4 from TESS analyses is displayed in Figure 11. As with the results from STRUCTURE, there are no clear memberships of individuals to either of the two populations. A posterior predictive map of the admixture proportions for Kmax = 4 (Figure 12) was generated from the spatial interpolation (kriging) procedure. The Kmax = 4 may indicate sublevels of structuring. When considering Kmax = 4 the red and green clusters (or admixture proportions) distribute together, and the blue and yellow clusters are neighbouring and distribute together. Therefore, a weak differentiation boundary may be present in the region of 27°E. A posterior predictive map of the admixture proportions for Kmax = 2 was included to visualise the clustering without the potential sublevels of structuring mentioned by Evanno *et al.* (2005) (Figure 13). At this level of clustering an admixture zone between 14°E and 27°E may exist. A graphical summary of the population differentiation and population assignments tests are indicated in Figure 14.

Effective population size

The results from the Linkage Disequilibrium method of determining N_e (NEESTIMATOR) produced an alarmingly small value of N_e = 337 in the East (>20°E), with wide 95% confidence intervals of between 48.9 and infinity, and N_e = 1821.1 in the West (<20°E), with wide 95% confidence intervals of between 438.3 and infinity. Similarly, the Heterozygote Excess method determined the effective population size to equal infinity in both populations. These results indicate that the data is not informative enough to determine N_e.

Marker performance

The results from WHICHLOCI indicated that with a stringency of LOD = 1, neither the 11 loci combined nor 6 loci (markers with null alleles removed) combined were sufficient to assign the individuals to the current population assignment of W20 and E20 with 95% confidence.



Figure 8. Assignment of swordfish to populations by the STRUCTURE program. The peak of (a) Evanno's delta k (ΔK) and (b) the mean log likelihood of the data [L(K)] represents the most likely number of subpopulations.



Figure 9. Bayesian clustering results inferred by STRUCTURE for three scenarios of K, i) K = 2, ii) K = 3, iii) K = 4



Figure 10. The deviance information criterion (DIC) for 20 TESS runs with *K*max ranging from 2 to 10.

i)



Figure 11. Bar plots representing admixture proportions for swordfish from a spatial assignment test performed in TESS 2.3.1. for i) K = 2, ii) K = 3, and iii) K = 4.



Figure 12. Posterior predictive map of the admixture proportions for *K*max = 4 clusters generated from the spatial interpolation (kriging) procedure implemented in TESS 2.3.1. Each colour (green, red, yellow, blue) indicates one of the four clusters. The current management boundary at 20°E is indicated.



Figure 13. Posterior predictive map of the admixture proportions for *K*max = 2 clusters generated from the spatial interpolation (kriging) procedure implemented in TESS 2.3.1. Each colour (blue and red) indicates one of the two clusters. The current management boundary at 20°E is indicated.



Figure 14. A graphic summary of the tests conducted on swordfish in this study.

Discussion

In the marine environment many studies have failed to detect statistically significant population structuring because of low differentiation, especially over small geographical distances (*e.g.* in cod, Árnason *et al.*, 1992; Gjøsæter *et al.*, 1992). Low levels of differentiation in marine organisms are most likely due to extensive gene flow (Ward *et al.*, 1994; Waples, 1998; Avise, 2000) and do not necessarily imply that structuring does not exist, but that more powerful means are required to detect them (Knutsen *et al.*, 2003). Marine organisms, even if weakly differentiated on a small geographical scale, often show evidence of differentiation over larger distances, probably because the long distance acts as an isolation mechanism (Knutsen *et al.*, 2003).

Weak structure was detected between the two swordfish populations (West <20°E and East >20°E) sampled in a regional geographic scale around South Africa. This is not surprising since active dispersal of adult swordfish of the two recognised stocks results in mixing in this area, in addition to genetic homogenisation due to passive drift of larvae.

Despite the weak structure of swordfish in this study, the results corroborate previous findings of population differentiation in swordfish between the Indian and Atlantic oceans that were sampled at larger geographical scales than this study (Chow *et al.*, 1997; Alvarado Bremer *et al.*, 1999; Chow and Takeyama, 2000; Kotoulas *et al.*, 2006; Alvarado Bremer *et al.*, 2007; Muths *et al.*, 2013). Previous evidence of weak differentiation between the Indian and Atlantic oceans with microsatellites includes studies by Ward *et al.* (1997), Alvarado Bremer *et al.* (1998); Chow *et al.* (2000), Graves and McDowell (2003); Durand *et al.* (2005), Chiang *et al.* (2008), Albaina *et al.* (2013) and Laconcha *et al.* (2015).

The low numbers of individuals successfully genotyped per area, 94 from W20 and 173 from E20, may have contributed to some loss of power. Nevertheless, Ruzzante (1998) showed that samples of 50 or greater are sufficient to produce relatively precise estimates of F_{ST} with highly variable microsatellites (O'Reilly *et al.*, 2004). Reeb *et al.* (2003) indicated that the markers Xg66, Xg144 and Xg166 displayed significant divergence between two populations (Ecuador and Mediterranean), and observed heterozygosity of between 0.15 and 0.960, deeming them suitable for population genetics studies. These three markers were also utilised in the study by Ward *et al.* (2001) on the population structure of Australian swordfish, with two markers (Xg66 and Xg144) providing the highest gene differentiation values. However, a study by Kasapidis *et al.* (2008) on the stock structure of swordfish in the Pacific revealed Xg144 to be least polymorphic. The 16 markers chosen from Bradman *et al.* (2011) (A3, A4,

A7, A8, A10, A113, A115, B6, B108, B112, C4, C7, C8, C10, D2B, D11) were deemed suitable for population structure studies on swordfish; however, four of the markers (B6, B108, B112, C4) did not meet the HWE, similarly to the current study.

O'Reilly *et al.* (2004) suggested that null alleles may be common in large marine populations that have increased sequence heterogeneity, attributed to increased effective population sizes and reduced loss of variation due to drift. However, the use of degraded tissue samples provided low quality DNA which may have resulted in unsuccessful amplification and had a direct impact on the degree of null allele markers. This has impacted the departures from HWE and LD since five of the nine markers not in HWE had null alleles and 13 of the 16 pairs of markers in LD were markers with null alleles. In addition to the presence of null alleles, the departure from HWE, common in marine fish (O'Connell and Wright, 1997; Karlsson and Mork, 2005), can be explained by factors such as inbreeding, the Wahlund effect, or selection (Wittke-Thompson *et al.*, 2005).

The positive F_{IS} and F_{IT} values reflect homozygote excess and/or null alleles in the markers. Of the extraction methods tested, the CTAB protocol provided the highest DNA yield. It is recommended that for future studies on degraded tissue samples, the time is dedicated to the CTAB extraction protocol to maximise the success of the amplification and genotyping procedures that follow.

On this regional geographical scale, the pairwise F_{ST} values were less than 0.05, the level that indicates little genetic differentiation. This level of weak differentiation is similar in magnitude to those reported for other marine fish species with potentially high levels of gene flow (*e.g.* Elliot and Ward, 1992 (orange roughy *Hoplostethus atlanticus*); Gold *et al.*, 1994 (red drum *Sciaenops ocellatus*); Bentzen *et al.*, 1996 (Atlantic cod *Gadus morhua*); Borsa *et al.*, 1997 (flounders); Ruzzante *et al.*, 1999 (cod *Gadus spp.*); Shaw *et al.*, 1999 (Atlantic herring *Clupea harengus*); Lundy *et al.*, 2000 (European hake *Merluccius merluccius*); Nesbø *et al.*, 2000 (Atlantic mackerel *Scomber scombrus*); De Innocentiis *et al.*, 2001 (Dusky grouper *Epinephelus marginatus*); McPherson *et al.*, 2001 (Atlantic herring *Clupea harengus*); Wirth and Bernatchez, 2001 (European eel *Anguilla anguilla*); Withler *et al.*, 2001 (Pacific Ocean perch *Sebastes alutus*); Knutsen *et al.*, 2003 (Atlantic cod *Gadus morhua*)).

Despite the low pairwise F_{ST} values, significant differentiation was present between W17/E30 (Scenario 2) and between W30/E30 (Scenario 4) (Table 10). The genic differentiation tests built on this result and included significant differentiation of W17/S (Scenario 2), W17/E30 (Scenario 2), W17/E17 (Scenario 3), W20/E20 (Scenario 1) (Table 9). These results indicate variation in the interactions

between the populations, supporting scenarios of individuals moving from the Atlantic stock (<20°E) into the Indian Ocean area (>20°E) and *vice versa*; a result in concordance with Muths *et al.* (2013). Because the level of differentiation was quite low, it may at first be dismissed as not being biologically meaningful (*c.f.* Waples 1998; but see Wirth and Bernatchez, 2001). Knutsen *et al.* (2003) concludes that this is unwarranted; any statistically significant difference in allele frequency, no matter how small, indicates that the samples are from separate statistical populations.

There was additional evidence for swordfish distributing across the management boundary through the presence of first-generation migrants that originated from E17 and South caught in the W17 region, and migrants that originated from W17 and South caught in the E17 region (Figure 14). The assignment tests, however, indicated that the proportion of migrants between the two populations was very low. When the largest Nei's genetic distance (*D*) values are considered (0.044 and 0.036) then an indication of population differentiation exists, and the Indian Ocean stock distribution extends over the management boundary at 20°E.

The 3D-FCA for all scenarios revealed extensive overlap of individuals between the populations for all scenarios. There was no clear boundary between populations, indicating a large degree of admixture. It was not surprising then that the population structure did not follow an isolation-by-distance pattern since the West, South and East regions are relatively in proximity. As the species is wide ranging, migratory and potentially admixed, the geographical range may be too restricted to detect a relationship of genetic distance with geographic distance. Other studies have reported an IBD distance pattern of geographical distances between samplings sites in excess of 1,000 km (*e.g.* Mork *et al.*, 1985; Pogson *et al.*, 1995, 2001).

The estimated number of clusters (*K*max = 4) generated by STRUTCURE and TESS does not indicate structure in the bar plots of individual admixture proportions across the geographic range. Although results from STRUCTURE and TESS analysis suggest that swordfish form four genetic clusters, it can be argued that two clusters are more likely (Barker *et al.*, 2015). Hubisz *et al.* (2009) cautioned that STRUCTURE can overestimate the number of clusters, for example when there is inbreeding or relatedness among some individuals. Moreover, the number of clusters is not well-defined in settings where the allele frequencies vary smoothly across the landscape (Wasser *et al.*, 2004). The additional K = 2 bar plots differ between STRUCTURE and TESS outputs yet both indicate the possibility of admixture between the populations, since the proportions of individuals that have dominant cluster 1 (yellow) or cluster 2 (blue) are distributed across the geographic range. The boundaries suggested

from the posterior predictive maps of admixture proportions for K = 4 (Figure 12) and K = 2 (Figure 13) are independent of any suggested boundaries created per scenario (17°E, 20°E, 30°E) yet the map indicates degrees of admixture in the South with a separation between the Atlantic and Indian oceans in the West and East between 14°E and 27°E.

The insufficiency of the 11 loci to allocate individuals into the current W20 and E20 populations, according to WHICHLOCI, could be attributed to few markers utilised once the markers with null alleles were removed.

A large effective population size that limits genetic drift can be one of the causes of HWE and genetic homogeneity. The effective population size is the number of individuals in a population who contribute offspring to the next generation. In this study the data was insufficient to determine the effective population size, and its relation to the census population size (*i.e.* estimated total biomass) of the two stocks. The effective population size may, however, be one of the causes of weak differentiation in this area.

The southern African region, separating the Indian and Atlantic oceans, is in the 30-40°S latitudinal range, an area suitable for tropical and temperate tunas and for billfish to inhabit. Weak differentiation at regional geographical scales in this area is therefore not surprising as these species have wide environmental parameter limits that extend across this area.

Ocean currents are a predominant environmental factor influencing contemporary levels of gene flow between populations, especially in species with pelagic eggs or larvae, or in species with highly migratory adults (Magoulas *et al.*, 2006; Tzeng, 2007; Zhan *et al.*, 2010). The presence of the Benguela Current and the Agulhas Current, may be a type of geographical barrier that influences swordfish distribution patterns directly (*e.g.* the Agulhas Current and Agulhas Current Retroflection directing the swimming behaviour) or indirectly (*e.g.* influencing the availability of food and creating suitable water temperatures that will attract swordfish). The Agulhas Current is part of the subtropical Indian Ocean gyre (STIOG) and transports about 70–78 Sv (1 Sv = $10^6 \text{ m}^3 \text{ s}^{-1}$) of tropical and subtropical waters along the eastern margin of southern Africa (Lutjeharms, 2007). At the southern tip of Africa, between 16°E and 20°E , the current retroflects with the majority of its waters flowing back into the Indian Ocean as the Agulhas Return Current (Feron *et al.*, 1992). Only a relatively small proportion of the Agulhas Current's warm and salty waters, approximately 2–15 Sv, are transported into the South Atlantic through the Indian-Atlantic Ocean Gateway *via* the Agulhas leakage (de Ruijter *et al.*, 1999; Richardson, 2007). Backeberg *et al.* (2012) suggested that intensified Indian Ocean winds cause enhanced mesoscale variability of the Agulhas Current system, potentially resulting in an increase in Agulhas leakage. Simon *et al.* (2013) concluded that variability in the upstream Agulhas Current hydrography is strongly linked to the dynamics of the Agulhas Return Current and strength of the Southwest Indian Ocean subtropical gyre (SWIOSG) and that downstream variability in the leakage area (Atlantic sector) at least partly reflects regional variations of the Agulhas Current as a whole. Surface speeds of the Agulhas Current (AC) may be in excess of 2 m/s (Lutjeharms, 2007). Donohue *et al.* (2000) showed that on occasion the current extends to the bottom whereas on other occasions its vertical penetration was only to a depth of 2300 m. Despite the intense jet-like features of the Agulhas Current, the swordfish have been able to traverse this current from the Atlantic into the Indian Ocean.

In a study by West *et al.* (2012), the horizontal movement patterns of swordfish tagged in 2011 supports the notion that movement is independent of the current and demonstrate that the apparent boundary between the Atlantic and Indian oceans is not insurmountable by this species. The study observed one particular individual that was tagged at 18°E and crossed the 20°E longitude twice, returning to the Atlantic Ocean after swimming into the Indian Ocean as far as 33°E.

Muths *et al.* (2013), with samples of swordfish collected in 2009, concluded that based on the results of mtDNA and microsatellite markers, "the boundary between Atlantic and Indian swordfish populations was not so strict and might be more considered as a transition zone between 17°E and 23°E east that is spatio-temporarily driven by the Agulhas Current activity. The results of this study and of Muths *et al.* (2013) indicate admixture or a transition zone in the south in two time periods that are four years apart.

Evidence of mixed stocks of swordfish has been alluded to by Viñas *et al.* (2007) in the North Atlantic-Mediterranean Sea region, concluding that the Mediterranean stock extends beyond the Strait of Gibraltar to at least 10°W and mixes with the North Atlantic stock. The possibility that the Atlantic-Mediterranean Sea transition is a phylogeographic break (Patarenello *et al.*, 2007) does not beg consideration in the Indian-Atlantic Ocean region. Similarly, the North Atlantic-South Atlantic stocks are two oceanic gyres separated only by the equator. Chow *et al.* (2002) concluded a boundary zone between the two stocks at around 10°N to 2°N and Chow *et al.* (2007) conducted further studies confirming that the boundary between the North Atlantic and South Atlantic at 5°N should be reconsidered. More recently, Smith *et al.* (2015) sampled the North Atlantic, South Atlantic and Mediterranean Sea extensively to study genetic differentiation of 774 individuals, indicating admixture zones in the North Atlantic and suggesting too that the 5°N management boundary extend to 20°N-25°N from 45°W. It seems that swordfish stock structure between oceans is more complex than the management boundaries indicate.

The weak differentiation observed in the current study may also reflect their very recent divergence along with presumably large effective population sizes (Benestan *et al.*, 2015). The amount of time since divergence may be insufficient for a significant genetic structure to be revealed by microsatellites. Significant levels of differentiation at neutral loci may be realized only at the largest geographical scales (O'Reilly *et al.*, 2004).

Despite the poor quality of the degraded DNA samples, with resultant smaller sampling size, and the fact that the sampling is now somewhat dated, the results of this study provided evidence for admixture of swordfish between the boundary of the Indian and Atlantic oceans. There is evidence of gene flow and migration in this area in both directions, though the evidence for weak differentiation suggests that the Indian Ocean and Atlantic Ocean contain separate stocks and that swordfish stocks coexist around South Africa but return to their ocean of origin to reproduce. Although the results of this study indicate weak differentiation, it provides preliminary rather than conclusive evidence of admixed stocks around South Africa between, but not exclusive to, 14°E to 27°E. It is, however, sufficient to motivate for the two tuna Regional Fisheries Management Organisations (tRFMOs), ICCAT and IOTC, to begin to reconsider how this stock is managed between the two oceans.

Further investigations into temporal and sex-biased genetic differentiation are needed, including the ecological determinants that drive this differentiation (*e.g.* sea temperature, currents, salinity, food availability) (Banks *et al.*, 2007; Selkoe *et al.*, 2010; White *et al.*, 2010; Benestan *et al.*, 2015). The use of modern markers and more comprehensive sampling across this inter-oceanic boundary integrated with local ecological investigations could help to shed more light on the exact ecological process in shaping genetic population structure of swordfish (Benestan *et al.*, 2015). Utilising large numbers of SNP markers could improve regional population structure delineation and population assignment success in a context of weak genetic structure (Benestan *et al.*, 2015), and this marker could therefore also be considered in future studies in this region.

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APPENDIX A

Table 14. A summary of population genetics studies conducted with various markers on large pelagic species and other fish species globally.

Species	Paper	Marker	Area	Species	Paper	Marker	Area
	Alvarado Bremer et al., 1995	mtDNA	Atlantic, Pacific, Mediterranean		Aguila et al., 2015	Microsatellite	Pacific
	Alvarado Bremer et al., 1996	mtDNA	Atlantic, Pacific, Mediterranean		Dammannagoda et al., 2008	mtDNA, microsatellite	Indian
	Alvarado Bremer et al., 1998	mtDNA	Atlantic, Pacific, Indian		Farnham, 2003	mtDNA, microsatellite	Atlantic
	Alvarado Bremer et al., 2005	mtDNA	Atlantic, Pacific, Indian, Mediterranean	Vollowfin tuna	Kunal <i>et al.,</i> 2013	mtDNA	Indian
	Alvarado Bremer et al., 2006	Nuclear	Pacific	renowini tuna	Li <i>et al.,</i> 2015 (1)	mtDNA	Pacific
	Alvarado Bremer et al., 2007	Nuclear	Atlantic, Indian		Nomura <i>et al.,</i> 2014	mtDNA, microsatellite	Pacific
	Chow and Takeyama, 2000	mtDNA, nuclear	Atlantic, Pacific, Indian, Mediterranean		Ward et al., 1997	Protein, mtDNA	Atlantic, Pacific, Indian
	Chow et al., 1997	mtDNA	Atlantic, Pacific, Indian, Mediterranean		Wu et al. 2010	mtDNA	Pacific, Indian
	Chow et al., 2002	Nuclear	Atlantic		Appleyard et al., 2002	mtDNA, microsatellite	Indian
	Chow <i>et al.,</i> 2007	SNP	North and South Atlantic		Chiang et al., 2006	mtDNA	Pacific
	Garcia et al., 2011	mtDNA	Atlantic		Chiang et al., 2008	mtDNA	Indian
	Greig <i>et al.</i> , 1999	mtDNA, nuclear	Atlantic, North Pacific, Mediterranean	Bigeye tuna	Chow et al., 2000	mtDNA	Atlantic, Pacific, Indian
	Grivalja-Chon et al., 1994	mtDNA	North Pacific		Durand et al., 2005	mtDNA, nuclear	Atlantic, Pacific, Indian
	Kasapidis et al., 2006	Microsatellite	Atlantic		Gonzalez et al., 2008	Microsatellite	Atlantic, Pacific, Indian
	Kasapidis et al., 2008	Microsatellite Pacific			Wu et al., 2014	mtDNA	Pacific
Swordfich	Kotoulas <i>et al.,</i> 2003	Microsatellite	Atlantic, Mediterranean		Davies et al., 2011	Microsatellite	Atlantic, Mediterranean
Sworatish	Kotoulas <i>et al.,</i> 2006	Microsatellite	North and South Atlantic, southwest Pacific, southeast Indian and Mediterranean		Laconcha <i>et al.,</i> 2015	SNP	Atlantic, Pacific, Indian, Mediterranean
	Lu et al., 2006	mtDNA	West Pacific, Indian	Albacore tuna	Montes et al., 2012	Microsatellite	Atlantic, Pacific, Indian, Mediterranean
	Magoulas et al., 1993	mtDNA	Northeast Atlantic, Mediterranean		Nakadate et al., 2005	mtDNA, nuclear	Atlantic, Mediterranean
	Muths <i>et al.,</i> 2009	mtDNA, microsatellite	Indian		Pujolar et al., 2003	Protein	Atlantic, Mediterranean
	Muths <i>et al.,</i> 2013	mtDNA, microsatellite	Indian		Takagi <i>et al.,</i> 2001	Microsatellite	Atlantic, Pacific
	Patarnello et al., 2007	Review of previous studies	North Atlantic, Mediterranean	Southern bluefin tun	Wu et al., 2009	mtDNA	Pacific
	Pla et al., 1998	mtDNA	Mediterranean		Grewe, 1997	mtDNA, microsatellite	Pacific
	Reeb et al., 2000	mtDNA	Pacific		Albaina et al., 2013	SNP	Atlantic, Pacific, Indian, Mediterranean
	Rosel and Block, 1996	mtDNA	Atlantic, Pacific, Mediterranean	Atlantic Pluofin tuna	Boustany et al., 2008	mtDNA	Atlantic, Mediterranean
	Smith and Alvarado Bremer, 2010	SNP, protein	Atlantic, Mediterranean	Atlantic Biuerin tuna	Carlsson <i>et al.,</i> 2004	mtDNA, microsatellite	Mediterranean
	Smith <i>et al.,</i> 2015	SNP	Atlantic		Clark et al., 2004	Microsatellite	Atlantic
	Vinas <i>et al.,</i> 1998	Protein, mtDNA	Mediterranean		Riccioni et al., 2013	Microsatellite	Mediterranean
	Vinas <i>et al.,</i> 2006	mtDNA	North Atlantic, Mediterranean	Skipjack tuna	Viñas et al., 2011	mtDNA, microsatellite	Mediterranean
	Vinas et al., 2010	mtDNA	Mediterranean		Dammannagoda et al., 2011	mtDNA, microsatellite	Indian
	Ward et al., 2001	mtDNA, microsatellite	Pacific, Indian	Other fish species	Schrey and Heist, 2003	Microsatellite	Atlantic, Pacific, Indian
	Graves and McDowell, 2001	mtDNA, nuclear, microsatell	Atlantic		Beacham et al., 1999	Microsatellite	Southern British Colombia
	Graves and McDowell, 2006	mtDNA, microsatellite	Atlantic		Beacham et al., 2002	Microsatellite	Newfounland, Labrador
Marlins	McDowell and Graves, 2008	mtDNA, microsatellite	Pacific		Feldheim <i>et al.,</i> 2001	Microsatellite	Western Atlantic
	Purcell et al., 2011	mtDNA, microsatellite	Pacific		Schrey and Heist, 2007	Microsatellite	Missouri, Mississippi and Atchafalaya Rivers
	Sorenson et al., 2013	mtDNA, microsatellite	Atlantic, Pacific		Shaw <i>et al.,</i> 1999	Microsatellite	Atlantic, Pacific

APPENDIX B

Table 15. Allele frequencies per locus for East (>20°E) and West (<20E°).

	Alleles	212	216	220	224	228	232	236]																			
A8	East (>20°E)	0.003	0.003	0.04	0.857	0.079	0.016	0.003																				
	West (<20°E)	0	0	0.045	0.881	0.03	0.037	0.007																				
	Alleles	263	267	271	275	279	283	293																				
Α7	East (>20°E)	0.02	0.705	0.094	0. 122	0.031	0.026	0.003																				
	West (<20°E)	0.024	0.734	0.081	0. 153	0.008	0	0																				
	Alleles	196	210	214	218	222	226	230																				
A113	East (>20°E)	0.003	0. 116	0.077	0.082	0.54	0. 173	0.009																				
	West (<20°E)	0	0. 169	0. 115	0.054	0.538	0. 123	0																				
	Alleles	236	240	244	248	252	256	260																				
B6	East (>20°E)	0.023	0.013	0.019	0.629	0.061	0. 226	0.029																				
	West (<20°E)	0.016	0	0.032	0.573	0. 113	0. 258	0.008											_									
	Alleles	161	165	169	173	177	181	185	189	193	197	201	205	209	213	217	225	229										
B108	East (>20°E)	0.006	0. 111	0.017	0.008	0.036	0.003	0.019	0. 133	0.381	0.05	0. 164	0.006	0.014	0.019	0.028	0	0.006										
	West (<20°E)	0	0. 104	0	0.052	0	0.007	0.015	0. 164	0.328	0.082	0. 187	0.007	0.015	0	0.03	0.007	0										
	Alleles	188	192	196	200	204	208	212	216	220	224	228	232	236	240	244	248											
B112	East (>20°E)	0	0.006	0.006	0	0.09	0.085	0. 144	0. 138	0. 189	0. 121	0. 144	0.048	0.011	0.008	0	0.008											
	West (<20°E)	0.008	0	0.025	0.008	0. 107	0.082	0. 172	0. 164	0. 189	0.074	0. 115	0.049	0	0	0.008	0											
	Alleles	143	147	151	155	159	163	167	171	175	179	183	187	191	195	199	203	207	211	215	219	223	227	231	235	239	251	255
<i>C</i> 8	East (>20°E)	0.012	0	0.006	0.054	0.03	0.048	0.057	0. 131	0.077	0.11	0.068	0.068	0.08	0.054	0.039	0.045	0.036	0.009	0.003	0.018	0.018	0.012	0.009	0.012	0.003	0.003	0
	West (<20°E)	0	0.008	0.023	0.039	0.031	0.062	0.039	0. 141	0.047	0.102	0.07	0. 102	0.062	0.047	0.039	0.039	0.016	0.031	0.031	0.031	0	0.008	0	0.016	0.008	0	0.008
C10	Alleles	183	187	191	195	199	203	207	211	215	219	223	227	231	235	239	243	247	251	255	267	271	279					

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	East (>20°E)	0.003	0.009	0.021	0.034	0.034	0.043	0.046	0. 181	0.11	0.132	0. 107	0.058	0.074	0.071	0.04	0.021	0.006	0	0.003	0	0.003	0.003
	West (<20°E)	0.008	0.008	0.033	0.041	0.025	0.057	0. 115	0. 156	0.115	0.09	0.115	0.057	0.041	0.041	0.041	0.016	0.016	0.008	0.008	0.008	0	0
	Alleles	147	155	159	163	167	171	175	179	183	187	191											
D2B	East (>20°E)	0.003	0.017	0.006	0.097	0. 287	0.34	0. 171	0.052	0.014	0.011	0.003											
	West (<20°E)	0	0	0	0. 101	0. 283	0.348	0. 167	0.087	0.007	0.007	0											
	Alleles	111	114	120	123	126	129	132	135	138	141	144											
Xg166	East (>20°E)	0.006	0.006	0.022	0.003	0, 157	0.091	0.569	0.088	0.033	0.022	0.003											
	West (<20°E)	0	0	0.031	0	0.115	0, 108	0.523	0, 192	0.015	0.015	0											
	Alleles	110	119	125	128	131	134	137	140	152	155	158	161	164	167	170							
Xg144	East (>20°E)	0.003	0.009	0.032	0.019	0.098	0.013	0.006	0.003	0.003	0.019	0.351	0.351	0.082	0.006	0.003							
	West (<20°E)	0.018	0.088	0.009	0.018	0.061	0	0	0	0	0.009	0.342	0.281	0.158	0.018	0							