Historical standardized CPUEs of seven shark species in the Indian Ocean with preliminary catch estimation

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Abstract

We used an historical longline survey from 1966 to 1989 in the Indian Ocean basin to calculate standardized CPUEs for the blue shark (*Prionace glauca*), silky shark (*Carcharhinus falciformis*), tiger shark (*Galeocerdo cuvier*), silvertip shark (*C. albimarginatus*), sandbar shark (*C. plumbeus*), oceanic whitetip (*C. longimanus*), and shortfin mako (*Isurus oxyrinchus*), as well as the genera *Sphyrna, Alopias, Isurus,* and *Carcharhinus.* Twelve other shark species were recorded in the survey, but were not caught frequently enough to create standardized CPUEs. We use the standardized CPUEs of the blue shark to estimate catches by the Taiwanese longline fleet from 1977 - 1989. These CPUEs represent an important basin-wide baseline for shark abundance at the start of industrialization of Indian Ocean fisheries. We also demonstrate how they can be used in combination with effort data to generate catches for use in stock assessment models. Additionally, we present standardized CPUEs for the porbeagle (*Lamna nasus*) derived from the IOTC's publicly available catch and effort data.

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Introduction

Having received attention in fisheries management and conservation only in recent decades (Ferretti, Worm, Britten, Heithaus, & Lotze, 2010), shark management continues to suffer from a lack of historical and species-specific data. Sharks are caught throughout a vast and difficult-to-manage seascape, and can be difficult to identify to the species level even when fisheries monitoring efforts are put into place. As a result, shark populations are largely not managed at levels that ensure sustainable exploitation (Davidson, Krawchuk, & Dulvy, 2016; Dulvy et al., 2017).

Further, the Indian Ocean is one of the least studied ocean sectors for shark exploitation and bycatch (Molina & Cooke, 2012), despite being bordered by four of the top ten shark-fishing countries border the Indian Ocean (Brautigam, 2020). A recent synthesis of global oceanic shark trends (Pacoureau et al., 2021) had large taxonomic and spatial gaps in the Indian Ocean, as it relied heavily on spatially limited datasets from South Africa and Western Australia. The countries bordering the Indian Ocean are home to a third of the world's population and are especially reliant on their fisheries, as many are developing nations that depend on seafood as a primary source of protein (Roy, 2019). Sustainable fisheries management in the Indian Ocean is thus imperative to achieving nutrition security and food justice as well.

We utilize data from a scientific longline survey conducted throughout the region from 1966 to 1989 to reconstruct trends of shark abundance (Fig. 1). The historical longline survey was conducted by USSR scientists using gear targeting tuna (Romanov, Sakagawa, Marsac, & Romanova, 2006), making the data comparable to that recorded by modern commercial longline fleets reporting to the IOTC. Pelagic shark stocks in the Indian Ocean were thought to be near-pristine in 1971, five years after the start of the USSR survey (Brunel et al., 2018). While Japan and Taiwan started exploiting these waters in a limited capacity shortly before the sur-

vey began, the USSR survey period covers twenty other nations joining the longline fishery (Fonteneau, 2017), along with significant improvements in longline gear and refrigeration technology (Ward & Hindmarsh, 2007), the introduction of industrial purse seining (Fonteneau, 2017), and the start of direct targeting of sharks due to increased global demand for shark fins (Camhi, Valenti, Fordham, Fowler, & Gibson, 2009; Fabinyi, 2012). Thus, the USSR survey reflects shark populations at pre-industrial fishing levels and their responses to large-scale fishing pressure.

Methods

Datasets used

USSR survey data

We estimated historical catch rates from a scientific longline survey carried out by the former Soviet Union (USSR). Longline sets (n = 4,678) were cast throughout the Indian Ocean between 1961 and 1989 as part of the Soviet Indian Ocean Tuna Longline Research Programme (SIOTLLRP) (Romanov et al., 2006). Scientists aboard the ship identified the sharks to species or genus level. We discarded data collected prior to 1966 due to concerns with the reliability of species identification.

SIOTLLRP surveyors recorded for each longline set: date, latitude, longitude, start and end of longline setting and hauling, number of hooks set, basket length, buoyrope length, hookline length, number of baskets, number of hooks per basket, estimated depth of each hook in a basket, and hook number for each capture (Romanov et al., 2006). From these, we derived: soak time, haul time, mean number of hooks per basket, ocean depth, distance from coast, and Longhurst biogeographical province (Bart, 2012), using the "marmap" package to calculate depth and distance to the nearest coast (Pante & Simon-Bouhet, 2013). Month was input into the model as the sum of a sine and cosine transform to linearize the cyclical nature of the seasons

(Ferretti, Osio, Jenkins, Rosenberg, & Lotze, 2013). This yielded 14 explanatory variables for shark abundance, which we tested for collinearity using the variance inflation factor (VIF) (Faraway, 2016).



Fig. 1. USSR survey effort by number of hooks (2° by 2° resolution). Purple dots are locations of longline sets.

IOTC data

We used effort data from the Indian Ocean Tuna Commission's (IOTC's) publicly available catch and effort database (Commission, 2021).

CPUE standardization

We used a frequentist statistical modeling approach to produce standardized CPUEs (individuals per 1000 hooks deployed) from the USSR data. Our modeling approach consisted of three stages: statistical distribution and model framework selection, variable selection, and simulation. We used the blue shark as a model species owing to its status as the most abundant species in the dataset (n = 1,156) and one of the most commonly caught shark species in the Indian Ocean (Tsai & Liu, 2018). We used the blue shark catch data to choose a statistical distribution and model framework to use for all species, but variable selection and simulation were performed for each species for which we produced standardized CPUEs. We discarded species that were caught in fewer than three years.

We considered 14 statistical distributions and modeling frameworks commonly used in the literature for CPUE standardization (Table 1). We selected the zero-inflated negative binomial (ZINB) generalized additive model (GAM) based on its low Akaike information criterion (AIC) value relative to other models (Table 1) (Akaike, 1998) and the ability of GAMs to model non-linear trends in the data.

Table 1. AIC values of candidate models for catch rate standardization. Selected statistical distribution and model framework is in bold.

| Model | AIC | R function |
|--------------------------------------|------------------|------------|
| Poisson GLM | 9066.62 | glm() |
| Negative binomial GLM | 7124.69 | glm.nb() |
| Zero-inflated Poisson GLM | 8488.14 | zeroinfl() |
| Zero-inflated negative binomial GLM | 7013.7 | zeroinfl() |
| Poisson GAM | 9066.62 | gam() |
| Negative binomial GAM | 7120.81 | gam() |
| Zero-inflated Poisson GAM | 8171.72 | zipgam() |
| Zero-inflated negative binomial GAM | 7034.77 | zinbgam() |
| Zero-inflated Poisson GLMM | Did not converge | glmmTMB() |
| Zero-inflated negative binomial GLMM | Did not converge | glmmTMB() |
| Tweedie GLM | Did not converge | glm() |
| Tweedie GAM | 7837.2 | gam() |
| Tweedie GLMM | Did not converge | glmmTMB() |
| Delta-lognormal | 7424.85 | deltaLN() |

The ZINB GAM is a mixture model with two component models: a negative binomial GAM predicting counts and a binomial GAM predicting the probability of a false zero. We used the "zigam" package in R to fit ZINB GAM models (Wotherspoon & Burch, 2017). We modified the package's source code to produce confidence intervals for predicted values using a Monte Carlo approach (Preacher & Selig, 2012) (appendix).

For variable selection, we followed Babyak's (Babyak, 2004) rule of having at least 10 nonzero counts in the data for each variable. To select variables under this limit, we conducted variable selection in two steps, first permuting the variables to find which produced the best models, and then determining whether any of those variables could be dropped from a preliminary model. For the first step, we tried all possible combinations of the 14 candidate variables in a process known as dredging (Barton, 2020). We tested the component models of the ZINB GAM separately and used GLMs because of the computationally expensive nature of dredging. Variables appearing in every model in the 95th percentile confidence set of model performance were then considered for their respective component of the ZINB GAM model. In our second step, to reduce the risk of overparameterization, we tested the negative binomial and binomial GAMs to see if any variables could be removed without significant (> 1%) loss of % deviance explained.

In our final step of model development, we performed simulations to test the statistical power of our ZINB GAM and its ability to capture the underlying biological processes in the data. We generated simulated counts for the survey data using the ZINB distribution from the model 100 times. A new model was fit to each simulated dataset and the coefficients of the variables recorded. We plotted a histogram of each coefficient for each variable and examined the distribution for approximate normality. If the coefficients were not centered on the estimate generated from the real data, we concluded that the model did not successfully capture the process of the data. In cases where this was true, we added or removed variables until the

coefficient distributions were centered and approximately normal.

To produce standardized CPUEs for a genus, we aggregated the catches of all species in the genus and added them the catch numbers only identified to genus level. Due to our threshold of having 10 positive counts per variable and the fact that year in the USSR dataset has 24 levels when treated as a factor, we only produced standardized CPUE series for species that were caught at least 250 separate times. For all other species, we only produced a model with year treated as a continuous variable. We used these models to quantify the trajectory of the species over time using the estimated effect of year on abundance. When reporting the year effect estimates, we used only the parameter estimate of the year effect for the NB model, since this was assumed to be proportional to population abundance.

We followed the same steps to produce standardized CPUEs for the porbeagle from the IOTC's catch-effort data (Commission, 2021). South Korea and Japan were the two fleets who report their effort in hooks who also reported porbeagle catches. Since the data are reported on a grid, we used the average depth and distance to coast of the grid cell. To derive a trend from the two sets of CPUEs, we used a linear mixed-effects (LME) model with fleet as a random effect (Pinheiro, Bates, DebRoy, Sarkar, & R Core Team, 2018).

Catch estimation

Using the blue shark as a model species, we followed a procedure similar to (Shea, Gallagher, Bomgardner, & Ferretti, 2023)'s Monte Carlo method of estimating shark catches from standardized CPUEs and fishing effort data. We used Taiwan's fishing effort data from the IOTC's catch and effort database because it had the greatest temporal overlap with the USSR survey. In the database, Taiwan's fishing effort is reported on a 5° by 5° grid. We first randomly sampled a point from each grid cell at a 1° by 1° resolution. We used this point to predict a CPUE using the model we developed from the USSR survey data. We multiplied this CPUE by the number of hooks deployed in the grid cell to give an estimate of sharks caught, and totaled these catches for each year. We repeated this process 1,000 times, deriving a catch estimate from the median catch each year, and a confidence interval from the 2.5-th and 97.5-th percentiles.

Results

Standardized abundance trends

Seven species and four genera were caught frequently enough in the USSR survey to produce a standardized catch per unit effort (CPUE) time-series.

Blue shark

Figure 2 and Table 2 show the standardized CPUEs for blue sharks from the USSR survey. Residual plots can be found in the appendix.



Fig. 2. Standardized CPUEs of the blue shark with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1966 | 4.883 | 2.9506 | 8.1605 |
| 1967 | 0.3036 | 0.144 | 0.6311 |
| 1968 | 0.5181 | 0.3211 | 0.825 |
| 1969 | 2.8179 | 2.0372 | 3.9215 |
| 1970 | 1.6027 | 1.2097 | 2.1377 |
| 1971 | 0.3798 | 0.1687 | 0.8696 |
| 1972 | 0.6605 | 0.5077 | 0.8641 |
| 1973 | 0.6179 | 0.3789 | 1.0185 |
| 1974 | 0.2771 | 0.074 | 1.0146 |
| 1975 | 0.6294 | 0.2532 | 1.3667 |
| 1976 | 0.6773 | 0.4965 | 0.9305 |
| 1977 | 0.5595 | 0.4524 | 0.6959 |
| 1978 | 0.6644 | 0.5596 | 0.7926 |
| 1979 | 1.017 | 0.8361 | 1.2409 |
| 1980 | 0.8922 | 0.693 | 1.1553 |
| 1981 | 0.8341 | 0.6078 | 1.1518 |
| 1982 | 0.1531 | 0.0567 | 0.4219 |
| 1983 | 1.8621 | 1.4973 | 2.3268 |
| 1984 | 1.299 | 0.9458 | 1.7962 |
| 1985 | 0.8914 | 0.6993 | 1.143 |
| 1986 | 0.3122 | 0.187 | 0.5269 |
| 1987 | 0.4795 | 0.2974 | 0.7779 |
| 1988 | 0.2312 | 0.0615 | 0.7903 |
| 1989 | 0.4122 | 0.1963 | 0.8628 |

 Table 2. Standardized CPUEs of the blue shark with 95% confidence interval bounds.

Silky shark

Figure 3 and Table 3 show the standardized CPUEs for silky sharks from the USSR survey. Residual plots can be found in the appendix.



Fig. 3. Standardized CPUEs of the silky shark with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1969 | 0.2535 | 0.1376 | 0.474 |
| 1970 | 0.0187 | 0.0077 | 0.0462 |
| 1971 | 0.0103 | 0.0026 | 0.0414 |
| 1977 | 0.0646 | 0.0257 | 0.1657 |
| 1978 | 0.3861 | 0.2654 | 0.5647 |
| 1979 | 0.3536 | 0.2355 | 0.5359 |
| 1980 | 0.2085 | 0.0886 | 0.4903 |
| 1981 | 0.7271 | 0.4709 | 1.1348 |
| 1982 | 0.9021 | 0.2653 | 3.0026 |
| 1983 | 1.4695 | 0.975 | 2.23 |
| 1984 | 0.2719 | 0.0888 | 0.8304 |
| 1985 | 2.1329 | 1.3978 | 3.2772 |
| 1986 | 0.6759 | 0.3331 | 1.379 |
| 1987 | 0.5241 | 0.3263 | 0.8505 |

Table 3. Standardized CPUEs of the silky shark with 95% confidence interval bounds.

Oceanic whitetip shark

Figure 4 and Table 4 show the standardized CPUEs for oceanic whitetips from the USSR survey. Residual plots can be found in the appendix.



Fig. 4. Standardized CPUEs of the oceanic whitetip with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1966 | 0.8366 | 0.5405 | 1.2794 |
| 1967 | 0.0672 | 0.0273 | 0.1677 |
| 1968 | 0.5632 | 0.2791 | 1.1227 |
| 1969 | 0.6097 | 0.3217 | 1.1447 |
| 1970 | 0.2245 | 0.1293 | 0.3896 |
| 1971 | 3.0858 | 1.2431 | 7.3717 |
| 1972 | 0.2554 | 0.1668 | 0.3906 |
| 1973 | 0.2571 | 0.0849 | 0.7811 |
| 1976 | 0.4523 | 0.1685 | 1.2096 |
| 1977 | 0.4915 | 0.3165 | 0.7692 |
| 1978 | 0.5908 | 0.4539 | 0.7737 |
| 1979 | 1.1877 | 0.8373 | 1.6741 |
| 1980 | 0.2676 | 0.1623 | 0.4458 |
| 1981 | 0.3218 | 0.1762 | 0.5905 |
| 1983 | 0.3626 | 0.2283 | 0.5825 |
| 1984 | 0.5098 | 0.319 | 0.8143 |
| 1985 | 0.5058 | 0.3282 | 0.7833 |
| 1986 | 0.5549 | 0.266 | 1.1444 |
| 1987 | 0.7074 | 0.4372 | 1.1473 |
| 1988 | 0.4166 | 0.1093 | 1.4751 |
| 1989 | 0.492 | 0.1586 | 1.506 |

 Table 4. Standardized CPUEs of the oceanic whitetip with 95% confidence interval bounds.

Shortfin mako shark

Figure 5 and Table 5 show the standardized CPUEs for shortfin makos from the USSR survey. Residual plots can be found in the appendix.



Fig. 5. Standardized CPUEs of the shortfin make with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1967 | 0.2297 | 0.1427 | 0.3723 |
| 1968 | 0.9161 | 0.5653 | 1.4594 |
| 1969 | 1.1435 | 0.8057 | 1.6258 |
| 1970 | 0.4484 | 0.3183 | 0.6307 |
| 1971 | 0.3073 | 0.1448 | 0.659 |
| 1972 | 0.3208 | 0.2333 | 0.4431 |
| 1973 | 0.4752 | 0.2061 | 1.0729 |
| 1976 | 0.8777 | 0.531 | 1.4612 |
| 1977 | 0.6973 | 0.5274 | 0.9226 |
| 1978 | 0.4942 | 0.39 | 0.6268 |
| 1979 | 0.3859 | 0.281 | 0.529 |
| 1980 | 0.562 | 0.4394 | 0.7216 |
| 1981 | 0.3432 | 0.2177 | 0.5405 |
| 1982 | 0.1098 | 0.0344 | 0.3572 |
| 1983 | 0.4911 | 0.3437 | 0.6988 |
| 1984 | 0.511 | 0.359 | 0.7305 |
| 1985 | 0.4847 | 0.3653 | 0.6461 |
| 1986 | 0.3423 | 0.2145 | 0.5495 |
| 1987 | 0.2987 | 0.1739 | 0.5157 |
| 1988 | 0.6579 | 0.2429 | 1.6862 |
| 1989 | 0.113 | 0.0341 | 0.3839 |

 Table 5. Standardized CPUEs of the shortfin mako with 95% confidence interval bounds.

Tiger shark

Figure 6 and Table 6 show the standardized CPUEs for tiger sharks from the USSR survey. Residual plots can be found in the appendix.



Fig. 6. Standardized CPUEs of the tiger shark with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1967 | 0.0048 | 0.0016 | 0.0141 |
| 1969 | 0.0538 | 0.0194 | 0.153 |
| 1970 | 0.0206 | 0.0073 | 0.0579 |
| 1971 | 0.0597 | 0.0274 | 0.1286 |
| 1972 | 0.0492 | 0.0222 | 0.1082 |
| 1974 | 0.4033 | 0.1402 | 1.126 |
| 1977 | 0.0839 | 0.0508 | 0.1377 |
| 1978 | 0.1067 | 0.0683 | 0.1665 |
| 1979 | 0.1601 | 0.1049 | 0.2434 |
| 1980 | 0.2949 | 0.1718 | 0.5 |
| 1981 | 0.1132 | 0.0509 | 0.2487 |
| 1983 | 0.1812 | 0.0883 | 0.3693 |
| 1984 | 0.1169 | 0.0542 | 0.2519 |
| 1985 | 0.2769 | 0.1662 | 0.4581 |
| 1986 | 0.1206 | 0.0463 | 0.3092 |
| 1987 | 0.2264 | 0.0927 | 0.5426 |

 Table 6. Standardized CPUEs of the tiger shark with 95% confidence interval bounds.

Silvertip shark

Figure 7 and Table 7 show the standardized CPUEs for silvertip sharks from the USSR survey. Residual plots can be found in the appendix.



Fig. 7. Standardized CPUEs of the silvertip shark with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1970 | 0.1387 | 0.0375 | 0.5141 |
| 1971 | 4.6186 | 2.6866 | 7.5329 |
| 1972 | 2.4157 | 0.7654 | 7.5562 |
| 1974 | 6.9829 | 2.648 | 16.7831 |
| 1976 | 1.2583 | 0.3514 | 4.541 |
| 1977 | 2.4705 | 1.6177 | 3.7212 |
| 1978 | 0.9758 | 0.6573 | 1.4393 |
| 1979 | 0.7895 | 0.5097 | 1.2039 |
| 1980 | 2.9091 | 1.7685 | 4.7537 |
| 1981 | 0.7917 | 0.4188 | 1.4773 |
| 1982 | 2.5065 | 0.7502 | 7.928 |
| 1983 | 2.7115 | 1.2776 | 5.6831 |
| 1984 | 1.4916 | 0.6433 | 3.3757 |
| 1985 | 1.9546 | 1.1778 | 3.2143 |
| 1986 | 1.4932 | 0.4938 | 4.3667 |

 Table 7. Standardized CPUEs of the silvertip shark with 95% confidence interval bounds.

Sandbar shark

Figure 8 and Table 8 show the standardized CPUEs for sandbar sharks from the USSR survey. Residual plots can be found in the appendix.



Fig. 8. Standardized CPUEs of the sandbar shark with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1969 | 0.0108 | 0.0037 | 0.0317 |
| 1970 | 0.2246 | 0.0844 | 0.5881 |
| 1971 | 1.9634 | 1.0461 | 3.615 |
| 1974 | 1.9792 | 0.7123 | 4.8883 |
| 1977 | 0.9793 | 0.6727 | 1.4202 |
| 1978 | 0.3538 | 0.2429 | 0.5144 |
| 1979 | 0.0592 | 0.0336 | 0.1048 |
| 1980 | 0.1255 | 0.0579 | 0.27 |
| 1981 | 0.9972 | 0.5905 | 1.6615 |
| 1982 | 1.8395 | 0.9584 | 3.5035 |
| 1984 | 0.0904 | 0.02 | 0.3986 |
| 1985 | 0.4119 | 0.222 | 0.7556 |

 Table 8. Standardized CPUEs of the sandbar shark with 95% confidence interval bounds.

Sphyrna spp.

Figure 9 and Table 9 show the standardized CPUEs for the genus *Sphyrna* from the USSR survey. Residual plots can be found in the appendix.



Fig. 9. Standardized CPUEs of the Sphyrna spp. with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1966 | 0.443 | 0.2228 | 0.8901 |
| 1967 | 0.0322 | 0.0128 | 0.0808 |
| 1970 | 0.2365 | 0.1347 | 0.4109 |
| 1971 | 0.0667 | 0.0383 | 0.1145 |
| 1972 | 0.3673 | 0.2096 | 0.6397 |
| 1974 | 0.1876 | 0.0483 | 0.6684 |
| 1977 | 0.1082 | 0.073 | 0.1607 |
| 1978 | 0.1858 | 0.1361 | 0.2549 |
| 1979 | 0.1015 | 0.0702 | 0.1469 |
| 1980 | 0.1762 | 0.1058 | 0.2916 |
| 1981 | 0.3719 | 0.1996 | 0.6839 |
| 1983 | 0.0742 | 0.0298 | 0.1856 |
| 1984 | 0.0721 | 0.0301 | 0.1748 |
| 1985 | 0.2879 | 0.1862 | 0.4435 |
| 1986 | 0.0855 | 0.0259 | 0.2883 |
| 1987 | 0.078 | 0.027 | 0.2217 |

Table 9. Standardized CPUEs of the Sphyrna spp. with 95% confidence interval bounds.

Carcharhinus spp.

Figure 10 and Table 10 show the standardized CPUEs for the genus *Carcharhinus* from the USSR survey. Residual plots can be found in the appendix.



Fig. 10. Standardized CPUEs of the Carcharhinus spp. with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1966 | 2.1016 | 0 | 3.5344 |
| 1967 | 2.2975 | 1.6437 | 3.1963 |
| 1968 | 6.1245 | 4.5963 | 8.2043 |
| 1969 | 28.5637 | 21.7079 | 37.56 |
| 1970 | 2.5534 | 1.8739 | 3.4372 |
| 1971 | 3.4547 | 2.7553 | 4.3507 |
| 1972 | 4.4916 | 3.2884 | 6.055 |
| 1973 | 6.2885 | 3.772 | 10.5954 |
| 1974 | 7.6588 | 4.3543 | 13.5319 |
| 1976 | 4.5465 | 2.7489 | 7.5974 |
| 1977 | 5.5128 | 4.6895 | 6.5027 |
| 1978 | 4.5465 | 3.9339 | 5.2703 |
| 1979 | 4.5586 | 3.8441 | 5.4274 |
| 1980 | 9.8387 | 7.9479 | 12.1213 |
| 1981 | 4.9018 | 3.6936 | 6.5072 |
| 1982 | 15.7419 | 9.1029 | 26.3974 |
| 1983 | 6.4245 | 4.9406 | 8.3342 |
| 1984 | 3.0512 | 2.3529 | 3.9786 |
| 1985 | 6.2748 | 5.1513 | 7.6733 |
| 1986 | 3.3753 | 2.5361 | 4.5158 |
| 1987 | 2.2814 | 1.6579 | 3.1569 |
| 1988 | 3.7936 | 1.3663 | 10.592 |
| 1989 | 1.9007 | 0.9296 | 3.7969 |

Table 10. Standardized CPUEs of the *Carcharhinus* spp. with 95% confidence interval bounds.

Alopias spp.

Figure 11 and Table 11 show the standardized CPUEs for the genus *Alopias* from the USSR survey. Residual plots can be found in the appendix.



Fig. 11. Standardized CPUEs of the Alopias spp. with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1967 | 0.0873 | 0.0363 | 0.2096 |
| 1968 | 0.7507 | 0.3193 | 1.7458 |
| 1969 | 0.478 | 0.254 | 0.8843 |
| 1970 | 0.1363 | 0.0745 | 0.2505 |
| 1972 | 0.4156 | 0.233 | 0.7408 |
| 1973 | 0.0596 | 0.0153 | 0.2255 |
| 1976 | 0.0601 | 0.0189 | 0.1961 |
| 1977 | 0.0854 | 0.0531 | 0.139 |
| 1978 | 0.1035 | 0.0667 | 0.1619 |
| 1979 | 0.0731 | 0.0376 | 0.1412 |
| 1980 | 0.1577 | 0.1016 | 0.2444 |
| 1981 | 0.0624 | 0.0223 | 0.1736 |
| 1983 | 0.1621 | 0.098 | 0.27 |
| 1984 | 0.0629 | 0.0322 | 0.1244 |
| 1985 | 0.1339 | 0.0879 | 0.2061 |
| 1986 | 0.1666 | 0.0943 | 0.297 |
| 1987 | 0.0623 | 0.0207 | 0.191 |
| 1989 | 0.0498 | 0.0112 | 0.2288 |

 Table 11. Standardized CPUEs of the Alopias spp. with 95% confidence interval bounds.

Isurus spp.

Figure 12 and Table 12 show the standardized CPUEs for the genus *Isurus* from the USSR survey. Residual plots can be found in the appendix.



Fig. 12. Standardized CPUEs of the Isurus spp. with 95% confidence intervals.

| Year | Standardized CPUE | Lower Bound | Upper Bound |
|------|-------------------|-------------|-------------|
| 1966 | 0.7965 | 0.446 | 1.4347 |
| 1967 | 0.2787 | 0.1927 | 0.4059 |
| 1968 | 0.9363 | 0.5987 | 1.4397 |
| 1969 | 1.3096 | 0.9363 | 1.8356 |
| 1970 | 0.5434 | 0.4261 | 0.6969 |
| 1971 | 0.3581 | 0.1749 | 0.7446 |
| 1972 | 0.5836 | 0.452 | 0.7567 |
| 1973 | 0.4867 | 0.2186 | 1.0548 |
| 1976 | 1.0536 | 0.6228 | 1.7619 |
| 1977 | 0.9502 | 0.7318 | 1.2294 |
| 1978 | 0.5649 | 0.4474 | 0.712 |
| 1979 | 0.431 | 0.3144 | 0.5883 |
| 1980 | 0.6316 | 0.4998 | 0.801 |
| 1981 | 0.3313 | 0.2098 | 0.5195 |
| 1982 | 0.103 | 0.0319 | 0.3365 |
| 1983 | 0.5533 | 0.4002 | 0.761 |
| 1984 | 0.5141 | 0.3642 | 0.7284 |
| 1985 | 0.5123 | 0.3887 | 0.6774 |
| 1986 | 0.3548 | 0.2265 | 0.5583 |
| 1987 | 0.3023 | 0.179 | 0.5143 |
| 1988 | 0.6318 | 0.2076 | 1.7685 |
| 1989 | 0.218 | 0.0856 | 0.5652 |

 Table 12. Standardized CPUEs of the *Isurus* spp. with 95% confidence interval bounds.

Abundance trends

Twelve species were not caught often enough in the survey to generate standardized CPUEs. For all species, we took the count process as a proxy of abundance, and its instantaneous rate of change (IRC) over time (the model's year coefficient) as a proxy of the species' change in abundance over the survey period. Of the 19 total species, five had a significantly positive IRC in the count process, nine had significantly negative IRCs, and five had a non-significant IRC (Fig. 13). Four of the five species with positive IRCs were not commonly caught in the survey, except the silky shark. The porbeagle appears to have declined in abundance over the USSR survey, though not to a statistically significant extent.

The thresher shark *Alopias* and mako shark *Isurus* genera declined significantly over time. The two carcharhiniform genera, the requiem sharks *Carcharhinus* and hammerheads *Sphyrna*, had abundance trends that were not statistically significant.



Fig. 13. Change in abundance over time for all species and genera identified in the USSR survey.

Porbeagle

IOTC catch-effort data of the porbeagle are available from two fleets, South Korea and Japan, for the time period of 2009 through 2018. The catchability of the porbeagle appears to have declined significantly over this period.



Fig. 14. Trend over time in standardized CPUEs of the porbeagle, derived from IOTC catcheffort data.

Tables 13 and 14 list the standardized CPUEs from the South Korean and Japanese fleets,

respectively.

Table 13. log(Standardized CPUE) of the porbeagle derived from South Korea's catch and effort data in the IOTC database.

| Year | log(Standardized CPUE) | Lower Bound | Upper Bound |
|------|------------------------|-------------|-------------|
| 2012 | -7.9181 | -9.1856 | -6.6548 |
| 2013 | -6.5632 | -7.4237 | -5.6987 |
| 2014 | -4.2157 | -5.0201 | -3.4484 |
| 2015 | -8.5649 | -9.6622 | -7.4601 |
| 2016 | -9.1963 | -10.7368 | -7.6395 |
| 2017 | -9.8381 | -10.817 | -8.8646 |
| 2018 | -10.2091 | -11.6935 | -8.7091 |

Table 14. log(Standardized CPUE) of the porbeagle derived from Japan's catch and effort data in the IOTC database.

| Year | log(Standardized CPUE) | Lower Bound | Upper Bound |
|------|------------------------|-------------|-------------|
| 2009 | -5.1227 | -5.475 | -4.7771 |
| 2010 | -8.876 | -9.4392 | -8.3049 |
| 2011 | -10.2796 | -11.049 | -9.4978 |
| 2012 | -6.1036 | -6.6245 | -5.5762 |
| 2013 | -9.8866 | -10.6584 | -9.1034 |
| 2014 | -7.8704 | -8.5897 | -7.1571 |
| 2015 | -10.7741 | -11.7578 | -9.7794 |
| 2016 | -10.9899 | -12.0654 | -9.9021 |
| 2017 | -8.9067 | -9.6937 | -8.1108 |
| 2018 | -13.0292 | -14.4172 | -11.6249 |

Catch estimation

Table 15 shows the estimates catches of blue sharks by the Taiwanese longline fleet for 1977 - 1989.

| Year | Number of hooks | Estimate | 2.5 Percentile | 97.5 Percentile |
|------|-----------------|----------|----------------|-----------------|
| 1977 | 33,880,700 | 55,451 | 53,938 | 56,992 |
| 1978 | 36,647,400 | 87,634 | 85,476 | 89,611 |
| 1979 | 57,891,600 | 170,182 | 166,095 | 173,776 |
| 1980 | 60,096,020 | 113,108 | 111,339 | 115,028 |
| 1981 | 52,502,148 | 115,583 | 113,385 | 117,815 |
| 1982 | 79,779,449 | 38,036 | 37,212 | 38,786 |
| 1983 | 87,070,117 | 374,996 | 368,239 | 381,781 |
| 1984 | 82,531,240 | 307,166 | 299,589 | 315,086 |
| 1985 | 65,443,162 | 78,960 | 77,267 | 80,704 |
| 1986 | 86,358,796 | 41,616 | 40,876 | 42,406 |
| 1987 | 109,042,240 | 80,607 | 79,075 | 82,231 |
| 1988 | 123,571,979 | 44,828 | 43,757 | 45,949 |
| 1989 | 133,521,215 | 155,758 | 150,801 | 160,746 |

Table 15. Estimates of blue shark catch by the Taiwanese longline fleet with 95% confidence intervals.

Discussion

A USSR survey that spanned 24 years provided a rare record of shark initial abundances in the Indian Ocean and showed that initially species' trajectories were variable, experiencing both significant upwards and downwards trends. However, publicly available catch data for most of these species does not exist for more recent decades. Given the large shifts in Indian Ocean fishing that occurred in the latter part of the USSR survey, this leaves the current status of these species unknown. While this study provides an important first step in establishing a baseline, the current status of most species cannot be assessed because of a lack of specific data.

We demonstrated how the CPUEs we generated can be used to estimate catches given fleet effort data. Our hope is that these estimates can be used to expand the IOTC's suite of stock assessments for sharks, as the blue shark is currently the only one with a full stock assessment (IOTC, 2017). However, this effort is again limited by the availability of more recent specific data. Sharks are an essential part of ocean ecosystems (Heupel, Knip, Simpfendorfer, & Dulvy, 2014). Shark declines can cause trophic cascades (Myers, Baum, Shepherd, Powers, & Peterson, 2007; Baum & Worm, 2009), and make ecosystems more vulnerable to regime shifts (Möllmann & Diekmann, 2012). Their sustainable management will be increasingly important in an ocean facing climate change and biodiversity loss.

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Appendix



The residual plots for the ZINB GAM models of the USSR data are shown below.

Figure S1. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR blue shark data.



Figure S2. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR oceanic whitetip data.



Figure S3. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR sandbar shark data.



Figure S4. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR shortfin make data.



Figure S5. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR silky shark data.



Figure S6. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR silvertip shark data.



Figure S7. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR tiger shark data.



Figure S8. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR Thresher sharks data.



Figure S9. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR Requiem sharks data.



Figure S10. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR Mako sharks data.



Figure S11. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, (C) the zero process with year as a factor, (D) the whole model with year as a continuous variable, (E) the count process with continuous year, and (F) the zero process with continuous year for the USSR Hammerheads data.



Predicted value

Figure S12. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR bigeye thresher data.



Figure S13. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR bull shark data.



Figure S14. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR crocodile shark data.



Figure S15. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR dusky shark data.



Figure S16. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR great hammerhead data.



Figure S17. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR longfin make data.



Figure S18. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR pelagic thresher data.



Predicted value

Figure S19. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR porbeagle data.



Figure S20. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR scalloped hammerhead data.



Figure S21. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR smooth hammerhead data.



Predicted value

Figure S22. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR spinner shark data.



Figure S23. Residual plot for (A) the whole model with year as a continuous variable, (B) the count process with continuous year, (C) the zero process with continuous year for the USSR spot-tail shark data.



Figure S24. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, and (C) the zero process with year as a factor IOTC porbeagle data.



Predicted value



Figure S25. Residual plot for (A) the whole model with year as a factor, (B) the count process with year as a factor, and (C) the zero process with year as a factor IOTC porbeagle data.



Figure S26. Residual plot for the multiple timeseries regression of the porbeagle.