PROGRESS REPORT ON THE IMPLEMENTATION OF THE WPICMM WORK PLAN AND ON THE RECOMMENDATIONS OF THE WPICMM06

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PURPOSE

To provide participants at the WPICMM07 with an update on the progress made during the 2023/2024 intersessional period on:

1) implementing the work plan of the Working Party on Implementation of Conservation and Management Measures (WPICMM) since its adoption at the previous meeting and to provide recommendations for update of activities and implementation timelines,

2) the recommendations of the WPICMM06, which were endorsed by the Compliance Committee (CoC20).

BACKGROUND

At the 6th Session of the WPICMM, participants agreed on a series of actions to be taken by CPCs and the IOTC Secretariat on a range of issues which were captured in the Work Plan.

The WPICMM06 made 13 recommendations for the consideration of the Compliance Committee (CoC20).

DISCUSSION

IMPLEMENTATION OF THE WORK PLAN OF THE WPICMM

The column on the far right, of the below table, present the status of implementation of each activity as of 15 January 2024. Only the actions of the WPICMM Work Plan which have not been implemented are reproduced in the below table.

The WPICMM Work Plan can be consulted and include implementation status for all actions under the column ''Status January 2024'', meeting document IOTC-2024-WPICMM07-09 - Review WPICMM WP.

1	Examine all aspects of CPCs technical implementation of CMMs and recommending ways to enhance the level of implementation	
1.2	Prioritise CMMs with lowest implementation levels to determine common issues with compliance	Status
1.2.3	Recommend a plan to improve compliance could include; specific training events, amendments to reporting requirements, data analysis assistance.	[Pending] WPICMM07 to make recommendations.
5	**Review and assess the effectiveness and practical aspects of implementation of CMMs adopted by the Commission in order to identify deficiencies and implementation constraints faced by CPCs, and to recommend options for amendments**	
5.1	*Determine which CMMs are overdue on assessment or no longer valid*	Status
5.1.1	Secretariat provides paper on validity of CMMs to the WPICMM	[Pending] Postponed to WPICMM08.
5.1.2	Provide recommendations to the Compliance Committee	[Pending] WPICMM08 to make recommendations to the Compliance Committee.
6	**Propose actions to address deficiencies in implementation**	
6.1	*Capacity building*	Status
6.1.1	Identify weaknesses of CPCs in capacity and ability to comply with reporting requirements.	[Pending] Postponed to WPICMM08.

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6.1.2	Recommend training events by IOTC compliance officers to CPCs with most need (should be regular)	[On going] Training under the external assistance of SWIOFISH2 WB Project. IOTC Rules of Procedure (2023) include Capacity Building Assessment.
6.1.3	Recommend exchange programmes to increase skills in CPCs	[On going] PSM exchange implemented since 2019 (SWIOFISH2). Activity proposed under IOC/EU Ecofish Progamme.
6.1.4	Recommend the establishment of a permanent capacity building mechanism	[Pending] Postponed to WPICMM08. IOTC Rules of Procedure (2023) include Capacity Building Assessment.
6.1.5	Recommend outreach to fishing captains/masters to educate on CMMs and to gather information on problems with implementation	[Pending] Postponed to WPICMM08.
6.2	*Increase funding opportunities of CPCs*	Status
6.2.2	Identify funding sources from potential donors to facilitate opportunities for funding capacity building	[On going] EU Grant, IOC/EU Ecofish Programme.
8	**Develop a harmonized assessment criteria to identify vessels presumed to have engaged in illegal, unreported and unregulated (IUU) fishing activities**	
8.1.2	Gather information from CPCs on their National legislation on how IUU - fishing vessels are determined against the Resolution 18/03	[Pending] Defer to 2025 (Priority medium).
8.1.3	Review the assessment criteria for identifying vessel presumed to have engaged in IUU fishing activities	[Pending] Defer to 2025 (Priority medium).
9	**Monitor the development of, and recommend further actions for the IOTC list of vessels presumed to have engaged in illegal, unreported and unregulated (IUU) fishing activities, including where requested by the Compliance Committee or involved CPCs, a review of the evidence to be presented, where such evidence can be made available to the WPICMM**	
9.1	*Actions for the IOTC list of vessels presumed to have engaged in illegal, unreported and unregulated (IUU) fishing activities*	Status
9.1.1	Review of the evidence to be presented, where such evidence can be made available to the WPICMM	[Pending] Timing issue between the WPICMM and availability of draft IUU list.
9.1.2	Recommend actions for the CoC on the list of vessels presumed to have engaging in IUU fishing activities, including where requested by the Compliance Committee or involved CPCs,	[Pending] Timing issue between the WPICMM and availability of draft IUU list.
9.1.3	Monitor the development of recommended actions on the vessels that have been committed IUU	[Pending] Timing issue between the WPICMM and availability of draft IUU list.
10	**Monitor the development of, and recommend actions for the list of Large Scale Tuna Longline Vessels (LSTLVs)/carrier vessels presumed to have committed infractions of IOTC CMMs, as recorded by observers deployed under the at-sea transhipment programme**	
10.1	*List of possible infractions under the ROP*	Status
10.1.1	Review of the evidence to be presented on vessels presumed to have committed the infractions	[On going] Presented in paper IOTC-2024-WPICMM07-04, Add1, Add2 at WPICMM07.
10.1.2	Request for further evidence from the CPCs on the list of vessels presumed to have committed infractions	[On going] Presented in paper IOTC-2024-WPICMM07-04, Add1, Add2 at WPICMM07.
10.1.3	Recommend actions for the CoC on the list of vessels presumed to have committed infractions	[On going] Presented in paper IOTC-2024-WPICMM07-04, Add1, Add2 at WPICMM07.
10.1.4	Monitor the development of recommended actions on the vessels that have committed infractions.	[On going] Presented in paper IOTC-2024-WPICMM07-04, Add1, Add2 at WPICMM07.
10.1.5	Recommend that the list of possible infraction under the ROP is presented to the WPICMM	[On going] Presented in paper IOTC-2024-WPICMM07-04, Add1, Add2 at WPICMM07.
11	**Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems**	
11.1	*Design and implementation of national MCS systems*	Status

11.1.3	Draft a ToR for evaluation and formulation of CPCs national MCS programs (New action WIPCMM03)	[Pending] Postponed to WPICMM08.
12	**Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of enforcement actions to ensure compliance with IOTC CMMs**	
12.1	*Assist CPCs in the design and implementation of enforcement actions*	Status
12.1.1	Develop ToRs for assessment study of CPCs capacities on design and implementation of enforcement actions by the WPICMM	[Pending] Postponed to WPICMM08.
12.1.2	Conduct baseline capacity assessment of CPCs on design and implementation of enforcement actions	[Pending] Postponed to WPICMM08.
12.1.3	Assessment of the report by the WPICMM	[Pending] Postponed to WPICMM08.
12.1.4	Submission of recommendations to the Compliance Committee (CoC)	[Pending] Postponed to WPICMM08.
13	**Development of regional capacity building mechanisms to assist CPCs to meet the regional minimum terms and conditions or standards for implementation of the CMMs**	
13.1	*Regional capacity building mechanisms*	Status
13.1.1	Development of the Minimum Terms and Conditions/ Standards by the WPICMM	[Pending] Postponed to WPICMM08 IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
13.1.2	Submission of the Draft Minimum Terms and Conditions to the Compliance Committee	[Pending] Postponed to WPICMM08 IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
13.1.3	Draft the terms of reference for the regional capacity building mechanism	[Pending] Postponed to WPICMM08 IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
13.1.4	Submission of the ToRs to the Compliance Committee	[Pending] Postponed to WPICMM08 IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
14	**Provide recommendations for the strengthening of the implementation of CMMs and capacity building activities, including compliance support missions, regional/national training courses and workshops, to be funded under the special fund for capacity building or extra budgetary contributions**	
14.1	*Strengthening of the implementation of CMMs and capacity building activities*	Status
14.1.4	Submission of recommendations to the Compliance Committee	[Pending] WPICMM07 to provide recommendations on capacity building and training interventions to the CoC. IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
14.1.5	Recommendation for Capacity building and training interventions based on needs and gaps identified by Secretariat from the previous country mission reports	[On going] For example compliance missions and compliance support materials (https://iotc.org/compliance/capacity-building-compliance). IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
14.1.6	CPCs to provide capacity building and training interventions based on needs and gaps identified at national level and report to the Secretariat.	[On going] For example compliance missions and compliance support materials (https://iotc.org/compliance/capacity-building-compliance). IOTC Rules of Procedure (2023) include Capacity Building Assessment/Activities.
16	**Review compliance with data reporting obligations by CPCs and recommend actions for implementation**	
16.1	*Data reporting obligations by CPCs*	Status
16.1.2	Assessment of the report and provision of recommendations by the WPICMM	[Pending] Postponed to WPICMM08.
16.1.3	Submission of the recommendations to the Compliance Committee	[Pending] Postponed to WPICMM08.
17	**Review of the glossary of definitions and key terms used in IOTC Resolutions**	
17.1	*Glossary of definitions and terms*	Status

17.1.1	CPCs to review the terms and definitions and provide comments on each definition	[On going] Work of the *Ad Hoc* Glossary WG See IOTC-2024-WPICMM07-08
17.1.2	Secretariat to produce a consolidated list or comments received by CPCs on the Glossary	[On going] Work of the *Ad Hoc* Glossary WG See IOTC-2024-WPICMM07-08
17.1.3	WPICMM to provide a draft final glossary	[On going] Work of the *Ad Hoc* Glossary WG See IOTC-2024-WPICMM07-08
17.1.4	Submission of the recommendations to the Compliance Committee regarding the Glossary	[On going] Work of the *Ad Hoc* Glossary WG See IOTC-2024-WPICMM07-08
18	**Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process**	
18.1	*Identify reporting and implementation obligations*	Status
18.1.3	Submission of the recommendations to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process.	[On going] WPICMM07 to provide recommendation(s) to the Compliance Committee.
19	**Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process**	
19.1	*Review of other CMMs*	Status
19.1.2	After review of other CMMs, WPICMM make recommendation(s) to the Compliance Committee.	[On going] Under WPICMM07 for new Resolutions.
20	**Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process**	
20.1	*Transposition of IOTC CMMs into national legislation*	Status
20.1.2	CPCs to make request for CSM - legal assistance to the Secretariat	[On going] No request received by CPCs.
20.1.3	Update of the IOTC model legislation, conditional to availability of fund.	[On going] Under external funding proposed activities IOC/EU EcoFish Programme.

IMPLEMENTATION OF RECOMMENDATIONS OF THE WPICMM06

The CoC20 reviewed the Recommendations of the WPICMM06:

> IOTC–2023–CoC20–R, Paragraph 31. The CoC19 RECOMMENDED the Commission endorse the recommendations of the WPICMM06.

DECISIONS OF THE COMMISSION RELATED TO THE WORK OF THE COMPLIANCE COMMITTEE

CONSOLIDATED SET OF RECOMMENDATIONS ARISING FROM WPICMM06

Recommendations of WPICMM06 approved by the Commission (S26)	Progress of implementation
WPICMM06.01 (Para. 25) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider endorsing the Consultant's report ''Sanctions, Penalties and Incentives to Promote Compliance in the Indian Ocean Tuna Commission (IOTC)''.	WPICMM06.01 [Implemented]. IOTC–2023–CoC20–R, Paragraph 31. The CoC19 **RECOMMENDED** the Commission endorse the recommendations of the WPICMM06.
WPICMM06.02 (Para. 24) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider putting aside a system of penalties and sanctions in favour of incentives	WPICMM06.02 [Implemented]. IOTC–2023–CoC20–R, Paragraph 31. The CoC19 **RECOMMENDED** the Commission endorse the recommendations of the WPICMM06.

and positive actions relating to capacity development and technical assistance.	
WPICMM06.03 (Para. 34) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider endorsing those terms of the glossary that have already been agreed by the WPICMM06.	WPICMM06.03 [Implemented]. IOTC–2023–CoC20–R, Paragraph 30. The CoC20 **REQUESTED** that the IOTC Secretariat contact all CPCs inviting them to nominate technically-qualified officers to the *ad hoc* working group on the Glossary, which would be held by correspondence. *Ad hoc* working group on the Glossary – 1st Phase, edits to the current definitions & comments – Deadline 15 July 2023 *Ad hoc* working group on the Glossary – 2nd Phase, definitions & comments – Deadline 03 November 2023. Meeting document IOTC-2024-WPICMM07-08 – Glossary
WPICMM06.04 (Para. 55) The WPICMM06 **RECOMMENDED** that the Compliance Committee request that fleets participating in the transshipment at sea programme attend the WPICMM and respond to the possible infractions reported.	WPICMM06.04 [Implemented]. IOTC–2023–CoC20–R, Paragraph 31. The CoC19 **RECOMMENDED** the Commission endorse the recommendations of the WPICMM06.
WPICMM06.05 (Para. 56) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider the outstanding cases on the outcomes of the assessments of possible infractions.	WPICMM06.05 [Implemented]. IOTC–2023–CoC20–R, Paragraph 13. The CoC20 **NOTED** document IOTC-2023-CoC20-08b, related to the summary report on possible infractions observed under the Regional Observer Programme in 2022.
WPICMM06.06 (Para. 62) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider endorsing the Work Plan reviewed by the WPICMM06 (Appendix 3).	WPICMM06.06 [Implemented]. IOTC–2023–CoC20–R, Paragraph 31. The CoC19 **RECOMMENDED** the Commission endorse the recommendations of the WPICMM06.
WPICMM06.07 (Para. 78) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 discuss this proposal, after consideration of the deliberations of the WPICMM06 and its Report.	WPICMM06.07 [Implemented]. IOTC–2023–CoC20–R, Paragraph 31. The CoC19 **RECOMMENDED** the Commission endorse the recommendations of the WPICMM06.
WPICMM06.08 (Para. 82) The WPICMM06 **NOTED** meeting document IOTC-2023-WPICMM06-08 as well as progress of the recent Sixth VMSWG and **RECOMMENDED** Compliance Committee 20 consider recommendations arising from the VMS Working Group.	WPICMM06.08 [Implemented]. IOTC–2023–CoC20–R, Paragraph 42. The CoC20 **NOTED** the progress of the VMS Working Group and **CONSIDERED** Pilot Project options and the next steps regarding a draft Resolution and the recruitment of a VMS Consultant.
WPICMM06.09 (Para. 87) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider endorsing the CDS Strategy, as amended by the Eighth meeting of the CDS Working Group.	WPICMM06.09 [Implemented]. IOTC–2023–CoC20–R, Paragraph 34. The CoC20 **NOTED** the progress of the CDS Working Group, in particular the development of the CDS Strategy.
WPICMM06.10 (Para. 94) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider requesting the IOTC Secretariat to identify, propose and secure financing for the operation and maintenance of the e-MARIS application and the online Record of Authorised Vessels application after the end of the SWIOFish2 project in September 2023, and to keep future sessions of the Compliance Committee informed of progress.	WPICMM06.10 [Implemented]. IOTC–2023–CoC20–R, Paragraph 204. The CoC20 **RECOMMENDED** that the Commission (S27) consider requesting the IOTC Secretariat to identify, propose and secure financing for the operation and maintenance of the e-MARIS application and the online Record of Authorised Vessels application after the end of the SWIOFish2 project in September 2023, and to keep future sessions of the Compliance Committee informed of progress. Fund secured under EU Grant to maintain the team of developers and for Cloud Hosting. Fund to be secured under the IOTC budget in the future.
WPICMM06.11 (Para. 95) The WPICMM06 **RECOMMENDED** that Compliance Committee 20 consider that e-MARIS is encouraged to be used while continuing to be voluntary	WPICMM06.11 [Implemented]. IOTC–2023–CoC20–R, Paragraph 206. The CoC20 **RECOMMENDED** that the Commission (S27) consider that e-MARIS is encouraged to be used while continuing to be voluntary for the 2024 campaign

for the 2024 campaign leading to Compliance Committee 21 and **FURTHER RECOMMENDED** that the use of e-MARIS become mandatory henceforth.	leading to CoC21 and consider that the use of e-MARIS become mandatory henceforth, while recognising that its voluntary nature may be extended if necessary.
WPICMM06.12 (Para. 105) The WPICMM06 **RECOMMENDED** that the Compliance Committee 20 consider tasking the IOTC Secretariat to develop a system to efficiently remove vessels whose date of authorisation has expired, in line with the recommendation by CoC19, which was endorsed by the Commission (S26).	WPICMM06.11 [Implemented]. Implemented by e-RAV – Delisting process.
WPICMM06.13 (Para. 124) The WPICMM06 **RECOMMENDED** that the Compliance Committee consider the consolidated set of recommendations arising from WPICMM06, provided at Appendix 5.	WPICMM06.13 [Implemented]. IOTC–2023–CoC20–R, Paragraph 31. The CoC19 **RECOMMENDED** the Commission endorse the recommendations of the WPICMM06.

RECOMMENDATION/S

That the WPICMM07:

- **NOTE** the progress made in implementing the Work Plan:
  o 23 actions are pending implementation (24 at WPICMM06),
  o 18 actions are ongoing in terms of their implementation and (19 at WPICMM06);
  o 45 actions have been fully implemented (43 at WPICMM06).

- **NOTE** the progress made in implementing the Recommendations of the 6th WPICMM:
  o All 13 Recommendations have been fully implemented.

- Discuss and **RECOMMEND** to the Compliance Committee a plan to improve compliance, which could include: specific training events, amendments to reporting requirements and data analysis assistance.

   (WPICMM Work Plan Component 1 Examine all aspects of CPCs technical implementation of CMMs and recommending ways to enhance the level of implementation – Action 1.2.3).

- Discuss and **RECOMMEND** capacity building and training interventions to the Compliance Committee.

   (WPICMM Work Plan Component 14 Provide recommendations for the strengthening of the implementation of CMMs and capacity building activities, including compliance support missions, regional/national training courses and workshops, to be funded under the special fund for capacity building or extra budgetary contributions – Action 14.1.4).

- Discuss and **RECOMMEND** to the Compliance Committee to include additional reporting and implementation obligations in the Compliance Assessment Process.

   (WPICMM Work Plan Component 18 Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process – Action 18.1.3).

- Discuss and **RECOMMEND** to the Compliance Committee the possibility of reviewing other Conservation and Management Measures (CMMs) in future WPICMM meetings.

   (WPICMM Work Plan Component 19 Other tasks as assigned by the Compliance Committee or Commission – Action 19.1.1).