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## SUMMARY REPORT ON THE LEVEL OF COMPLIANCE

PREPARED BY: IOTC SECRETARIAT, 29 APRIL 2024

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### PURPOSE

This document intends to provide the Compliance Committee with a streamlined approach to discuss the IOTC Conservation and Management Measures (CMMs) by IOTC Members and Cooperating non-Contracting Parties (CPCs) that CPCs have difficulties to implement.

*Note: The statistics expressed in this document are sourced from the e-MARIS application at the date of production of this document, date of production of the draft (dCR) and summary (sCR) Compliance reports. They may change during the meetings of the Compliance Committee (CoC21) or the Commission (S28). At any point of time, the original statistics, true and correct, are contained in the e-MARIS application. For information on confirming those statistics, or for any updates that might have happened thereafter, please consult the e-MARIS application or contact the IOTC Secretariat ( [iotc-secretariat@fao.org](mailto:iotc-secretariat@fao.org) ).*

### BACKGROUND

This document has been guided by the new Rules of Procedure, Annex V adopted by the Commission (S27). In applying this approach, this document is structured by sections of the Compliance Report and it focuses on the reporting requirements assessed under the Draft and Summary Compliance Reports. For each CMM requirements, the overall compliance rate is presented, CPC assessment is summarized in a table for the different compliance Status (C, P/C, NC1, NC2, NA) and for each element of the compliance assessment (Timeliness/Legislation/Standard/System and procedures). The non-compliant status is provided for the concerned CPCs to guide the Compliance Committee and the Commission in its deliberation. The results of the deliberations will be captured in e-MARIS in each CPC's Provisional Compliance Report, based on the Rule of Procedure, Annex V.

Based on the RoP, there are eight types of follow up actions that can be identified by the concerned CPC and/or the Compliance Committee and/or the Commission, as listed below:

- the CPC shall provide additional information or address the compliance issue within a given period of time, and at the latest before the following annual meeting, unless otherwise decided by the Commission;
- submission of a detailed plan and timeline to address the non-compliance of category 2;
- actions proposed by the CPC and endorsed by the Commission;
- the completion of an investigation by the CPC regarding a compliance issue and reporting back to the Compliance Committee;
- enhanced monitoring of the fleet;
- amendments to domestic procedures, legislation or policy including penalties, where required;
- the provision of capacity building or technical assistance for a specified amount of time;
- other remedies.

Specific action(s)/recommendation(s) can also be defined in relation to the compliance issue.

GRAPHICAL REPRESENTATIONS OF GENERAL CONCLUSIONS

1. Commission compliance rate by management tools from 2010 to 2023.

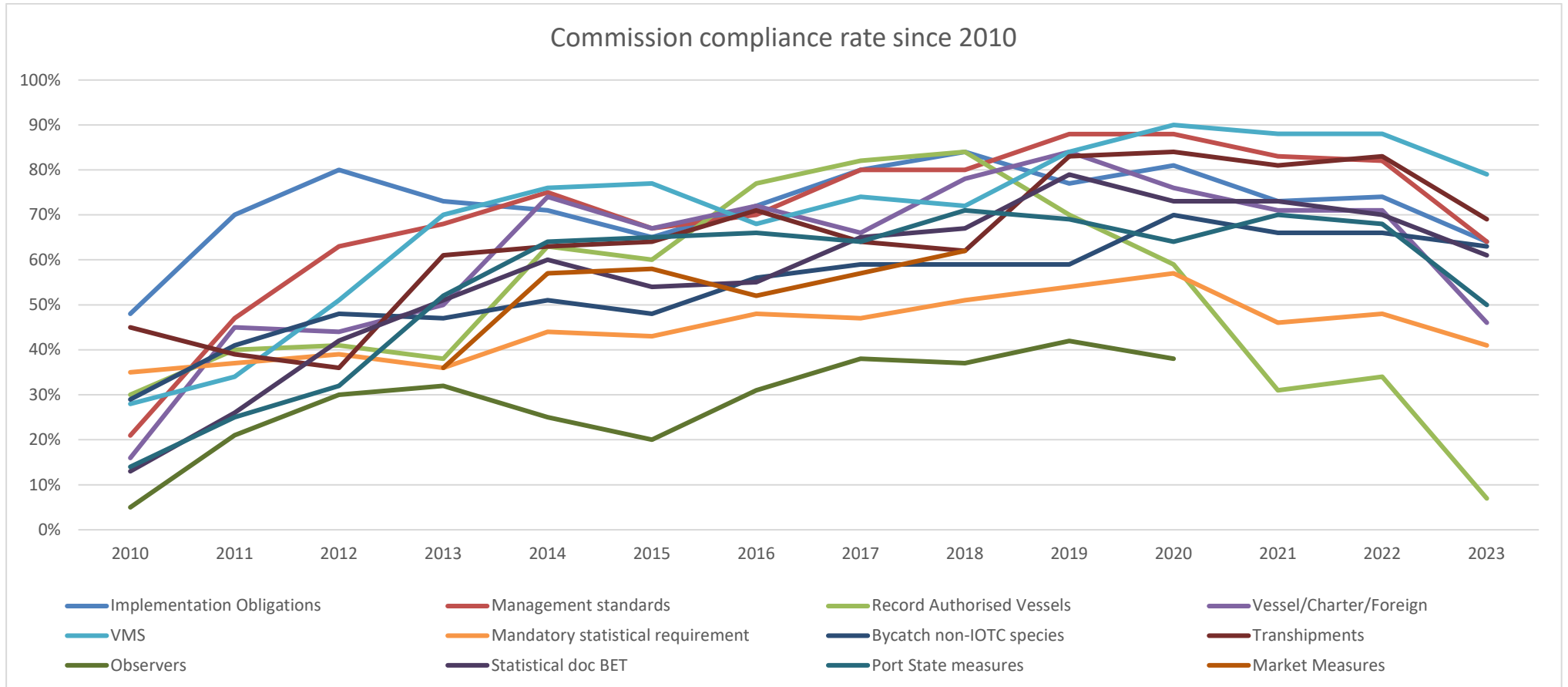
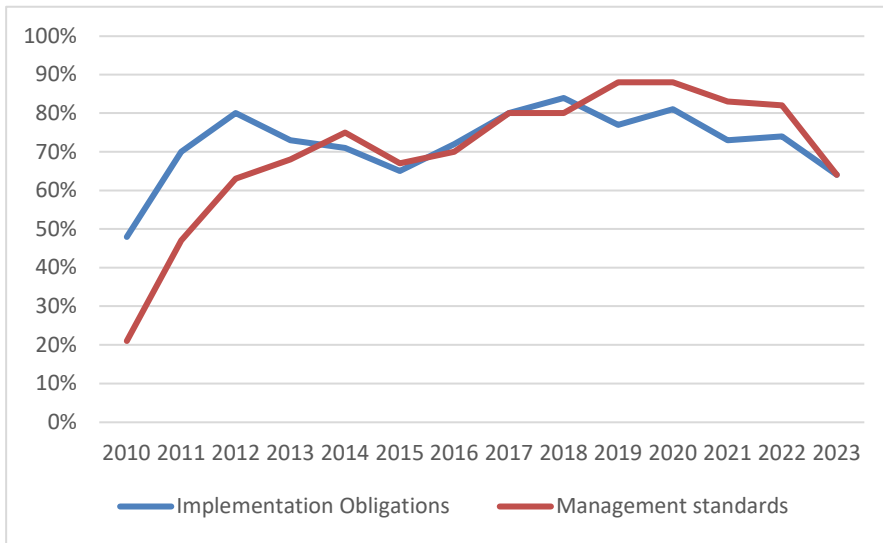
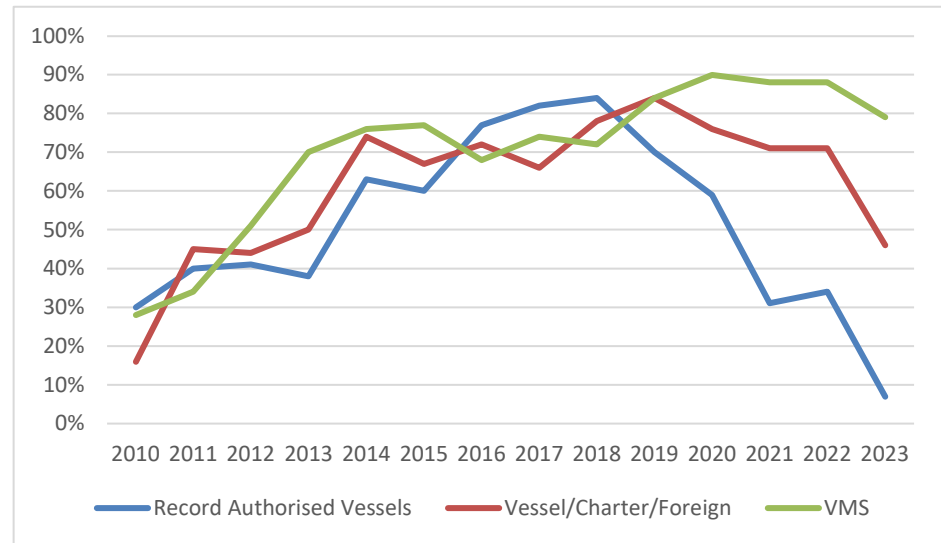


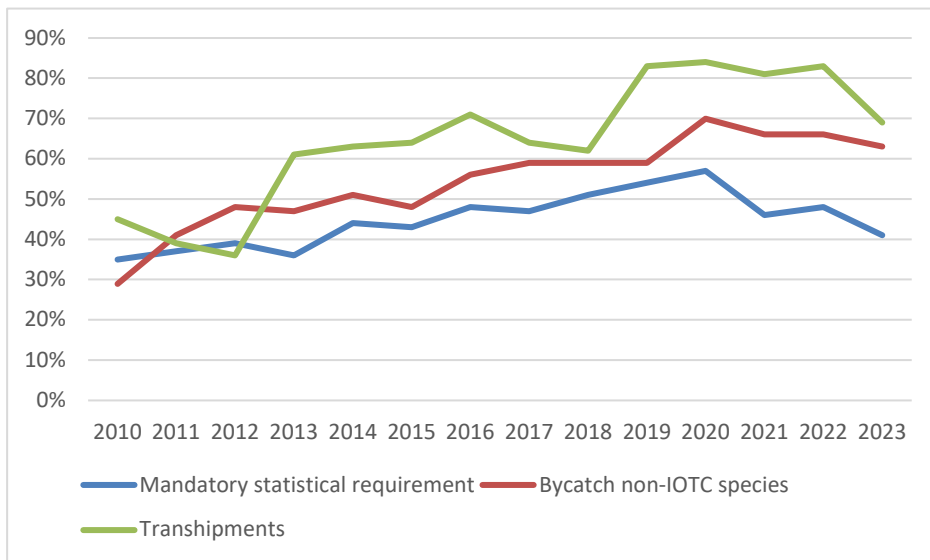
Figure A. Commission compliance rate since 2010 for all fisheries management tools.



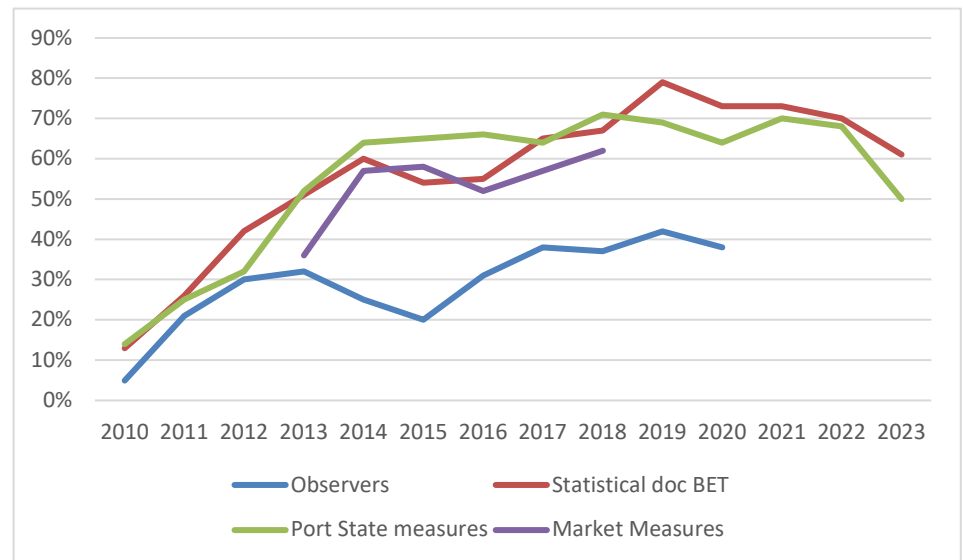
**Figure Aa.** Commission compliance rate since 2010 for Implementations obligations and management standard.



**Figures Ab.** Commission compliance rate since 2010 for the RAV, chartered and foreign vessels, and VMS.



**Figures Ac.** Commission compliance rate since 2010 for mandatory statistics, bycatch and transhipment.



**Figures Ad.** Commission compliance rate since 2010 for observer, BET statistical document programme, port State measures and Market measures.

**Notes for year 2023:**

Implementation obligations = Implementation report, compliance questionnaire, national scientific report, feedback letter, CMMs transposition - 5 reporting requirements.  
Management Standard = 28 reporting requirements. Active vessel / Charter / Foreign = 10 reporting requirements. Vessel Monitoring System = 3 reporting requirements.  
Mandatory statistical requirement = 20 reporting requirements. Bycatch = 16 reporting requirements. Transshipment = 5 reporting requirements. Observers = Resolution 22/04 – 4 reporting requirements. Stat Doc BET = Resolution 01/06 – 4 reporting requirements. Port State Measures = Resolutions 05/03, 16/11 – 10 reporting requirements. Market State measures – 1 reporting requirement (Not assessed since 2019).

## 2. Level of compliance by IOTC CPCs for all CMMs obligations

At its 11<sup>th</sup> Session the Compliance Committee requested the following:

*“that for the next Session of the CoC, the Compliance Reports also be presented by CMM, rather than only by CPCs. The intention would be to examine the level of implementation and possibly interpretation of each CMM, which may assist the CoC in identifying where an individual CMM is ineffective and may need to be revised.”*  
(Para 118, IOTC-2014-CoC11-R).

The figures 1, 2 and 3, below, illustrates the overall Commission’s compliance rate, the Commission’s average compliance rate with 33 Resolutions, and with five mandatory reporting requirements arising from the IOTC Agreement, the IOTC Rules of Procedure, the Commission and Scientific Committee.

The overall Commission’s compliance rate for the 2023 compliance assessment cycle is 56% (2022: 65%), which represents a decrease (10%) over the 2022 assessment cycle. Of the 111 individual requirements assessed, 57 were assessed at below the 2023 Commission’s average compliance rate. Of the five mandatory reporting requirements assessed (CR section1), all were found to be above the Commission’s average compliance rate.

A compliance summary of the different sections of the Compliance Report is presented below. Detailed information for all IOTC obligations are presented from the chapter 3 of this meeting document.

### **Summary of compliance for the sections of the Compliance Report 1 to 11.**

#### **CR section 1:**

The level of compliance for the 5 requirements of the section 1 of the Compliance Report is above the Commission compliance rate. For the Implementation Report, the Compliance Questionnaire and the response to the feedback letters improvement are expected in terms of completeness. Timeliness is also an important compliance element for those five requirements as they are the basis for the Secretariat to start the compliance assessment for the compliance committee. No submission and late submission of the National Report to the Scientific Committee have undermined the work of the Scientific Committee.

#### **CR section 2:**

The number of requirements (28) under the CR section 2 of the compliance report does not allow to identify compliance trends, consult each requirement of CR section 2 for specific summary of compliance.

#### **CR section 3:**

As of 31 December 2023, the IOTC Record of Authorised Vessels (RAV) contained a total of 6,639 fishing vessels. The total number of fishing vessels comprised of 2,034 (32%) vessels of length overall (LOA) of 24m or above, and 4,305 (68%) vessels of length overall of less than 24m. Nineteen CPCs have registered vessels with LOA of 24m or above and eleven CPCs have registered vessels with LOA of less than 24m.

Since the entry into force of Resolution 19/04, CPCs are required to provide information on beneficial owners and companies operating the vessels, or indicate non-availability, when requesting inclusion of vessels in the IOTC Record of Authorised Vessels. In addition, for vessels authorised to operate outside of the EEZ of their flag State, photographs of the vessels’ starboard side, portside side, bow and one photograph showing external markings are required to be provided by CPCs for vessels in the IOTC Record of Authorised Vessels. The latter also entered into force, on 1 January 2022, for vessels operating inside the EEZ of their flag State. Also effective

from 1 January 2022, is the requirement for CPCs to provide information on the total volume of fish hold(s) (in m<sup>3</sup>).

Table 1, in Annex 1, provides additional information on numbers and types of vessels included in the IOTC Record of Authorised Vessels.

Figures of the requirements 3.6 and 3.7 illustrate the level of compliance with the provision of vessels' attributes for vessels included in the Record of Authorised Vessels, in 2023 and before. The decline in compliance rate with Resolution 19/04 was directly attributed to the new reporting requirements on IMO numbers, beneficial owners, companies operating the vessels and photographs.

The IOTC Secretariat continues to work closely with all concerned CPCs, to ensure that all mandatory data are included in the IOTC Record of Authorised vessels, through the e-RAV.

#### **CR section 4:**

Nineteen CPCs have adopted VMS by the legislation and are implementing this MCS tool. Some CPCs have not adopted VMS by legislation or have adopted but the implementation and coverage are still low. One CPC, Iran, has a VMS action plan ending in 2025.

#### **CR section 5:**

Most CPCs continue to report partial data submissions, or datasets that fall short of IOTC reporting standards, including the timeliness of reporting. It is to be noted that the element "System/Procedure" has not been taken into consideration in the final compliance assessment of the catch statistics requirements (5.1 to 5.20).

The new approach of assessing the compliance of the data submissions, based on the new Rule of Procedure Annex V, resulted in variations in CPC compliance rate in 2023 for different fishery categories (i.e., longline, surface and coastal) of the same types of datasets (e.g., retained nominal catches). Since the element timeliness of reporting is now an element of the final compliance assessment, the data requirement could be partially compliant or not compliant when CPCs report data after the submission deadline. The new compliance assessment methodology resulted in more CPCs not being fully compliant with several of the data reporting obligations set in Resolution 15/02 and other Resolutions with data reporting obligations. Depending on the data reporting requirement (5.1-5.20), the proportion of CPCs that were fully compliant in 2023 (for the statistical year 2022) ranges between nine percent (9%) - eighty-three percent (83%), inclusive of meeting the element reporting deadline. Subsequently, an increasing number of CPCs were not compliant with regards to IOTC data reporting obligations. Summarising the overall reporting in 2023, the levels of reporting by surface fisheries are low for the major datasets. It is assumed that the low level of reporting is due to the increase of industrial purse seine vessels flagged to coastal CPCs in recent years, and not meeting the IOTC data reporting standards.

Similar to other years, some CPCs have frequently reported significant updates of their statistical data for their fisheries. Regardless of the inherent, non-adherence to the requirements of Resolution 15/02, this situation has also the negative side effect of rendering the information used for management purposes by the Scientific Committee immediately obsolete (e.g., Skipjack harvest control rules – Resolution 16/02, determination of Yellowfin tuna catch reductions – Resolution 21/01, stock-assessment projections, etc.).

In terms of compliance with Resolution 15/02<sup>1</sup> and other reporting obligations across all CPCs, in 2023:

- Forty-four percent (44%) of all the datasets were fully reported by the standard requirements in accordance with Resolution 15/02 and meeting the deadline (48% in 2022),
- Only three percent (3%) of all the datasets were partially reported (27% in 2022),
- Thirteen percent (13%) of the datasets reported were not compliant with reporting standards or submitted late,

<sup>1[1]</sup> Assessed in terms of compliance with the 12 reporting requirements for Resolution 15/02. This includes nominal catches, catch-and-effort, and size frequency data for IOTC species and major sharks species.

- In several cases, some CPCs have continuously not complied with some of their data reporting obligations, either partially providing the data or not reporting the data for more than two consecutive years. Thirty-nine percent (39%) of the CPCs are continuously not reporting data in accordance with the reporting obligations sets in Resolution 15/02.

The completeness of datasets varies by fishery categories and data type. In 2023:

- Total retained catches: on average, fifty-four percent (54%) of the CPCs fully reported the retained catches across all fisheries categories (80% in 2022). This corresponded to thirty-nine percent (39%), fifty-five percent (55%), and seventy-five percent (75%) for coastal, surface, and longline fisheries, respectively.
- Species presence in the catch: forty-four percent (44%) of all CPCs fully complied with the reporting obligation of Resolution 18/07, and fifty-six percent (56%) did not submit any data or only partially complied with the Resolution.
- Discarded catch and fishery interactions: CPCs must report discards as well as interactions with Endangered, Threatened, and Protected species. In 2023, forty-one percent (41%) of all CPCs fully reported such data to the Secretariat. Reporting rate by CPCs for individual ETP species:
  - Fifty percent (50%) fully reported interactions with sea turtles,
  - Forty-four percent (44%) fully reported interactions with seabirds,
  - Forty-one percent (41%) fully reported interactions with cetaceans,
  - Forty-seven percent (47%) fully reported interactions with whale sharks,
  - Forty-four percent (44%) fully reported interactions with mobulids.
- Catch and effort: on average, forty-six percent (46%) of the CPCs fully reported this dataset across all fisheries categories (31% in 2022). This corresponded to twenty-six percent (26%), fifty-five percent (55%), and fifty-six percent (56%) for coastal, surface, and longline fisheries, respectively.
- Fisheries related to fishing with Fish Aggregated Devices (FADs): Out of the six CPCs with purse seine fisheries that utilised FADs, only fifty-six percent (56%) have fully reported data related to fishing with FADs. However, eighty-three percent (83%) have fully reported data related to active, instrumented buoys.
- Size-frequency data: Compared to retained catch and catch and effort, size-frequency data continue to be poorly reported. Only 10% of the CPCs have fully reported this dataset across all fisheries (18% in 2022). This corresponded to 9% for coastal and surface fisheries, and 13% for the longline fishery.

In terms of compliance with the CMMs at the individual CPC level, in 2023:

- Only one (1) CPC (UK) was assessed as fully compliant and reported all datasets in accordance with the IOTC data reporting requirement and to IOTC standards (1 CPC in 2022, Comoros),
- Twenty-two (22) CPCs were assessed as partially compliant and reported datasets that were incomplete (twenty-two (22) CPCs in 2022). Further to partially complying with reporting standards in 2023, some CPCs continue to be only partially compliant for more than two consecutive years. In 2023, six (6) CPCs, Bangladesh, European Union, I.R. Iran, Kenya, Oman, and Pakistan did not fully comply with the majority of the data reporting requirements for more than two consecutive years.
- Four (4) CPCs (Madagascar, Somalia, Sudan, and Yemen) did not submit any datasets to the IOTC Secretariat in 2023. With the exception of Madagascar, the same three (3) CPCs have not reported any dataset to the IOTC for a period of more than three years.
- Three (3) CPCs, France (OT), Philippines, and Liberia did not have fishing vessels operating in the IOTC Area in 2022.

**CR section 6:**

Section 6 of the Compliance Report is made of sixteen reporting requirements which spans across 10 Resolutions. The scope of the requirements in this section covers the implementation of prohibitions, including discards, the requirements to implement mitigation measures, the reporting on actions to monitor the catches of billfish and sharks, and the reporting on interactions with environmentally sensitive species.

The average compliance rate for this section stands at 67%, just above ten percentage point above the Commission's compliance rate. Amongst the most compliant requirements in this section, at 91 %, are the requirements on the prohibition of shark finning, the prohibition of retention of oceanic whitetip sharks and the implementation of mitigation measures for sea birds by vessels fishing south of 25° south. Two requirements have been assessed at below the Commission's compliance rate, and these are the reporting requirements related to marine turtles, on the progress of implementation of the FAO Guidelines and Resolution 12/04 (38%), and the reporting of information on the actions that CPCs have taken domestically to monitor catches and to manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish (46%).

**CR section 8:**

Section 8 of the Compliance Report pertains to the implementation status of the Regional Observer Programme (ROP) to monitor transshipments in the IOTC Area of Competence. The objective of the Programme is to regulate and monitor transshipments in the IOTC Area of Competence. According to it, all transshipment operations of tuna and tuna-like species in the should take place in port, except under special conditions essentially applicable to large-scale tuna longline fishing vessels (LSTLVs) and to carrier vessels authorised to receive transshipments from these vessels. The average compliance rate for this section stands at 70%, which is above the Commission's average compliance rate. However, some challenges persist in achieving full compliance among certain CPCs with LSTLVs listed on the IOTC RAV or those that participated in the ROP during 2023. These challenges primarily stem from the failure to transpose the obligation to provide required reports to the IOTC Secretariat into domestic legislation. Additionally, other compliance issues comprise the submission of the lists of authorized carrier vessels permitted to receive transshipments from LSTLVs, as some lists do not fully meet IOTC standards due to the absence of certain mandatory data fields such as photographs or carrying capacity details.

**CR section 9:**

The assessment of Resolution 22/04 was suspended during the COVID-19 pandemic years. The assessment resume for the year 2022 at the CoC21. Overall, the level of compliance for all the reporting requirements of resolution 22/04 indicated that 79% of the data were fully reported by CPCs.

For the individual requirements:

- Fourteen CPCs have not provided the summary or the protocols supporting the ROS at sea and the ROS coastal landing, or have provided only one of the two protocols.
- Two CPCs (11%) have been assessed as compliant with at sea ROS. Sixteen CPCs (89%) have been assessed as non-compliant with this requirement since they have not reached the standard 5% mandatory coverage at sea or not been provided any data related to the at sea ROS requirement.
- Four CPCs (19%) have been assessed as compliant with the coastal ROS. Seventeen CPCs (81%) have been assessed as non-compliant with this requirement since they have not reached the standard 5% mandatory coverage of landing or provided any information.
- Due to the level of implementation being low by CPCs of the at sea ROS, the reporting of observer reports is still low and often submitted after 150 days of disembarkation or not submitted for all fisheries. In 2023 11% of CPCs with at sea observers fully submitted the ROS report by the standard and timely.

**CR section 10:**

Section 10 of the Compliance Report is related to the implementation of the IOTC Bigeye tuna statistical document programme. The objective of the programme is to regulate the trade in frozen bigeye tuna caught in the IOTC Area, by providing the means to flag States to certifying exports of frozen bigeye tuna and the means to market States to validate the imports of frozen bigeye tuna. The average compliance rate for this section is



59%, which is above the Commission's average compliance rate. Despite the programme having been adopted since 2001, a number of CPCs still lack the necessary system and procedures to monitor the imports or exports of frozen bigeye tuna caught in the IOTC area. The resulting effect of is that some CPCs are unable to provide imports reports that are consistent with the IOTC standard; information on the harvesting fleet, ocean area and gear type are often missing. Compliance with the reporting requirement by exporting CPCs (i.e. submission of annual report) is still a challenge for many CPCs. Often-times CPCs are unable to reconcile their export figures with data submitted by importing/market States. Failure to reconcile export and import data figures provide the opportunity for illegally caught bigeye tuna to enter into trade.

## **CR section 11:**

Section 11 of the Compliance Report pertains to the implementation status of IOTC CMMs on port State measures. The objective of port State measures is to prevent, deter and eliminate IUU fishing by preventing vessels engaged in such activities within the IOTC Area of Competence, and fishing for stocks under the IOTC's mandate, from using ports and landing their catches. The average compliance rate for this section stands at 50%, falling below the Commission's average compliance rate of 56%. Despite the relevant Resolutions being adopted since 2010, low compliance levels could be attributed primarily to a lack of transposition of the obligations into national law. This includes, but not limited to the obligation to inspect at least 5 % of the landings or transshipments in port, requirements on the denial of use of ports and its withdrawal, and the obligation to transmit a copy of the inspection report within three working days after the end of the inspection. As a possible consequence of the latter, most port CPCs transmitted inspection reports beyond the established deadline of three working days. Moreover, Somalia, Sudan and Yemen, did not submit any information for the entire section 11.

Additional details regarding the requirements outlined in section 11 are included below:

**Designated ports, authorities and notification period:** three out of sixteen port CPCs submitted updates on their designated ports in 2023. Bangladesh has not provided information on prior notification period since the information on designated ports was provided by Bangladesh on 15 April 2018. The information on designated ports is available in the [e-PSM application](#) and the [IOTC PSM web page](#).

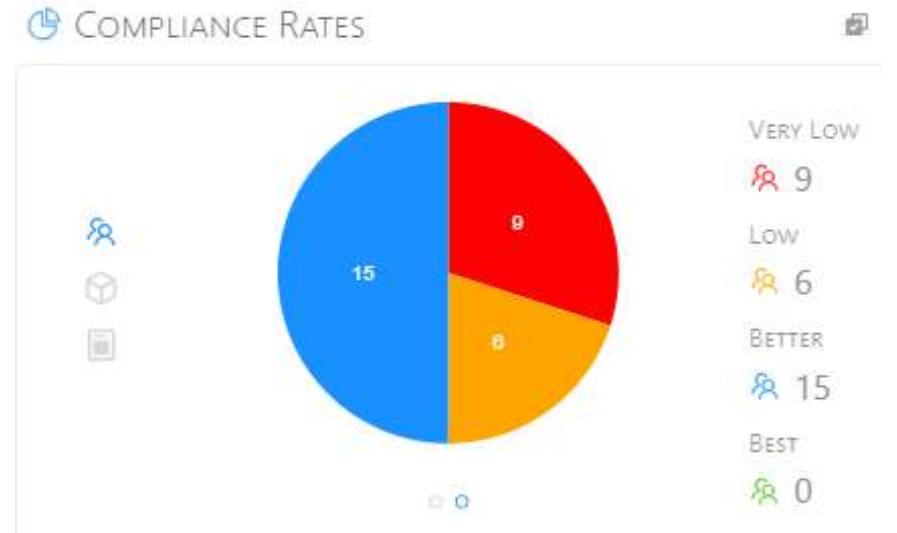
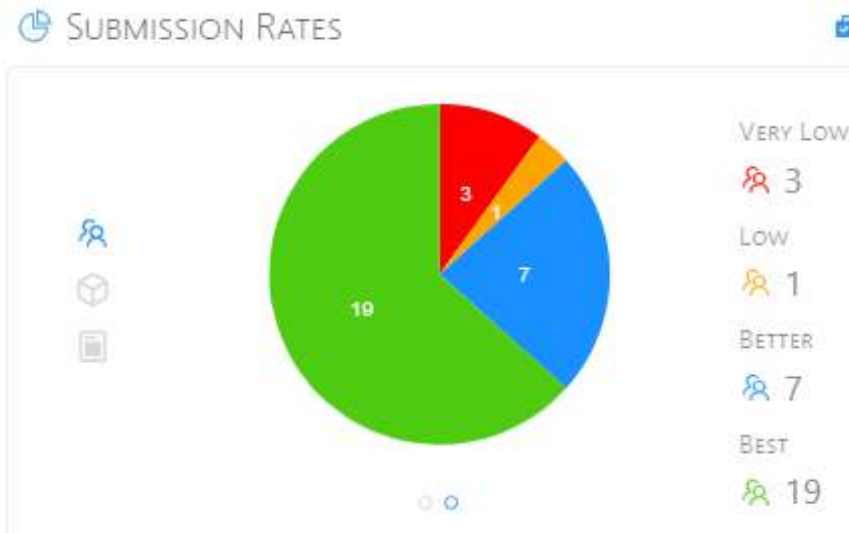
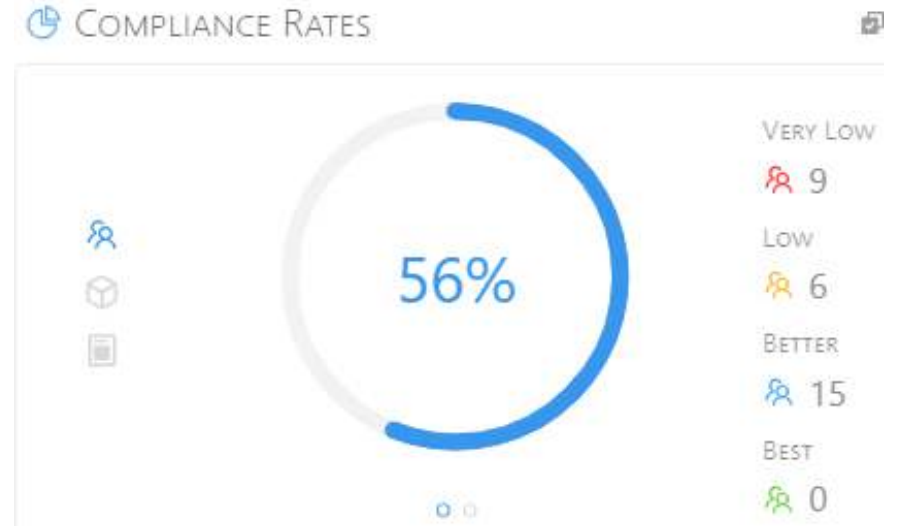
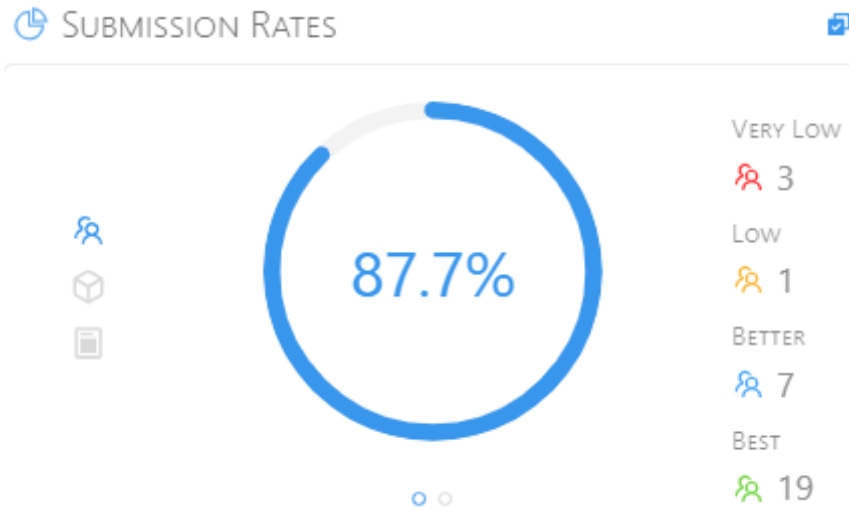
**Inspection reports:** from the ten port CPCs that conducted inspections to foreign vessels, 9 provided the inspection reports via the e-PSM application, and one via e-mail, in 2023. One port CPC despite receiving port calls during 2023, has not provided inspection reports. Only one port CPC, submitted port inspection reports within the three (3) working days deadline. To streamline port inspection reports transmission, the Secretariat has currently trained on the offline PIR e-PSM application and equipped with tablets eight CPCs: Madagascar, Mauritius, Maldives, Seychelles, South Africa, Sri Lanka, Tanzania and Thailand.

Port inspection reports are available to CPCs in the [e-PSM application](#) (Credentials to access the e-PSM application and e-PSM library Module 2 are required).

**5% inspection of LAN or TRX:** all port CPCs that have conducted inspection of foreign vessels, conducted 5% or more of inspection/monitoring of offloading and are providing monitoring forms.

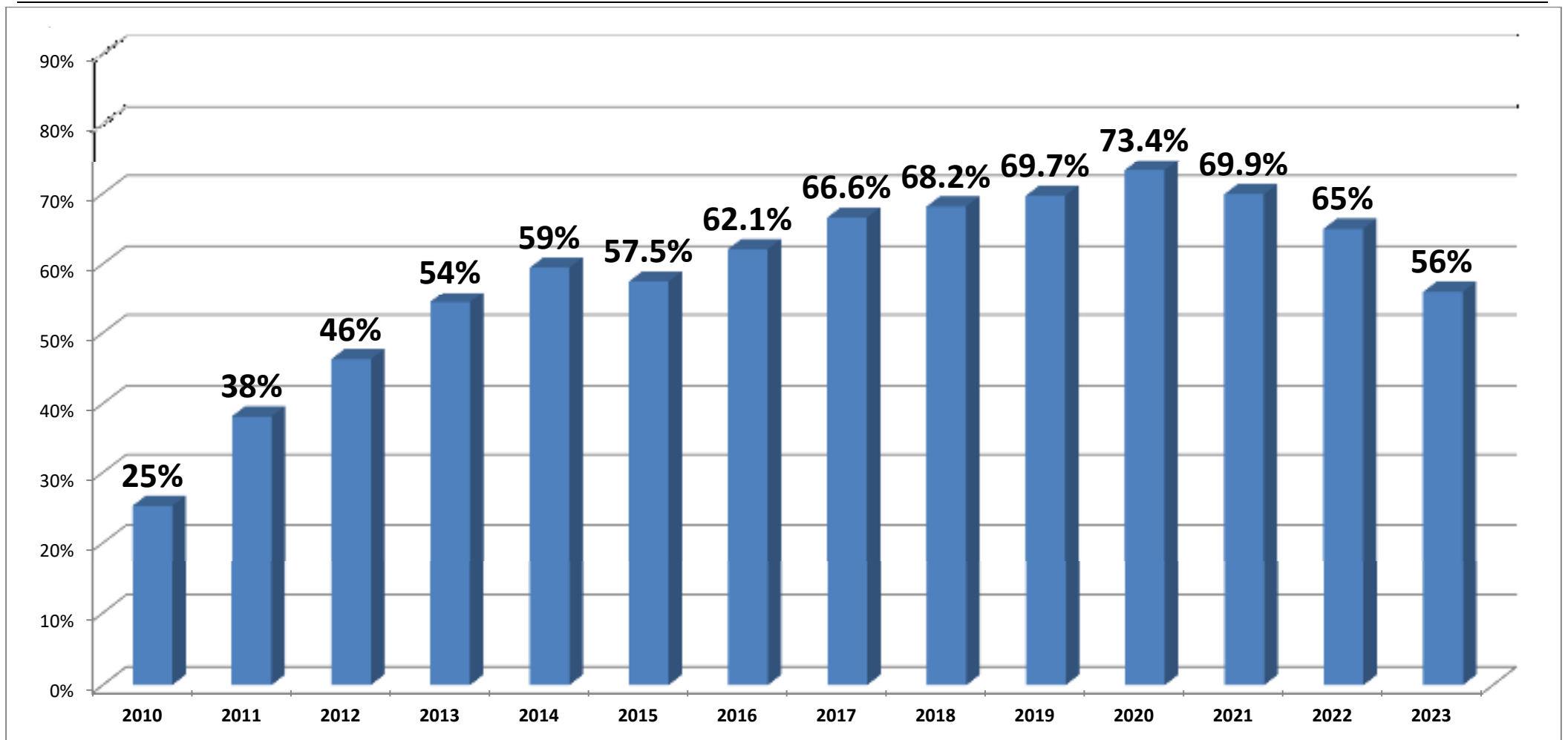
**Port entry denial, port use denial and withdrawal of denial of use:** From the sixteen port CPCs that have designated ports in the IOTC Area of Competence and have received port calls, only one has denied of use of port, and has withdrawn the denial of use of port in 2023.

**Report of vessels engaged in IUU fishing following an inspection:** from the port CPCs, one CPC following a port inspection communicated that there were clear grounds for believing that a vessel had engaged in IUU fishing or fishing related activities.



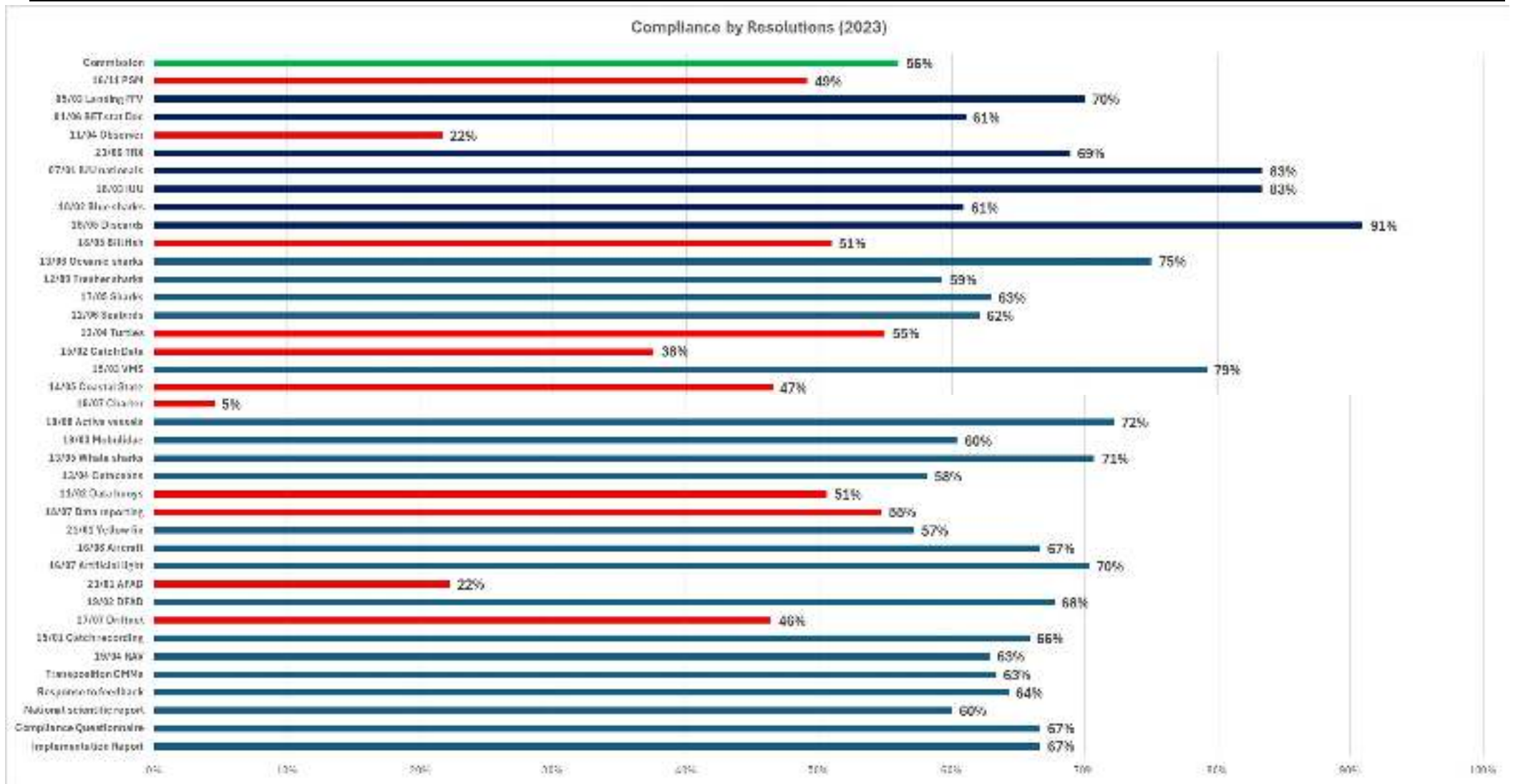
**Figure 1.** The level of compliance of the Commission, for 2023, with IOTC Resolutions having reporting requirements.

Very low: The number of CPCs with a compliance rate and a submission rate in the 0%-33% range across all requirements and reports; Low: The number of CPCs with a compliance rate and a submission rate in the 33%-66% range across all requirements and reports; Better: The number of CPCs with a compliance rate and a submission rate in the 66%-99% range across all requirements and reports; Best: The number of CPCs with 100% compliance rate and submission rate across all requirements and reports.



**Figure 2.** Level of compliance of the Commission from 2010 to 2023.

(Note: The level of compliance is expressed in percentage – 111 reporting requirements in 2023.)



	Resolutions compliance rate below the Commission's compliance rate
	Resolutions compliance rate above the Commission's compliance rate

**Figure 3a.** Level of compliance of the Commission for 2023 with IOTC Resolutions having reporting requirements.

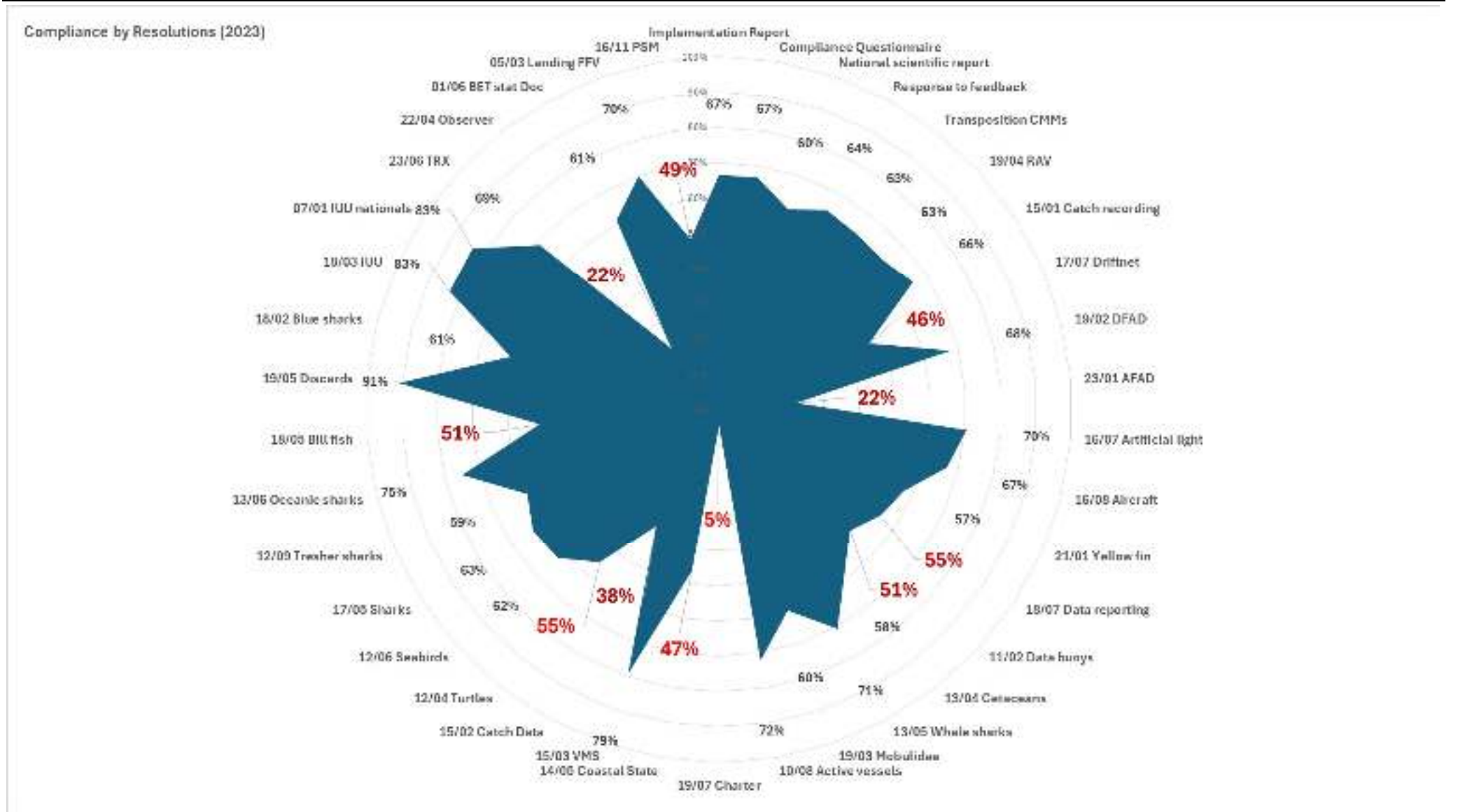


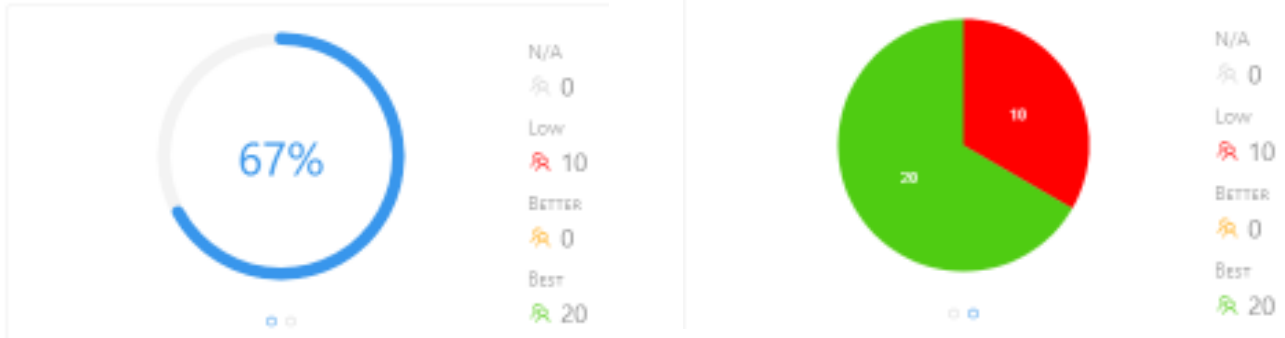
Figure 3b. Level of compliance of the Commission for 2023 with IOTC Resolutions having reporting requirements.

3. Level of compliance by IOTC CPCs – Implementation obligations (COMPLIANCE REPORT section 1).

3.1. Mandatory reports

3.1.1. Implementation Report 2023

COMPLIANCE RATE



Compliant	21	AUS, BDG, CHN, EUR, FRA (OT), IDN, IRN, JPN, KOR, LBR, MDG, MYS, MDV, MUS, MOZ, PHL, SYC, LKA, TZA, THA, GBR
Partially Compliant	2	COM, ZAF
Not Compliant 1	1	LBR
Not Compliant 2	7	IND, KEN, OMN, PAK, SOM, SDN, YEM
Not Applicable	N/A	Report mandatory for all CPCs.

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, ZAF, LBR, IND, PAK
Standard	COM, LBR, IND, PAK
No submission for	KEN, OMN, SOM, SDN, YEM

Most of the CPCs, twenty-five (25), have provided the implementation report. Two (2) CPCs have not completed all the sections of the implementation report at the time of submitting the report. Five (5) CPCs have not provided the implementation report from which five (5) repeatedly the last two years.

3.1.2. Compliance questionnaire 2023

COMPLIANCE RATE



Compliant	20	AUS, BDG, CHN, COM, EUR, FRA OT, IDN, JPN, KOR, LBR, MDG, MYS, MUS, MDV, MOZ, PHL, SYC, ZAF, LKA, THA, GBR
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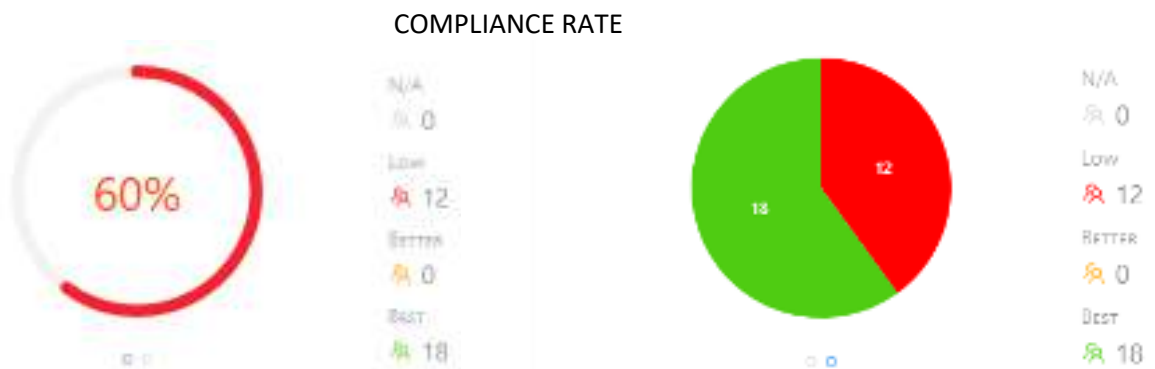
Partially Compliant	<b>4</b>	IRN, KEN, OMN, TZA
Not Compliant 1	<b>1</b>	COM
Not Compliant 2	<b>5</b>	IND, PAK, SDN, SOM, YEM
Not Applicable	<b>N/A</b>	Report mandatory for all CPCs.

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	KEN, COM, IND, PAK
Standard	IRN, TZA, OMN
No submission for	SOM, SDN, YEM

Most of the CPCs, twenty-five (27), have provided the compliance questionnaire. Three (3) CPCs have not provided the compliance questionnaire, repeatedly the last two years.

### 3.1.3. National scientific report



Compliant	<b>18</b>	AUS, CHN, FRAOT, IDN, IRN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, PHL, SYC, ZAF, LKA, THA, TZA
Partially Compliant	<b>3</b>	COM, EUR, GBR
Not Compliant 1	<b>4</b>	BDG, IND, LBR, SOM
Not Compliant 2	<b>5</b>	MOZ, OMN, PAK, SDN, YEM
Not Applicable	<b>N/A</b>	Report mandatory for all CPCs.

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	MOZ
Legislation	COM, GBR, BDG, IND, MOZ, OMN, PAK
Standard	PAK
System/procedure	COM, EU, PAK
No submission for	LBR, SOM, SDN, YEM, IND, OMN

There has been progress in the submission by CPC of the Scientific National Report to the Scientific Committee (2021: 65%; 2022 81%; 2023: 90%; 27 NR submitted).

3.1.4. Feedback letter (S17)

COMPLIANCE RATE



Compliant	<b>18</b>	AUS, BDG, CHN, EUR, IDN, IRN, JPN KOR, MDG, MYS, MDV, MUS, MOZ, PHL, SYC, ZAF, LKA, TZA THA
Partially Compliant	<b>1</b>	ZAF
Not Compliant 1	<b>2</b>	KEN, LBR, SOM
Not Compliant 2	<b>6</b>	COM, IND, OMN, PAK, SDN, YEM
Not Applicable	<b>2</b>	FRAOT, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	ZAF, COM
Standard	COM, IND
System/procedure	COM
No submission for	KEN, LBR, OMN, PAK, SOM, SDN, YEM

Most of the CPCs, twenty-one (21), have provided a response to the feedback letter. Seven (7) CPCs have not provided a response to the feedback letter (repeated compliance issue).



**3.2. Legal obligation – Transposition of IOTC CMMs into national legislation**

COMPLIANCE RATE



Compliant	<b>19</b>	AUS, CHN, EUR, FRAOT, IDN, IRN, JPN, KOR, MDG, MDV, MYS, MUS, MOZ, PHL, SYC, ZAF, LKA, TZA, THA
Partially Compliant	<b>6</b>	BDG, COM, IND, LBR, PAK, GBR
Not Compliant 1	<b>5</b>	KEN, OMN, SOM, SDN, YEM
Not Compliant 2	<b>0</b>	
Not Applicable	<b>N/A</b>	Requirement applicable to all CPCs.

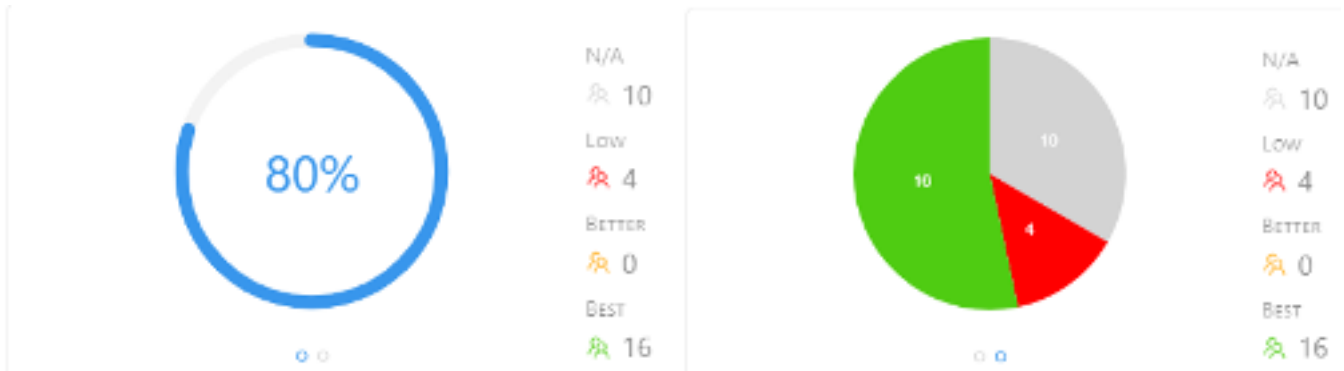
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, PAK
Legislation	BDG, IND, PAK
System/procedure	BDG, COM, IND, LBR, PAK, GBR, KEN
No submission for	OMN, SOM, SDN, YEM

4. Level of compliance by IOTC CPCs - Management standards (COMPLIANCE REPORT section 2).

REQ CR 2.1 - Documents on board (Resolution 19/04)

COMPLIANCE RATE



Compliant	16	AUS, CHN, EU, IDN, IRN, JPN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA
Partially Compliant	4	BDG, IND, KEN, OMN
Not Compliant 1	0	
Not Compliant 2	0	
Not Applicable	10	COM, FRA(OT), LBR, PAK, PHL, SOM, SDN, THA, GBR, YEM

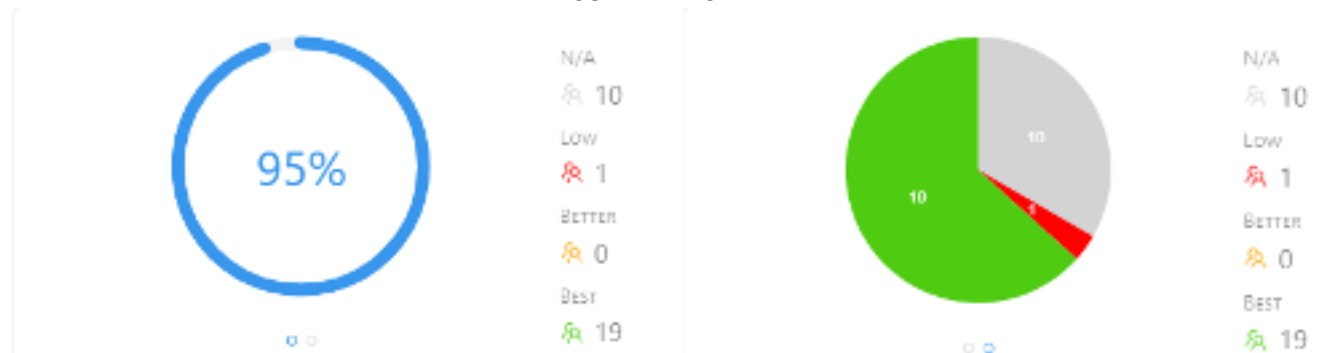
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, KEN, OMN
System/procedure	BDG, OMN
No submission for	SOM, SDN, YEM

The majority of CPCs are compliant with this requirement, and it is not applicable to one-third of CPCs. For the four CPCs that are partially compliant with the requirement, the main issues are with non-provision of legislation or legislation that is inadequate in scope and have not provided information on their system and procedures to implement the obligation. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

REQ CR 2.2 - Vessel Markings (Resolution 19/04)

COMPLIANCE RATE



Compliant	19	AUS, CHN, EU, IND, IDN, IRN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	0	BDG, COM, FRA(OT), LBR, PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

System/procedure	OMN
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**REQ CR 2.3 - Marking of fishing gears (Resolution 19/04)**

COMPLIANCE RATE



Compliant	13	AUS, CHN, EU, IND, IDN, JPN, KEN, KOR, MUS, ZAF, LKA, TZA, THA
Partially Compliant	4	MYS, OMN, SYC
Not Compliant 1	1	MDG
Not Compliant 2	1	IRN
Not Applicable	12	BDG, COM, FRA(OT), LBR, MDV, MOZ, PAK, PHL, SOM, SDN, GBR, YEM

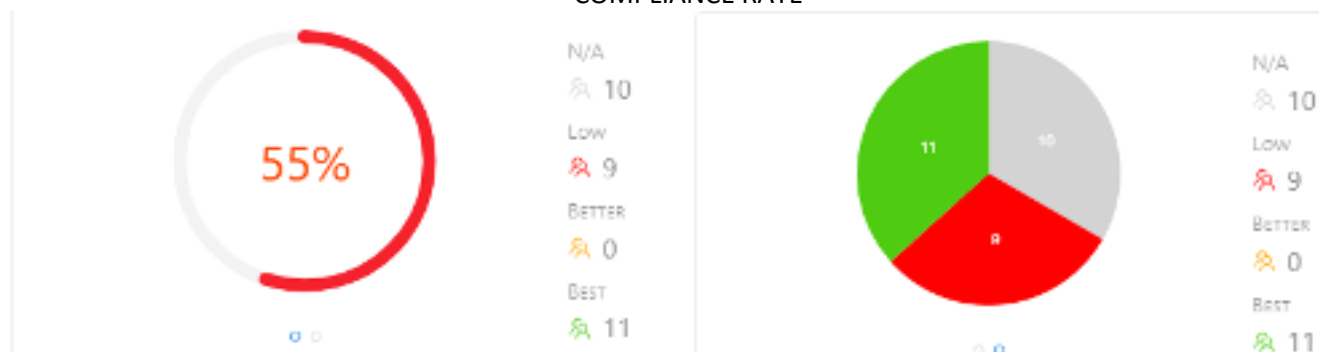
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	SYC, MDG
Standard	MYS, OMN, MDG, IRN
System/procedure	OMN, IRN

For two CPCs listed above, the legislation submitted does not have full provision for marking of fishing gears, for the other CPC the IOTC standard is not met and/or they have not reported on system and procedure.

**REQ CR 2.4 - National logbook on board (Resolution 19/04)**

COMPLIANCE RATE



Compliant	11	AUS, CHN, EU, IDN, JPN, KOR, MDV, MUS, MOZ, ZAF, THA
Partially Compliant	6	KEN, MDG, MYS, SYC, LKA, TZA
Not Compliant 1	2	IND IRN
Not Compliant 2	1	OMN
Not Applicable	10	BDG, COM, FRA(OT), LBR, PAK, PHL, SOM, SDN, GBR, YEM

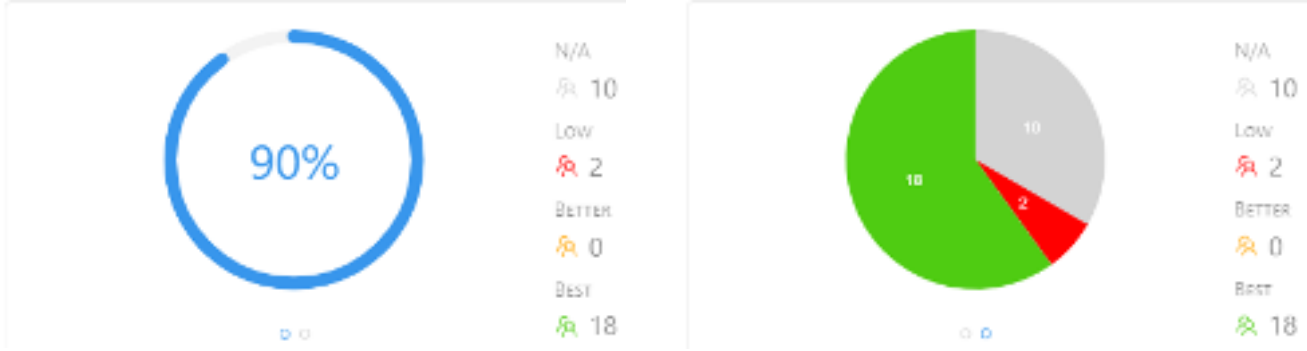
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	KEN, MDG, MYS, SYC, LKA, TZA, IND, IRN
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For the CPCs listed above, the legislation submitted does not have full provision for the fishing logbook: onboard, bound, with consecutively numbered pages, original recordings be kept on board for a period of at least 12 months.

**REQ CR 2.5 - Template ATF ABNJ (Resolution 19/04).**

COMPLIANCE RATE



Compliant	<b>18</b>	AUS, CHN, EU, IDN, IRN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>1</b>	OMN
Not Compliant 2	<b>1</b>	IND
Not Applicable	<b>10</b>	BDG, COM, FRA(OT), LBR, PAK, PHL, SOM, SDN, GBR, YEM

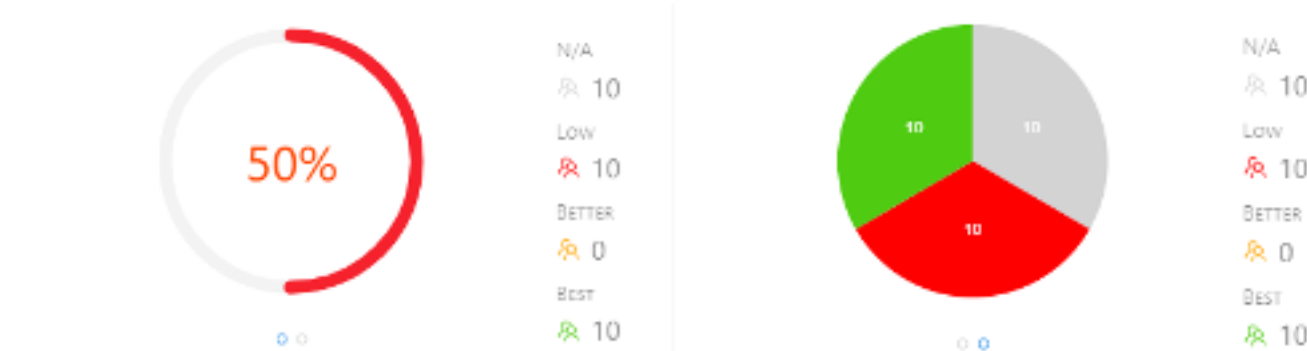
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IND
Legislation	IND
Standard	IND
System/procedure	IND, OMN

Eighteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to ten CPCs, Two CPCs are not compliant with this requirement, they have not transposed this requirement into their national legislation, and/or have not submitted the mandatory and/or have not reported on their system and procedures to implement this requirement.

**REQ CR 2.6 - IMO number (Resolution 19/04)**

COMPLIANCE RATE



Compliant	<b>10</b>	AUS, CHN, JPN, KEN, KOR, MYS, MOZ, SYC, TZA, THA
Partially Compliant	<b>6</b>	EU, IND, LBR, MDV, MUS, ZAF

Not Compliant 1	0	
Not Compliant 2	4	IDN, IRN, OMN, LKA
Not Applicable	10	BDG, COM, FRA(OT), MDG, PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, LBR, ZAF, IRN, OMN, LKA
Standard	MDV, MUS, IDN, IRN, LKA
System/procedure	EU, ZAF, IRN, OMN, LKA

Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to ten CPCs, Ten CPCs are not compliant with this requirement, they have not transposed this requirement into their national legislation, and/or have not submitted the mandatory IMO number for eligible vessels and/or have not reported on their system and procedures to implement this requirement.

**REQ CR 2.7a - Fishing logbooks template (Resolution 15/01)**

COMPLIANCE RATE



Compliant	17	AUS, CHN, EU, IDN, IRN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, SYC, ZAF, LKA, TZA, THA
Partially Compliant	0	
Not Compliant 1	1	IND
Not Compliant 2	1	OMN
Not Applicable	11	BDG, COM, FRA(OT), LBR, MOZ, PAK, PHL, SOM, SDN, GBR, YEM

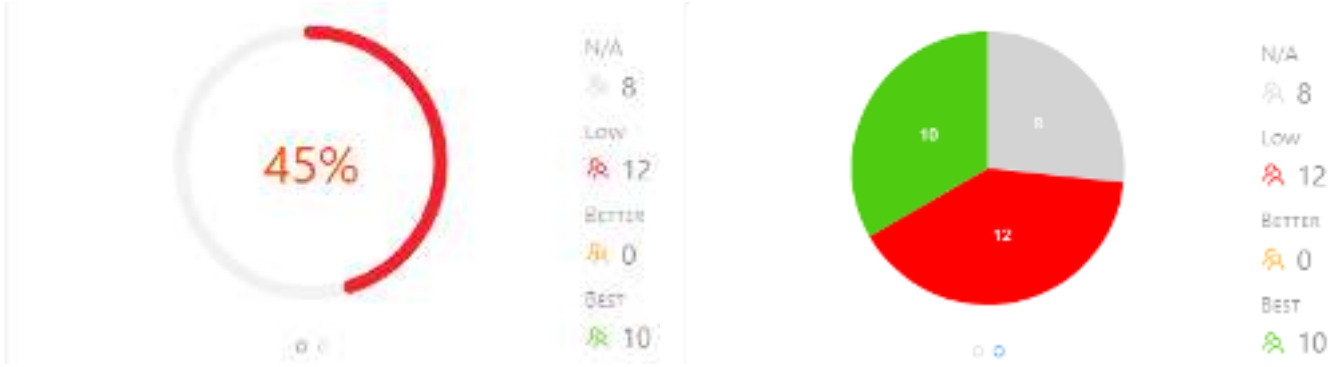
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND
System/procedure	OMN

Seventeen CPCs have been assessed as compliant with this measure. This requirement is not applicable to eleven CPCs. Two CPCs are not compliant with this requirement, they have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement.

**REQ CR 2.7b – Coastal Catch Recording System (Resolution 15/01)**

COMPLIANCE RATE



Compliant	<b>10</b>	AUS, IDN, IRN, MYS, MDV, MUS, SYC, ZAF, LKA, THA
Partially Compliant	<b>2</b>	KEN, TZA
Not Compliant 1	<b>7</b>	BDG, COM, IND, MDG, MOZ, OMN, SOM
Not Compliant 2	<b>3</b>	PAK, SDN, YEM
Not Applicable	<b>8</b>	CHN, EU, FRA(OT), JPN, KOR, LBR, PHL, GBR

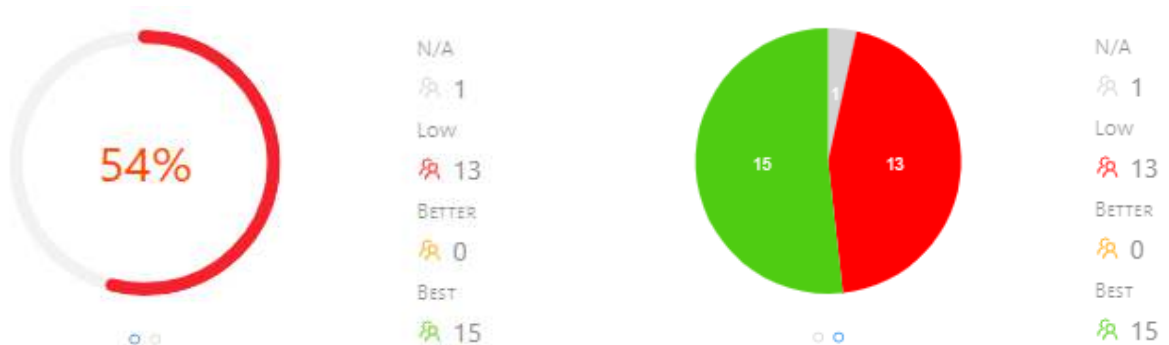
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	MOZ
Legislation	IDN, MOZ
Standard	KEN, TZA, BDG, NDG, MOZ, OMN
System/procedure	BDG, COM, MDG, MOZ, OMN
No submission for	SOM, SDN, YEM

Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to eight CPCs, they are located outside the IOTC area, or they do not have IOTC coastal fisheries. Twelve CPCs are not compliant with this requirement, they have not transposed this requirement into their national legislation, and/or have not submitted a report that meets the IOTC standard and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.8 - Ban on large-scale driftnets in IOTC Area (Resolution 17/07)**

COMPLIANCE RATE



Compliant	<b>15</b>	AUS, CHN, FRA(OT), IDN, IRN, KOR, MDG, MUS, MOZ, PHL, SYC, ZAF, TZA, THA, GBR
Partially Compliant	<b>2</b>	MDV, LKA
Not Compliant 1	<b>2</b>	EU, OMN
Not Compliant 2	<b>9</b>	BDG, COM, IND, JPN, KEN, MYS, PAK*, SOM, SDN, YEM
Not Applicable	<b>1</b>	LBR

\*Pakistan has objected to Resolution 17/07, and instead Resolution 12/12 is applicable to them.

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IND, PAK*
Legislation	COM, IND, JPN, MYS, MDV, LKA, PAK*
System/procedure	BGD, COM, EU, PAK*
No submission for	KEN, OMN, SOM, SDN, YEM

\*Pakistan has objected to Resolution 17/07, and instead Resolution 12/12 is applicable to them.

Fifteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to one CPC. Thirteen CPCs are not compliant with this requirement since they have not met the submission deadline, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.9 - MCS actions related to large-scale driftnets in IOTC Area (Resolution 17/07)**

COMPLIANCE RATE



Compliant	11	AUS, EU, IDN, KOR, MUS, PHL, SYC, ZAF, LKA, TZA, THA
Partially Compliant	8	BDG, CHN, IRN, JPN, MDG, MYS, MDV, MOZ
Not Compliant 1	3	COM, KEN, PAK*
Not Compliant 2	5	IND, OMN, SOM, SDN, YEM
Not Applicable	3	FRA(OT), LBR, GBR

\*Pakistan has objected to Resolution 17/07, and instead Resolution 12/12 is applicable to them.

CPCs have been assessed P/C or N/C for the following reasons:

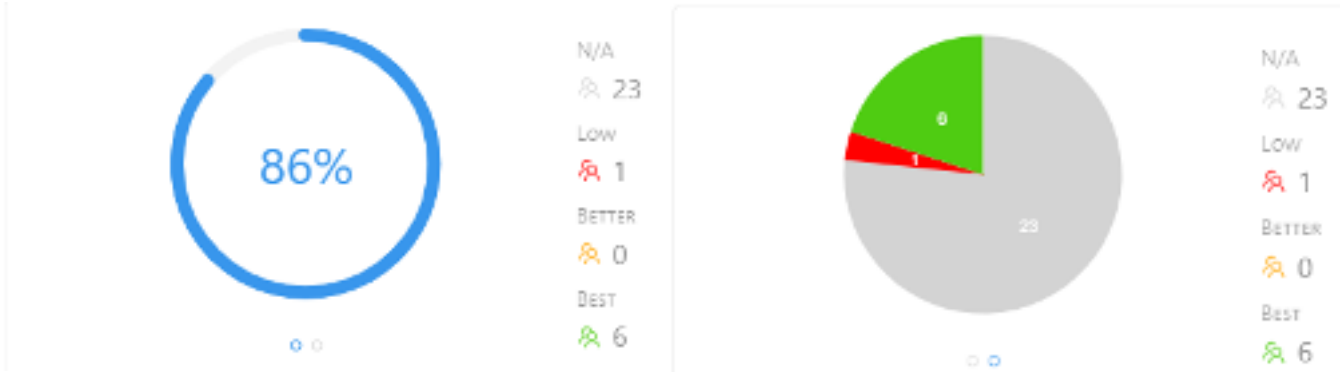
Timeliness	COM, IND, PAK*
Legislation	COM, IND, JPN, MYS, MDV, MOZ, PAK*
Standard	COM, PAK*
System/procedure	BGD, CHN, COM, IND, IRN, MDG, MYS, MDV, PAK*
No submission for	KEN, OMN, SOM, SDN, YEM

\*Pakistan has objected to Resolution 17/07, and instead Resolution 12/12 is applicable to them.

Eleven CPCs have been assessed as compliant with this measure. This requirement is not applicable to three CPCs, they are not coastal States and do not have fishing vessels in the IOTC area. Fifteen CPCs are not compliant with this requirement since they have not met the submission deadline and/or IOTC Standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.10 - Marking of DFADs (Resolution 19/02)**

COMPLIANCE RATE



Compliant	6	EU, JPN, KOR, MUS, SYC, TZA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	23	AUS,BDG,CHN,COM,FRA(OT),IND,IDN,IRN,KEN,LBR,MDG,MYS,MDV,MOZ,PAK,P HL,SOM,ZAF,LKA,SDN,THA,GBR,YEM

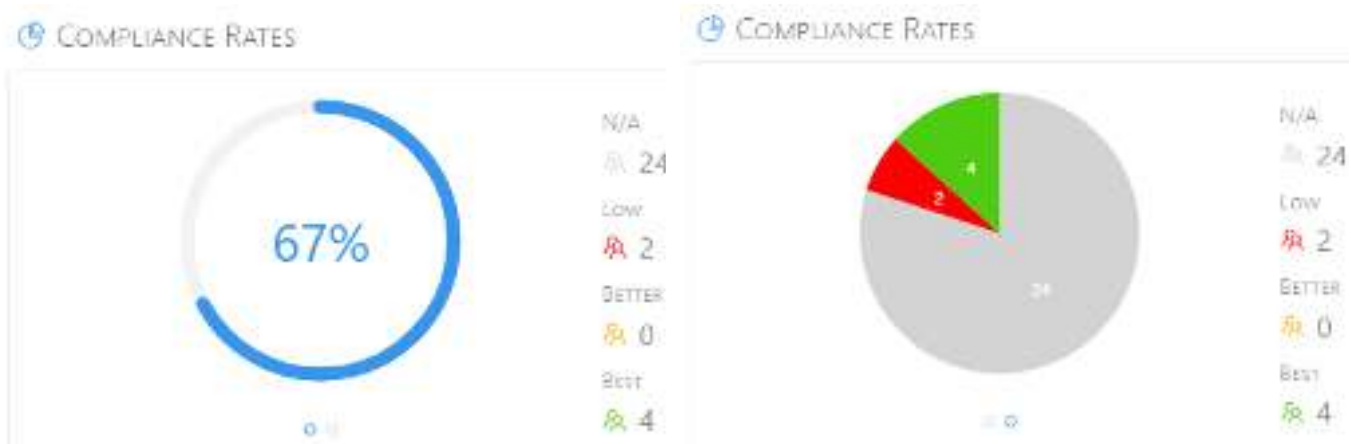
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN
Standard	OMN
System/procedure	OMN

Consult [IOTC-2024-CoC21-08 Summary of compliance with the drifting FAD Management Plan](#).

**REQ CR 2.11 - DFADs management plan (Resolution 19/02)**

COMPLIANCE RATE



Compliant	6	EU, JPN, KOR, MUS, SYC, TZA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	24	AUS,BDG,CHN,COM,FRA(OT),IND,IDN,IRN,KEN,LBR,MDG,MYS,MDV,MOZ,PAK,P HL,SOM,ZAF,LKA,SDN,THA,GBR,YEM

CPCs have been assessed P/C or N/C for the following reasons:



Legislation	OMN
Standard	OMN
System/procedure	OMN

Consult [IOTC-2024-CoC21-08 Summary of compliance with the drifting FAD Management Plan](#). One CPC has not submitted the mandatory DFAD management plan since purse seine vessels are flagged to Oman (3 years).

**REQ CR 2.11c - AFADs management plan (Resolution 23/01)**

COMPLIANCE RATE



Compliant	2	IDN,MDV
Partially Compliant	0	
Not Compliant 1	7	EU,KEN,MUS,OMN,SOM,SDN,YEM
Not Compliant 2	0	
Not Applicable	21	AUS,BDG,CHN,COM,FRA(OT),IND,IRN,JPN,KOR,LBR,MDG,MYS,MOZ,PAK,PHL,SY C,ZAF,LKA,TZA,THA,GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	MUS
Standard	EU
No submission for	KEN, OMN, SOM, SDN, YEM

Two CPCs have been assessed as compliant with this measure. This requirement is not applicable to twenty-one CPCs, they do not have purse seine vessels fishing on DFADs in the IOTC area. Seven CPCs are not compliant with this requirement due to timeliness and failure to meet the IOTC standard.

**REQ CR 2.12 - Progress DFAD management plan (Resolution 19/02)**

COMPLIANCE RATE



Compliant	4	EU, KOR, MUS, SYC
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Partially Compliant	0	
Not Compliant 1	1	TZA
Not Compliant 2	1	OMN
Not Applicable	24	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Standard	TZA
No submission for	OMN

Four CPCs have been assessed as compliant with this measure. This requirement is not applicable to twenty-four CPCs, they do not have purse seine vessels fishing on DFADs in the IOTC area. Two CPCs are not compliant with this requirement since they have submitted the progress report.

Consult [IOTC-2024-CoC21-08 Summary of compliance with the drifting FAD Management Plan](#).

**REQ CR 2.13 – Prohibition Lights (Resolution 16/07)**

**COMPLIANCE RATES**



Compliant	19	AUS, CHN, EU, IND, IDN, IRN, JPN, KEN, KOR, MDG, MDV, MUS, MOZ, PHL, SYC, ZAF, LKA, TZA, THA
Partially Compliant	1	MYS
Not Compliant 1	1	COM
Not Compliant 2	6	BDG, OMN, PAK, SOM, SDN, YEM
Not Applicable	3	FRA(OT), LBR, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	COM, BDG, MYS, OMN
System/procedure	COM, BDG, OMN, PAK
No submission for	SOM, SDN, YEM

Almost two-thirds of CPCs are compliant with this requirement. Eight CPCs are not compliant with the requirement, mostly due to lack of or inadequate legislation and/or have not or only partially provided information on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.14 - Prohibition aircrafts (Resolution 16/08)**

**COMPLIANCE RATES**



Compliant	<b>18</b>	AUS, CHN, EU, FRA(OT), IND, IDN, IRN, JPN, KEN, KOR, MDG, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	<b>2</b>	MYS, TZA
Not Compliant 1	<b>0</b>	
Not Compliant 2	<b>7</b>	BDG, COM, OMN, PAK, SOM, SDN, YEM
Not Applicable	<b>3</b>	LBR, PHL, GBR

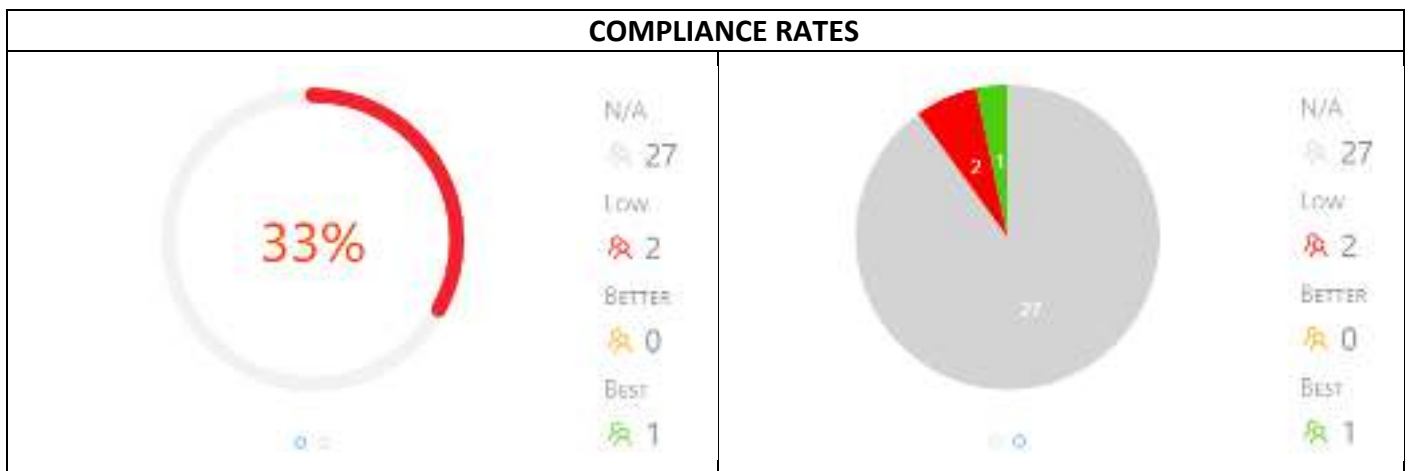
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, COM, MYS, OMN
System/procedure	BDG, COM, OMN, PAK
No submission for	SOM, SDN, YEM

The majority of CPCs are compliant with this requirement. Nine CPCs are not compliant with the requirement, mostly due to lack of, or inadequate, legislation and/or have not, or only partially, provided information on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.15 – Actions to reduce catch of yellowfin tuna (Resolution 21/01)**

**COMPLIANCE RATES**



Compliant	<b>1</b>	EU
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>2</b>	KEN, OMN

Not Compliant 2	0	
Not Applicable	27	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KOR, LBR, MDG, MYS, MDV, MUS, MOZ, PAK, PHL, SYC, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

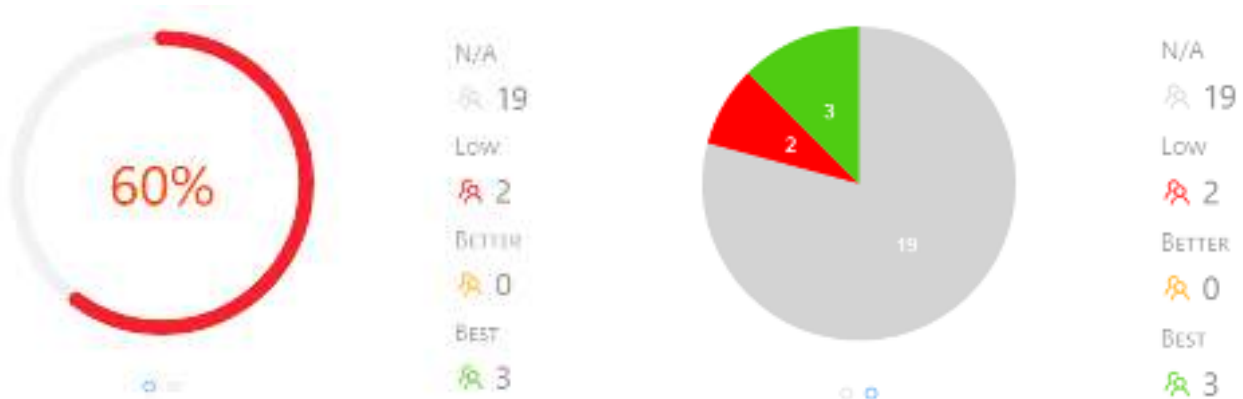
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN
System/procedure	KEN, OMN
No submission for	SOM, SDN, YEM

This requirement is not applicable to the majority of CPCs, since they did not have an over-catch in 2021. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement. Draft submissions assessed as “missing” for Kenya and Oman indicate that they did not provide their legislation and/or system and procedures to implement this requirement.

**REQ CR 2.16 – Methods for reducing yellowfin catch (Resolutions 21/01, 19/01 & 18/01)**

**COMPLIANCE RATES**



Compliant	4	EU, IDN, MUS, SYC
Partially Compliant	2	IRN, PAK
Not Compliant 1	2	KEN, OMN
Not Compliant 2	0	None
Not Applicable	22	AUS, BDG, CHN, COM, FRA(OT), IND, JPN, KOR, LBR, MDG, MYS, MDV, MOZ, PHL, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

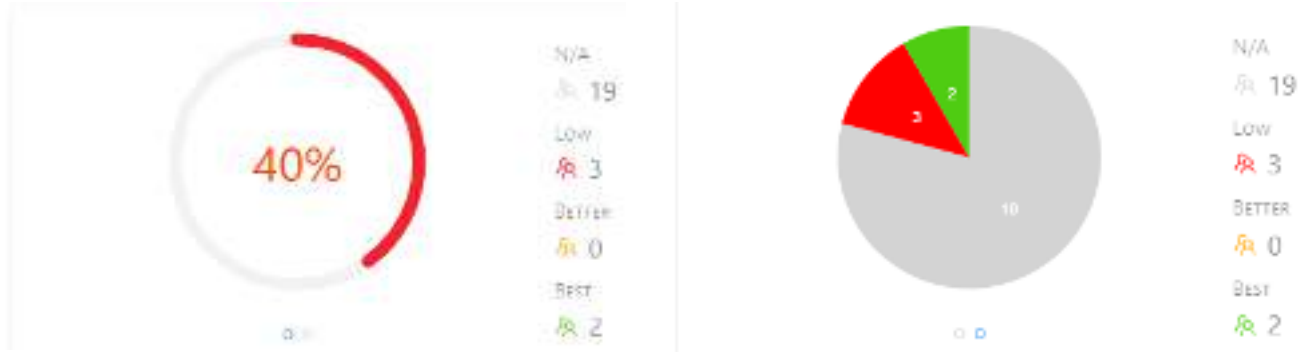
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IRN, OMN
System/procedure	IRN, KEN, OMN, PAK
No submission for	SOM, SDN, YEM

This requirement is not applicable to the majority of CPCs, since they are not subject to catch reduction for yellowfin tuna. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement. Submission assessed for Iran and Pakistan, as well as draft submissions assessed as “missing” for Kenya and Oman indicate that they did not provide their legislation and/or system and procedures to implement this requirement.

**REQ CR 2.17 - PS served by SP (Resolution 19/02)**

COMPLIANCE RATE



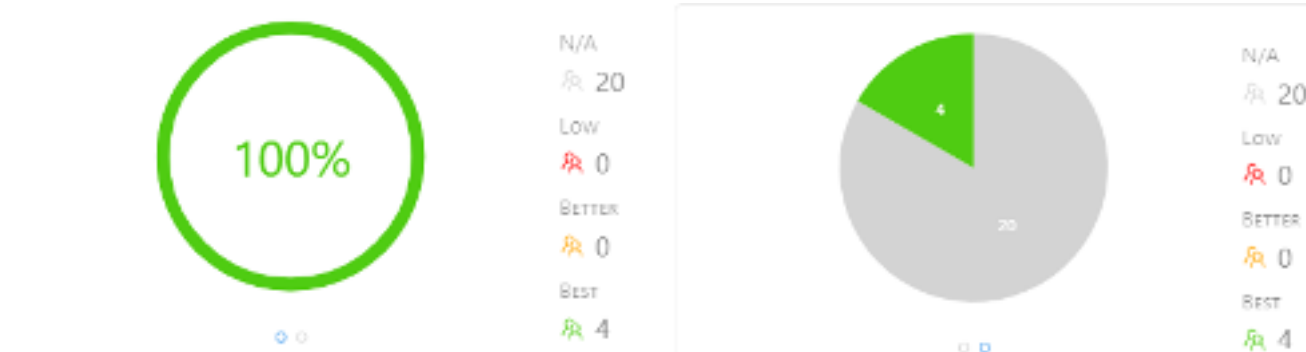
Compliant	2	KOR, SYC
Partially Compliant	1	MUS
Not Compliant 1	2	EU TZA
Not Compliant 2	0	
Not Applicable	19	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, OMN, PAK, PHL, ZAF, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	TZA
Legislation	EU, TZA
Standard	MUS
System/procedure	EU

**REQ CR 2.18 - Plan for reducing SP (Resolution 19/02)**

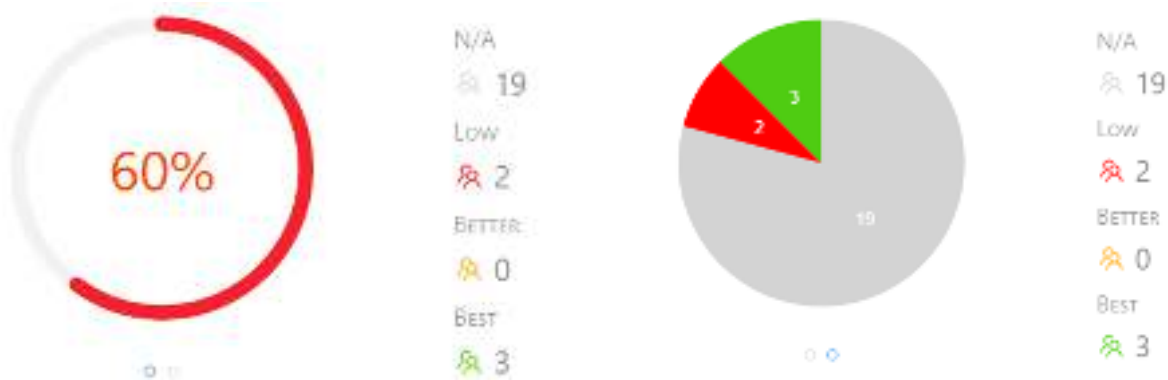
COMPLIANCE RATE



Compliant	4	EU, KOR, MUS, SYC
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	0	
Not Applicable	20	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, OMN, PAK, PHL, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

**REQ CR 2.19 - Corrective actions taken by the CPC to adhere to the prescribed catch levels (Resolutions 21/01, 19/01 & 18/01)**

**COMPLIANCE RATES**



Compliant	4	EU, IDN, MDV, SYC
Partially Compliant	1	MUS
Not Compliant 1	2	OMN, PAK
Not Compliant 2	1	IRN
Not Applicable	22	AUS, BDG, CHN, COM, FRA(OT), IND, JPN, KEN, KOR, LBR, MDG, MYS, MOZ, PHL, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IRN, MUS, OMN
System/procedure	IRN, MUS, OMN, PAK
No submission for	SOM, SDN, TZA, YEM

This requirement is not applicable to the majority of CPCs, since they are not subject to catch reduction or over-catch of yellowfin tuna. Four CPCs, Iran, Mauritius, Oman and Pakistan did not provide their legislation and/or system and procedures to implement this requirement. Four CPCs, Somalia, Sudan, Tanzania and Yemen, have not submitted any information for this requirement.

**REQ CR 2.20 – Gillnet report on the level of implementation of paragraphs 21-23 (Resolution 21/01)**

**COMPLIANCE RATE**



Compliant	0	
Partially Compliant	1	LKA
Not Compliant 1	1	IRN*
Not Compliant 2	0	

Not Applicable	28	AUS, BDG, CHN, COM, EU, FRA(OT), IND, IDN*, JPN, KOR, LBR, MDG*, MYS, MDV, MUS, MOZ, OMN*, PAK, PHL, SYC, SOM*, ZAF, SDN, TZA, THA, GBR, YEM
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\*CPCs that objected to Resolution 21/01, and are subject to Resolution 19/01 instead.

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	LKA, IRN*
System/procedure	LKA, IRN*

\*CPCs that objected to Resolution 21/01, and are subject to Resolution 19/01 instead.

This requirement is not applicable to the majority of CPCs, since they do not have gillnet fishing vessels on the IOTC Record of authorized Vessels. Two CPCs, the Islamic Republic of Iran and Sri Lanka did not provide their legislation and system and procedures to implement this requirement.

**REQ CR 2.21 - Report actions taken to implement reporting obligations & improve data collection of catches (Resolution 18/07)**

COMPLIANCE RATES



Compliant	17	AUS, BDG, CHN, EU, IDN, IRN, JPN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, LKA, TZA, THA
Partially Compliant	2	COM, ZAF
Not Compliant 1	1	KEN
Not Compliant 2	6	IND, OMN, PAK, SOM, SDN, YEM
Not Applicable	4	FRA(OT), LBR, PHL, GBR

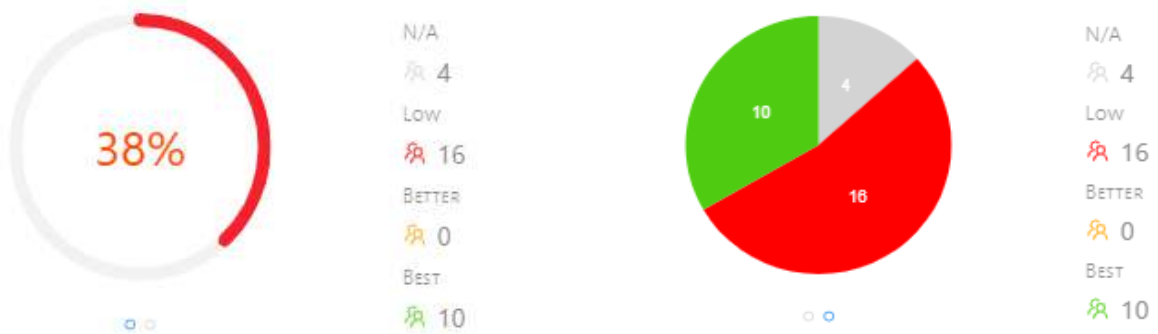
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, PAK, ZAF
Legislation	COM, IND
System/procedure	PAK
No submission for	KEN, OMN, SOM, SDN, YEM

Seventeen CPCs have been assessed as compliant with this measure. This requirement is not applicable to four CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Nine CPC has been assessed as non-compliant with this requirement since they have submitted a report after the deadline, and/or either not transposed, or adequately transposed, this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.22 – Damaged data buoys (Resolution 11/02)**

COMPLIANCE RATE



Compliant	10	AUS, EU, IND, IDN, KOR, MDG, MDV, MUS, SYC, THA
Partially Compliant	5	CHN, IRN, JPN, LKA, TZA
Not Compliant 1	6	BDG, COM, KEN, MYS, MOZ, ZAF
Not Compliant 2	5	OMN, PAK, SOM, SDN, YEM
Not Applicable	4	FRA(OT), LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, PAK
Legislation	BGD, CHN, COM, IRN, JPN, MYS, MOZ, PAK, ZAF, LKA, TZA
Standard	MOZ, PAK, ZAF
System/procedure	BGD, COM, IRN, MYS, MOZ, PAK
No submission for	KEN, OMN, SOM, SDN, YEM

Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to four CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Sixteen CPCs have been assessed as non-compliant with this requirement since they have not met the submission deadline and/or IOTC Standard, and/or have either not transposed, or adequately transposed, this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.23 – Fishing on data buoys (Resolution 11/02)**

COMPLIANCE RATE



Compliant	15	AUS, CHN, EU, IDN, IRN, JPN, KOR, MDG, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	0	
Not Compliant 1	1	TZA
Not Compliant 2	10	BDG, COM, IND, KEN, MYS, OMN, PAK, SOM, SDN, YEM
Not Applicable	4	FRA(OT), LBR, PHL, GBR



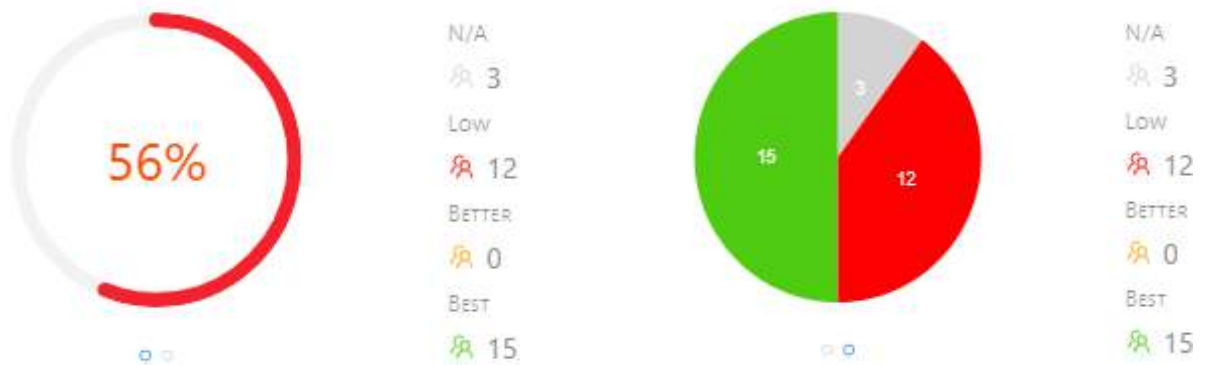
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, IND, PAK
Legislation	BGD, COM, IND, KEN, MYS, OMN, PAK
Standard	BGD, OMN, PAK
System/procedure	BGD, COM, OMN, PAK
No submission for	SOM, SDN, TZA, YEM

Fifteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to four CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Eleven CPCs have been assessed as non-compliant with this requirement since they have not met the submission deadline and/or IOTC Standard, and/or either not transposed, or adequately transposed, this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Somalia, Sudan, Tanzania and Yemen, have not submitted any information for this requirement.

**REQ CR 2.24 – Taking data buoys (Resolution 11/02)**

COMPLIANCE RATE



Compliant	15	AUS, CHN, EU, FRA(OT), IDN, JPN, KOR, MDG, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	1	TZA
Not Compliant 1	0	
Not Compliant 2	11	BDG, COM, IND, IRN, KEN, MYS, OMN, PAK, SOM, SDN, YEM
Not Applicable	3	LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, IND, PAK
Legislation	BGD, COM, IND, IRN, KEN, MYS, OMN, PAK
Standard	BGD, COM, OMN, PAK
System/procedure	BGD, COM, IRN, OMN, PAK, TZA
No submission for	SOM, SDN, YEM

Fifteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to three CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Twelve CPCs have been assessed as non-compliant since they have not met the submission deadline and/or IOTC Standard, and/or either not transposed, or adequately transposed, this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.25 - Prohibition of intentionally setting purse seine net around a cetacean (Resolution 23/06)**

**COMPLIANCE RATES**



Compliant	<b>11</b>	AUS, EU, FRA(OT), IDN, KOR, MUS, MOZ, SYC, LKA, TZA, THA
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>0</b>	
Not Compliant 2	<b>2</b>	IRN, OMN
Not Applicable	<b>17</b>	BDG, CHN, COM, IND, JPN, KEN, LBR, MDG, MYS, MDV, PAK, PHL, SOM, ZAF, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN
System/procedure	IRN, OMN
No submission for	SOM, SDN, YEM

The majority of CPCs do not operate purse seiners in the IOTC area; therefore, this requirement is not applicable to them. Eleven CPCs have transposed this requirement into their national legislation and are, therefore, compliant with this measure. Two CPC, Iran and Oman, who operates purse seiners in the IOTC area have either not transposed this requirement into their legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.26 - Prohibition of intentionally setting purse seine net around a whale shark (Resolution 13/05)**

**COMPLIANCE RATES**



Compliant	<b>11</b>	AUS, EU, FRA(OT), IDN, KOR, MUS, MOZ, SYC, LKA, TZA, THA
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Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	2	IRN, OMN
Not Applicable	17	BDG, CHN, COM, IND, JPN, KEN, LBR, MDG, MYS, MDV, PAK, PHL, SOM, ZAF, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN
System/procedure	IRN, OMN
No submission for	SOM, SDN, YEM

The majority of CPCs do not operate purse seiners in the IOTC area; therefore, this requirement is not applicable to them. 11 CPCs have transposed this requirement into their national legislation and are, therefore, compliant with this measure. Two CPC, Iran and Oman, who operates purse seiners in the IOTC area have either not transposed this requirement into their legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 2.27 - Prohibition of intentionally setting any gear type on Mobulid rays (Resolution 19/03)**

**COMPLIANCE RATES**



Compliant	18	AUS, CHN, EU, FRA(OT), IDN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	8	BDG, IND, IRN, OMN, PAK, SOM, SDN, YEM
Not Applicable	4	COM, LBR, PHL, GBR

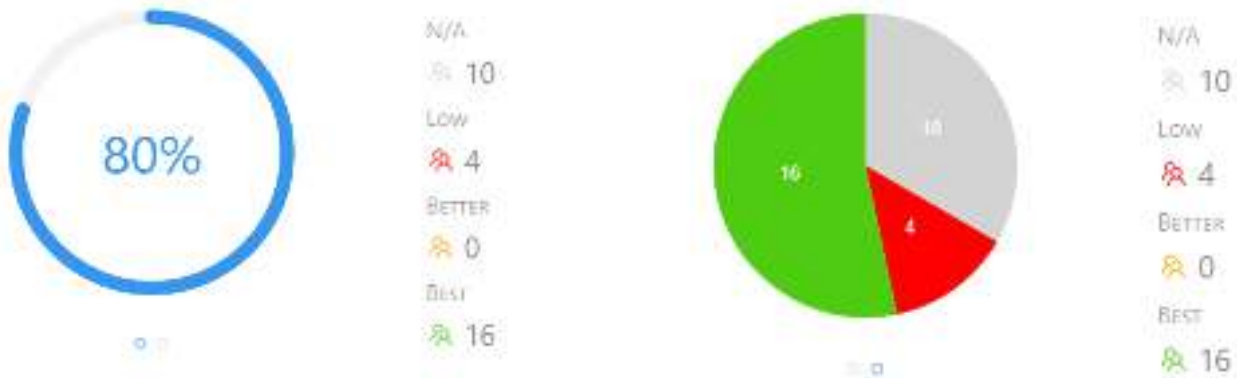
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, IND, OMN, PAK
System/procedure	BDG, IRN, PAK
No submission for	SOM, SDN, YEM

The majority of CPCs have transposed this requirement into their national legislation and, are therefore, compliant with this measure. Of the eight CPCs that have been assessed as non-compliant with this requirement, since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement or have not submitted any information for this requirement.

**REQ CR 2.28 – Report on review of flag State internal actions & measures, punitive actions and sanctions on flag vessels on the IOTC Record of Authorised Vessels (Resolution 19/04)**

**COMPLIANCE RATES**



Compliant	<b>16</b>	AUS, CHN, EU, IDN, IRN, JPN, KOR, MDG, MYS, MDV, MUS, SYC, ZAF, LKA, TZA, THA
Partially Compliant	<b>0</b>	None
Not Compliant 1	<b>1</b>	KEN
Not Compliant 2	<b>3</b>	IND, MOZ, OMN
Not Applicable	<b>10</b>	BDG, COM, FRA(OT), LBR, PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

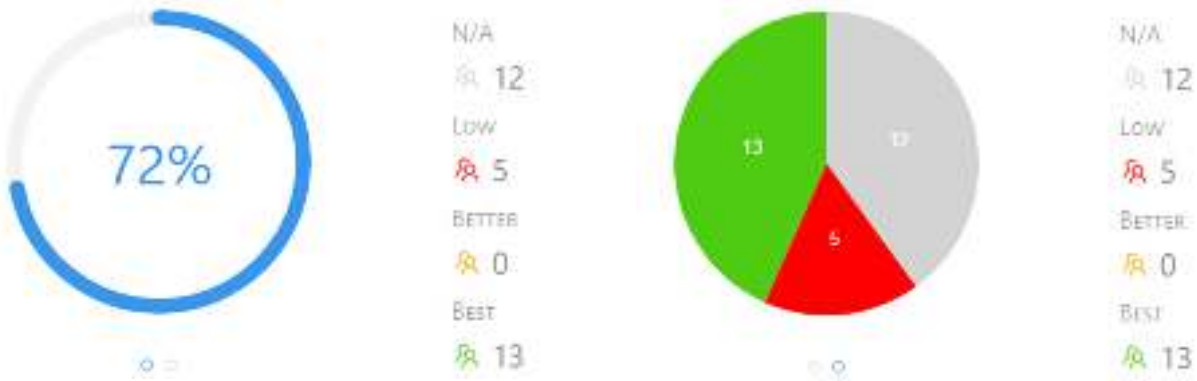
Legislation	IND, KEN, MOZ, OMN
System/procedure	KEN, MOZ, OMN
No submission for	SOM, SDN, YEM

Just over half of CPCs have transposed this requirement into their national legislation and, are therefore, compliant with this measure. This requirement is not applicable to one third of CPCs, since they do not have any vessel listed in the IOTC Record of Authorised Vessels. Four CPCs have been assessed as non-compliant with this requirement, since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

5. Level of compliance by IOTC CPCs – Reporting on vessels (COMPLIANCE REPORT section 3).

REQ CR 3.1 – List of Active vessels (Resolution 10/08)

COMPLIANCE RATES



Compliant	13	CHN,EU,IDN,JPN,KEN,KOR,MDG,MYS,MDV,MUS,SYC,ZAF,TZA
Partially Compliant	3	AUS, IRN, OMN
Not Compliant 1	0	None
Not Compliant 2	2	IND, LKA
Not Applicable	12	BDG,COM,FRA(OT),LBR,MOZ,PAK,PHL,SOM,SDN,THA,GBR,YEM

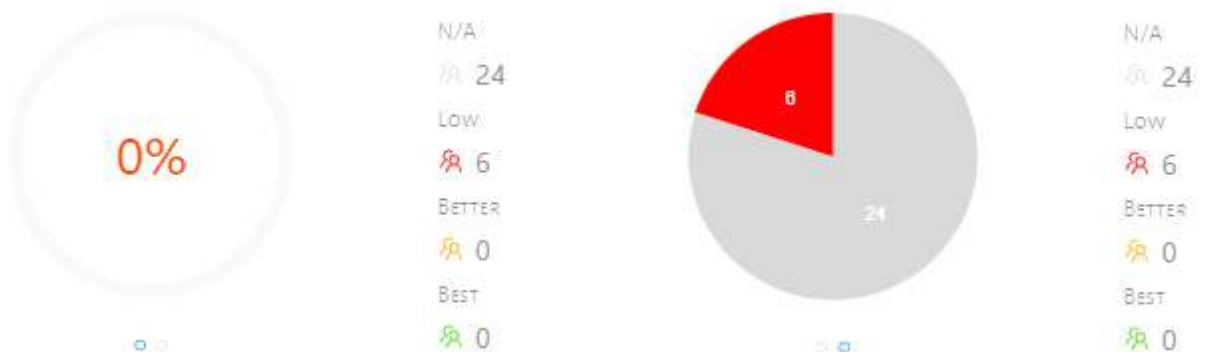
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	AUS,IND, IRN,OMN, LKA
Legislation	IND, OMN
Standard	LKA
System/procedure	OMN
No submission for	SOM, SDN, YEM

Thirteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to twelve of CPCs, since they do not have any vessel listed in the IOTC Record of Authorised Vessels and, therefore, no active vessels to report on under this requirement. Five CPCs have been assessed as non-compliant with this requirement as they have submitted their active vessels list after the deadline, and/or have submitted an active vessels list that do not meet the IOTC standard, and/or have not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

REQ CR 3.2 - Particulars of charter agreements, catches, effort, observer coverage (Chartering CPC, Resolution 19/07)

COMPLIANCE RATE



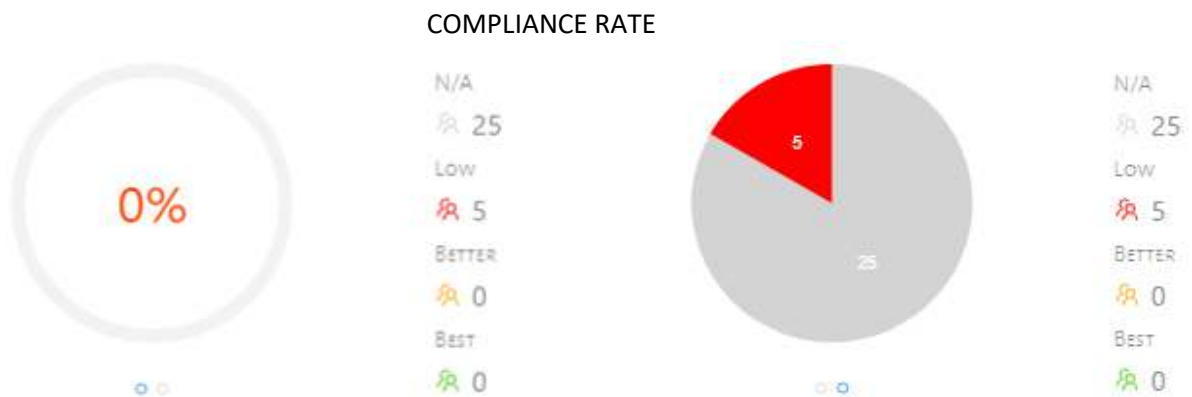
Compliant	0	
Partially Compliant	0	
Not Compliant 1	4	KEN, MOZ, OMN, SDN
Not Compliant 2	2	SOM, YEM
Not Applicable	24	AUS, BDG, CHN, COM, EU, FRA(OT), IND, IDN, IRN, JPN, KOR, LBR, MDG, MYS, MDV, MUS, PAK, PHL, SYC, ZAF, LKA, TZA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	
Legislation	MOZ
Standard	MOZ
System/procedure	MOZ
No submission for	KEN, OMN, SOM, SDN, YEM

This requirement is not applicable to twenty-four CPCs, since they did no charter vessels and had no chartering agreement in 2023. Six CPCs have been assessed as non-compliant with this requirement as they have not transposed, or adequately transposed, this requirement into their national legislation, and/or have not reported information on their chartering agreements as per IOTC standards, and/or have not reported their system and procedures to implement this requirement. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 3.3 - Information on the particulars of the charter agreements and detail of vessels (Chartering CP, Resolution 19/07)**



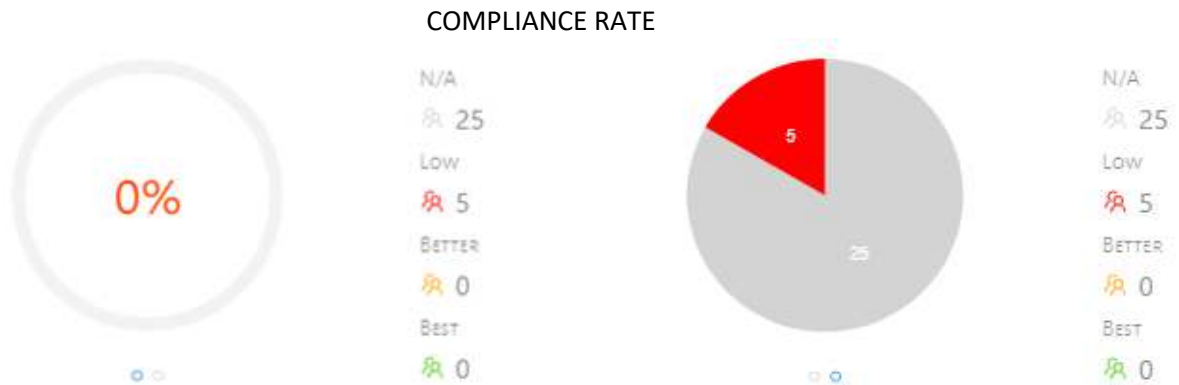
Compliant	0	
Partially Compliant	0	
Not Compliant 1	2	OMN, SDN
Not Compliant 2	3	MOZ, SOM, YEM
Not Applicable	25	AUS, BDG, CHN, COM, EU, FRA(OT), IND, IDN, IRN, JPN, KEN, KOR, LBR, MDG, MYS, MDV, MUS, PAK, PHL, SYC, ZAF, LKA, TZA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	MOZ
Legislation	OMN
Standard	MOZ, OMN
System/procedure	MOZ, OMN
No submission for	SOM, SDN, YEM

This requirement is not applicable to twenty-five CPCs, since they did no charter vessels and had no chartering agreement in 2023. Five CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadline and/or IOTC standard, and/or have not transposed, or adequately transposed, this requirement into their national legislation, and/or have not reported their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 3.4 - Consent, measures, agreement implementation of IOTC CMMs  
(Flag CPC, Resolution 19/07)**



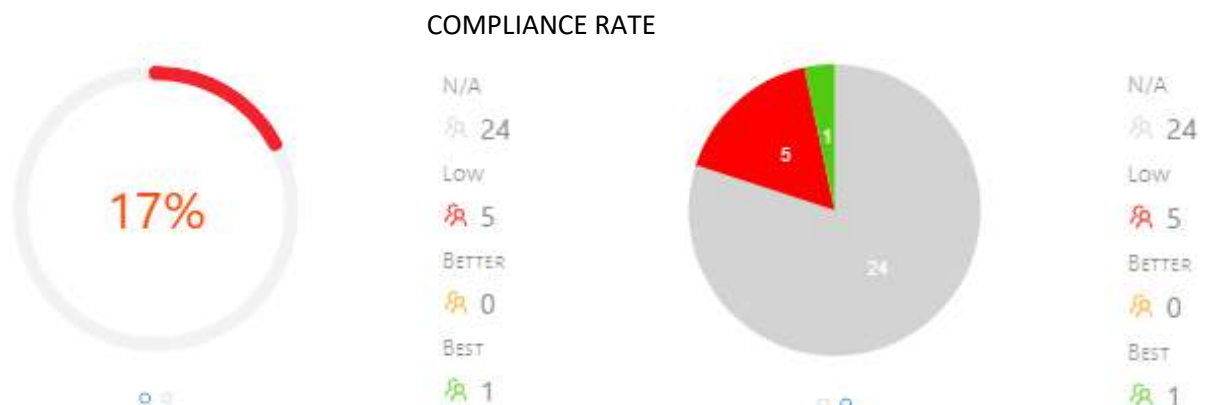
Compliant	0	
Partially Compliant	2	EU, JPN
Not Compliant 1	1	SDN
Not Compliant 2	2	SOM, YEM
Not Applicable	25	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, KEN, KOR, LBR, MDG, MYS, MDV, MUS, MOZ, OMN, PAK, PHL, SYC, ZAF, LKA, TZA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU
Standard	EU, JPN
No submission for	SOM, SDN, YEM

This requirement is not applicable to twenty-five CPCs, since they did not have chartered vessels nor chartering agreement as flag CPC in 2023. Five CPCs have been assessed as non-compliant with this requirement since they have not reported the required information as per IOTC standards. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 3.5 - Start, suspension, resumption and termination of the fishing operations of chartering agreement (Resolution 19/07)**



Compliant	1	EU
Partially Compliant	2	JPN, MOZ
Not Compliant 1	1	SDN
Not Compliant 2	2	SOM, YEM
Not Applicable	24	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, KEN, KOR, LBR, MDG, MYS, MDV, MUS, OMN, PAK, PHL, SYC, ZAF, LKA, TZA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Standard	JPN, MOZ
No submission for	SOM, SDN, YEM

One CPC has been assessed as compliant with this measure. This requirement is not applicable to twenty-four CPCs, who did not chartered vessels or had any chartering agreement in 2023. Five CPCs have been assessed as non-compliant, of which two CPCs due to conflicting information among them. Three CPCs, Oman, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 3.6 – List of Vessels ≥24m (Res 19/04)**

COMPLIANCE RATE



Compliant	1	THA
Partially Compliant	7	AUS, EU, KOR, MYS, MUS, SYC, TZA
Not Compliant 1	1	OMN
Not Compliant 2	10	BDG, CHN, IND, IDN, IRN, JPN, KEN, MDV, ZAF, LKA
Not Applicable	11	COM, FRA(OT), LBR, MDG, MOZ, PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Standard	AUS EU KOR MYS MUS SYC TZA BDG CHN IND IDN IRN JPN KEN MDV ZAF LKA
System/procedure	OMN IND

The main compliance issue with the RAV remains with CPCs being unable to meet the standard of the Resolution 19/04.



**REQ CR 3.7 – List of Vessels ≤ 24m (Resolution 19/04)**

COMPLIANCE RATE



Compliant	1	EU
Partially Compliant	2	AUS, SYC
Not Compliant 1	0	NONE
Not Compliant 2	7	BDG, IDN, IRN, MDV, OMN, ZAF, LKA
Not Applicable	20	CHN, COM, FRA(OT), IND, JPN, KEN, KOR, LBR, MDG, MYS, MUS, MOZ, PAK, PHL, SOM, SDN, TZA, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Standard	AUS, BDG, IDN, IRN, MDV, OMN, SYC, ZAF, LKA
System/procedure	BDG, OMN

The main compliance issue with the RAV remains with CPCs being unable to meet the standard of the Resolution 19/04.

**REQ CR 3.8 – Foreign Vessels EEZ (Resolution 14/05)**

COMPLIANCE RATE



Compliant	4	EU, MUS, SYC, TZA
Partially Compliant	2	FRA(OT), MOZ
Not Compliant 1	2	COM, MDG
Not Compliant 2	3	SOM, SDN, YEM
Not Applicable	19	AUS, BDG, CHN, IND, IDN, IRN, JPN, KEN, KOR, LBR, MYS, MDV, OMN, PAK, PHL, ZAF, LKA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	FRAOT, MOZ, COM
System/procedure	MDG

No submission for	SOM, SDN, YEM
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Four CPCs have been assessed as compliant with this measure. This requirement is not applicable to nineteen CPCs, they are not authorising foreign vessels to fish in their waters. Seven CPCs are not compliant with this requirement. Three CPC failed to meet the reporting deadline. One CPC failed to provide system and procedure information. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 3.9 – Foreign Vessels Denied License (Resolution 14/05)**

COMPLIANCE RATE



Compliant	5	COM, EU, MUS, SYC, TZA
Partially Compliant	2	FRA(OT), MOZ
Not Compliant 1	1	MDG
Not Compliant 2	3	SOM SDN YEM
Not Applicable	19	AUS, BDG, CHN, IND, IDN, IRN, JPN, KEN, KOR, LBR, MYS, MDV, OMN, PAK, PHL, ZAF, LKA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	FRAOT MOZ
System/procedure	MDG
No submission for	SOM SDN YEM

**REQ CR 3.10 – Access Agreement Information (Resolution 14/05)**

COMPLIANCE RATE



Compliant	3	EU, MUS, SYC
Partially Compliant	0	
Not Compliant 1	3	KEN, MDG, OMN
Not Compliant 2	3	SOM SDN YEM
Not Applicable	21	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KOR, LBR, MYS, MDV, MOZ, PAK, PHL, ZAF, LKA, TZA, THA, GBR

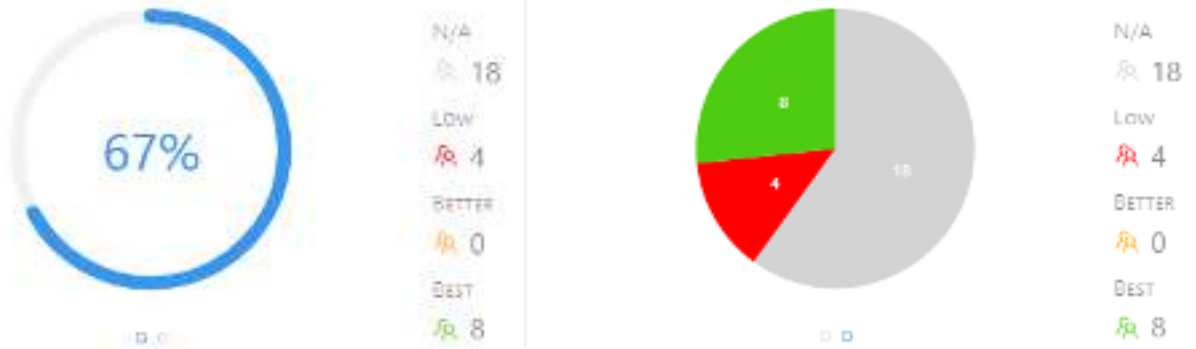
CPCs have been assessed P/C or N/C for the following reasons:

System/procedure	MDG
No submission for	KEN, OMN, SOM, SDN, YEM

Three CPCs have been assessed as compliant with this measure. This requirement is not applicable to twenty-one CPCs, they are not authorising foreign vessels to fish in their waters under fishing agreement. Six CPCs have been assessed as non-compliant with this requirement. One CPC failed to provide mandatory information. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 3.11 – Coastal State License (Resolution 14/05)**

COMPLIANCE RATE



Compliant	8	EU, FRA(OT), KEN, MDG, MUS, MOZ, SYC, TZA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	4	COM, SOM, SDN, YEM
Not Applicable	18	AUS, BDG, CHN, IND, IDN, IRN, JPN, KOR, LBR, MYS, MDV, OMN, PAK, PHL, ZAF, LKA, THA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Standard	COM
No submission for	SOM, SDN, YEM

Eight CPCs have been assessed as compliant with this measure. This requirement is not applicable to eighteen CPCs, five are located outside the IOTC area and some coastal states are not authorising foreign vessels to fish in their waters. Four CPCs have been assessed as non-compliant with this requirement. One CPC failed to provide mandatory information. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 3.12 – List of vessels fishing for yellowfin tuna (Res 21/01)**

COMPLIANCE RATES



Compliant	13	CHN, EU, JPN, KOR, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
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Partially Compliant	<b>1</b>	AUS
Not Compliant 1	<b>0</b>	None
Not Compliant 2	<b>5</b>	COM, KEN, PAK, SDN, YEM
Not Applicable	<b>11</b>	BDG, FRA(OT), IND, IDN, IRN, LBR, MDG, OMN, PHL, SOM, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	AUS, KEN, SDN, YEM
Legislation	SDN, YEM
Standard	KEN, PAK, SDN, YEM
System/procedure	PAK, SDN, YEM

Thirteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to eleven CPCs, of which six have objected to the Resolution that contains this requirement, and five have no vessels operating in the IOTC area. Six CPCs have been assessed as non-compliant with this requirement as they have submitted their list of vessels fishing for yellowfin tuna after the deadline, and/or have submitted a vessels list that do not meet the IOTC standard, and/or have not transposed this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement.

6. Level of compliance by IOTC CPCs – Vessel monitoring system (COMPLIANCE REPORT section 4).

REQ CR 4.1 – Adoption VMS (CoC21) (Resolution 15/03)

COMPLIANCE RATE



Compliant	19	AUS, CHN, COM, EU, IDN, JPN, KEN, KOR, LBR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	3	IND, IRN, OMN
Not Applicable	8	BDG, FRA(OT), PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, OMN
Standard	IND, IRN, OMN

Nineteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to eight CPCs, they had no vessel registered on the RAV in 2022. Three CPCs are not compliant with this requirement since they have not met the IOTC standard, or have not transposed this requirement into their national legislation.

REQ CR 4.2 – VMS Report and technical failures (Resolution 15/03)

COMPLIANCE RATE



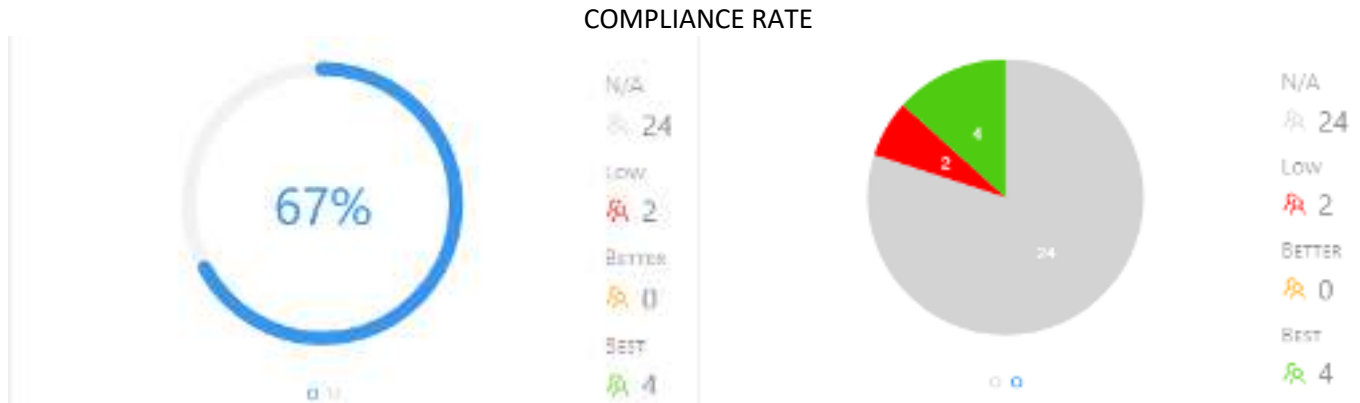
Compliant	16	AUS, CHN, IDN, IRN, JPN, KEN, KOR, LBR, MDG, MYS, MDV, MUS, MOZ, SYC, LKA, THA
Partially Compliant	1	EU
Not Compliant 1	2	ZAF, TZA
Not Compliant 2	2	IND, OMN
Not Applicable	9	BDG, COM, FRA(OT), PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, IND, ZAF, TZA
Legislation	OMN
Standard	IND, OMN

Sixteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to nine CPCs, they had no vessel registered on the RAV in 2022. Five CPCs are not compliant with this requirement since they have not met the reporting deadline and/or the IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement.

**REQ CR 4.3 – VMS Plan (Res 15/03)**



Compliant	<b>3</b>	IRN, MUS, GBR
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>0</b>	
Not Compliant 2	<b>2</b>	IND, OMN
Not Applicable	<b>25</b>	AUS, BDG, CHN, COM, EU, FRA(OT), IDN, JPN, KEN, KOR, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SYC, SOM, ZAF, LKA, SDN, TZA, THA, YEM

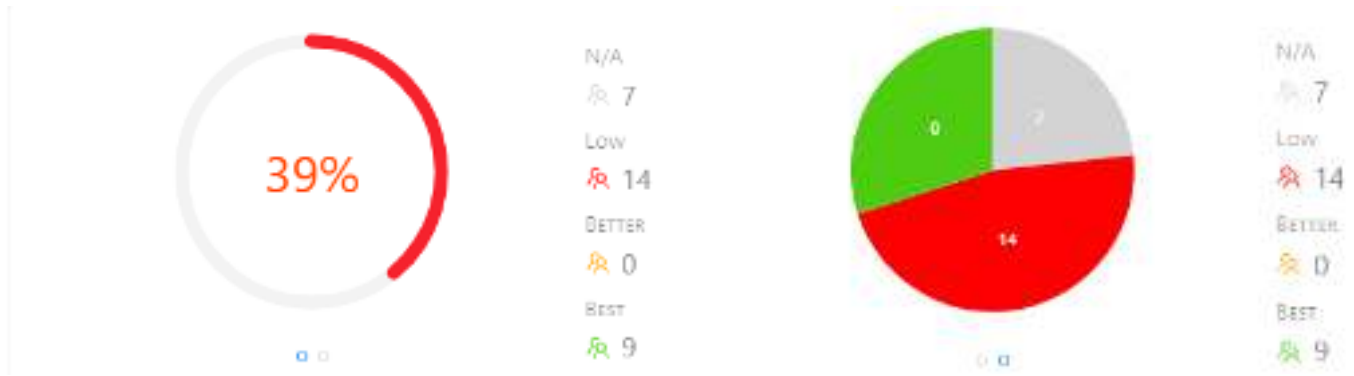
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, OMN
Standard	OMN

**7. Level of compliance by IOTC CPCs – Mandatory statistical requirement – Flag State CPCs (COMPLIANCE REPORT section 5).**

**REQ CR 5.1 – NC Coastal (Resolution 15/02)**

COMPLIANCE RATE



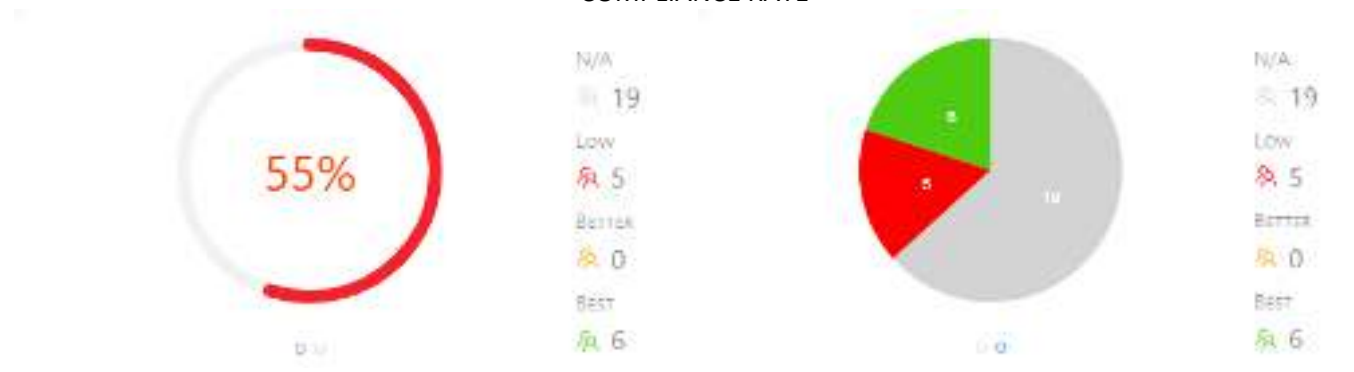
Compliant	9	AUS, COM, EU, IDN, MYS, MUS, LKA, THA, GBR
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	14	BDG, IND, IRN, KEN, MDG, MDV, MOZ, OMN, PAK, SYC, SOM, SDN, TZA, YEM
Not Applicable	7	CHN, FRA(OT), JPN, KOR, LBR, PHL, ZAF

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IND, IRN, OMN, PAK, TZA
Legislation	IND, PAK
Standard	BDG, IND, IRN, KEN, MDG, MDV, MOZ, OMN, PAK, SYC, TZA
System/procedure	BDG, MOZ, OMN, PAK
No submission for	SOM, SDN, YEM

**REQ CR 5.2 – NC Surface (Resolution 15/02)**

COMPLIANCE RATE



Compliant	6	AUS, IDN, KOR, MUS, SYC, LKA
Partially Compliant	1	MDV
Not Compliant 1	3	EU, OMN, TZA
Not Compliant 2	1	IRN
Not Applicable	19	BDG, CHN, COM, FRA(OT), IND, JPN, KEN, LBR, MDG, MYS, MOZ, PAK, PHL, SOM, ZAF, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, IRN
Standard	EU, MDV, OMN, TZA, IRN
No submission for	SOM, SDN, YEM

**REQ CR 5.3 – NC LL Prov/final (Resolution 15/02)**

COMPLIANCE RATE



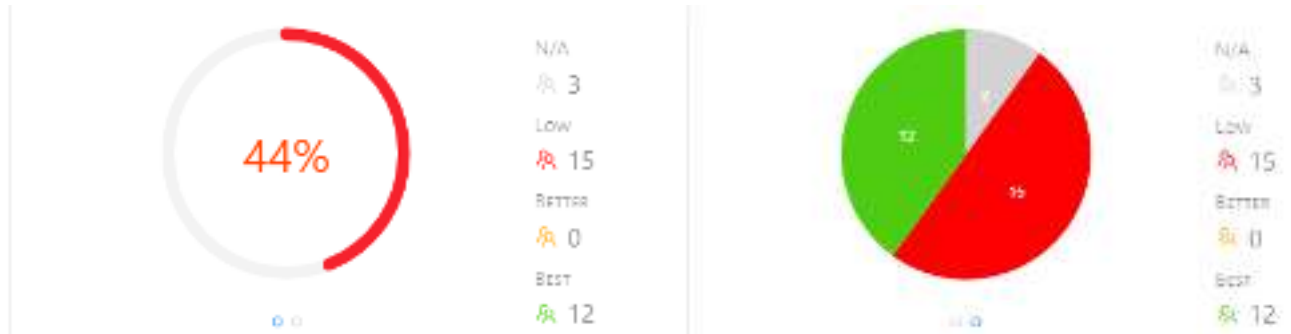
Compliant	12	AUS, CHN, IND, IDN, JPN, KOR, MYS, MUS, MOZ, SYC, ZAF, LKA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	4	EU, KEN, OMN, TZA
Not Applicable	14	BDG, COM, FRA(OT), IRN, LBR, MDG, MDV, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, OMN, TZA
Standard	EU, KEN, OMN, TZA

**REQ CR 5.4 – Discard (Resolution 15/02)**

COMPLIANCE RATE



Compliant	12	AUS, CHN, IDN, JPN, KOR, MYS, MDV, MOZ, ZAF, LKA, THA, GBR
Partially Compliant	1	SYC
Not Compliant 1	0	
Not Compliant 2	14	BDG, COM, EU, IND, IRN, KEN, MDG, MUS, OMN, PAK, SOM, SDN, TZA, YEM
Not Applicable	3	FRA(OT), LBR, PHL

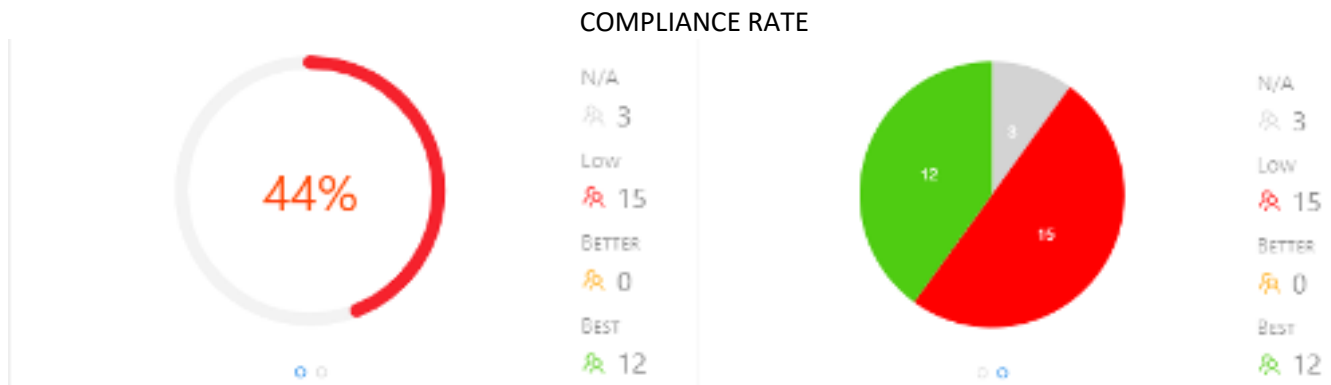
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, IND, TZA
Standard	BDG, COM, EU, IND, IRN, KEN, MDG, MUS, OMN, PAK, TZA
No submission for	SOM SDN YEM



**REQ CR 5.5 – NC Matrix (Resolution 18/07)**

Compliance with the obligation to report the zero catches matrix, is presented in meeting document [IOTC-2023-CoC20-05 Implementation of reporting obligations of nominal catch data – Resolution 18/07](#).

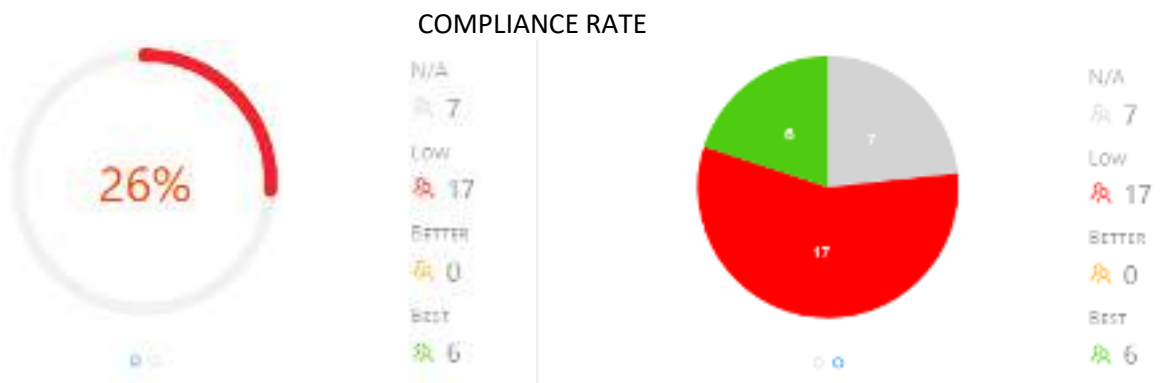


Compliant	<b>12</b>	AUS, CHN, IDN, KOR, MYS, MDV, MUS, SYC, ZAF, LKA, THA, GBR
Partially Compliant	<b>1</b>	COM
Not Compliant 1	<b>1</b>	IRN
Not Compliant 2	<b>13</b>	BDG, EU, IND, JPN, KEN, MDG, MOZ, OMN, PAK, SOM, SDN, TZA, YEM
Not Applicable	<b>3</b>	FRA(OT), LBR, PHL

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IRN, EU, IND, TZA
Standard	COM, IRN, BDG, EU, IND, JPN, KEN, MDG, MOZ, OMN, PAK, TZA
No submission for	SOM SDN YEM

**REQ CR 5.6 – CE Coastal (Resolution 15/02)**



Compliant	<b>6</b>	AUS, MDV, MUS, LKA, THA, GBR
Partially Compliant	<b>2</b>	COM MYS
Not Compliant 1		
Not Compliant 2	<b>15</b>	BDG, EU, IND, IDN, IRN, KEN, MDG, MOZ, OMN, PAK, SYC, SOM, SDN, TZA, YEM
Not Applicable	<b>7</b>	CHN, FRA(OT), JPN, KOR, LBR, PHL, ZAF

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IND, IRN
Standard	COM, MYS, BDG, EU, IND, IDN, IRN, KEN, MDG, MOZ, OMN, SYC, TZA
No submission for	PAK SOM SDN YEM

**REQ CR 5.7 – CE Surface (Resolution 15/02)**

COMPLIANCE RATE



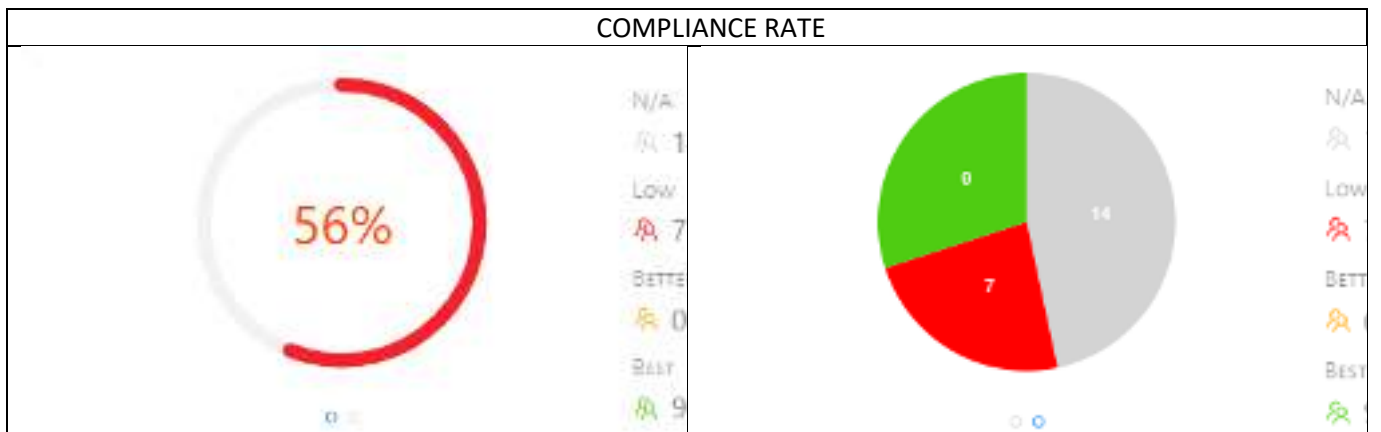
Compliant	<b>6</b>	AUS, KOR, MDV, MUS, SYC, LKA
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>2</b>	OMN TZA
Not Compliant 2	<b>3</b>	EU IRN IDN
Not Applicable	<b>19</b>	BDG, CHN, COM, FRA(OT), IND, JPN, KEN, LBR, MDG, MYS, MOZ, PAK, PHL, SOM, ZAF, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	TZA, EU, IRN,
Standard	OMN, TZA, EU, IRN, IDN
No submission for	SOM SDN YEM

**REQ CR 5.8 – CE LL Prov/final (Resolution 15/02)**

COMPLIANCE RATE



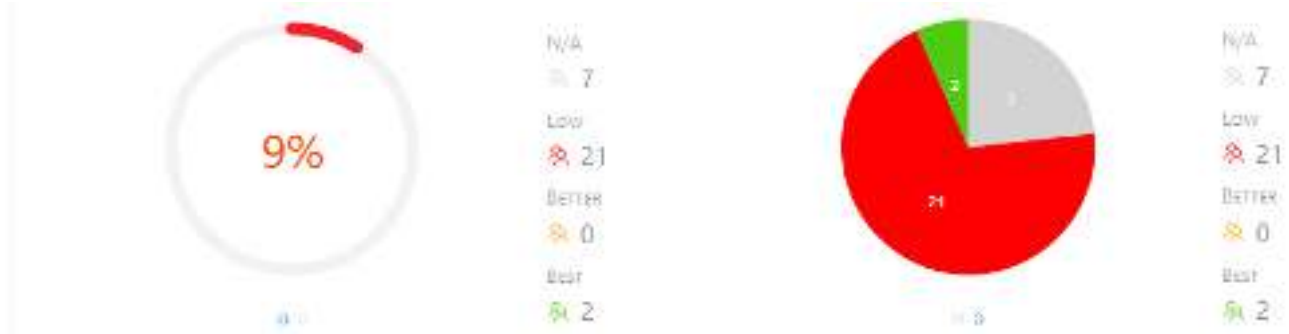
Compliant	<b>9</b>	AUS, JPN, KOR, MYS, MUS, MOZ, SYC, ZAF, LKA
Partially Compliant	<b>3</b>	CHN, IND, TZA
Not Compliant 1	<b>0</b>	
Not Compliant 2	<b>4</b>	EU, IDN, KEN, OMN
Not Applicable	<b>14</b>	BDG, COM, FRA(OT), IRN, LBR, MDG, MDV, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU
Standard	CHN, IND, EU, IDN, KEN, OMN

**REQ CR 5.9 – SF Coastal (Resolution 15/02)**

COMPLIANCE RATE



Compliant	2	MUS, GBR
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	21	AUS, BDG, COM, EU, IND, IDN, IRN, KEN, MDG, MYS, MDV, MOZ, OMN, PAK, SYC, SOM, LKA, SDN, TZA, THA, YEM
Not Applicable	7	CHN, FRA(OT), JPN, KOR, LBR, PHL, ZAF

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IRN, OMN, PAK, TZA
Standard	AUS, BDG, COM, EU, IND, IDN, IRN, KEN, MDG, MYS, MDV, MOZ, OMN, SYC, LKA, TZA, THA
No submission for	PAK, SOM, SDN, YEM

**REQ CR 5.10 – SF Surface (Resolution 15/02)**

COMPLIANCE RATE



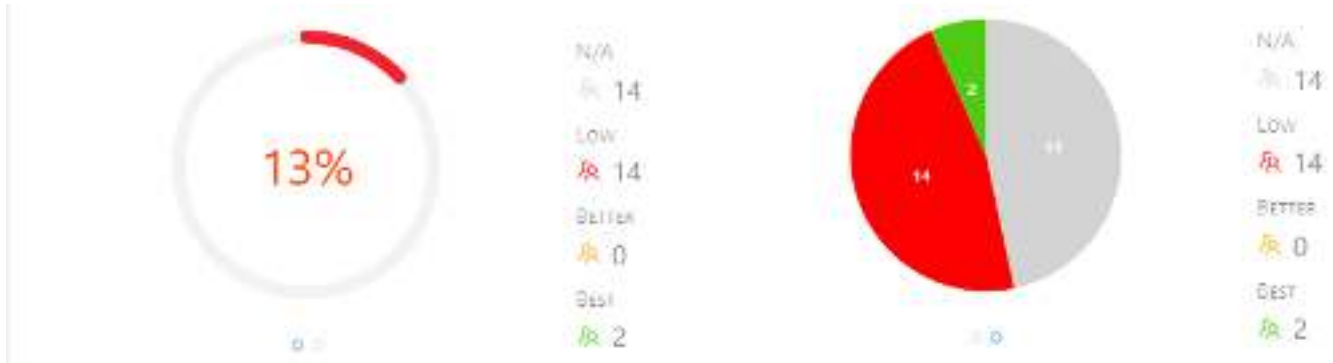
Compliant	1	AUS
Partially Compliant	1	SYC
Not Compliant 1	2	OMN TZA
Not Compliant 2	7	EU, IDN, IRN, KOR, MDV, MUS, LKA
Not Applicable	19	BDG, CHN, COM, FRA(OT), IND, JPN, KEN, LBR, MDG, MYS, MOZ, PAK, PHL, SOM, ZAF, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, IRN
Standard	SYC, EU, IRN, KOR, MDV, MUS, LKA
No submission for	OMN TZA IDN

**REQ CR 5.11 – SF LL Prov/final (Resolution 15/02)**

COMPLIANCE RATE



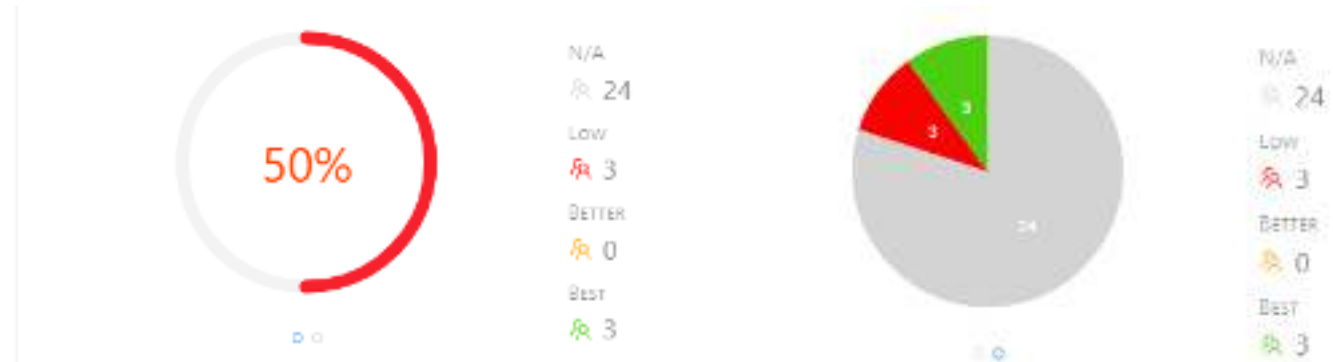
Compliant	2	AUS KOR
Partially Compliant	2	MYS MUS
Not Compliant 1	0	
Not Compliant 2	12	CHN, EU, IND, IDN, JPN, KEN, MOZ, OMN, SYC, ZAF, LKA, TZA
Not Applicable	14	BDG, IND, IRN, KEN, MDG, MDV, MOZ, OMN, PAK, SYC, SOM, SDN, TZA, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU
Standard	MYS, MUS, CHN, EU, IND, IDN, MOZ, SYC, ZAF, LKA, TZA
No submission for	JPN KEN OMN

**REQ CR 5.12 – FADs supply (Resolution 19/02)**

COMPLIANCE RATE



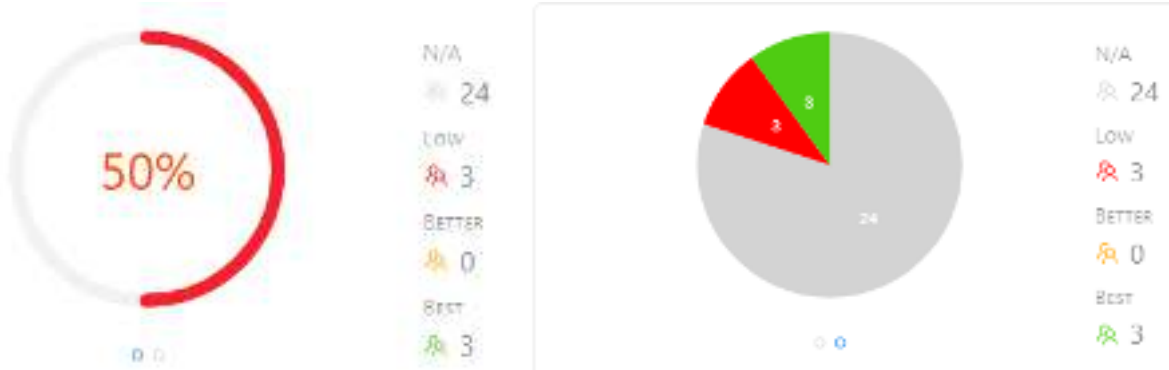
Compliant	3	KOR MUS SYC
Partially Compliant	0	
Not Compliant 1	2	OMN TZA
Not Compliant 2	1	EU
Not Applicable	24	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU
No submission for	OMN, TZA

**REQ CR 5.13 – FADs effort (Resolution 19/02)**

COMPLIANCE RATE



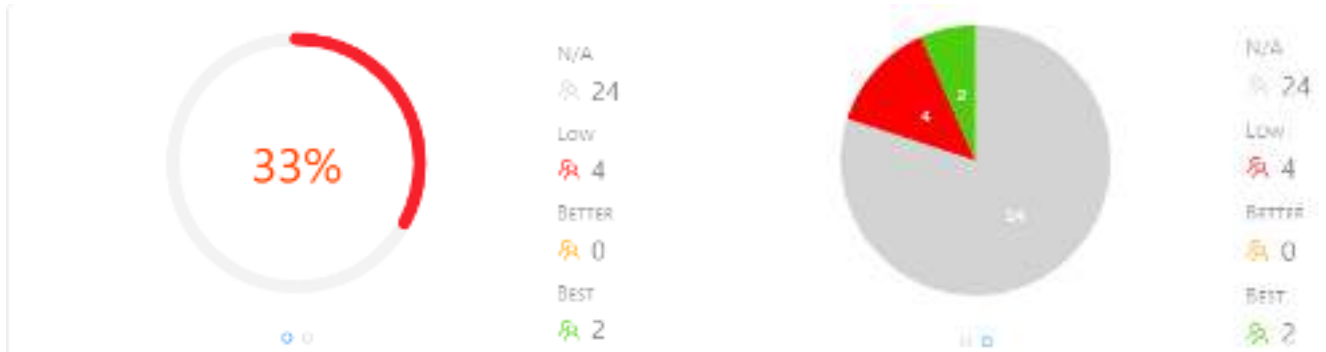
Compliant	<b>3</b>	KOR MUS SYC
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>3</b>	EU OMN TZA
Not Compliant 2	<b>0</b>	
Not Applicable	<b>24</b>	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU
No submission for	OMN, TZA

**REQ CR 5.14 – FADs set (Resolution 15/02)**

COMPLIANCE RATE



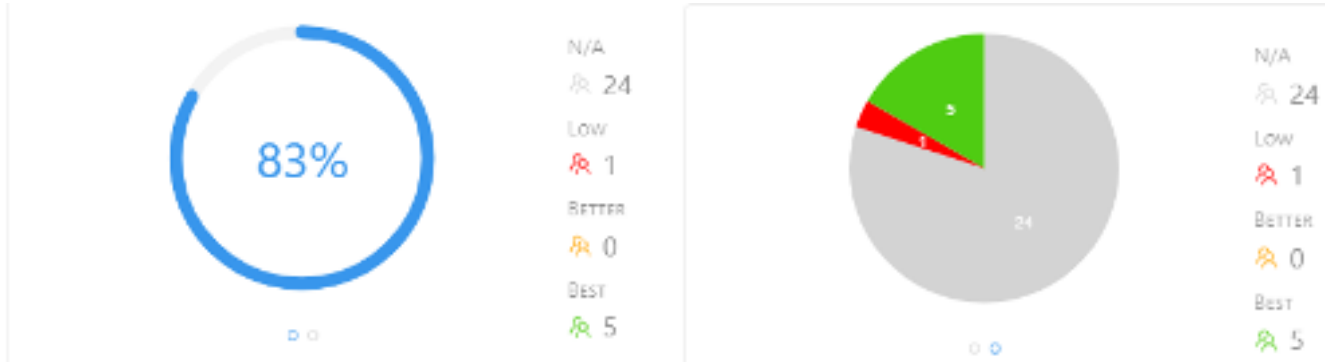
Compliant	<b>2</b>	KOR MUS
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>2</b>	EU OMN TZA
Not Compliant 2	<b>1</b>	SYC
Not Applicable	<b>24</b>	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU
Standard	EU, SYC
No submission for	OMN, TZA

**REQ CR 5.15 – Active FADs (Resolution 19/02)**

COMPLIANCE RATE



Compliant	5	EU KOR MUS SYC TZA
Partially Compliant	1	OMN
Not Compliant 1	0	
Not Compliant 2	0	
Not Applicable	24	AUS, BDG, CHN, COM, FRA(OT), IND, IDN, IRN, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Standard	OMN
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**REQ CR 5.16 – Date turtle (Resolution 12/04)**

COMPLIANCE RATE



Compliant	9	AUS, IND, IDN, KOR, MDV, MUS, MOZ, ZAF, LKA
Partially Compliant	1	SYC
Not Compliant 1	3	CHN, KEN, MYS
Not Compliant 2	5	EU, IRN, JPN, OMN, TZA
Not Applicable	12	BDG, COM, FRA(OT), LBR, MDG, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, TZA
Standard	TZA
No submission for	CHN, EU, KEN, MYS, IRN, JPN, OMN

**REQ CR 5.17 – Data seabirds (Resolution 12/06)**

COMPLIANCE RATE



Compliant	7	AUS, IDN, KOR, MUS, MOZ, ZAF, LKA
Partially Compliant	0	
Not Compliant 1	5	IND, KEN, MYS, SYC, TZA
Not Compliant 2	4	CHN, EU, JPN, OMN
Not Applicable	14	BDG, COM, FRA(OT), IRN, LBR, MDG, MDV, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, SYC, IND
Standard	EU
No submission for	KEN, CHN, JPN, MYS, OMN, TZA

**REQ CR 5.18– Data Cetaceans (Resolution 13/04)**

COMPLIANCE RATE



Compliant	7	IDN, KOR, MDV, MUS, MOZ, ZAF, LKA
Partially Compliant	1	SYC
Not Compliant 1	4	IND, KEN, MYS, TZA
Not Compliant 2	5	CHN, EU, IRN, JPN, OMN
Not Applicable	13	AUS, BDG, COM, FRA(OT), LBR, MDG, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, SYC, IND
Standard	EU, TZA
No submission for	KEN, CHN, JPN, MYS, OMN, IRN

**REQ CR 5.19 – Data whale sharks (Resolution 13/05)**

COMPLIANCE RATE



Compliant	<b>8</b>	IDN, IRN, KOR, MDV, MUS, MOZ, ZAF, LKA
Partially Compliant	<b>1</b>	SYC
Not Compliant 1	<b>4</b>	IND, KEN, MYS, TZA
Not Compliant 2	<b>4</b>	CHN, EU, JPN, OMN
Not Applicable	<b>13</b>	AUS, BDG, COM, FRA(OT), LBR, MDG, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, IDN, SYC
Standard	EU, TZA
No submission for	CHN, KEN, JPN, MYS, OMN

**REQ CR 5.20 – Data Mobulid (Resolution 19/03)**

COMPLIANCE RATE



Compliant	<b>8</b>	AUS, IDN, KOR, MDV, MUS, MOZ, ZAF, LKA
Partially Compliant	<b>1</b>	SYC
Not Compliant 1	<b>3</b>	CHN, IND, MYS
Not Compliant 2	<b>6</b>	EU, IRN, JPN, KEN, OMN, TZA
Not Applicable	<b>12</b>	BDG, COM, FRA(OT), LBR, MDG, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	EU, IDN
Standard	EU, TZA
No submission for	CHN, KEN, JPN, MYS, OMN, IRN



8. Level of compliance by IOTC CPCs – Implementation of mitigation measures and bycatch of non-IOTC species (Compliance report section 6).

REQ CR 6.1 – Prohibition on sharks finning (Resolution 17/05)

COMPLIANCE RATES



Compliant	17	AUS, CHN, EU, FRA(OT), IDN, JPN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	1	KEN
Not Compliant 1	2	COM, OMN
Not Compliant 2	7	BDG, IND, IRN, PAK, SOM, SDN, YEM
Not Applicable	3	LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, IND, OMN, SOM, SDN, YEM
System/procedure	BDG, COM, IRN, KEN, OMN, PAK, SOM, SDN, YEM

The majority of CPCs have been assessed as compliant with this measure, for having transposed this prohibition in their national legislation. This requirement is not applicable to three CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. A third of the CPCs have been assessed as non-compliant with this requirement since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

REQ CR 6.2 – Prohibition on thresher sharks of all the species of the family *Alopiidae* (Resolution 12/09)

COMPLIANCE RATES



Compliant	16	AUS, CHN, EU, FRA(OT), IDN, JPN, KOR, MDG, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	2	KEN, MYS
Not Compliant 1	2	BDG, COM
Not Compliant 2	7	IND, IRN, OMN, PAK, SOM, SDN, YEM
Not Applicable	3	LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, IND, MYS, OMN, PAK, SOM, SDN, YEM
System/procedure	BDG, COM, IRN, KEN, OMN, PAK, SOM, SDN, YEM

Just over half of CPCs have been assessed as compliant with this measure, for having transposed this prohibition in their national legislation. This requirement is not applicable to three CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Eleven CPCs have been assessed as non-compliant with this requirement since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 6.3 – Prohibition on oceanic whitetip sharks (Resolution 13/06)**

**COMPLIANCE RATES**



Compliant	15	AUS, CHN, EU, IDN, JPN, KOR, MDG, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	2	IND, MYS
Not Compliant 1	0	None
Not Compliant 2	3	IRN, KEN, OMN
Not Applicable	10	BDG, COM, FRA(OT), LBR, PAK, PHL, SOM, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, KEN, MYS, OMN
System/procedure	IRN, OMN
No submission for	SOM, SDN, YEM

Half of the CPCs have been assessed as compliant with this measure, for having transposed this prohibition in their national legislation. This requirement is not applicable to ten CPCs, who did not have fishing vessels in the IOTC Record of Authorised Vessels in 2023. Five CPCs have been assessed as non-compliant with this requirement since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 6.4 – Prohibition to retain onboard, tranship, land, store mobulid rays (Resolution 19/03)**

**COMPLIANCE RATES**



Compliant	16	AUS, CHN, EU, IDN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, TZA, THA
Partially Compliant	1	LKA
Not Compliant 1	0	
Not Compliant 2	9	BDG, COM, IND, IRN, OMN, PAK, SOM, SDN, YEM
Not Applicable	4	FRA(OT), LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, COM, IND, OMN, LKA
System/procedure	BDG, COM, IRN, OMN, PAK, LKA
No submission for	SOM, SDN, YEM

Just over half of CPCs have been assessed as compliant with this measure, for having transposed this prohibition in their national legislation. This requirement is not applicable to four CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Ten CPCs have been assessed as non-compliant with this requirement since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 6.5 – Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of mobulid rays (Resolution 19/03)**

**COMPLIANCE RATES**



Compliant	16	AUS, CHN, EU, IDN, JPN, KEN, KOR, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, TZA, THA
Partially Compliant	1	LKA
Not Compliant 1	0	
Not Compliant 2	9	BDG, COM, IND, IRN, OMN, PAK, SOM, SDN, YEM

Not Applicable	<b>4</b>	FRA(OT), LBR, PHL, GBR
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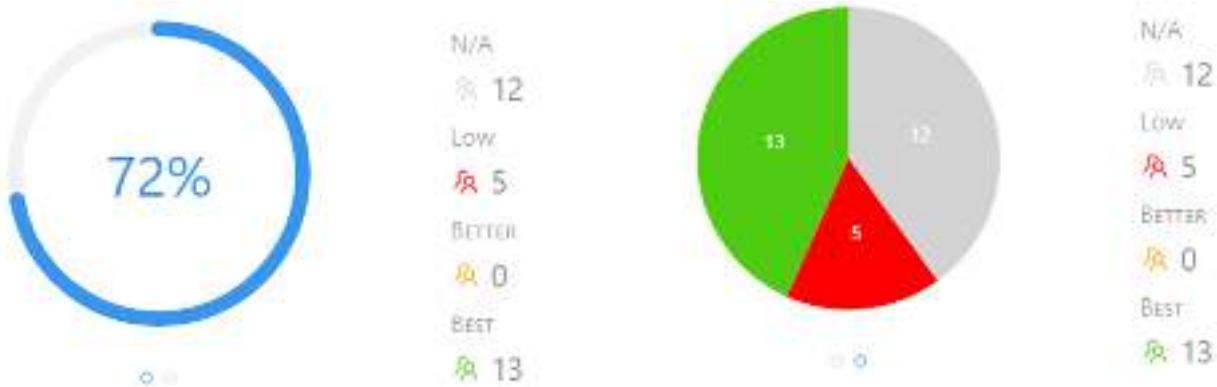
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, COM, IND, OMN, LKA
System/procedure	BDG, COM, IRN, OMN, PAK, LKA
No submission for	SOM, SDN, YEM

Just over half of CPCs have been assessed as compliant with this measure, for having transposed this prohibition in their national legislation. This requirement is not applicable to four CPCs, who did not have fishing vessels operating in the IOTC area in the previous year. Ten CPCs have been assessed as non-compliant with this requirement since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 6.6 – Carry and employ line cutters and de-hookers on board Longliners (Resolution 12/04)**

COMPLIANCE RATES



Compliant	<b>13</b>	AUS, CHN, EU, IDN, JPN, KEN, KOR, MDG, MUS, SYC, ZAF, LKA, TZA
Partially Compliant	<b>1</b>	MYS
Not Compliant 1	<b>1</b>	MOZ
Not Compliant 2	<b>3</b>	IND, IRN, OMN
Not Applicable	<b>12</b>	BDG, COM, FRA(OT), LBR, MDV, PAK, PHL, SOM, SDN, THA, GBR, YEM

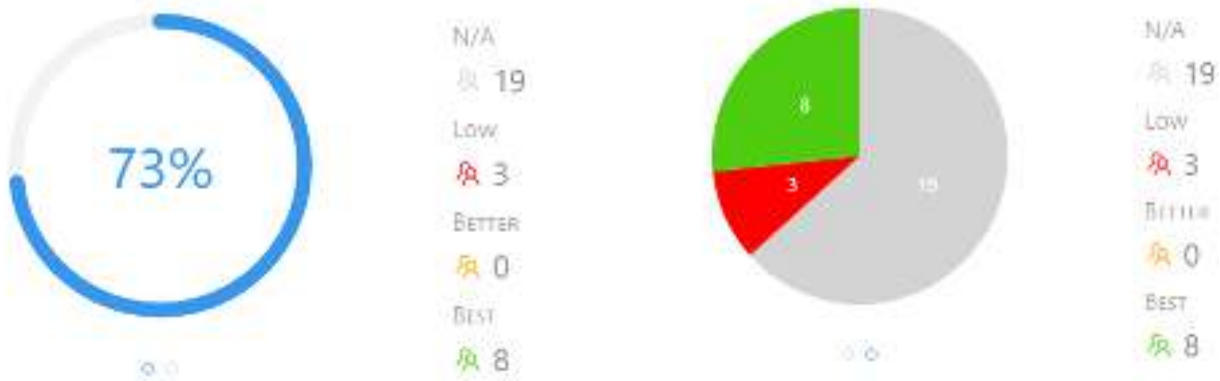
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, MYS, MOZ, OMN
System/procedure	IRN, MOZ, OMN
No submission for	SOM, SDN, YEM

Thirteen CPCs have been assessed as compliant with this measure, for having transposed this requirement in their national legislation. This requirement is not applicable to twelve CPCs, who did not have longliners on the IOTC Record of Authorised Vessels, authorised to fish for tuna or tuna-like species, in the previous year. Five CPCs have been assessed as non-compliant with this requirement since they have either not transposed, or adequately transposed, this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 6.7 – Purse seiners required to carry dip nets (Res 12/04)**

COMPLIANCE RATES



Compliant	<b>8</b>	EU, IDN, JPN, KOR, MUS, MOZ, SYC, LKA
Partially Compliant	<b>0</b>	None
Not Compliant 1	<b>1</b>	TZA
Not Compliant 2	<b>2</b>	IRN, OMN
Not Applicable	<b>19</b>	AUS, BDG, CHN, COM, FRA(OT), IND, KEN, LBR, MDG, MYS, MDV, PAK, PHL, SOM, ZAF, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN, TZA
System/procedure	IRN, OMN, TZA
No submission for	SOM, SDN, YEM

Eight CPCs have been assessed as compliant with this measure, for having transposed this requirement in their national legislation. This requirement is not applicable to almost two-thirds of CPCs, who did not have purse seiners on the IOTC Record of Authorised Vessels, authorised to fish for tuna or tuna-like species, in the previous year. Three CPCs have been assessed as non-compliant with this requirement since they have either not transposed this requirement into their national legislation and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Somalia, Sudan, Tanzania and Yemen, have not submitted any information for this requirement.

**REQ CR 6.8 - Implementation of mitigation measures south of 25°S (Resolution 12/06)**

COMPLIANCE RATE



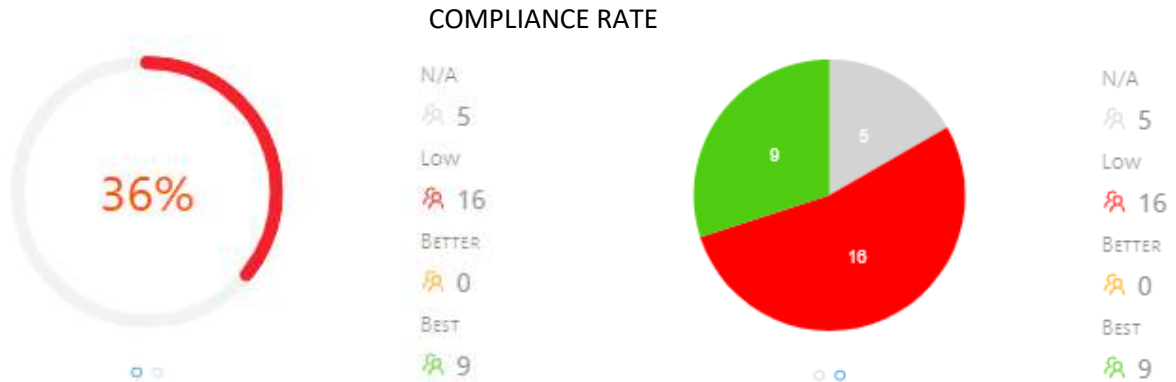
Compliant	<b>11</b>	AUS, CHN, EU, IDN, JPN, KOR, MYS, MUS, SYC, ZAF, TZA
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>1</b>	KEN
Not Compliant 2	<b>1</b>	OMN
Not Applicable	<b>17</b>	BDG, COM, FRA(OT), IND, IRN, LBR, MDG, MDV, MOZ, PAK, PHL, SOM, LKA, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Standard	KEN
System/procedure	KEN
No submission for	OMN

Eleven CPCs have been assessed as compliant with this measure. This requirement is not applicable to seventeen CPCs, who did not have longline vessels on the IOTC RAV, or did not have longline vessels operating South of 25°S in 2023. Two CPCs have been assessed as non-compliant with this requirement since they have not met IOTC Standard and/or have not reported on their system and procedures to implement this requirement. One CPC, Oman has not submitted any information for this requirement.

**REQ CR 6.9 - Report on progress of implementation of Res. 12/04 (Resolution 12/04)**



Compliant	9	AUS, CHN, EU, IDN, JPN, KOR, MDV, MUS, THA
Partially Compliant	7	COM, IRN, MDG, MYS, SYC, ZAF, LKA
Not Compliant 1	6	BDG, KEN, PAK, SDN, TZA, YEM
Not Compliant 2	3	IND, MOZ, OMN
Not Applicable	5	FRA(OT), LBR, PHL, SOM, GBR

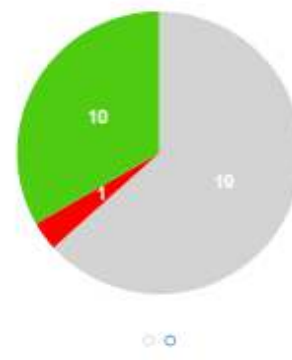
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	COM, PAK
Legislation	BGD, COM, IND, IRN, KEN, MDG, MYS, MOZ, PAK, SYC, ZAF, LKA, TZA
Standard	BGD, COM, MOZ, PAK,
System/procedure	BGD, COM, IND, KEN, MOZ, PAK
No submission for	OMN, SDN, YEM

Nine CPCs have been assessed as compliant with this measure. This requirement is not applicable to five CPCs, who did not have fishing vessels on the IOTC RAV in the previous year, and/or are not a coastal State of the IOTC Area of Competence. Sixteen CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadline and/or the IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Oman, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 6.10 - Instances Whale Sharks encircled (Resolution 13/05)**

COMPLIANCE RATE



Compliant	10	AUS, EU, IDN, IRN, KOR, MUS, SYC, ZAF, LKA, TZA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	19	BDG, CHN, COM, FRA(OT), IND, JPN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, SDN, THA, GBR, YEM

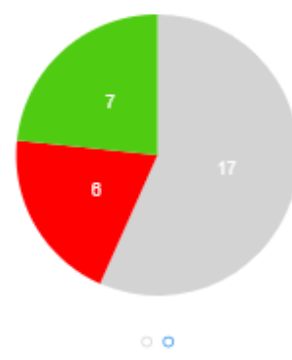
CPCs have been assessed P/C or N/C for the following reasons:

No submission for	OMN
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Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to nineteen CPCs, who did not have purse seine vessels operating in the IOTC Area of Competence. One CPC, Oman has not submitted any information for this requirement.

**REQ CR 6.11 – Instances of Cetaceans encircled (Resolution 23/06)**

COMPLIANCE RATE



Compliant	7	AUS, EU, IDN, KOR, MUS, SYC, LKA
Partially Compliant	3	IRN, MYS, TZA
Not Compliant 1	1	SOM
Not Compliant 2	2	IND, OMN
Not Applicable	17	BDG, CHN, COM, FRA(OT), JPN, KEN, LBR, MDG, MDV, MOZ, PAK, PHL, ZAF, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	IND
Legislation	IND, IRN, MYS, TZA

System/procedure	IND, IRN
No submission for	OMN, SOM

Seven CPCs have been assessed as compliant with this measure. This requirement is not applicable to seventeen CPCs, who did not have purse seine or gillnet fishing vessels on the IOTC RAV and active in the previous year. Six CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadline, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Two CPCs, Oman and Somalia have not submitted any information for this requirement.

**REQ CR 6.12 - Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length (Resolution 18/05)**

COMPLIANCE RATE



Compliant	15	AUS, CHN, EU, FRA(OT), JPN, KEN, KOR, MDG, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	1	MYS
Not Compliant 1	0	
Not Compliant 2	11	BDG, COM, IND, IDN, IRN, OMN, PAK, SOM, SDN, TZA, YEM
Not Applicable	3	LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

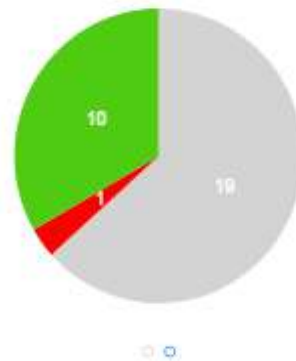
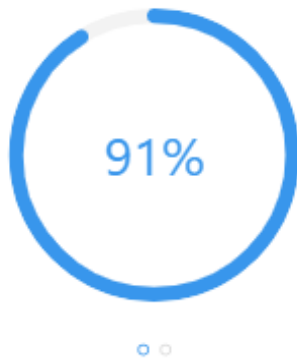
Timeliness	COM, IND, PAK
Legislation	BGD, COM, IND, IDN, MYS, OMN, PAK
System/procedure	BGD, COM, IRN, OMN, PAK
No submission for	SOM, SDN, TZA, YEM

Fifteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to three CPCs, who did not have fishing vessels operating in the IOTC Area of Competence in the previous year. Twelve CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadline, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Somalia, Sudan, Tanzania and Yemen have not submitted any information for this requirement.



**REQ CR 6.13 - Retention of target tuna species (YFT/SKJ/ BET) on board (Resolution 19/05)**

COMPLIANCE RATE



Compliant	10	AUS, EU, IDN, JPN, KOR, MUS, SYC, LKA, TZA, THA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	19	BDG, CHN, COM, FRA(OT), IND, IRN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, SDN, GBR, YEM

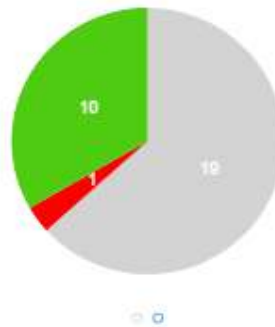
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN
System/procedure	OMN

Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to nineteen CPCs, who did not have purse seine (PS) vessel on the IOTC Record of Authorised Vessels in 2023. One CPC has been assessed as non-compliant with this requirement since it has not transposed this requirement into their national legislation and has not reported on their system and procedures to implement this requirement.

**REQ CR 6.14 - Retention of non target species on board (Resolution 19/05)**

COMPLIANCE RATE



Compliant	10	AUS, EU, IDN, JPN, KOR, MUS, SYC, LKA, TZA, THA
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	19	BDG, CHN, COM, FRA(OT), IND, IRN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, PHL, SOM, ZAF, SDN, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

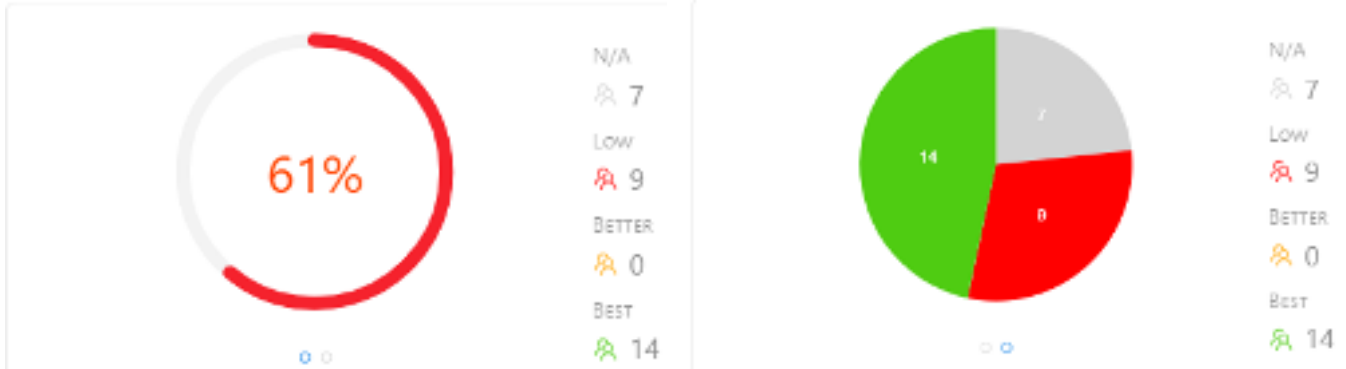
Legislation	OMN
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System/procedure	OMN
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Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to nineteen CPCs, who did not have purse seine (PS) vessel on the IOTC Record of Authorised Vessels in 2023. One CPC has been assessed as non-compliant with this requirement since it has not transposed this requirement into their national legislation and has not reported on their system and procedures to implement this requirement.

**REQ CR 6.15 – Actions blue shark (Resolution 18/02)**

COMPLIANCE RATE



Compliant	14	AUS, CHN, EU, IDN, IRN, JPN, KEN, KOR, MYS, MDV, MUS, SYC, ZAF, LKA
Partially Compliant	1	IDN
Not Compliant 1	3	MOZ, OMN, SOM
Not Compliant 2	5	MDG, PAK, SDN, TZA, YEM
Not Applicable	7	BDG, COM, FRA(OT), LBR, PHL, THA, GBR

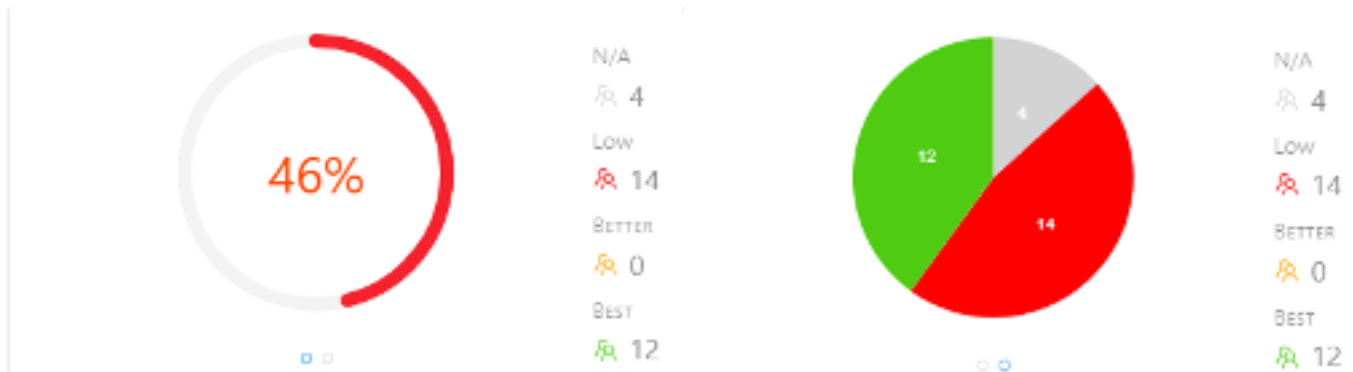
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	MOZ, TZA
Legislation	OMN, PAK
Standard	OMN, MDG, PAK, TZA
System/procedure	OMN, PAK
No submission for	SOM, SDN, YEM

Fourteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to seven CPCs, they had no fishing vessel registered on the RAV in 2022. Nine CPCs are not compliant with this requirement since they have not provided information to the standard and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 6.16 – Actions billfish (Res 18/05)**

COMPLIANCE RATE



Compliant	<b>12</b>	AUS, EU, IDN, IRN, JPN, MYS, MDV, MUS, ZAF, LKA, TZA, THA
Partially Compliant	<b>4</b>	COM, KEN, KOR, SYC
Not Compliant 1	<b>6</b>	BDG, CHN, IND, MOZ, OMN, PAK
Not Compliant 2	<b>4</b>	MDG, SOM, SDN, YEM
Not Applicable	<b>4</b>	FRA(OT), LBR, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

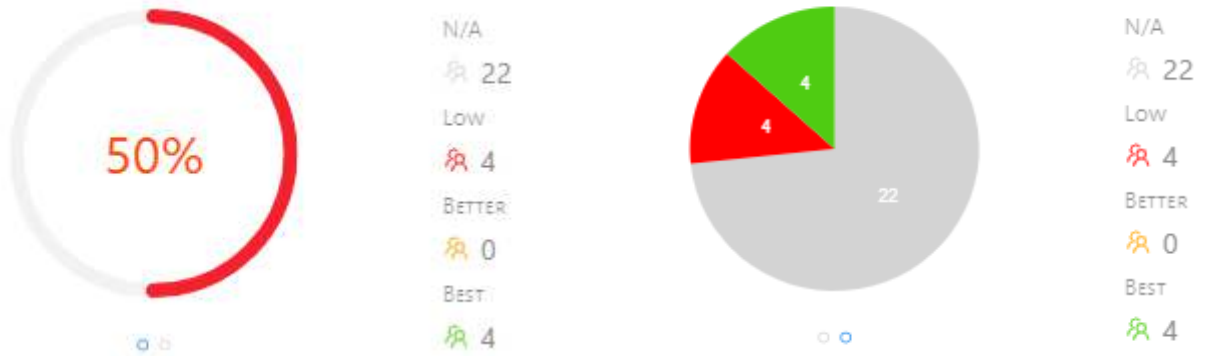
Timeliness	KEN, KOR, SYC, IND, MOZ, MDG
Legislation	BDG, IND, PAK
Standard	COM, BDG, PAK
System/procedure	BDG, CHN, PAK
No submission for	SOM, SDN, YEM

Twelve CPCs have been assessed as compliant with this measure. This requirement is not applicable to four CPCs, they had no fishing vessel registered on the RAV in 2022 and no coastal fisheries. Fourteen CPCs are not compliant with this requirement since they have not provided information to the standard and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement.

9. Level of compliance by IOTC CPCs – Transhipments (COMPLIANCE REPORT section 8).

REQ CR 8.1 - At sea transhipments – CPC report (Resolution 23/05)

COMPLIANCE RATE



Compliant	4	CHN, IDN, KOR, MUS
Partially Compliant	3	JPN, MYS, SYC
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	22	AUS, BDG, COM, EU, FRA(OT), IND, IRN, KEN, LBR, MDG, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	SYC
Legislation	JPN, MYS, OMN
Standard	OMN
System/procedure	OMN

Four CPCs have been assessed as compliant with this measure. This requirement is not applicable to twenty-two CPCs, who did not have LSTLVs on the IOTC RAV in 2022, or their LSTLVs did not tranship at sea in 2022 or did not participate in the IOTC ROP in 2022. Four CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadline and/or the IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement.

REQ CR 8.2 – TRX at foreign ports (Resolution 23/05)

COMPLIANCE RATE



Compliant	9	CHN, EU, JPN, KEN, KOR, MYS, MDV, MUS, SYC
Partially Compliant	0	
Not Compliant 1	0	

Not Compliant 2	2	OMN TZA
Not Applicable	19	AUS, BDG, COM, FRA(OT), IND, IDN, IRN, LBR, MDG, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, THA, GBR, YEM

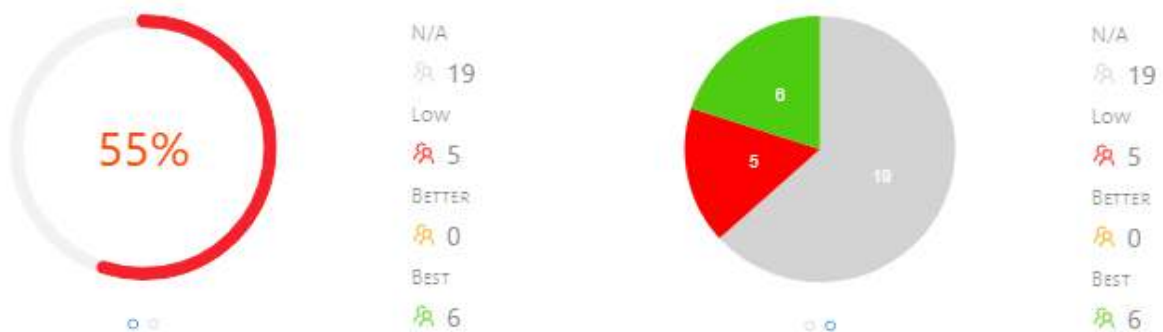
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	OMN
Standard	OMN, TZA
System/procedure	OMN

Nine CPCs have been assessed as compliant with this measure. This requirement is not applicable to nineteen CPCs, they had no LSTV registered on the RAV or are not authorising flag vessels to tranship in foreign ports. Two CPCs are not compliant with this requirement since they have not provided information to the standard and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement.

**REQ CR 8.3 - List of Authorised carrier vessels (Resolution 23/05)**

COMPLIANCE RATE



Compliant	6	CHN, EU, IDN, JPN, KOR, MYS
Partially Compliant	0	
Not Compliant 1	2	ZAF, TZA
Not Compliant 2	3	MUS, OMN, SYC
Not Applicable	19	AUS, BDG, COM, FRA(OT), IND, IRN, KEN, LBR, MDG, MDV, MOZ, PAK, PHL, SOM, LKA, SDN, THA, GBR, YEM

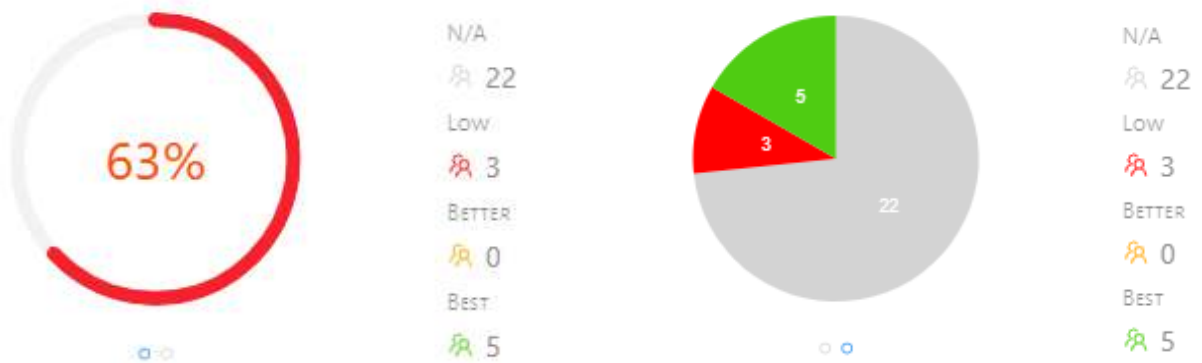
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	ZAF
Standard	MUS, OMN, SYC, ZAF
System/procedure	ZAF
No submission for	TZA

Six CPCs have been assessed as compliant with this measure. This requirement is not applicable to nineteen CPCs, who did not have LSTLVs on the IOTC RAV or their LSTLVs did not tranship at sea in 2023. Five CPCs have been assessed as non-compliant with this requirement since they have not met the IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. One CPC, Tanzania has not submitted any information for this requirement.

**REQ CR 8.4 - Report on results of investigations on possible infractions (Resolution 23/05)**

COMPLIANCE RATE



Compliant	5	IDN, JPN, KOR, MUS, SYC
Partially Compliant	2	CHN, MYS
Not Compliant 1	0	
Not Compliant 2	1	OMN
Not Applicable	22	AUS, BDG, COM, EU, FRA(OT), IND, IRN, KEN, LBR, MDG, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	CHN, MYS
No submission for	OMN

Five CPCs have been assessed as compliant with this measure. This requirement is not applicable to twenty-two CPCs, who did not have LSTLVs on the IOTC RAV or have not participated in the IOTC regional observer programme (ROP) to monitor transshipment at sea in 2023. Three CPCs have been assessed as non-compliant with this requirement since they have not transposed this requirement into their national legislation. One CPC, Oman has not submitted any information for this requirement.

**REQ CR 8.5 – ROP fees (Resolution 23/05)**

COMPLIANCE RATE



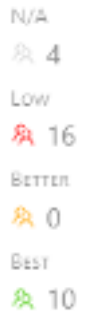
Compliant	7	CHN, JPN, KOR, MYS, MUS, OMN, SYC
Partially Compliant	0	
Not Compliant 1	0	
Not Compliant 2	0	
Not Applicable	23	AUS, BDG, COM, EU, FRA(OT), IND, IDN, IRN, KEN, LBR, MDG, MDV, MOZ, PAK, PHL, SOM, ZAF, LKA, SDN, TZA, THA, GBR, YEM

All CPCs participating in the IOTC ROP have paid their fee.

**10. Level of compliance by IOTC CPCs – Observers (COMPLIANCE REPORT section 9).**

**REQ CR 9.1 – ROS coverage (Protocols) (Resolution 22/04)**

COMPLIANCE RATE



Compliant	<b>10</b>	AUS, EU, IDN, KOR, MUS, SYC, ZAF, LKA, TZA, THA
Partially Compliant	<b>3</b>	BDG, IRN, MYS
Not Compliant 1	<b>11</b>	CHN, COM, IND, JPN, KEN, MDG, MDV, MOZ, OMN, PAK, SOM
Not Compliant 2	<b>2</b>	SDN, YEM
Not Applicable	<b>4</b>	FRA(OT), LBR, PHL, GBR

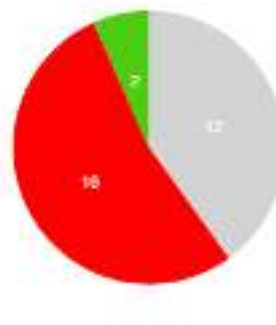
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, MYS, IND, JPN, MDG, PAK
Standard	IRN, MYS, CHN, COM, JPN, MDG, MDV, MOZ, PAK
System/procedure	IRN, PAK
No submission for	KEN, OMN, SOM, SDN, YEM

Fourteen CPCs have not provided the summary or the protocols supporting the ROS at sea and the ROS coastal landing, or have provided only one of the two protocols.

**REQ CR 9.2 – 5% coverage at sea (Resolution 22/04)**

COMPLIANCE RATE



Compliant	<b>2</b>	AUS ZAF
Partially Compliant	<b>8</b>	CHN, EU, IDN, KOR, MYS, SYC, LKA, TZA
Not Compliant 1	<b>8</b>	IND, IRN, JPN, KEN, MDV, MUS, MOZ, OMN
Not Compliant 2	<b>0</b>	
Not Applicable	<b>12</b>	BDG, COM, FRA(OT), LBR, MDG, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND, OMN, LKA
Standard	CHN, IND, IDN, JPN, KEN, MUS, MOZ, MDV, OMN, LKA, TZA
System/procedure	OMN

Two CPCs have been assessed as compliant with this measure. This requirement is not applicable to twelve CPCs, they had no vessel registered on the RAV in 2022. Sixteen CPCs have been assessed as non-compliant with this requirement since they have not reached the standard 5% mandatory coverage at sea and/or ROS at sea implemented in 2022, or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement.

**REQ CR 9.3 – 5% Artisanal landings (Resolution 22/04)**

COMPLIANCE RATE



Compliant	4	MUS, MOZ, TZA, THA
Partially Compliant	4	BDG, EU, IRN, MYS, SYC
Not Compliant 1	10	COM, IND, IDN, KEN, MDG, MDV, OMN, PAK, SOM, LKA
Not Compliant 2	2	SDN, YEM
Not Applicable	9	AUS, CHN, FRA(OT), JPN, KOR, LBR, PHL, ZAF, GBR

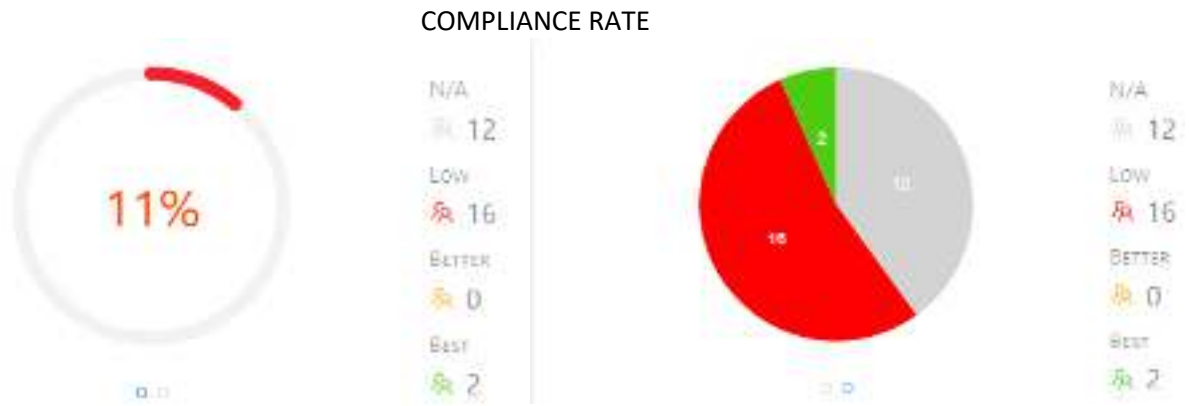
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BDG, IND, MDG, MYS, OMN, PAK
Standard	COM, EU, IND, IDN, IRN, KEN, MDG, MDV, OMN, PAK, SYC
System/procedure	COM, OMN, PAK
No submission for	SOM, SDN, YEM

Four CPCs have been assessed as compliant with this measure. This requirement is not applicable to nine CPCs, they are located outside the IOTC area and/or they do not have coastal fisheries. Sixteen CPCs have been assessed as non-compliant with this requirement since they have not reached the standard 5% mandatory coverage of landing, or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.



**REQ CR 9.4 – Observer reports (Resolution 22/04)**



Compliant	<b>2</b>	AUS LKA
Partially Compliant	<b>7</b>	CHN, EU, IDN, KOR, MOZ, SYC, ZAF
Not Compliant 1	<b>9</b>	IND, IRN, JPN, KEN, MYS, MDV, MUS, OMN, TZA
Not Compliant 2	<b>0</b>	
Not Applicable	<b>12</b>	BDG, COM, FRA(OT), LBR, MDG, PAK, PHL, SOM, SDN, THA, GBR, YEM

CPCs have been assessed P/C or N/C for the following reasons:

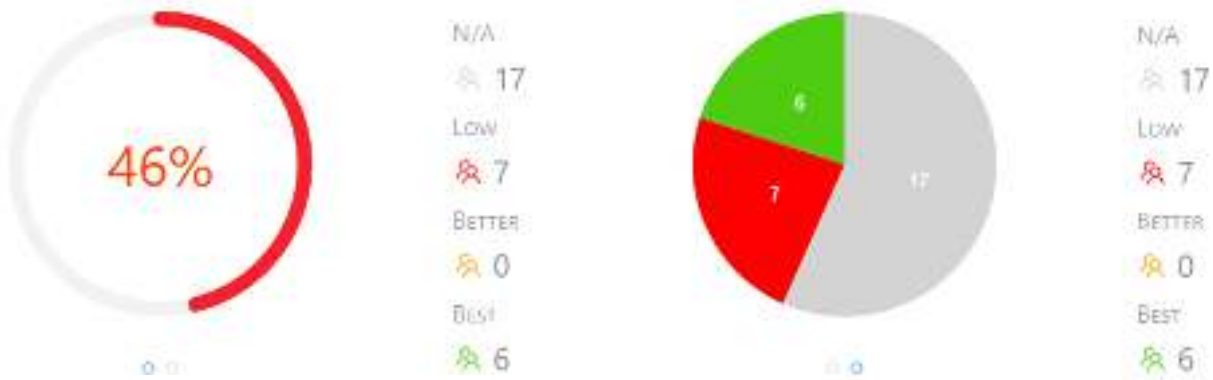
Legislation	IND, JPN, OMN
Standard	CHN, EU, IND, IDN, IRN, JPN, KEN, KOR, MYS, MDV, MUS, MOZ, OMN, SYC, ZAF, TZA
System/procedure	IRN, OMN

Observer reports are either not submitted due to no observer coverage, or submitted after 150 days of disembarkation or not submitted for only one fishery, some fisheries are missing observer coverage.

11. Level of compliance by IOTC CPCs –Statistical document programme - Bigeye tuna (Compliance Report section 10).

REQ CR 10.1 – 1st Semester 2023 report on import of frozen bigeye tuna (Resolution 01/06)

COMPLIANCE RATES



Compliant	6	CHN, EU, JPN, MUS, THA, GBR
Partially Compliant	2	IDN, KOR
Not Compliant 1	1	OMN
Not Compliant 2	4	PHL, SOM, SDN, YEM
Not Applicable	17	AUS, BDG, COM, FRA(OT), IND, IRN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, SYC, ZAF, LKA, TZA

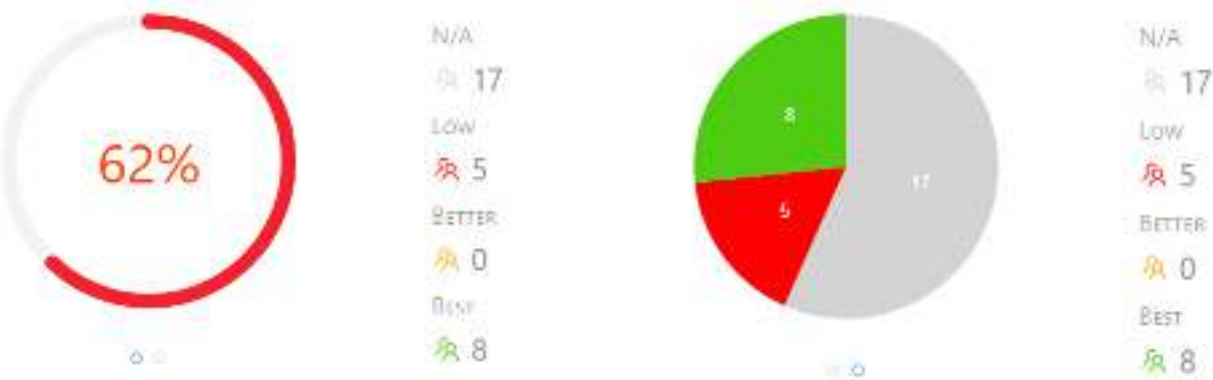
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	KOR
Standard	IDN, PHL
No submission for	OMN, SOM, SDN, YEM

Six CPCs have been assessed as compliant with this measure. This requirement is not applicable to seventeen CPCs, who did not import frozen bigeye tuna subject to this measure, in the first semester of 2023. Seven CPCs have been assessed as non-compliant with this requirement since they have submitted their semester report after the deadline, or have not transposed this requirement into their national legislation, and/or have not submitted a report that meets the IOTC standard and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

REQ CR 10.2 – 2nd Semester 2022 report on import of frozen bigeye tuna (Resolution 01/06)

COMPLIANCE RATES



Compliant	8	AUS, BDG, EU, JPN, KOR, MUS, THA, GBR
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Partially Compliant	0	-
Not Compliant 1	2	OMN, SOM
Not Compliant 2	3	PHL, SDN, YEM
Not Applicable	17	CHN, COM, FRA(OT), IND, IDN, IRN, KEN, LBR, MDG, MYS, MDV, MOZ, PAK, SYC, ZAF, LKA, TZA

CPCs have been assessed P/C or N/C for the following reasons:

Standard	PHL
No submission for	OMN, SOM, SDN, YEM

Eight CPCs have been assessed as compliant with this measure. This requirement is not applicable to seventeen CPCs, who did not import frozen bigeye tuna subject to this measure, in the second semester of 2022. One CPC has been assessed as non-compliant with this requirement since it has not submitted a report that meets the IOTC standard. Four CPCs, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 10.3 – Annual report on the IOTC bigeye tuna statistical document programme 2022 (Resolution 01/06)**

COMPLIANCE RATES



Compliant	7	CHN, IDN, JPN, KOR, MUS, MOZ, SYC
Partially Compliant	4	MDG, MYS, LKA, TZA
Not Compliant 1	3	EU, KEN, OMN
Not Compliant 2	0	NONE
Not Applicable	16	AUS, BDG, COM, FRA(OT), IND, IRN, LBR, MDV, PAK, PHL, SOM, ZAF, SDN, THA, GBR, YEM

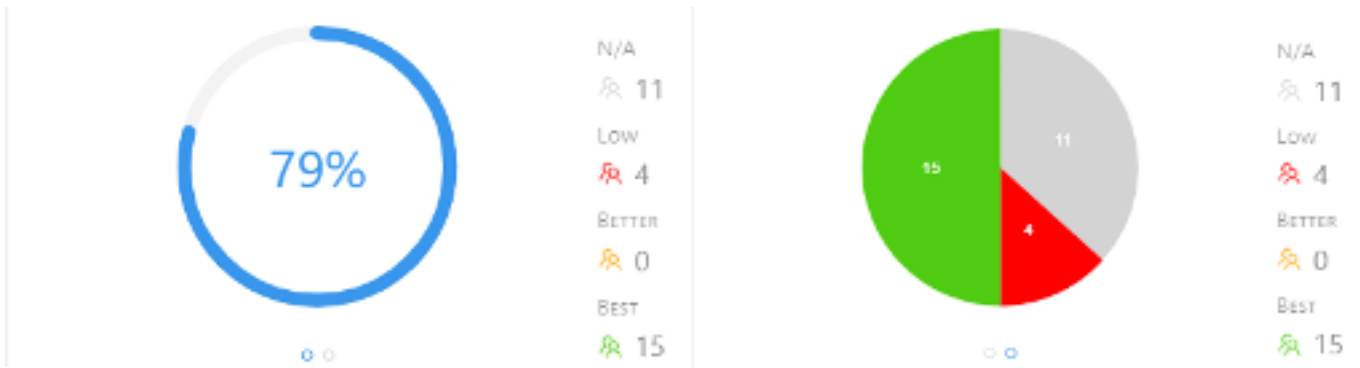
CPCs have been assessed P/C or N/C for the following reasons:

Standard	EU, MDG, MYS, LKA, TZA (LKA & TZA - No evidence of examination of IOTC import data).
System/procedure	EU, MDG
No submission for	KEN, OMN, SOM, SDN YEM

Seven CPCs have been assessed as compliant with this measure. This requirement is not applicable to sixteen CPCs, who did not export frozen bigeye tuna subject to this measure, in 2022. Six CPC has been assessed as non-compliant with this requirement since they have not submitted a report that meets the IOTC standard and/or have not reported on their system and procedures to implement this requirement. Five CPCs, Kenya, Oman, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 10.4 – Authorised institutions 2023 (Resolution 01/06)**

**COMPLIANCE RATE**



Compliant	<b>15</b>	AUS, CHN EU IDN JPN KEN KOR MYS MDV MUS SYC ZAF LKA THA, TZA
Partially Compliant	<b>0</b>	
Not Compliant 1	<b>4</b>	IND MDG MOZ OMN
Not Compliant 2	<b>0</b>	
Not Applicable	<b>11</b>	BDG COM FRA(OT) IRN LBR PAK PHL SOM SDN GBR YEM

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IND MDG LEG
No submission for	OMN

Fifteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to eleven CPCs, they did not export frozen bigeye tuna subject to this measure. Four CPC has been assessed as non-compliant with this requirement since they have not submitted legislation in related to resolution 01/06 to implement this requirement. One CPCs have not submitted any information for this requirement.

**12. Level of compliance by IOTC CPCs – PSM – Port inspection, port State measures (COMPLIANCE REPORT section 11).**

**REQ CR 11.1 – Foreign vessel landing (Resolution 05/03)**

COMPLIANCE RATE



Compliant	<b>7</b>	KEN, KOR, MDG, MUS, SYC, ZAF, THA.
Partially Compliant	<b>0</b>	NONE
Not Compliant 1	<b>0</b>	NONE
Not Compliant 2	<b>3</b>	SOM SDN YEM
Not Applicable	<b>20</b>	AUS, BDG, CHN, COM, EUR, FRAOT, IND, IDN, IRN, JPN, KOR, LBR, MYS, MDV, MOZ, OMN, PAK, PHL, LKA, TZA, GBR

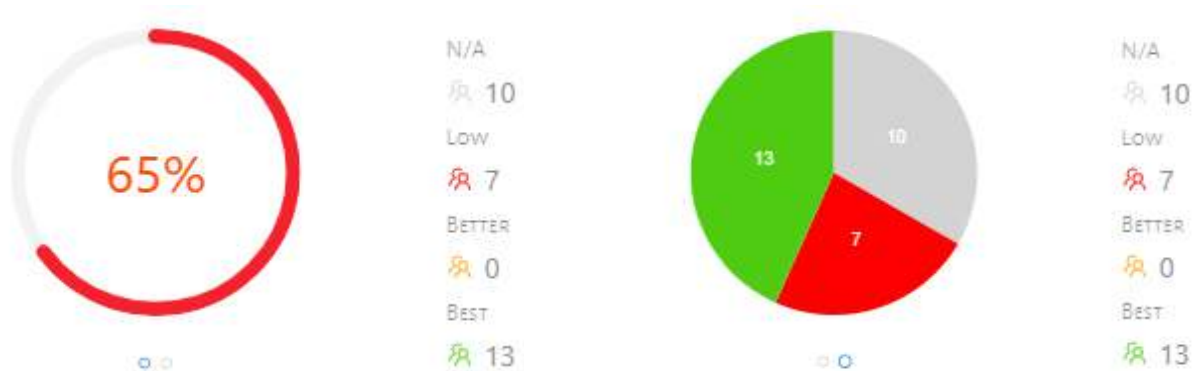
CPCs have been assessed P/C or N/C for the following reasons:

No submission for	SOM, SDN, YEM
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Seven CPCs have been assessed as compliant with this measure. This requirement is not applicable to twenty CPCs. Three CPCs, Somalia, Sudan and Yemen, have not submitted any information for this requirement.

**REQ CR 11.2 - List of designated ports (Resolution 16/11)**

COMPLIANCE RATE



Compliant	<b>13</b>	AUS, EU, IDN, IRN, KEN, MDV, MUS, MOZ, SYC, ZAF, LKA, TZA, THA
Partially Compliant	<b>2</b>	MDG, MYS
Not Compliant 1	<b>3</b>	BDG, OMN, SOM
Not Compliant 2	<b>2</b>	SDN, YEM
Not Applicable	<b>10</b>	CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Legislation	BGD, MDG, MYS, OMN
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Standard	BGD
System/procedure	BGD, OMN
No submission for	SOM, SDN, YEM

Thirteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to ten CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Seven CPCs have been assessed as non-compliant with this requirement since they have not met IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.3 - Designated competent Authority (Resolution 16/11)**

COMPLIANCE RATE



Compliant	14	AUS, EU, IDN, IRN, KEN, MDG, MYS, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	0	
Not Compliant 1	4	BDG, OMN, SOM, TZA
Not Compliant 2	2	SDN, YEM
Not Applicable	10	CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, GBR

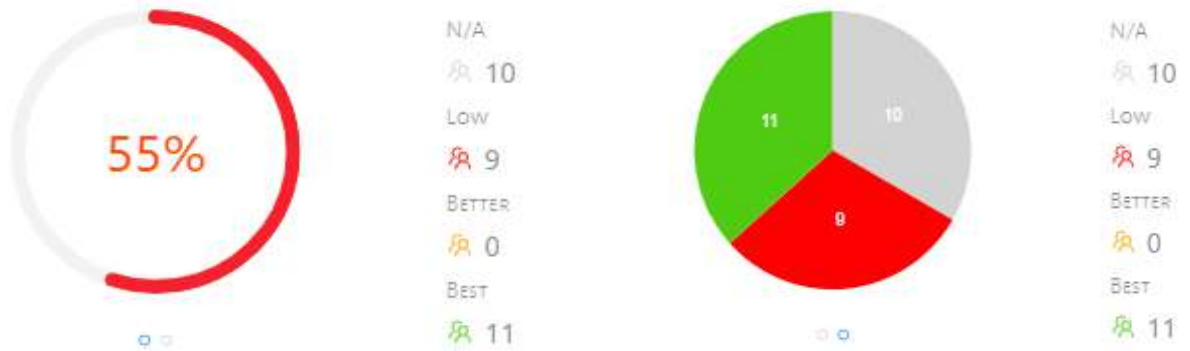
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	TZA
Legislation	BGD, OMN
Standard	BGD
System/procedure	BGD, OMN
No submission for	SOM, SDN, YEM

Fourteen CPCs have been assessed as compliant with this measure. This requirement is not applicable to ten CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Six CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadlines or/and IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.4 – Prior notification periods (Resolution 16/11)**

COMPLIANCE RATE



Compliant	<b>11</b>	AUS, EU, IDN, KEN, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	<b>3</b>	IRN, MDG, MYS
Not Compliant 1	<b>3</b>	OMN, SOM, TZA
Not Compliant 2	<b>3</b>	BDG, SDN, YEM
Not Applicable	<b>10</b>	CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, GBR

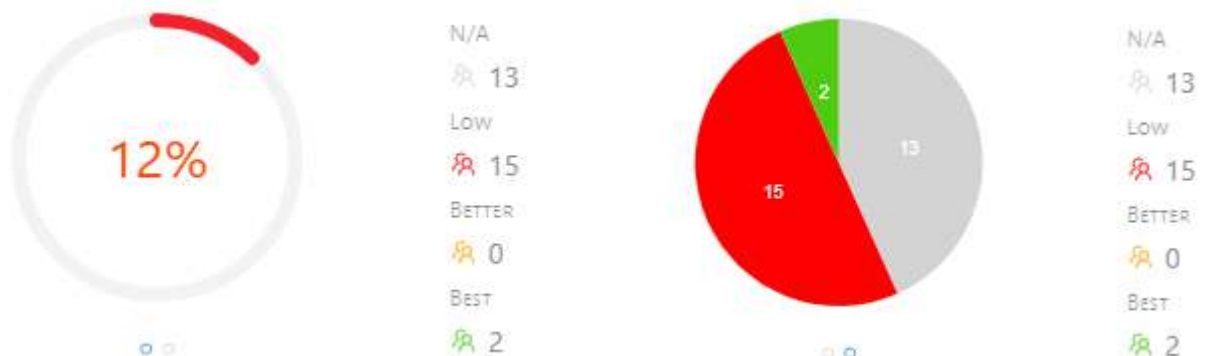
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	TZA
Legislation	BGD, IRN, MDG, MYS, OMN
Standard	BGD
System/procedure	BGD, OMN
No submission for	SOM, SDN, YEM

Eleven CPCs have been assessed as compliant with this measure. This requirement is not applicable to ten CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Nine CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadlines or/and IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.5 – Port inspection reports (Resolution 16/11)**

COMPLIANCE RATE



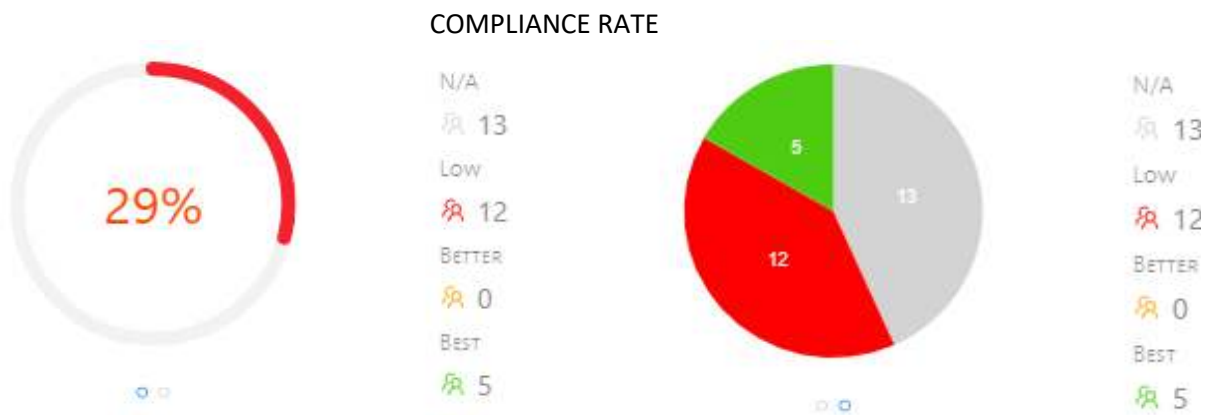
Compliant	<b>2</b>	AUS, LKA
Partially Compliant	<b>8</b>	IDN, KEN, MDV, MUS, MOZ, SYC, ZAF, THA
Not Compliant 1	<b>5</b>	IRN, MDG, MYS, OMN, SOM
Not Compliant 2	<b>2</b>	SDN, YEM
Not Applicable	<b>13</b>	BDG, CHN, COM, EU, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, TZA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	KEN
Legislation	IRN, KEN, MDG, MDV, OMN
Standard	IDN, MDG, MYS, MDV, MUS, MOZ, OMN, SYC, ZAF, THA
System/procedure	IRN, MDG, MOZ, OMN
No submission for	SOM, SDN, YEM

Two CPCs have been assessed as compliant with this measure. This requirement is not applicable to thirteen CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Fifteen CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadlines or/and IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Three CPCs, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.6 – At least 5% inspection of LAN / TRX (Resolution 16/11)**



Compliant	5	AUS, IDN, MUS, LKA, TZA
Partially Compliant	7	KEN, MDG, MYS, MOZ, SYC, ZAF, THA
Not Compliant 1	2	IRN, OMN
Not Compliant 2	3	SOM, SDN, YEM
Not Applicable	13	BDG, CHN, COM, EU, FRA(OT), IND, JPN, KOR, LBR, MDV, PAK, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

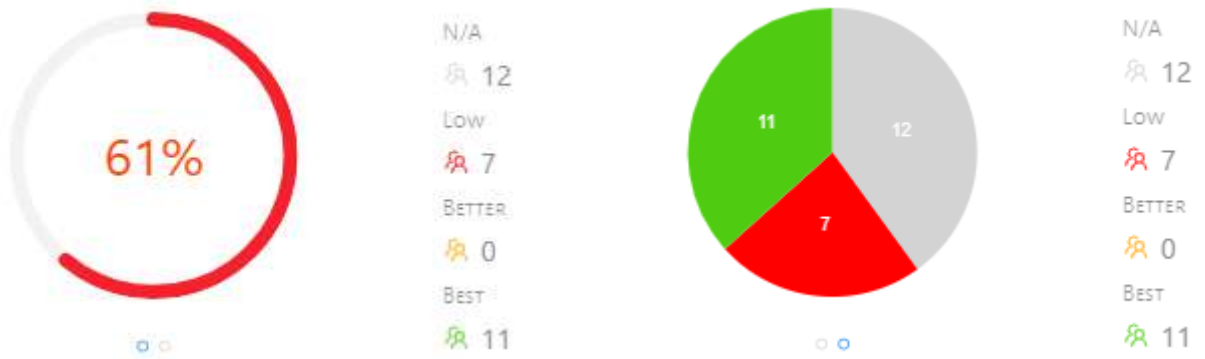
Timeliness	KEN
Legislation	IRN, KEN, MDG, MYS, MOZ, SYC, ZAF, THA
Standard	
System/procedure	IRN, MOZ
No submission for	OMN, SOM, SDN, YEM

Five CPCs have been assessed as compliant with this measure. This requirement is not applicable to thirteen CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Fifteen CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadlines, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Oman, Somalia, Sudan and Yemen have not submitted any information for this requirement.



**REQ CR 11.7 – Denial of entry in port (Resolution 16/11)**

COMPLIANCE RATE



Compliant	<b>11</b>	AUS, EU, IDN, KEN, MDV, MUS, MOZ, SYC, ZAF, LKA, THA
Partially Compliant	<b>3</b>	IRN, MDG, MYS
Not Compliant 1	<b>1</b>	OMN
Not Compliant 2	<b>3</b>	SOM, SDN, YEM
Not Applicable	<b>12</b>	BDG, CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, TZA, GBR

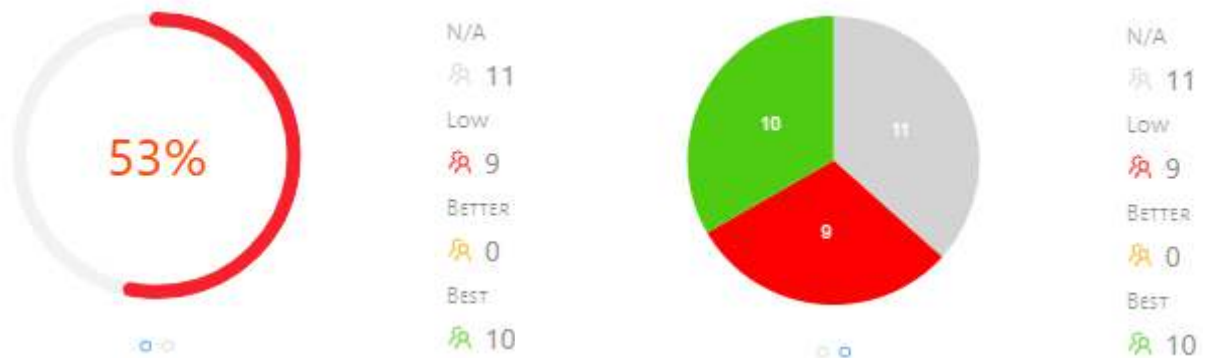
CPCs have been assessed P/C or N/C for the following reasons:

Legislation	IRN, MDG, MYS
No submission for	OMN, SOM, SDN, YEM

Eleven CPCs have been assessed as compliant with this measure. This requirement is not applicable to twelve CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Seven CPCs have been assessed as non-compliant with this requirement since they have not transposed this requirement into their national legislation. Four CPCs, Oman, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.8 – Denial of use of port (Resolution 16/11)**

COMPLIANCE RATE



Compliant	<b>10</b>	AUS, EU, IDN, MDV, MUS, MOZ, SYC, ZAF, TZA, THA
Partially Compliant	<b>5</b>	IRN, KEN, MDG, MYS, LKA
Not Compliant 1	<b>1</b>	OMN
Not Compliant 2	<b>3</b>	SOM, SDN, YEM
Not Applicable	<b>11</b>	BDG, CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, GBR

CPCs have been assessed P/C or N/C for the following reasons:

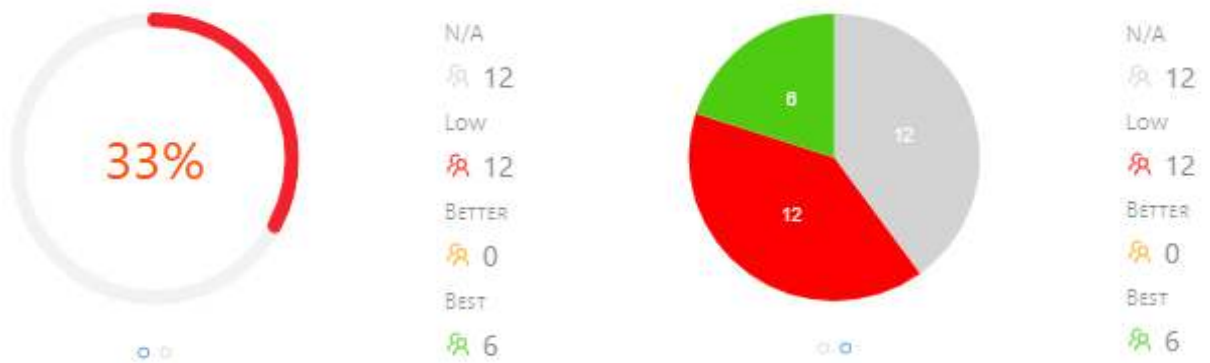
Timeliness	KEN
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Legislation	MYS, IRN, MDG, MYS, LKA
System/procedure	IRN
No submission for	OMN, SOM, SDN, YEM

Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to Eleven CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Nine CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadlines, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Oman, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.9 – Withdrawal of denial of use of port (Resolution 16/11)**

COMPLIANCE RATE



Compliant	6	EU, IDN, MDV, MUS, SYC, ZAF
Partially Compliant	7	KEN, MDG, MYS, MOZ, LKA, TZA, THA
Not Compliant 1	2	IRN, OMN
Not Compliant 2	3	SOM, SDN, YEM
Not Applicable	12	AUS, BDG, CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, GBR

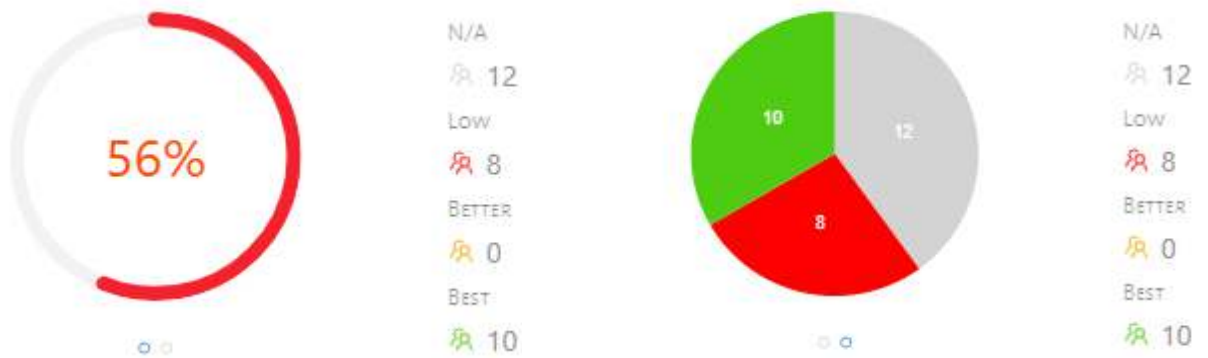
CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	AUS, KEN
Legislation	AUS, IRN, KEN, MDG, MYS, MOZ, LKA, TZA, THA
Standard	AUS
System/procedure	AUS, IRN
No submission for	OMN, SOM, SDN, YEM

Six CPCs have been assessed as compliant with this measure. This requirement is not applicable to twelve CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Twelve CPCs have been assessed as non-compliant with this requirement since they have not met the reporting deadlines or/and IOTC standard, and/or have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Oman, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**REQ CR 11.10 – Report vessel engaged in IUU fishing following an inspection (Resolution 16/11)**

COMPLIANCE RATE



Compliant	<b>10</b>	AUS, EU, IDN, KEN, MYS, MUS, MOZ, SYC, LKA, THA
Partially Compliant	<b>2</b>	MDV, ZAF
Not Compliant 1	<b>3</b>	IRN, MDG, OMN
Not Compliant 2	<b>3</b>	SOM, SDN, YEM
Not Applicable	<b>12</b>	BDG, CHN, COM, FRA(OT), IND, JPN, KOR, LBR, PAK, PHL, TZA, GBR

CPCs have been assessed P/C or N/C for the following reasons:

Timeliness	
Legislation	MDG, MDV, ZAF
Standard	
System/procedure	IRN, MDG
No submission for	OMN, SOM, SDN, YEM

Ten CPCs have been assessed as compliant with this measure. This requirement is not applicable to twelve CPCs, who do not allow foreign vessels enter any of its ports or are not a coastal State of the IOTC Area of Competence. Eight CPCs have been assessed as non-compliant with this requirement since they have not transposed this requirement into their national legislation, and/or have not reported on their system and procedures to implement this requirement. Four CPCs, Oman, Somalia, Sudan and Yemen have not submitted any information for this requirement.

**RECOMMENDATION/S**

That the CoC21:

- 1) **NOTE** the information provided in document IOTC–2024–CoC21–03 that present, to the Compliance Committee, the compliance assessment of Conservation and Management Measures (CMMs) conducted in 2024 for IOTC Members and Cooperating non-Contracting Parties (CPCs);
- 2) **NOTE** the recurrent low level of compliance with Resolution 15/02 (Catch statistics) and Resolution 22/04 (Observer scheme),
- 3) **NOTE** the continued low level of compliance with the size frequency requirement for all fisheries,
- 4) **NOTE** that some port States are not providing port inspection reports, are not reporting the change of their designated ports to the Secretariat, are not inspecting/monitoring at least 5% of landing and transshipment in 2023,
- 5) **NOTE** that the e-PSM application, mandatory since 2022, is equipped with a tool that allows port States to conduct inspection on board vessels with a tablet and that all port States using e-PSM have been trained and provided with tablets, allowing CPCs to comply with the three (3) days deadline to submit inspection reports,
- 6) **NOTE** that some compliance issues are related to the lack of transposition of IOTC Resolutions into the national legislation, the absence of reporting of system and procedures and consider to recommend to the Commission to urge CPC to provide to the Commission, laws, regulations and administrative instructions in force (including terms and conditions of flag State Authorisation to Fish having force of law) relating to the conservation and management of stocks covered by this Agreement and to inform the Commission of any amendment or repeal of such laws, regulations and administrative instructions, in compliance with the Article XI.2 of the IOTC Agreement.

**Annex 1****Table 1.** Number of fishing vessels, by size category and vessel types, in the IOTC Record of Authorised Vessels on 31 December 2023.

CPC	Number of Ships	LOA <24m	LOA 24+m	Gill Net	Line	Longline	Multi-purpose	Pole and Line	Purse seine	Research vessel	Supply vessel	Trawler
Australia	56	42	14	1	5	41			9			
China	97		97			95				2		
European Union	115	21	94			77			29		9	
India	4		4			4						
Indonesia	721	168	553		3	455			263			
Iran	1310	815	495	1295		5			8			2
Japan	167		167			157			10			
Kenya	6		6			6						
Korea, Republic of	73		73			65			7		1	
Madagascar	9	9				9						
Malaysia	16		16			16						
Maldives	756	365	391					756				
Mauritius	20		20			16			3		1	
Mozambique	24	23	1			24						
Oman	11	6	5			9			2			
Seychelles	90	39	51			74			13		3	
South Africa	42	27	15	5		20	17					
Sri Lanka	2813	2790	23	249	1	1232	1331					
Tanzania	5		5			3			1		1	
Thailand	3		3							3		
Liberia	1										1	
<b>Grand total</b>	<b>6339</b>	<b>4305 (68%)</b>	<b>2034 (32%)</b>	<b>1550</b>	<b>9</b>	<b>2308</b>	<b>1348</b>	<b>756</b>	<b>345</b>	<b>5</b>	<b>16</b>	<b>2</b>

**Table 2.** Summary of active fishing vessels in the IOTC Area from 2007 to 2023.

CPCs	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Australia	9	8	13	12	11	11	9	8	9	9	11	11	11	14	2	2	11
Belize	10	9	5	7	7	6	3	4									
China	67	46	32	20	15	36	36	47	53	67	81	85	88	80	78	78	74
France (EU)	42	44	42	35	33	27	24	28	29	29	29	30	30	55	32	36	37
Italy (EU)	1	1							1	1	1		1	1	1	1	1
Portugal (EU)	15	5	6	4	4	3	8	6	6	7	5	5	3	3	2	2	2
Spain (EU)	50	39	30	26	34	38	42	47	43	47	37	34	32	31	29	28	31
United Kingdom (EU)	4	4	4	4	3	3	2	2	1	1	2	2	2				
France (Territories)	2	2		4	5	5	5										
India	77	34	50	64	51	20	15	25	25		4	4	4	4	4	4	4
Indonesia				993	1196	1275	1238	458	584	271	246	324	324	382	435	462	567
Iran	1109	1206	1307	1270	1251	1233	1230	1228	1195	1205	1236	1221	1213	1210	1302	1213	1216
Japan	217	210	140	112	70	72	73	53	56	46	42	50	50	60	53	43	41
Kenya	1	2	2	1						1		3	3	10	5	6	7
Korea_Republic of	33	24	20	13	7	10	13	14	20	19	19	15	13	15	8	8	7
Madagascar	1	2		6	4	8	8	7	7	7	7	5	5	5	5		5
Malaysia	62	58	59	43	8	5	5	11	10	10	19	19	17	17	20	20	16
Maldives					234	249	318	344	367	372	400	391	393	373	372	375	354
Mauritius	10	8	1	3	4	5	2	7	7	7	7	11	16	4	4	18	21
Mozambique					1	1		2	9	11	2	2	4	14	6	6	
Oman	29	27				8	5	3	1	1	1		1	1		4	5
Pakistan					10												
Philippines	17	17	8	7	3	14	9	4									
Seychelles	45	42	50	50	31	39	43	39	57	84	80	88	97	91	98	95	101
South Africa	16	10			15	13	16	6	15	13	17	24	17	15	14	20	19
Sri Lanka	2631	2975	3261	3295	3588	2482	2241	1609	1577	1455	1374	1336	1182	925	1194	1485	1971
Tanzania	3	3		4	1	8	5	3	3	3			1	1			4
Thailand	11	6	11	10	5	5	5	6	9	1	1						
Vanuatu			4	4		2	17										
Grand Total	4462	4782	5045	5987	6591	5578	5372	3961	4084	3667	3621	3660	3507	3311	3664	3906	4494

## Annex 2: Individual CPCs level of compliance between 2010 and 2023, as shown in the e-MARIS application, as of 22 April 2024.

Compliance Rate = number of requirements compliant / number of requirements applicable.

Cells in green indicate CPCs that have benefited from the Compliance Support Mission (CSM) and follow up of CSM. Cells in orange indicate CPCs that have benefited from the DATA - Compliance Support Mission.

CPCs / year	Compliance rate														Trend
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	
Australia	47%	85%	78%	84%	85%	88%	93%	96%	96%	97%	95%	77%	97%	93.3%	↓
Bangladesh						10%	9%	16%	39%	27%	32%	29%	43%	18.4%	↓
China	55%	74%	76%	85%	96%	90%	88%	96%	100%	96%	90%	82%	82%	77.8%	↓
Comoros	29%	43%	79%	55%	61%	75%	96%	85%	91%	72%	58%	73%	54%	14.3%	↓
European Union	71%	73%	80%	83%	88%	83%	88%	77%	77%	75%	71%	79%	77%	70%	↓
France (OT)	61%	55%	72%	77%	80%	90%	100%	100%	100%	100%	100%	100%	100%	88.9%	↓
India	29%	24%	32%	38%	23%	9%	6%	39%	64%	73%	88%	76%	27%	11.9%	↓
Indonesia	13%	7%	47%	45%	62%	60%	68%	73%	77%	75%	74%	81%	80%	82.6%	↑
Iran, Islamic Republic of	11%	52%	60%	65%	69%	75%	76%	71%	70%	56%	73%	73%	31%	31.1%	↑
Japan	82%	97%	93%	93%	91%	97%	92%	95%	85%	70%	95%	92%	81%	75%	↓
Kenya	3%	8%	31%	66%	71%	66%	49%	42%	39%	71%	76%	64%	66%	36.4%	↓
Korea, Republic of	77%	84%	92%	89%	96%	97%	91%	95%	95%	93%	91%	88%	92%	92.7%	↑
Liberia						100%	100%	100%	100%	100%	92%		88%	50%	↓
Madagascar	13%	18%	22%	75%	81%	66%	81%	65%	74%	61%	77%	73%	76%	55%	↓

	Compliance rate														
CPCs / year	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	Trend
Malaysia	11%	26%	17%	40%	57%	56%	75%	74%	79%	80%	79%	87%	93%	49.3%	↓
Maldives	3%	33%	50%	57%	79%	78%	76%	69%	72%	79%	82%	87%	89%	77.6%	↓
Mauritius	15%	48%	54%	69%	80%	81%	88%	81%	82%	89%	92%	91%	91%	88.5%	↓
Mozambique		47%	58%	72%	82%	80%	89%	92%	81%	85%	71%	61%	68%	64.4%	↓
Oman, Sultanate of	10%	11%	27%	33%	53%	57%	78%	58%	45%	32%	44%	32%	22%	1%	↓
Pakistan	0%	11%	5%	7%	5%	6%	19%	53%	53%	58%	57%	37%	9%	5.7%	↓
Philippines	18%	52%	48%	61%	80%	67%	79%	60%	67%	73%	87%	78%	86%	83.3%	↓
Seychelles	36%	47%	41%	56%	74%	73%	72%	78%	70%	73%	80%	80%	86%	75.2%	↓
Somalia					80%	71%	73%	44%	48%	17%	23%	20%	16%	3.8%	↓
South Africa	38%	48%	64%	54%	65%	76%	77%	87%	85%	92%	88%	78%	87%	75%	↓
Sri Lanka	5%	18%	47%	51%	60%	74%	77%	82%	87%	90%	86%	76%	83%	73.8%	↓
Sudan	0%	0%	0%	6%	6%	6%	6%	6%	23%	5%	4%	5%	11%	3.7%	↓
Tanzania	0%	7%	4%	45%	60%	56%	63%	54%	62%	82%	73%	80%	70%	50.6%	↓
Thailand	28%	38%	43%	44%	45%	68%	66%	85%	84%	92%	91%	98%	98%	93.3%	↓
United Kingdom	86%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	91%	100%	86.7%	↓
Yemen			0%	3%	3%	2%	2%	2%	2%	5%	6%	6%	4%	3.7%	↓
Commission (All CPCs)	25%	38%	46%	54%	59%	57.5%	62.1%	66.6%	68.2%	69.7%	73.4%	69.9%	65%	56%	↓



