



Food and Agriculture
Organization of the
United Nations



Indian Ocean Tuna Commission
Commission des Thons de l'Océan Indien

IOTC-2024-CoC21-sCR22-SOM [E]

2024 **Summary** Compliance Report for: Somalia

Report date: 13 April 2024 - 08:03

Note: Acronyms and definitions can be consulted last page of the Compliance Report.

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous status	Current timeliness	Current status	Observations	CPC remarks
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1. Implementation obligations

1.1	Art. X Agreement (2023)	Report of Implementation	14/3/2024	N/C	N/C	N/C	N/C2	Failed to submit the Implementation Report. Défaut de soumission du rapport de mise en œuvre	
1.2	Rules of Proc. (4.1) (2023)	Compliance Questionnaire	24/2/2024	N/C	N/C	N/C	N/C2	CQ not submitted. Failed to submit the standard questionnaire on compliance.	
1.3	SC04 (111) (2022)	National Scientific Report	19/11/2023	C	C	N/C	N/C1	No NR report received. Legislation: NO - Not submitted. Standard: NO. System/procedure: NO – Not provided & described for i ii & iii.	

								OBS: Failed to implement and to ensure compliance with this obligation.	
1.4	Commission (S17 p. 52) (2023)	Response to Feedback letter	14/3/2024	C	C	N/C	N/C1	No response to FL submitted. Failed to implement, ensure compliance with the obligation.	
1.5	Art. X & XI.2 Agreement (2023)	Transposition of CMMs into national legislation	14/3/2024	-/-	-/-	L	N/C1	NOT SUBMITTED	

2. Management Standards

2.7b	Res. 15/01 (4) (2023)	Coastal data recording system	31/12/2023 (Since 15.02.2016)	-/-	-/-	N/C	N/C1	Failed to implement, ensure compliance with the obligation. Mandatory information, legislation, system / procedures not provided.	
2.8	Res. 17/07 (2) (2023)	Ban on large-scale driftnets in IOTC Area (2)	14/3/2024	N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	
2.9	Res. 17/07 (6) (2023)	MCS actions related to large-scale driftnets in IOTC Area	14/3/2024	N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	
2.11c	Res. 23/01 (4) (2024)	AFAD management plan	1/1/2024	-/-	-/-	N/C	N/C1	No information provided on AFADs fisheries for 2024. Failed to implement, ensure compliance with the obligation.	
2.13	Res. 16/07 (1&2) (2023)	Prohibition of surface, submerged artificial lights to attract fish	31/12/2023 (Since 27.09.2016)	N/C	N/C	N/A	N/C2	NOT SUBMITTED	
2.14	Res. 16/08 (1) (2023)	Prohibition of aircrafts & unmanned aerial vehicles	31/12/2023 (Since 27.09.2016)	N/C	N/C	N/A	N/C2	NOT SUBMITTED	

2.21	Res. 18/07 (1) (2023)	Report actions taken to implement reporting obligations & improve data collection of catches	14/3/2024	N/C	N/C	L	N/C2	NOT SUBMITTED	
2.22	Res 11/02 (6) (2023)	Reports observations of damaged data buoys	14/3/2024	N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	
2.23	Res 11/02 (2) (2023)	Prohibition from intentionally fishing within 1 nautical mile of or interacting with data buoy	4/1/2024 (Since 2011)	N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	
2.24	Res 11/02 (3) (2023)	Prohibition from taking on board data buoys	4/1/2024 (Since 2011)	N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	
2.27	Res. 19/03 (2) (2023)	Prohibition of intentionally setting any gear type on mobulid rays	29/12/2023 (Since 2019 (All gears))	N/C	N/C	N/C	N/C2	NOT SUBMITTED	

3.Reporting on Vessels

3.2	Res. 19/07 (8) (2023)	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	28/2/2024	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	
3.3	Res. 19/07 (4.1) (2023)	Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	31/12/2023 (Within 15 days before fishing)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	
3.4	Res. 19/07 (4.2) (2023)	Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	31/12/2023 (Within 15 days before fishing)	N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	
3.5	Res. 19/07 (6) (2023)	Start, suspension, resumption and termination of the		N/C	N/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation for two or more consecutive years.	

		fishing operations of chartering agreement	31/12/2023 (Since 2018)					Mandatory information, system / procedures not provided.	
3.8	Res. 14/05 (1) (2023)	List of foreign vessels licensed in EEZ	15/2/2024	L	P/C	N/C	N/C2	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	
3.9	Res. 14/05 (6) (2023)	Foreign vessels denied a licence	15/2/2024	L	P/C	N/C	N/C2	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	
3.10	Res. 14/05 (3&5) (2023)	Access Agreement information	14/3/2024 (Since 26.02.2015)	C	P/C	N/C	N/C2	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	
3.11	Res. 14/05 (7, 8) (2023)	Official coastal State fishing License	31/12/2023 (Since 14.01.2014)	C	P/C	N/C	N/C2	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	

4. Vessel Monitoring System

5. Mandatory statistical requirement – Flag State CPCs

5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Nominal Catches – Coastal Fisheries	30/6/2023	N/C	N/C	N/C	N/C2	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	
5.4	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4),	Nominal catch – Discards	30/6/2023	N/C	N/C	L	N/C2	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	

	19/02 (4, 22 & 24) (2022)								
5.5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Nominal catch – Report on zero catches matrix	30/6/2023	N/C	N/C	N/C	N/C2	Failed to ensure compliance of the same obligation for two or more consecutive years. Standard: NO - No data submitted.	
5.6	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Catch & Effort – Coastal fisheries	30/6/2023	N/C	N/C	N/C	N/C2	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	
5.9	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Size Frequency – Coastal fisheries	30/6/2023	N/C	N/C	N/C	N/C2	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	

6. Implementation of mitigation measures and bycatch of non-IOTC species

6.1	Res. 17/05 (3) (2023)	Prohibition on shark finning	31/12/2023 (Since 03.10.2017)	N/C	N/C	L	N/C2	NOT SUBMITTED	
6.2	Res. 12/09 (2) (2023)	Prohibition on thresher sharks - all species of family Alopiidae	31/12/2023 (Since 07.07.2010)	N/C	N/C	L	N/C2	NOT SUBMITTED	
6.4	Res. 19/03 (3) (2023)	Prohibition to retain on-board, tranship, land, store mobulid rays	31/12/2023 (Since 29.10.2019)	N/C	N/C	L	N/C2	NOT SUBMITTED	
6.5	Res. 19/03 (5, Annex 1) (2023)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live re-	31/12/2023 (Since 29.10.2019)	N/C	N/C	L	N/C2	NOT SUBMITTED	

		lease handling procedures of mobulid rays							
6.11	Res. 13/04 (8) (2023)	Instances of Cetaceans encircled (2)	14/3/2024 (For PS)	N/A	N/A	N/C	N/C1	Failed to ensure compliance with the same obligation. Mandatory information, system / procedures not provided.	
6.12	Res 18/05 (5) (2023)	Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length	31/12/2023 (Since 04.10.2018)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	
6.15	Res 18/02 (4) (2022)	Information on actions taken domestically to monitor catches of Blue Shark	19/11/2023 (SC)	C	C	L	N/C1	No NR received.	
6.16	Res 18/05 (9) (2022)	Information on actions taken domestically to monitor catches & manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish	19/11/2023 (SC)	C	P/C	L	N/C2	No NR received.	

7. Illegal, Unreported and Unregulated (IUU) Vessels

8. Transshipments

9. Observers

9.1	Res. 11/04 (9) (2022)	Regional Observer Scheme (2) (No. of vessels monitored and coverage by gear type)	19/11/2023	-/-	-/-	N/C	N/C1	Failed to implement, ensure compliance with the obligation. Mandatory information, legislation, system / procedures not provided.	
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9.3	Res. 11/04 (4) (2022)	5 % Artisanal landings (2)	19/11/2023 (Since 2013)	-/-	-/-	N/C	N/C1	Failed to implement, ensure compliance with the obligation. Mandatory information, legislation, system / procedures not provided.
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10. Statistical document programme

10.1	Res. 01/06 (5) (2023)	1st Semester report (2022)	1/10/2023	N/C	N/C	L	N/C2	NOT SUBMITTED
10.2	Res. 01/06 (5) (2022)	2nd Semester report (2021)	1/4/2023	N/A	N/A	L	N/C1	NOT SUBMITTED

11. Port inspection

11.1	Res. 05/03 (8) (2022)	Port inspection programme : foreign vessels landings	1/7/2023	N/C	N/C	N/C	N/C2	Is an IOTC port State. Mandatory report not provided. Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.
11.2	Res. 16/11 (5.1 & 19.1a) (2023)	List of designated ports	31/12/2023 (Since 31.12.10)	C	C	N/C	N/C1	Failed to report and ensure compliance with the same obligation. <u>LEG</u> : NO - Not provided. <u>STD</u> : Has designated back in 01.07.15, 4 ports (Mogadiscio, Kismayo, Berbera & Bosaso); Competent Authority: Ministry of Fisheries and Marine Resources, Federal Republic of Somalia; Period Advance Notice: 48h. <u>SP</u> : NO - Not provided for a), b) & c).
11.3	Res. 16/11 (5.1 & 19.1c) (2023)	Designated competent Authority	31/12/2023 (Since 31.12.10)	C	C	N/C	N/C1	Failed to ensure compliance with the obligation. Mandatory information, system / procedures not provided.
11.4	Res. 16/11 (5.1 & 19.1b) (2023)	Prior notification periods	31/12/2023 (Since 31.12.10)	C	C	N/C	N/C1	Failed to ensure compliance with the obligation. Mandatory information, system / procedures not provided.

11.5	Res. 16/11 (13.1) (2023)	Port inspection reports	31/12/2023 (3 days after inspection)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.
11.6	Res. 16/11 (10.1) (2023)	At least 5% inspection of LAN/TRX	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.
11.7	Res. 16/11 (7.3) (2023)	Denial of entry in port	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.
11.8	Res. 16/11 (9.3) (2023)	Denial of use of port	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.
11.9	Res. 16/11 (9.4) (2023)	Withdrawal of denial of use of port	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.
11.10	Res. 16/11 (15.1) (2023)	Report vessel engaged in IUU fishing following an inspection	31/12/2023 (Depuis 01.03.2011)	N/C	N/C	N/C	N/C2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.

Current issues on the level of implementation by Somalia of IOTC Conservation and Management Measures identified for discussion in the CoC21 in 2024

Having reviewed the 2024 Draft Compliance Report for Somalia, the Chair of the Compliance Committee has identified the following significant repeated non-compliance issues for discussion.

Repeated non-compliance issues

Requirement	Observations	Current status (2024)	Previous status (2023)
1.1	Failed to submit the Implementation Report. Défaut de soumission du rapport de mise en œuvre	N/C2	N/C
1.2	CQ not submitted. Failed to submit the standard questionnaire on compliance.	N/C2	N/C
2.8	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	N/C2	N/C
2.9	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	N/C2	N/C
2.13	NOT SUBMITTED	N/C2	N/C
2.14	NOT SUBMITTED	N/C2	N/C

2.21	NOT SUBMITTED	N/C2	N/C
2.22	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	N/C2	N/C
2.23	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	N/C2	N/C
2.24	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	N/C2	N/C
2.27	NOT SUBMITTED	N/C2	N/C
3.2	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
3.3	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
3.4	Failed to implement, ensure compliance with the obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
3.5	Failed to implement, ensure compliance with the obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
3.8	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	N/C2	P/C
3.9	Failed to implement, ensure compliance with the same	N/C2	P/C

	obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.		
3.10	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	N/C2	P/C
3.11	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	N/C2	P/C
5.1	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	N/C2	N/C
5.4	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	N/C2	N/C
5.5	Failed to ensure compliance of the same obligation for two or more consecutive years. Standard: NO - No data submitted.	N/C2	N/C
5.6	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	N/C2	N/C
5.9	Failed to ensure compliance of the same obligation for two or more consecutive years. STD: NO - No data submitted.	N/C2	N/C
6.1	NOT SUBMITTED	N/C2	N/C
6.2	NOT SUBMITTED	N/C2	N/C
6.4	NOT SUBMITTED	N/C2	N/C

6.5	NOT SUBMITTED	N/C2	N/C
6.12	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
6.16	No NR received.	N/C2	P/C
10.1	NOT SUBMITTED	N/C2	N/C
11.1	Is an IOTC port State. Mandatory report not provided. Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	N/C2	N/C
11.5	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
11.6	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
11.8	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
11.7	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C
11.9	Failed to ensure compliance with the same obligation for two or more consecutive years.	N/C2	N/C

	Mandatory information, system / procedures not provided.		
11.10	Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.	N/C2	N/C

Note: if the table above is empty, it means there were no repeated compliance issues identified this year.

Reading instructions

(1) Information is to be provided in the Report of Implementation

(2) 24 meters overall length and over, and under 24 meters if they fished outside their EEZ

(Year) = year reporting on/year assessed.

LEG: Legislation - Transposition of Commission decisions, Legislation or administrative orders.

STD : Standard - Reporting format, IOTC standard

SP: System or procedures - Provision of information on system or procedures a) to monitor and ensure compliance of vessels and persons OR b) to prepare and submit information, data, report to the Commission.

i) ii) iii) = a) b) c)

Assessment

Timeliness

- **C:** Compliant
- **L:** Late
- **N/A:** Not applicable
- **N/C:** Non compliant
- **P/C:** Partially compliant

Compliance

- **C:** Compliant
- **C/B:** Capacity building
- **N/A:** Not applicable
- **N/C1:** Not compliant 1
- **N/C2:** Not compliant 2
- **P/C:** Partially compliant

CoC/Commission recommendations

- **Additional info or address the issue:** Provide additional information or address the compliance issue within a given period of time, and at the latest before the following annual meeting.
- **Actions proposed by the CPC:** Actions proposed by the CPC and endorsed by the Commission.
- **Investigation by the CPC:** Completion of an investigation by the CPC regarding a compliance issue and reporting back to the Compliance Committee, where required.
- **Enhanced monitoring of fleet:** Enhanced monitoring of the fleet.
- **Amendments to national rules:** Amendments to domestic procedures, legislation or policy including penalties, where required.
- **Detailed plan and timeline:** Submit, within 3 months from the end of the Commission annual session, a detailed plan and timeline on how it intends to address the non-compliance of category 2 identified.
- **Capacity building or assistance:** Provision of capacity building or technical assistance for a specified amount of time.
- **Other remedies:** Other remedies.

“Missing” values:

- “-/-”: no possible value (e.g.: no previous assessment possible because this is the first campaign in which the requirement is assessed);
- “None”: no value provided by the Secretariat (e.g. requirement assessed as Compliant, no text provided in “Observations”);
- “Not assessed”: for timeliness and compliance when no assessment has been made yet or the requirement is not assessable;
- “-”: no information provided by the CPC for this question (e.g. nothing entered in a text entry field, no boxes checked...);
- “Not Submitted”: the value will only be available after submission of the report (e.g. the “Report date”).