



IOTC-2024-CoC21-sCR24-LKA [E]

2024 **Summary** Compliance Report for: Sri Lanka

Report date: 11 April 2024 - 16:05

Note: Acronyms and definitions can be consulted last page of the Compliance Report.

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous status	Current timeliness	Current status	Observations	CPC remarks
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1. Implementation obligations

2. Management Standards

2.4	Res. 19/04 (20) (2023)	Fishing logbook on board, bound, consecutive numbered pages, 12 months recording (2)	14/3/2024	C	C	C	P/C	Received 16.01.2024. <u>LEG</u> : Submitted - "Fish Catch Data Collection Regulations, 2014". <u>STD</u> : NO – Legislation obliges FV to carry on board: bound logbook. No provision for logbooks with consecutively numbered pages / original recordings for at least 12 months. <u>SP</u> : YES – Provided & described for i) ii) & iii), based on legislation.	Agree
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2.6	Res. 19/04 (3.b & c) (2023)	IMO number for eligible vessels	31/12/2023 (Since 01.01.2016)	P/C	P/C	C	N/C2	LEG: NO – Not submitted. Provisions for IMO is to be made in a future amendment of the regulation. STD: NO – Out of the total number of vessels eligible for an IMO number (1538), only a portion (251) were reported. SP: NO – Provided and described for a) and b), but not for c).	Agree
2.8	Res. 17/07 (2) (2023)	Ban on large-scale driftnets in IOTC Area (2)	14/3/2024	C	C	C	P/C	LEG: Provided. Banned only on the high seas by the “ <i>High Seas Fishing Operations Regulations No. 1 of 2014</i> ” published in Gazette Extraordinary 1878/12 and by the Terms and Conditions of the ATF. Ban in the EEZ on work in progress. STD: YES. SP: YES – Provided/described for a), b) and c).	Agree
2.20	Res. 21/01 (21/24) (2023)	Gillnet - Report level of implementation of paragraphs 21-23	14/3/2024	C	C	C	P/C	LEG: Provided. The “ <i>High Seas Fishing Operations Regulations No. 1 of 2014</i> ” and its amendments, in addition to the T&C of the ATF. None include specific provision on the obligation to report the level of implementation of paragraphs 21-23. STD: YES. SP: NO - Provided/described for a), but not for b) and c). Pending to be provided, the “system or procedures” and “actions to be taken” to respond to instances of non-compliance with and potential infringements in relation to the obligation of reporting the level of implementation of paragraphs 21-23.	Agree
2.22	Res 11/02 (6) (2023)	Reports observations of damaged data buoys	14/3/2024	C	C	C	P/C	LEG: Submitted - “ <i>High Seas Fishing Operations Regulations No. 1 of 2014 (x)</i> .” and “ <i>The National Plan of Control and Inspections of Fishing Vessels Sri Lanka</i> ”. None of the legal documents provided makes reference or contains any specific provision on the obligation to report observations of damaged buoys. STD: YES – Rapport nul. SP: Provided & described for a), b) and c).	Agree

3. Reporting on Vessels

3.1	Res. 10/08 (1) (2023)	List of Active vessels	15/2/2024	C	P/C	C	N/C2	<p>Received 13.02.2024.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p>List of Active Vessels established with: ATF, Return fishing log-book.</p> <p>5 vessels > 24m & 1966 vessels < 24m active in 2023.</p> <p><u>Legislation:</u> YES – Submitted - " Fisheries and Aquatic Resources (Amendment) Act No.35 of 2013".</p> <p><u>Standard:</u> NO – Missing 684 IMO, 358 IRCS.</p> <p><u>System/procedure:</u> YES – Provided & described for i) ii) iii). Doc submitted " National plan of control and inspections of fishing vessels".</p> <p><u>Obs: IMO</u> - Sri Lanka contacted the IMO on issuing of IMO numbers to the Sri lankan Fishing vessels above 12m in length as per the instructions given by IMO Sri Lanka initiated the submissions of vessels details to IMO in this regard. Sri Lanka received 1000 IMO numbers and 225 IMO numbers send with the submissions of IOTC AVL to the IOTC secretariat to update. IRCS - There is a reformation of issuance of IRCS to the fishing vessels of Sri Lanka by the relevant authorities of issuing IRCS (Telecommunication Regulatory Commission & Ministry of Defense) This includes re-examination of radio equipment owners and their personal information for national security reasons. However DFAR closely worked with the relevant authorities to expedite the process. At present Mobile campaigns are being conducted at the designated harbours with the participation of relevant agencies in a view to expedite the process.</p>	Agree
3.6	Res. 19/04 (3) (2023)	List of Authorized vessels 24 metres in length overall or more (3)	11/4/2024 (Since 01.07.2003)	C	P/C	C	N/C2	<p>Received 11.01.2024.Vessels ≥ 24m: 23 in 2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p><u>LEG:</u> Submitted - " 1) Fisheries and Aquatic Resources (Amendment) Act No.35 of 2013, 2) High Seas Fishing Operations Regulations No. 1 of 2014, 3) High Seas Fishing Operations Regulations amended in 2015 extraordinary 1945/6".</p> <p><u>STD:</u> NO - Missing information for 20 vessels [1 CCm3, 1 IRCS, 18 vessels with outdated authorisation period. 1 Operator,1 beneficial owner,1 company. Photographs: 20 starboards,20 portside, 20 bow].</p> <p><u>SP:</u> YES – Provided & described for i) ii) iii).</p>	<p>Not agree. Sri Lanka expect the Assessment result change from "N/C2" to "C"</p> <p>1. Missing call sign -Not agree. • all vessels >24m are with the IRCS.</p> <p>2. Beneficial owner – Not agree. • Information provided. In Sri Lanka the beneficial and the owner of the vessel is the same.</p> <p>3. company- - Same as above.</p> <p>4. total Volume of Fish –Not agree. • Fish hold capacity of all vessels are provided.</p> <p>5. Authorisation period is out-of-date • All details of the authorised vessels for 2023 were provided. However the vessels those did not operated (authorization not applied) for 2023 are still in the IOTC authorised list.</p> <p>This has been already included in the Feedback Sri Lanka under 1.4</p>

3.7	Res. 19/04 (3) (2023)	List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state) (3)	11/4/2024 (Since 01.07.2006)	C	P/C	C	N/C2	<p>Received 11.01.2024. Vessels < 24m: 2813.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p><u>LEG:</u> Submitted - " 1) Fisheries and Aquatic Resources (Amendment) Act No.35 of 2013, 2) High Seas Fishing Operations Regulations No. 1 of 2014, 3) High Seas Fishing Operations Regulations amended in 2015 extraordinary 1945/6".</p> <p><u>STD:</u> NO - Missing information for 1697 vessels [634 IMO, 217 IRCS (167 IRCS reported as pending), 746 CCm3, 837 vessels with outdated authorisation period. 615 Operator,723 beneficial owners,727 company. Photographs: 1692 starboard,1697 port-side and 1636 bow.</p> <p><u>SP:</u> YES – Provided & described for i) ii) iii).</p>	<p>1.Missing call sign below 24m. Re-examination of radio equipment owners and their personal information for national security reasons and the reformation of issuance of IRCS to the fishing vessels of Sri Lanka by the relevant authorities (Telecommunication Regulatory Commission & Ministry of Defense) is being conducted. The backlog is being cleared. Department of Fisheries and Aquatic Resources (DFAR) closely worked with the relevant authorities to expedite the process.</p> <p>At present Mobile campaigns are being conducted at the designated harbours with the participation of relevant agencies in a view to expedite the process. The no of vessels without IRCS is gradually decreasing. The 268 no of vessels in the active vessels list in 2023 were <24m.</p> <p>2.Photos, From 2023 January 100% of the vessel Photographs submitted. However few photos were not published due to not up to the standard of the IOTC. Currently Sri Lanka upload the vessel photographs through e-RAV.</p> <p>This has been already included in the Feedback Sri Lanka under 1.4.</p>
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4.Vessel Monitoring System

5.Mandatory statistical requirement – Flag State CPCs

5.9	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Size Frequency – Coastal fisheries	30/6/2023	C	P/C	C	N/C2	<p>Received: 28.06.2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p><u>Standard:</u> NO - SF not available for all species/fisheries.</p>	<p>This has been already included in the Feedback Sri Lanka under 1.4</p> <p>Majority of Billfish landings by all billfish species from coastal fisheries are observed as cut segments instead of whole specimen ,hence unable to get whole fish length and therefore was not able to provide data as required.</p>
5.10	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Size Frequency – Surface fisheries : PS, BB, GN	30/6/2023	C	P/C	C	N/C2	<p>Received: 28.06.2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p><u>Standard:</u> NO - SF not available for all species/fisheries.</p>	<p>This has been already included in the Feedback Sri Lanka under 1.4. Majority of Billfish landings by all billfish species from coastal fisheries are observed as cut segments instead of whole specimen ,hence unable to get whole fish length and therefore was not able to provide data as required.</p>

5.11	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Size Frequency – Longline fisheries	30/6/2023	C	P/C	C	N/C2	Received: 28.06.2023. Failed to ensure compliance of the same obligation for two or more consecutive years. Standard: NO - SF not available for all species/fisheries.	This has been already included in the Feedback Sri Lanka under 1.4. Majority of Billfish landings by all billfish species from coastal fisheries are observed as cut segments instead of whole specimen ,hence unable to get whole fish length and therefore was not able to provide data as required.
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6. Implementation of mitigation measures and bycatch of non-IOTC species

6.4	Res. 19/03 (3) (2023)	Prohibition to retain on-board, tranship, land, store mobulid rays	31/12/2023 (Since 29.10.2019)	C	C	C	P/C	Received 15.12.2024. LEG: YES – Conditions of EEZ/High Seas Fishing Operations. Secretariat unable to identify force of law. STD: Not applicable. SP: YES – Provided for “a”, “b” and “c”.	Agree
6.5	Res. 19/03 (5, Annex 1) (2023)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of mobulid rays	31/12/2023 (Since 29.10.2019)	C	C	C	P/C	Received 21.12.2024. LEG: YES – Conditions of EEZ/High Seas Fishing Operations. Secretariat unable to identify force of law. STD: Not applicable. SP: YES – Provided for “a”, “b” and “c”.	Agree
6.9	Res. 12/04 (5) (2023)	Report on progress of implementation of Res. 12/04 (2)	14/3/2024	C	C	C	P/C	LEG: Provided “ Fishing operation regulation 1996” and “High Seas Fishing Operations Regulations No. 1 of 2014 (Amendment 2015) published in Gazette Extraordinary 1945/6”. However, no obligation to report on the progress of implementation of the FAO FAO Guidelines and R12/04 was observed on the legal documents received. STD: YES. SP: YES - Provided & described for a), b) and c).	Agree

7. Illegal, Unreported and Unregulated (IUU) Vessels

7.1	Res. 18/03 (5 & 18) (2023)	IUU listing	1/6/2023	N/C	N/C	N/C	N/C2	4 vessels listed on the IOTC IUU vessels list in two consecutive years: 3 vessels in 2021; 1 vessel in 2022.	Agree
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7.2	Res. 07/01 (2) (2023)	Compliance by nationals	1/6/2023	N/C	N/C	N/C	N/C2	Nationals on 4 vessels listed on IOTC IUU vessels list in 2021 and 2022.	Agree
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8. Transhipments

9. Observers

9.2	Res. 11/04 (2) (2022)	5% Mandatory, at sea (All vessels) (2)	19/11/2023 (Since 2013)	-/-	-/-	C	P/C	Received 07.09.2023 & 04.04.2024. LEG: Submitted for ROS at sea - "High Seas Fishing Operations Regulations No. 1 of 2014 amended in 2015 by extraordinary 1945/6 - Article XX. No specific provision in legislation submitted for minimum coverage of 5%. STD: NO - Has not reached the IOTC standard of 5 % observer coverage at sea. Secretariat estimated coverage at sea: 0.37%. SP: YES – Provided & Described for i) ii) & iii).	Not Agree. Sri Lanka expect the assessment result change from "P/C" to "C". This has been already included in the Nation Report Sri Lanka 2023 under 6.4. The same is provided for your reference in the submission done on the date of 04.04.2024
9.3	Res. 11/04 (4) (2022)	5 % Artisanal landings (2)	19/11/2023 (Since 2013)	-/-	-/-	P/C	N/C1	Received 15.11.2023 & 04.04.2024. ROS coastal Implemented for LL, GN, RN. LEG: NO – Not submitted for ROS coastal. No provision for minimum coverage 5%. Has provided a circular with no force of law. STD: YES - Coverage is = or > 5 %. No of vessel trips or No of active vessels: LL 3706; GN 3546; RN 1586. Coverage: LL 13%; GN 13%; RN 11%. SP: YES – Provided & Described for i) ii) & iii). Documents provided: <i>Circular on implementation of port sampling.</i>	Not Agree. Sri Lanka expect the assessment result change from "N/C1" to "C"

10. Statistical document programme

10.3	Res. 01/06 (6) (2022)	Annual report (2021) (2)	14/3/2024	C	C	C	P/C	Received 01.03.2024. LEG: YES - The Fish and Fishery Products, Export, Import and Re-export Management Regulations. STD: YES - Report submitted. No evidence of examination of IOTC import data. SP: YES – Provided for "a", "b" and "c".	Not Agree. Propose to change the assessment P/C to C
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11. Port inspection

11.8	Res. 16/11 (9.3) (2023)	Denial of use of port	31/12/2023 (Since 01.03.2011)	C	C	C	P/C	<p>Received 27.12.2023.</p> <p>LEG: NO – Submitted - <i>Implementation of Port State Measures to Prevent, Deter and Eliminate Illegal Unreported and Unregulated Fishing Regulations 2015 – Article 7. Article 7 refer to denial of entry not to denial of use of port.</i></p> <p>STD: YES - Foreign vessels were not denied use of port.</p> <p>SP: YES – Provided & described for i) ii) iii). document provided “The National Plan of Control and Inspections of Fishing Vessels - Sri Lanka” (NPCISL) with system/procedure to implement the binding obligation to monitor/ensure compliance of foreign vessels with the entry in port and conditions to request entry into port.</p>	Agree
11.9	Res. 16/11 (9.4) (2023)	Withdrawal of denial of use of port	31/12/2023 (Since 01.03.2011)	C	C	C	P/C	<p>Received 28.12.2023.</p> <p>LEG: NO – Submitted - <i>Implementation of Port State Measures to Prevent, Deter and Eliminate Illegal Unreported and Unregulated Fishing Regulations 2015 . Secretariat unable to identify provision for withdrawal of denial of use of port.</i></p> <p>STD: YES - Foreign vessels were not denied use of port.</p> <p>SP: YES – Provided & described for i) ii) iii). document provided “The National Plan of Control and Inspections of Fishing Vessels - Sri Lanka” (NPCISL) with system/procedure to implement the binding obligation to monitor/ensure compliance of foreign vessels with the entry in port and conditions to request entry into port.</p>	Agree

Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC21 in 2024

Having reviewed the 2024 Draft Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant repeated non-compliance issues for discussion.

Repeated non-compliance issues

Requirement	Observations	Current status (2024)	Previous status (2023)
2.6	<p>LEG: NO – Not submitted. Provisions for IMO is to be made in a future amendment of the regulation.</p> <p>STD: NO – Out of the total number of vessels eligible for an IMO number (1538), only a portion (251) were reported.</p> <p>SP: NO – Provided and described for a) and b), but not for c).</p>	N/C2	P/C
3.1	<p>Received 13.02.2024.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p>List of Active Vessels established with: ATF, Return fishing logbook. 5 vessels > 24m & 1966 vessels < 24m active in 2023.</p> <p>Legislation: YES – Submitted - " Fisheries and Aquatic Resources (Amendment) Act No.35 of 2013".</p> <p>Standard: NO – Missing 684 IMO, 358 IRCS.</p> <p>System/procedure: YES – Provided & described for i) ii) iii). Doc submitted " National plan of control and inspections of fishing vessels".</p> <p>Obs: IMO - Sri Lanka contacted the IMO on issuing of IMO numbers to the Sri lankan Fishing vessels above 12m in length as per the instructions given by IMO Sri Lanka initiated the submissions of vessels details to IMO in this regard. Sri Lanka received 1000 IMO numbers and 225 IMO numbers send with the submissions of IOTC AVL to the IOTC secretariat to update. IRCS - There is a reformation of issuance of IRCS to the fishing vessels of Sri Lanka by the relevant authorities of issuing IRCS (Telecommunication Regulatory Commission & Ministry of Defense) This includes re-examination of radio equipment owners and their personal information for national security reasons. However DFAR closely worked with the relevant authorities to expedite the process. At present Mobile campaigns are being conducted at the designated harbours with the participation of relevant agencies in a view to expedite the process.</p>	N/C2	P/C
3.6	<p>Received 11.01.2024.Vessels ≥ 24m: 23 in 2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p>LEG: Submitted - " 1) Fisheries and Aquatic Resources (Amendment) Act No.35 of 2013, 2) High Seas Fishing Operations Regulations No. 1 of 2014, 3) High Seas Fishing Operations Regulations amended in 2015 extraordinary 1945/6".</p> <p>STD: NO - Missing information for 20 vessels [1 CCm3, 1 IRCS, 18 vessels with outdated authorisation period. 1 Operator,1 beneficial owner,1 company. Photographs: 20 starboards,20 portside, 20 bow].</p> <p>SP: YES – Provided & described for i) ii) iii).</p>	N/C2	P/C

3.7	<p>Received 11.01.2024. Vessels < 24m: 2813.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p><u>LEG:</u> Submitted - " 1) Fisheries and Aquatic Resources (Amendment) Act No.35 of 2013, 2) High Seas Fishing Operations Regulations No. 1 of 2014, 3) High Seas Fishing Operations Regulations amended in 2015 extraordinary 1945/6".</p> <p>STD: NO - Missing information for 1697 vessels [634 IMO, 217 IRCS (167 IRCS reported as pending), 746 CCm3, 837 vessels with outdated authorisation period. 615 Operator,723 beneficial owners,727 company. Photographs: 1692 starboard,1697 portside and 1636 bow.</p> <p><u>SP:</u> YES – Provided & described for i) ii) iii).</p>	N/C2	P/C
5.9	<p>Received: 28.06.2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p>Standard: NO - SF not available for all species/fisheries.</p>	N/C2	P/C
5.10	<p>Received: 28.06.2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p>Standard: NO - SF not available for all species/fisheries.</p>	N/C2	P/C
5.11	<p>Received: 28.06.2023.</p> <p>Failed to ensure compliance of the same obligation for two or more consecutive years.</p> <p>Standard: NO - SF not available for all species/fisheries.</p>	N/C2	P/C
7.1	4 vessels listed on the IOTC IUU vessels list in two consecutive years: 3 vessels in 2021; 1 vessel in 2022.	N/C2	N/C
7.2	Nationals on 4 vessels listed on IOTC IUU vessels list in 2021 and 2022.	N/C2	N/C

Note: if the table above is empty, it means there were no repeated compliance issues identified this year.

Reading instructions

(1) Information is to be provided in the Report of Implementation

(2) 24 meters overall length and over, and under 24 meters if they fished outside their EEZ

(Year) = year reporting on/year assessed.

LEG: Legislation - Transposition of Commission decisions, Legislation or administrative orders.

STD : Standard - Reporting format, IOTC standard

SP: System or procedures - Provision of information on system or procedures a) to monitor and ensure compliance of vessels and persons OR b) to prepare and submit information, data, report to the Commission.

i) ii) iii) = a) b) c)

Assessment

Timeliness

- **C:** Compliant
- **L:** Late
- **N/A:** Not applicable
- **N/C:** Non compliant
- **P/C:** Partially compliant

Compliance

- **C:** Compliant
- **C/B:** Capacity building
- **N/A:** Not applicable
- **N/C1:** Not compliant 1
- **N/C2:** Not compliant 2
- **P/C:** Partially compliant

CoC/Commission recommendations

- **Additional info or address the issue:** Provide additional information or address the compliance issue within a given period of time, and at the latest before the following annual meeting.
- **Actions proposed by the CPC:** Actions proposed by the CPC and endorsed by the Commission.
- **Investigation by the CPC:** Completion of an investigation by the CPC regarding a compliance issue and reporting back to the Compliance Committee, where required.
- **Enhanced monitoring of fleet:** Enhanced monitoring of the fleet.
- **Amendments to national rules:** Amendments to domestic procedures, legislation or policy including penalties, where required.
- **Detailed plan and timeline:** Submit, within 3 months from the end of the Commission annual session, a detailed plan and timeline on how it intends to address the non-compliance of category 2 identified.
- **Capacity building or assistance:** Provision of capacity building or technical assistance for a specified amount of time.
- **Other remedies:** Other remedies.

“Missing” values:

- “-/-”: no possible value (e.g.: no previous assessment possible because this is the first campaign in which the requirement is assessed);
- “None”: no value provided by the Secretariat (e.g. requirement assessed as Compliant, no text provided in “Observations”);
- “Not assessed”: for timeliness and compliance when no assessment has been made yet or the requirement is not assessable;
- “-”: no information provided by the CPC for this question (e.g. nothing entered in a text entry field, no boxes checked...);
- “Not Submitted”: the value will only be available after submission of the report (e.g. the “Report date”).